



# Quantifying urban, industrial, and background changes in NO<sub>2</sub> during the COVID-19 lockdown period based on TROPOMI satellite observations

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**Abstract.** The COVID-19 lockdown had a large impact on anthropogenic emissions of air pollutants and particularly on nitrogen dioxide (NO<sub>2</sub>). While the overall NO<sub>2</sub> decline over some large cities is well-established, understanding the details remains a challenge since multiple source categories contribute. In this study, a new method of isolation of three components (background NO<sub>2</sub>, NO<sub>2</sub> from urban sources, and NO<sub>2</sub> from industrial point sources) is applied to estimate the impact of the COVID-19 lockdown on each of them. The approach is based on fitting satellite data by a statistical model with empirical plume dispersion functions driven by a meteorological reanalysis. Population density and surface elevation data as well as coordinates of industrial sources were used in the analysis. The tropospheric NO<sub>2</sub> vertical column density (VCD) values measured by the Tropospheric Monitoring Instrument (TROPOMI) on board the Sentinel-5 Precursor over 261 urban areas for the period from 16 March to 15 June 2020 were compared with the average VCD values for the same period in 2018 and 2019. While the background NO<sub>2</sub> component remained almost unchanged, the urban NO<sub>2</sub> component declined by −18 % to −28 % over most regions. India, South America, and a part of Europe (particularly, Italy, France, and Spain) demonstrated a −40 % to −50 % urban emission decline. In contrast, the decline over urban areas in China, where the lockdown was over during the analysed period, was, on average, only  $-4.4 \pm 8\%$ . Emissions from large industrial sources in the analysed urban areas varied greatly from region to region from  $-4.8 \pm 6\%$  for China to  $-40 \pm 10\%$  for India. Estimated changes in urban emissions are correlated with changes in Google mobility data (the correlation coefficient is 0.62) confirming that changes in traffic were one of the key elements in the decline in urban NO<sub>2</sub> emissions. No correlation was found between changes in background NO<sub>2</sub> and Google mobility data. On the global scale, the background and urban components were remarkably stable in 2018, 2019, and 2021, with averages of all analysed areas all being within  $\pm 2.5\%$  and suggesting that there were no substantial drifts or shifts in TROPOMI data. The 2020 data are clearly an outlier: in 2020, the mean background component for all analysed areas (without China) was  $-6.0\% \pm 1.2\%$  and the mean urban component was  $-26.7 \pm 2.6\%$  or  $20\sigma$  below the baseline level from the other years.

## 1 Introduction

Nitrogen oxides (NO<sub>x</sub> = NO<sub>2</sub> + NO) are air pollutants that originate from various anthropogenic (fuel combustion) and natural (e.g. biomass burning, lightning) sources and whose emissions are regulated in many countries. Satellite measurements of one component of NO<sub>x</sub>, NO<sub>2</sub>, have a long history. In the stratosphere, the SAGE (Stratospheric Aerosol and Gas Experiment) instrument provided NO<sub>2</sub> profile information through the stratosphere beginning in the mid-1980s (Cunnold et al., 1991). Satellite observations of tropospheric NO<sub>2</sub> columns are more recent and began with the nadir-viewing GOME (Global Ozone Monitoring Experiment) in 1996 (Martin et al., 2002) with several successors, chief among these OMI (Ozone Monitoring Instrument) (Duncan et al., 2015; Krotkov et al., 2016; Lamsal et al., 2015, 2021; Levelt et al., 2018) and, most recently, TROPOMI (Tropospheric Monitoring Instrument) (van Geffen et al., 2020; Veefkind et al., 2012). Collectively these instruments have been used to better understand NO<sub>2</sub> sources, sinks, distributions, and trends (Beirle et al., 2011, 2019; Liu et al., 2016; Lorente et al., 2019; Lu et al., 2015; Martin et al., 2002; McLinden et al., 2012; Stavrakou et al., 2020; Virghileanu et al., 2020).

One primary NO<sub>2</sub> characteristic provided by satellites is tropospheric vertical column density (VCD), a geophysical quantity representing the total number of molecules or total mass per unit of area in the troposphere. The main features of the tropospheric NO<sub>2</sub> VCD distribution are well established. Due to its relatively short lifetime, a few hours within a plume during the day, NO<sub>2</sub> is elevated near sources such as urban areas (Beirle et al., 2019; Lorente et al., 2019; Lu et al., 2015) and industrial locations such as power plants and oil refineries (Liu et al., 2016; McLinden et al., 2012). Over high mountains, NO<sub>2</sub> VCDs are relatively small as the troposphere there is “thinner” with fewer emission sources. Ship tracks and major highways also create elevated NO<sub>2</sub> values on satellite maps (Beirle et al., 2004; Georgoulas et al., 2020; Liu et al., 2020a; Richter et al., 2004).

The COVID-19 lockdown had an impact on tropospheric NO<sub>2</sub> levels, first in China and then worldwide (Bao and Zhang, 2020; Bauwens et al., 2020; Ding et al., 2020; Gkatzelis et al., 2021; Kanniah et al., 2020; Keller et al., 2021; Koukouli et al., 2021; Liu et al., 2020a; Vadrevu et al., 2020; Virghileanu et al., 2020; Zhang et al., 2021). It was demonstrated that NO<sub>2</sub> surface concentrations and VCDs significantly declined in the US and Canada after mid-March 2020 (Bauwens et al., 2020; Goldberg et al., 2020; Griffin et al., 2020). A decline of about −20 % to −25 % was observed in the US megacities as well as over some rural areas. A decline was also reported over Europe (e.g. Bar et al., 2021; Barré et al., 2021), India (Misra et al., 2021; Hassan et al., 2021), Pakistan (Ali et al., 2021; Mehmood et al., 2021), Brazil (Dantas et al., 2020; Siciliano et al., 2020), and other parts of the world (Ass et al., 2020; Aydın et

al., 2020; Fu et al., 2020) as also discussed in overview papers (Gkatzelis et al., 2021; Levelt et al., 2021).

The impact of the lockdown on tropospheric NO<sub>2</sub> VCD from satellite data was often estimated by comparing mean or median values over a certain area for the periods before and after the lockdown (e.g. Qu, et al., 2021; Barré et al., 2021; Mehmood et al., 2021; Hassan et al., 2021; see also on-line tool [https://so2.gsfc.nasa.gov/no2/no2\\_index.html](https://so2.gsfc.nasa.gov/no2/no2_index.html) (last access: 18 December 2021) or as the values weighted according to the population density (Sannigrahi et al., 2021). This makes the results dependent on the area analysed and sensitive to the wind speed (Goldberg et al., 2020). There is also free-tropospheric NO<sub>2</sub> that could mask the lockdown-related changes in anthropogenic emissions (Silvern et al., 2019). Moreover, meteorological variability was also a contributing factor to the differences (e.g. Barré et al., 2021; Griffin et al., 2020), although some studies found that its impact may not be very large (Bar et al., 2021).

An alternative approach is based on an estimation of NO<sub>2</sub> emissions using satellite data and then comparing the emission estimates before and after the lockdown started (Lange et al., 2022). There are several methods to estimate the emissions (Streets et al., 2013). Methods such as inverse modelling (Konovalov et al., 2006; Mijling and van der A, 2012) and, more recently, flux divergence (Beirle et al., 2019, 2021) are used for such a purpose. One common technique is based on a rotation of satellite NO<sub>2</sub> pixels around the source so the NO<sub>2</sub> data would appear if the wind is from one common direction, allowing many overpasses to be combined. These rotated data are then integrated in the cross-wind direction, and then the results are fitted with an exponentially modified Gaussian (EMG) function (Lange et al., 2022; Pommier et al., 2013). The two unknown parameters, the emission strength and lifetime are estimated directly from the fit in one-dimensional space. The method works well for isolated stationary point sources and with steady winds (Beirle et al., 2021) but may not work in the areas where emissions from closely located multiple sources are mixed with urban emissions. Another approach employs a two-dimensional EMG plume function of the wind speed (Dammers et al., 2019; Fioletov et al., 2015; McLinden et al., 2020). The plume function depends on three parameters: the plume width, lifetime, and emission strengths. While all three parameters can be estimated from the fit, the algorithm works better if the plume width and lifetime are estimated in advance and then prescribed in the fit to estimate the emission strength. This algorithm was further improved to account for multiple sources or areas (Fioletov et al., 2017).

A different approach was used in this study. A statistical model was used to describe the TROPOMI NO<sub>2</sub> data over 3° × 4° areas (roughly, 330 km × 330 km at 42° N) around major cities and isolate three components related to (1) plumes from urban sources, (2) plumes from industrial point sources, and (3) background NO<sub>2</sub>. The parameters of the statistical model link the satellite NO<sub>2</sub> values to proxies related to ele-

vation and population density as well as to locations of large industrial point sources. Then the three components in 2020 were compared to their values in 2018–2019 to study the COVID-19 lockdown impact. The parameters have simple physical interpretation such as point source or area emission rates and the background NO<sub>2</sub> distribution. As the model only has a few parameters and their estimates are based on several hundreds of TROPOMI pixels in each area, statistical uncertainties of the parameter estimates are very small. The variability of urban, industrial, and background NO<sub>2</sub> components due to meteorological or observational conditions was studied by comparing the estimates of the three components for 2018, 2019, and 2021 that were not affected by lockdowns.

This algorithm is based on a multi-source plume dispersion function fitting approach developed for sulfur dioxide (SO<sub>2</sub>) point and area sources (Fioletov et al., 2017; McLinden et al., 2020). It assumes that each source produces a plume that depends on unknown emission strength and these emission strengths are derived from the best fit to the satellite data. The algorithm was adapted for NO<sub>2</sub> where emissions from urban areas, which tend to be dominated by residential and mobile emission sources, were often a major source sector. Since the approach is based on statistical methods it was necessary to have a sufficiently long data set to reduce the impact of natural factors such as meteorology that can cause NO<sub>2</sub> VCD differences of  $\sim 15\%$  over monthly timescales (Goldberg et al., 2020; Levelt et al., 2021). We use 3-month periods, with the averages for the period from 16 March to 15 June 2020, compared to those in 2018 and 2019 for the  $3^\circ \times 4^\circ$  areas around 261 major cities worldwide. As the study is focused on relative NO<sub>2</sub> changes due to the lockdown, possible systematic errors related to the TROPOMI retrievals (Verhoelst et al., 2021) and the algorithm fitting parameters (Fioletov et al., 2016) play a much smaller role than in the case of absolute emission estimates.

This paper is organized as follows: Sect. 2 describes various data sets used in the study; the analysis algorithm is discussed in Sect. 3. In Sect. 4, the COVID-19 lockdown impact is studied. The USA and Canada are analysed in detail to illustrate the method, then statistics for Europe are provided, and finally results for the entire world are presented. Discussion and conclusions are given in Sect. 5. The algorithm is described in Appendix A. Additional technical information and statistics are given in the Supplement.

## 2 Data sets

### 2.1 TROPOMI NO<sub>2</sub> VCD data

TROPOMI, onboard the European Space Agency (ESA) and EU Copernicus Sentinel-5 Precursor (S5p) satellite, was launched on 13 October 2017 (van Geffen et al., 2020; Veefkind et al., 2012). The satellite follows a Sun-synchronous, low-Earth (825 km) orbit with a daily Equator

crossing time of approximately 13:30 local solar time (van Geffen et al., 2019). At nadir, TROPOMI pixel sizes were  $3.5\text{ km} \times 7\text{ km}$  at the beginning of operation and were reduced to  $3.5\text{ km} \times 5.6\text{ km}$  on 6 August 2019, and the swath width is 2600 km. TROPOMI NO<sub>2</sub> VCD values represent the total number of molecules or total mass per unit area below the tropopause and are often given in molecules or moles (1 mole is equal to  $6.022 \times 10^{23}$  molecules) per square metre or centimetre as well as in Dobson units (DU, 1 DU =  $2.69 \times 10^{16}$  molec. cm<sup>-2</sup>). In this study, level-2 TROPOMI data available from the Copernicus open-data access hub (<https://s5phub.copernicus.eu>, last access: 5 August 2021) were used. The reprocessed (RPRO) data version V1.2.2 was used for 2018, and offline-mode (OFFL) data of version V1.2.2 to version V1.3.2 were used for 2019–2020. The difference between these two versions is relatively minor, and therefore the combination is suitable to analyse NO<sub>2</sub> changes during the period studied in this paper. The 2021 data (V1.4.0) were used only to estimate the interannual variability. The standard TROPOMI product, tropospheric vertical columns, based on air mass factors (AMFs) calculated using the vertical profile of NO<sub>2</sub> from the TM5-MP model at  $1^\circ \times 1^\circ$  resolution (Williams et al., 2017) was used. In the analysis, we use only data for which the quality assurance value is higher than 0.75 (van Geffen et al., 2018). Also, satellite pixels with snow on the ground, a solar zenith angle greater than  $75^\circ$  and with a cloud radiance fraction above 0.3 were excluded from the analysis.

The specified random uncertainty of a single TROPOMI tropospheric NO<sub>2</sub> VCD measurement is  $7 \times 10^{14}$  molec. cm<sup>-2</sup> (or 0.026 DU) (ESA EOP-GMQ, 2017). Tack et al. (2021) estimated this uncertainty and found it to be  $5.6 \pm 0.4 \times 10^{14}$  molec. cm<sup>-2</sup>. There is some evidence that TROPOMI NO<sub>2</sub> is biased low by 14%–40% over polluted areas due to a limited spatial resolution of the model used to calculate the AMFs (Judd et al., 2020; Verhoelst et al., 2021; Zhao et al., 2020). This bias can be reduced by recalculation of AMFs with higher spatial resolution (Griffin et al., 2020; Ialongo et al., 2020; Zhao et al., 2020; Tack et al., 2021). In addition, the cloud pressures derived from the TROPOMI data have a positive bias in versions 1.2.x and 1.3.x, which has an impact on the NO<sub>2</sub> tropospheric column retrieved (van Geffen et al., 2021). Both effects (biases) are expected to scale roughly linearly with the column amount (van Geffen et al., 2021), consistent with the validation results (Verhoelst et al., 2021). Therefore, the relative differences between 2018–2019 and 2020 data studied here should not be affected by these effects.

The TROPOMI NO<sub>2</sub> distribution over the US and southern Canada is shown in Fig. 1. The data are stratified by the wind speed to highlight some of the features of the NO<sub>2</sub> VCD distribution. NO<sub>2</sub> values are elevated over highly populated areas, as is particularly evident from the maps for low wind speed where the NO<sub>2</sub> remains close to the source before chemical or physical removal. Figure 1 also illustrates the

fact that TROPOMI NO<sub>2</sub> values over megacities are higher under calm winds and lower under high winds (e.g. Goldberg et al., 2020). Elevated NO<sub>2</sub> values are also observed over power plants and mining operations (Goldberg et al., 2021). The NO<sub>2</sub> VCD distribution also depends on local topography (Kim et al., 2021). For example, smaller values over elevated areas such as the Rocky Mountains and Appalachians and higher values over valleys such as the California Central Valley are evident from the map. There is also some background NO<sub>2</sub> that can be seen even over remote areas with no major anthropogenic sources: NO<sub>2</sub> VCDs are not negligible (about  $5 \times 10^{14} \text{ cm}^{-2}$ ) over vast remote areas such as national forests in Montana or Algonquin Provincial Park in Ontario as well as over the oceans.

As TROPOMI has only one daily overpass at most locations, diurnal NO<sub>2</sub> variations may affect emission estimates. Measurements from the ground demonstrate that, unlike surface concentrations, the diurnal variations in NO<sub>2</sub> VCDs are relatively small, particularly in spring (Herman et al., 2009; Chong et al., 2018). However, since nighttime NO<sub>2</sub> information is not available from satellite, we should say that all the results presented here are limited to daytime emissions only.

## 2.2 Wind data

As in several previous studies (Fioletov et al., 2015; McLinden et al., 2020; Zoogman et al., 2016) the plume dispersion function (discussed below in Sect. 3) is based on the wind speed and direction obtained from the meteorological reanalysis. For each satellite pixel, wind speed and direction were calculated based on European Centre for Medium-Range Weather Forecasts (ECMWF) ERA5 reanalysis data (C3S, 2017; Dee et al., 2011), which were merged with TROPOMI measurements. The wind profile data have 1 h temporal resolution and are available on a 0.25° horizontal grid. *U* and *V* (west–east and south–north respectively) wind-speed components were then linearly interpolated to the location of the centre of each TROPOMI pixel and to overpass time. The ERA5 wind components at 1000, 950, and 900 hPa were averaged to obtain the wind value used (that approximately corresponds to the mean winds between 0 and 1 km). This interval was comparable to the wind data used in other similar studies: Beirle et al. (2019) used data at 450 m, while Lange et al. (2022) used data from 100 m. The results are not very sensitive to the wind profile within this range as was previously investigated by Beirle et al. (2011) because the boundary layer wind is relatively constant, except close to the surface. Note that in ERA5 reanalysis in pressure coordinates, when the surface pressure is smaller than that at a given level (e.g. 1000 hPa), the values will simply duplicate the winds at the lowest pressure available.

## 2.3 Population density data

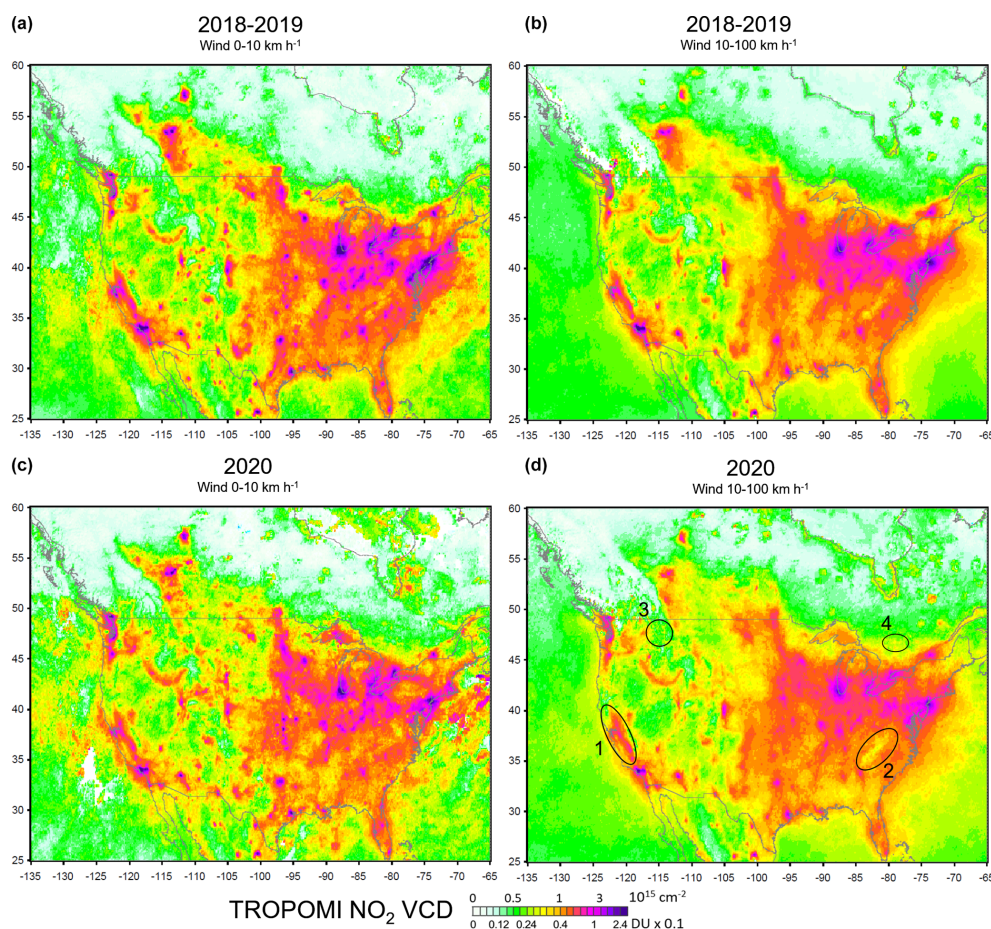
The Gridded Population of the World (GPW) data set (SEDAC, 2017) was used as a proxy for the urban component. GPW data are on a 0.042° (2.5 arcmin) grid and consist of estimates of human population density (number of persons per square kilometre) based on counts consistent with national censuses and population registers. When lower-resolution data were required, they were obtained by averaging the original data within the new grid cells. Information about large city location and population that was used to select cities for the analysis was obtained from the World Cities Database available from <https://simplemaps.com/data/world-cities> (last access: 10 May 2021).

## 2.4 Industrial point source locations

The algorithm of this study requires the coordinates of industrial point sources as an input. In addition, emission data from the US and Canada are used to verify the emissions estimated from TROPOMI data. For the US, 2018–2020 point source NO<sub>x</sub> emissions from the U.S. Environmental Protection Agency (EPA) National Emissions Inventory (NEI) (EPA, 2020) based on a continuous emission monitoring system (CEMS) are used. Note that the CEMS database is based on real emission measurements reported with 1 h resolution that were then averaged over the analysed period. This database includes most of the sources, including all large power plants. For sources that are not available from CEMS (e.g. oil refineries), emissions from the eGRID database (<https://www.epa.gov/egrid/download-data>, last access: 5 August 2021) for 2018 and 2019 were used. They are reported as annual emission estimates, and we assume that the emission rates are the same throughout the year. This database includes emissions from oil refineries and cement factories that are often not available from CEMS. Finally, US airport emissions are obtained from the 2017 NEI version released in January 2021 (<https://www.epa.gov/air-emissions-inventories/2017-national-emissions-inventory-nei-data>, last access: 5 August 2021). For Canada, annual emissions from the Canadian National Pollutant Release Inventory (NPRI, 2020) are used. Only Canadian and US sources with annual emissions greater than 0.5 kt yr<sup>−1</sup> of NO<sub>x</sub> were selected and used in this study.

Coordinates of the European industrial point sources were obtained from the European Pollutant Release and Transfer Register (<https://ec.europa.eu/environment/industry/stationary/eper/legislation.htm>, last access: 5 August 2021) for 2007–2017 (last access: 2 March 2021), and those that emitted more than 0.5 kt yr<sup>−1</sup> of NO<sub>x</sub> are included in the analysis. The world power plant database (<https://globalenergymonitor.org/projects/global-coal-plant-tracker/>, last access: 5 August 2021) was used to find locations of power plants for the global analysis. Missing sources were added based on the analysis





**Figure 1.** Mean TROPOMI NO<sub>2</sub> VCDs over the US and southern Canada for 16 March–15 June, in (a, b) 2018–2019 and (c, d) 2020. The main features of the NO<sub>2</sub> distribution such as elevated NO<sub>2</sub> values over large cities, industrial sources, and in the valleys such as the California Central Valley (1) and lower values over the mountains such as the Appalachians (2) are evident from the plot. Note that NO<sub>2</sub> VCDs are not negligible (about  $5 \times 10^{14} \text{ cm}^{-2}$ ) even over vast remote areas such as national forests in Montana (3) or Algonquin Provincial Park in Ontario (4) as well as the oceans. The maps are based on level-2 data gridded on a  $0.1^\circ \times 0.1^\circ$  grid grouped by the wind speed: (a, c) less than  $10 \text{ km h}^{-1}$  and (b, d) more than  $10 \text{ km h}^{-1}$ .

of the NO<sub>2</sub> residuals maps (see Sect. 3) and then confirmed using satellite imagery as was previously done in other studies (e.g. McLinden et al., 2016; Fioletov et al., 2016; Damers et al., 2019; Beirle et al., 2021). Satellite images from Google (<https://www.google.com/maps>, last access: 5 August 2021), Microsoft Bing (<https://www.bing.com/maps>, last access: 5 August 2021), and Sentinel 2 (<https://apps.sentinel-hub.com/eo-browser/>, last access: 5 August 2021) maps were used for this purpose. Multiple image sources were used since some of the images from Google maps are not always up to date and may not show recently built factories.

## 2.5 Elevation data

Elevation data were one of the proxies used in the statistical model. Elevation data used in this study are from the 2-Minute Gridded Global Relief Data (ETOPO2v2) database

(NOAA, 2006). When lower-resolution data were required, they were obtained by averaging the original data within the new grid cells.

## 2.6 Google mobility data and analysed period

The lockdown periods due to the COVID restrictions varied from country to country, but in most countries, they started in the second half of March 2020. In the analysed Canadian cities, the lockdown started between 12 and 17 March. In the US, it started between 18–19 March (Atlanta, Los Angeles) and 2 April (Houston). In Europe, the lockdown started as early as on 8 March (Milan), but for most of the cities the lockdown was introduced after 14 March. The second half of March is also the time when the lockdown measures started in many other cities around the world including Auckland, Baghdad, Buenos Aires, Johannesburg, Lagos, Manila, New

Delhi, Sydney, and many others (Levelt et al., 2021, their Appendix B).

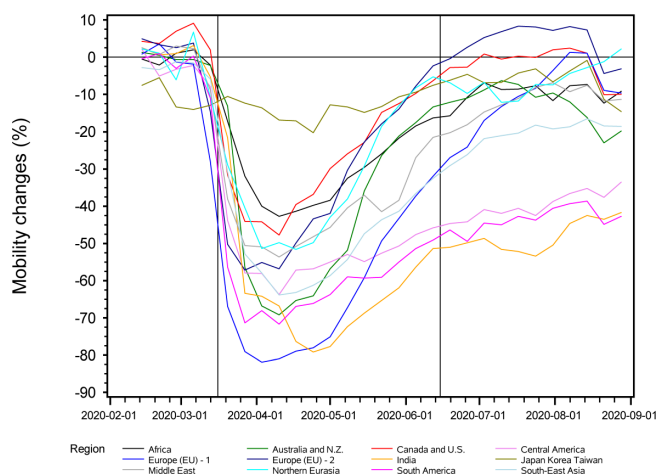
It is more difficult to determine the time of return to normal activities because the restrictions were often lifted in phases. For example, in the US, a “stay at home” order was lifted between 30 April (Texas) and 11 June (New Hampshire). Moreover, there was no formal lockdown in some countries (Belarus, Japan, South Korea, Sweden, Taiwan), but a decline in public activities can be seen even in these countries as well. For example, there was an up to 40 % decline in road transport emissions in Sweden even in the absence of any formal lockdowns (Guevara et al., 2021). For this reason, we use mobility data as a proxy instead of the lockdown dates to select the analysed period.

The Google Community Mobility Report data (available from <https://www.google.com/covid19/mobility/>, last access: 1 March 2021) were used to determine a common time period for our analysis. These data represent the changes in the number of people at locations of various types compared to a baseline level. A baseline day represents a normal value for that day of the week. The baseline day is the median value from the 5-week period 3 January–6 February 2020. These mobility data can be used as a proxy for urban traffic (e.g. Guevara et al., 2021) and are known to be correlated with urban NO<sub>x</sub> emissions (Venter et al., 2020; Bar et al., 2021; Misra et al., 2021). In this study, they were compared to urban and background NO<sub>2</sub> levels in different countries. The mobility data are available for several categories. Results for mobility for “retail and recreation” presented as this category demonstrated the highest correlation with estimated urban emissions. The retail and recreation category covers visits to restaurants, cafes, shopping centres, theme parks, museums, libraries, movie theatres, and similar locations. We will refer to this category as the “Google mobility data” for brevity.

Figure 2 shows changes in Google mobility data (available as deviations relative to the baseline period) for the regions analysed in this study (see Sect. 4). During the 3-month-long period from 16 March to 15 June 2020, the mobility data were below the baseline level in all analysed regions. Note that for China, Google mobility data are not available. In China, the lockdown occurred earlier (in February), except for Wuhan, where the lockdown was lifted only on 8 April. Note that there was no formal lockdown in the Japan, South Korea, and Taiwan region, although we still see some decline in mobility data there.

### 3 The fitting algorithms

The technique used here is a further development of a point source emission estimation algorithm (Fioletov et al., 2015, 2016; McLinden et al., 2016; Dammers et al., 2019) that was later expanded to estimate multi-source and area-source emissions (Fioletov et al., 2017; McLinden et al., 2020). This



**Figure 2.** Changes in weekly Google mobility data (for “retail and recreation” category) relative to the baseline period (3 January–6 February 2020) for 12 regions analysed in this study. The black vertical lines represent the beginning and the end of the period analysed in this study (16 March–15 June).

section provides only a general description of the method. The calculation formulas are given in Appendix A. The approach used in this study is based on a linear regression model. All satellite measurements over a certain area during a certain period are linked to locations of industrial point sources as well as to population density and elevation-related proxies by a few parameters that characterize these links. Thus, information from thousands of satellite measurements is compressed into a handful of parameters and therefore their estimates can have very low statistical uncertainties. Then, satellite measurements can be reconstructed using the regression model, and the contribution of three terms of the model (industrial, urban, and background) can be studied. Such a model may not be very accurate in “predicting” values of individual satellite pixels, but we will show that it performs well when it is used to describe a 3-month mean NO<sub>2</sub> VCD distribution over the analysed areas.

The method is adapted from the previously designed algorithm for multi-sources SO<sub>2</sub> emission estimates (Fioletov et al., 2017) where the emissions are determined from the best fit of satellite observation by a set of plume functions (one per source) scaled by parameters of estimation representing the emission strength. Unlike SO<sub>2</sub>, where emissions are mostly generated by point sources, NO<sub>2</sub> emissions also originate from area sources such as large cities. As shown in Fig. 1, landscape also has a major impact on the NO<sub>2</sub> distribution. To accommodate these features, the statistical model was modified to

$$\text{TROPOMI NO}_2 = \alpha_0 + (\beta_0 + \beta_1(\theta - \theta_0) + \beta_2(\varphi - \varphi_0)) \cdot \exp(-H/H_0) + \alpha_p \Omega_p + \sum \alpha_i \Omega_i + \varepsilon, \quad (1)$$

where  $\alpha_0$ ,  $\alpha_p$ ,  $\alpha_i$ ,  $\beta_0$ ,  $\beta_1$ , and  $\beta_2$  are the unknown regression parameters representing population-density-related proxies

and emissions from individual point sources and a background with a contribution from the elevation;  $\Omega_p$  is the source plume function for the population-density-related distributed source (or area source);  $\Omega_i$  is the source plume functions for industrial point sources;  $H$  is the elevation above sea level, and the empirical scaling factor  $H_0 = 1.0$  km was introduced to make the exponential argument dimensionless and to account for altitudinal dependence better; and  $\varepsilon$  is the residual noise.

Equation (1) is a linear regression statistical model with unknown coefficient sets  $\alpha$  and  $\beta$ . There are three main components in the model: the background term,  $\alpha_0 + (\beta_0 + \beta_1(\theta - \theta_0) + \beta_2(\varphi - \varphi_0)) \cdot \exp(-H/H_0)$ , related to background and elevation (four fitted coefficients); the urban component term,  $\alpha_p \Omega_p$ , related to the population density (one coefficient); and the industrial term,  $\sum \alpha_i \Omega_i$ , which represents the contribution from industrial point sources (variable number of coefficients from zero to a few dozen). We will refer to them as background, urban, and industrial components.

The fitting was done for all satellite pixels centred within  $3^\circ \times 4^\circ$  areas around large cities and collected during a 3-month period by minimization of the squares of the residuals ( $\varepsilon$ ). The size of the area is based on the following considerations: the larger the area the less accurate assumptions about a linear gradient of background NO<sub>2</sub> and constant emissions per capita are. The algorithm is based on fitting plumes. For typical plume characteristics (discussed below), the size of fitting area should be long, in the order of 100 km, to have enough data for the fit. Finally, the area should be large enough to avoid a correlation between the elevation and population density proxies.

As in Fioletov et al. (2017), the plume from an industrial point source  $i$  is described by a plume function  $\Omega(\theta, \varphi, \omega, s, \theta_i, \varphi_i)$  where  $\theta$  and  $\varphi$  are the satellite pixel coordinates;  $\omega$  and  $s$  are the wind direction and speed for that pixel; and  $\theta_i$  and  $\varphi_i$  are the source coordinates. An unknown parameter ( $\alpha_i$ ) represents the total NO<sub>2</sub> mass emitted from the source  $i$ . The emission rate for source  $i$  can be expressed as  $E_i = \alpha_i / \tau$ , where  $\tau$  is a prescribed NO<sub>2</sub> lifetime (or, more accurately, decay time, but we use the term “lifetime” because it is more common). Note that  $\tau$  is different from the chemical lifetime (de Foy et al., 2015). Once the emission rate is established, it can be used to reconstruct how the distribution of NO<sub>2</sub> emitted by that source would be seen by a satellite, i.e. to estimate the industrial component in satellite data. We expressed emission rates in kilotonnes per year in this study to make it easier to compare with the rates available from emission inventories. However, all emission calculations here are done for a 3-month period (from 16 March to 15 June).

The plume functions  $\Omega$  are EMG functions that are commonly used to approximate plumes of VCDs of trace gases such as NO<sub>2</sub>, SO<sub>2</sub>, and ammonia (Beirle et al., 2011, 2014; Damers et al., 2019; Fioletov et al., 2017, 2015; de Foy et

al., 2015; Liu et al., 2016; McLinden et al., 2020). Similar in concept to a Gaussian plume function, they also take into account the finite physical size of the source and the spatial resolution of the satellite instrument being utilized. The lifetime  $\tau$  reflects the rate at which NO<sub>2</sub> is removed from the plume due to chemical conversion or physical removal such as deposition; it depends on several factors such as season and NO<sub>2</sub> concentration. It is about 2–6 h in summer and longer in winter (de Foy et al., 2014; Liu et al., 2016). Moreover, for some sources, the lifetime may change over time (Laughner and Cohen, 2019) as NO<sub>2</sub> concentration declines, although other studies suggest that such changes are minor (Stavrakou et al., 2020). Recent TROPOMI-based estimates show that a typical lifetime in urban areas is between 2 and 5 h in spring and autumn with shorter lifetimes at low latitudes (Lange et al., 2022). While the lifetime has a large impact on the emission estimates, relative changes are less sensitive to it. In addition to  $\tau$ , the shape of the EMG function depends on the prescribed plume width ( $w$ ), which depends on the size of the source and the size of satellite pixel. The value of  $w = 8$  km for plume width was used in this study for TROPOMI along with a constant value of  $\tau = 3.3$  h. These values are based on a sensitivity study where TROPOMI data over Canada and the US were fitted by plume functions with various combinations of  $w$  and  $\tau$ . The switch from 7 to 5.6 km along-track resolution in 2019 might have some impact on the optimal plume width, but the sensitivity analysis shows that small changes in  $w$  only have a minor impact on the results. We estimated that, for the urban component, on average, a 1 h deviation from the  $\tau$  value used (3.33 h) or a 2 km variation in  $w$  changes the differences between 2020 and 2018–2019 values only by about 1 %.

Unlike many previous studies (Beirle et al., 2011; Fioletov et al., 2016; Lange et al., 2022) where the background offset was presumed to be constant and estimated from, for example, upwind NO<sub>2</sub> data, we included a special term that is responsible for it. In Eq. (1), the  $\alpha_0 + (\beta_0 + \beta_1(\theta - \theta_0) + \beta_2(\varphi - \varphi_0)) \cdot \exp(-H/H_0)$  term is assumed to be declining exponentially with elevation; i.e. within the analysed  $3^\circ \times 4^\circ$  area, the higher the elevation is the lower the background tropospheric NO<sub>2</sub> VCD is. It was also assumed that this contribution from elevation depends on geographical coordinates only and not on the winds. Even in the absence of any sources, there could be some gradient in tropospheric NO<sub>2</sub> over the analysed area, as for example over some regions in northern Canada or along the east coast of the US (Fig. 1). To account for such gradients, the linear term  $\beta_1(\theta - \theta_0) + \beta_2(\varphi - \varphi_0)$ , where  $\theta_0$  and  $\varphi_0$  are the coordinates of the centre of the analysed area, was added. In other words, it was assumed that there is a linear gradient of background NO<sub>2</sub> within the analysed area and NO<sub>2</sub> VCD declines exponentially with height over elevated regions. Finally,  $\alpha_0$  was added to the model to account for remaining free-tropospheric NO<sub>2</sub> at high elevations where  $\exp(-H/H_0)$  is very close to 0. Its presence gives a better



agreement of the fitting results with the satellite data for areas with a high range of elevations. Since this term is part of the statistical model, all parameters  $\alpha_0$ ,  $\beta_0$ ,  $\beta_1$ , and  $\beta_2$  are estimated from the fitting. Once they are estimated, the term can be calculated for any place within the analysed  $3^\circ \times 4^\circ$  area that gives a “background” value for that location that depends on the coordinates and elevation only. For simplicity, we will refer to the term discussed in this paragraph as the background component.

Finally, the  $a_p\Omega_p$  term represents the emission contribution from factors related to urban activity. Such emissions can be estimated by establishing a regular grid and then estimating emissions for each grid point as was previously done for SO<sub>2</sub> (Fioletov et al., 2017; McLinden et al., 2020). If, for example, we use a  $0.2^\circ \times 0.2^\circ$  grid (i.e. 336 ( $16 \times 21$ ) grid cells) within the analysed  $3^\circ \times 4^\circ$  area, this would mean that we need to add 336 unknown coefficients to Eq. (1). It would make the coefficient estimates less robust and prevent us from estimating emissions from individual industrial point sources because their plume functions would be highly correlated with the plume functions of the neighbouring grid cells. Instead, we assumed that emissions from each grid cell are proportional to the cell population and the coefficient of proportionality is the same for the entire analysed  $3^\circ \times 4^\circ$  area. Thus, we just need to estimate one coefficient ( $\alpha_p$ ), which is proportional annual emissions per capita. This makes the statistical uncertainty of such a coefficient very small. In fact, for most analysed areas, the uncertainty was at least 10 times less than the coefficient itself. The composite plume function  $\Omega_p$  is a sum of plume functions of all individual cell centres multiplied by the grid cell population. Thus,  $\Omega_p$  depends on geographical coordinates, population density, and local winds. The original population density data were converted to a  $0.2^\circ \times 0.2^\circ$  grid by averaging population density data within each grid cell. Smaller grids such as  $0.1^\circ \times 0.1^\circ$  were also considered, but it was found that the reduction of the grid size does not change the results, while it increases the computation time.

The downside of this approach is that the estimates would produce mean emissions per capita for a rather large area. This may not be very representative if there are cities with different economical conditions within the analysed area as, for example, at the border of North and South Korea. Such cases are easily identifiable from the maps of the fitting residuals: such cities would appear as areas of large positive and negative anomalies. We did find several such cases and manually adjusted the area to include only one highly populated area.

The proxy plume functions used in the model preferably should be uncorrelated because otherwise the coefficients have correlated errors making their interpretation difficult. For a typical urban area, the plume functions related to urban activity and to industrial sources are expected to be independent: high population density zones typically occupy a small part of the area and industrial sources are typically

located away from such highly populated zones. Note that the NO<sub>2</sub> lifetime is relatively short and the median wind speed in, for example, the eastern US is about  $10 \text{ km h}^{-1}$ , so sources located 30–40 km apart typically have uncorrelated plume functions.

A high correlation between the population and landscape-related proxies is possible if a city is in a valley surrounded by mountains. The correlation could be reduced by increasing the size of the analysed area, but if the area is too large, the assumption that the background level has a linear gradient in the area may not be valid. Therefore, we limited the area to  $3^\circ \times 4^\circ$ . The correlation coefficients between the site elevation and population density for  $3^\circ \times 4^\circ$  areas are typically small. For example, in the US, correlations are positive over Florida (about 0.2), with the population density higher in the inland area, and negative in the Portland–Seattle–Vancouver area (about  $-0.35$ ), where it is higher near the ocean and lower in the mountains. As the plume functions of individual industrial sources are very local ( $\sim 50 \text{ km}$  footprint), they do not correlate with the elevation. With such low correlation coefficients, elevation does not affect estimates of other parameters of the regression model.

When industrial point sources are located in close proximity, their plume functions in the statistical model (Eq. 1) are highly correlated. In practice, it often appears if, for example, estimated emissions from one source are unrealistically high, while emissions from the other nearby source are low or even negative. In such cases, emissions from individual industrial sources often cannot be estimated. However, the sources can be grouped into independent clusters and total emissions from such clusters can be estimated. Such grouping could be done manually on a case-by-case basis, but it would be subjective and very time consuming. Instead, we applied an algorithm based on factor analysis. We would like to emphasize that the factor analysis, described in the next two paragraphs, was used to improve emission estimation for individual sources or clusters of sources. It is not required if only total emissions from all point sources in the area are estimated in order to separate them from urban emissions or if all industrial sources are isolated remote sources.

To group industrial sources into clusters, an orthogonalization process was applied to the plume functions of individual industrial sources. First, the correlation matrix for the plume functions of individual point sources ( $\Omega_i$ ) was calculated and eigenvalues and eigenvectors (or “factors”) of the correlation matrix were determined. The correlation matrix was calculated just once using 16 March–15 June data from all 3 years. An isolated remote source would appear as an eigenvector with an eigenvalue of 1. Two (or more) sources that are closely located, but isolated from the other sources, have one corresponding eigenvector and an eigenvalue of 2 (or more). Eigenvalues lower than 1 mean that the corresponding sources are already partially included in other eigenvectors. To reduce the number of factors, only factors with eigenvalues  $> 0.6$  were kept.



The approach based on eigenvalues of the correlation matrix creates proxies that are not correlated and reduces the number of the fitting coefficients. While they correctly describe the total contribution of all industrial sources in the area in the total NO<sub>2</sub> variability (or total emissions), individual eigenvectors, i.e. linear combinations of the original plume functions, may not have a clear interpretation. For example, they may include the original plume functions with negative coefficients. In order to avoid that and obtain proxies that have a meaningful interpretation, the eigenvectors were linearly transformed, so they became as close to the original plume functions as possible, while the correlation coefficients between them remained low. This was done using the varimax factor analysis method that is implemented in modern statistical software packages such as R and SAS (Belhekar, 2013). It orthogonally rotates the established factors to maximize the sum of squared correlations between the original variables and factors. Then, the algorithm uses a linear combination of the original variables that have the highest correlations with the rotated factors, i.e., the condition of orthogonality is removed in order to find the simplest linear combination of the original variables. In practice, the algorithm produces a set of “clusters”, i.e. linear combinations of the original plume functions that have low correlation coefficients (typically less than 0.2) between them, and each cluster has high correlation coefficient (typically more than 0.95) with one orthogonal factor. To simplify this further, if a linear combination has a weight for an original variable under 0.2, its weight was set to 0. As a result, all non-isolated point sources were grouped into small clusters, and emission estimates were done for such clusters instead of individual sources, while each isolated remote source forms a single-source cluster that corresponds to only that source. It is possible that a single source contributes to more than one cluster, which makes interpretation of emissions for such clusters more difficult, but such cases are rare.

As in any regression-analysis-based study, correlation between the proxies is one of the main obstacles in the result interpretation. The “orthogonalization” of plume functions from industrial sources greatly reduces cross-correlations between the proxies, but high correlations between industrial and population-density-related plume functions are still possible if industrial sources are located in highly populated areas. In such cases, it may be difficult to separate the industrial source signal from the contribution of the population-density-related proxy. For example, in one case (Edmonton, Canada) this correlation coefficient was as high as 0.94 and it was not possible to separate urban and industrial emissions. Without such separation, industrial emissions are counted as population-density-related, which makes Edmonton annual per capita emissions nearly twice as large as emissions for other cities. Note that for large cities and small industrial sources, high correlation means that the emissions from such industrial sources cannot be reliably estimated, although the impact on estimation of the population-density-related sig-

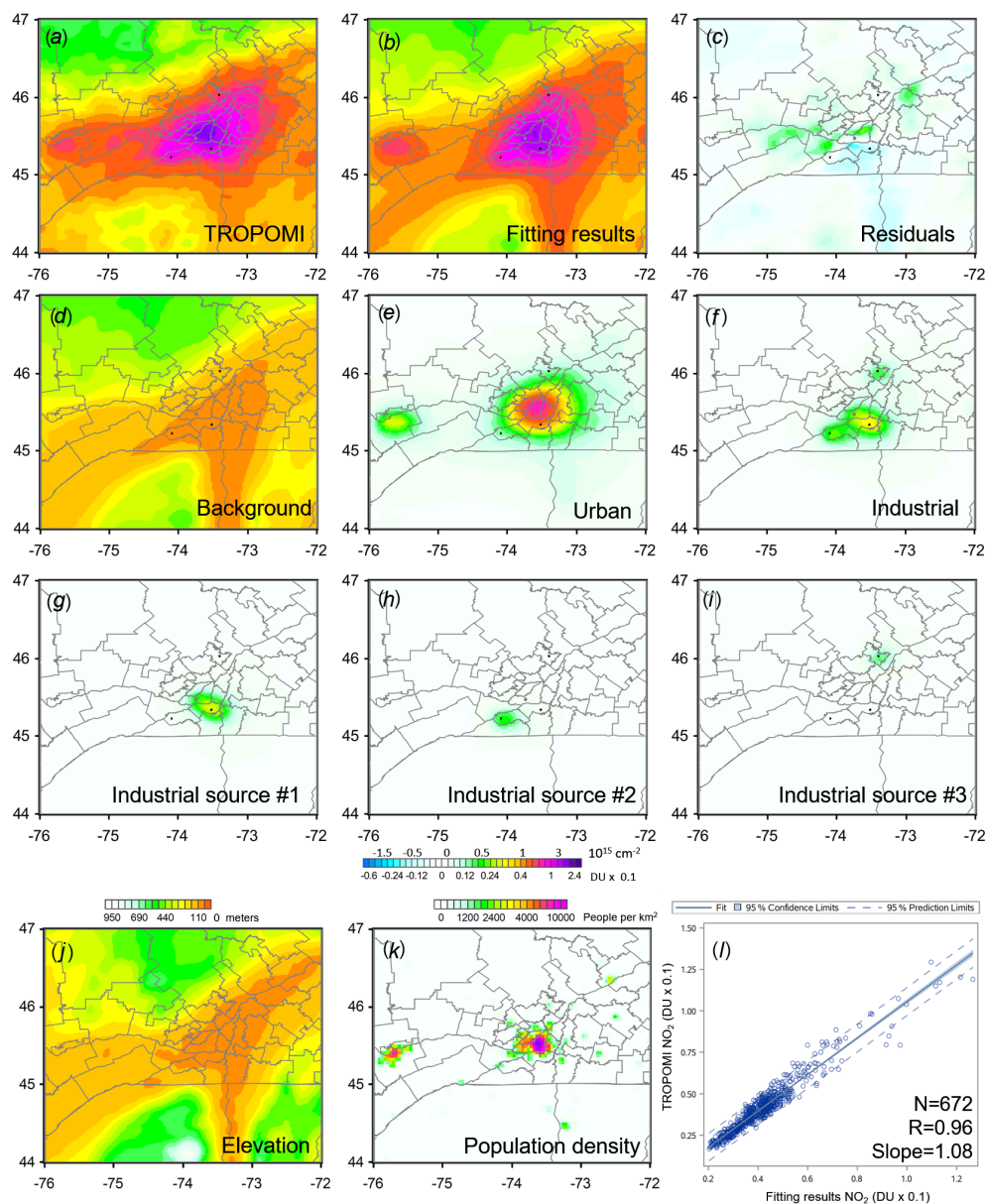
nal is small. For this reason, industrial point sources located in the  $0.2^\circ \times 0.2^\circ$  cells where the population is greater than 600 000 people were excluded. This is an empirically estimated limit, and, in a few cases of very large cities (New York, Moscow), it was manually adjusted.

The fitting and parameter estimation was done using all individual TROPOMI level-2 pixels for the period from 16 March to 15 June four times: for 2018, 2019, 2020, and 2021. So, four sets of coefficients (one set per year) were obtained and then used to estimate the background levels and emissions. Then, the results for 2018 and 2019 were compared with these for 2020. We also performed the same analysis for 2021, but these results were only used to analyse interannual variability because COVID-19 lockdowns may still have some impact on NO<sub>2</sub> in 2021.

As the regression model has three main terms (background, urban, and industrial), the NO<sub>2</sub> VCD for each TROPOMI pixel is represented in Eq. (1) as a sum of three values (components) plus a residual error. Then the values of individual components and residuals can be analysed the same way as the original TROPOMI measurements; e.g. mean values over a certain period (in our case, 16 March–15 June) can be calculated as a function of latitude and longitude.

This is illustrated in Fig. 3, where individual terms of Eq. (1) are shown for an area centred on Montreal. The area includes two large cities, Montreal (4.2 million) and Ottawa (1.4 million, including the sister city of Gatineau). The terrain elevations in the analysed area are in the range from just a few metres above sea level along the Saint Lawrence River to more than 500 m at 100 km north of Montreal. For this plot (as well as for Fig. 1 and other figures), we used a non-linear scale that is more sensitive to small quantities in order to make small deviations more pronounced. Figure 3a–c shows the mean TROPOMI NO<sub>2</sub> data (Fig. 3a), the fitting results (Fig. 3b), and the difference between them or the residuals (Fig. 3c). The background, urban, and industrial components are shown in Fig. 3d, e, and f respectively.

The contribution of industrial point sources ( $\sum \alpha_i \Omega_i$ ) is illustrated by Fig. 3g–i. In the case of Montreal, total emissions from industrial sources are relatively small, less than  $1.8 \text{ kt yr}^{-1}$  from our estimates. Note that unlike the previous algorithm (Fioletov et al., 2017), where  $\Omega_i$  represented plume functions from individual sources, this new  $\Omega_i$  represents plume functions of clusters of closely located individual sources determined by factor analysis. The estimated parameter  $\alpha_i$  represents total NO<sub>2</sub> mass of the entire cluster, while  $\Omega_i$  is a weighted sum of plume functions of individual sources in the cluster. The weighting coefficients are determined by the varimax technique, described above. In the case of Fig. 3, the first cluster is comprised of two sources and the second and third clusters are each just single point sources. The estimated parameter  $\alpha_i$  represents emissions from the entire cluster, required that  $\alpha_i \geq 0$ .



**Figure 3.** (a) Mean TROPOMI NO<sub>2</sub> for 16 March–15 June, 2018–2019, over the Montreal area, (b) the fitting results and (c) the residuals (i.e. the difference between a and b). Tropospheric NO<sub>2</sub> VCDs have a large “background” level that is reflected by (d) the elevation-related component. (e) The population-density-related and (f) industrial-source-related components. Panel (b) is the sum of panels (d), (e), and (f). Emission point sources are shown by the black dots and the airport by the slightly larger grey dot. The industrial-source-related component is comprised of three clusters: one (g) with two sources and two (h, i) with one source each. The data are smoothed by the oversampling technique with the averaging radius  $R = 10$  km. Proxies used by the statistical model (Eq. 1): (j) elevation map on the colour scale that is similar to that for the elevation-related (background) component; (k) population density map. (l) Mean TROPOMI values (a) vs. the fitting results (b). Each dot represents the mean value for a cell on a  $0.2 \times 0.2$  grid for 2018 or 2019. The number of data points ( $N$ ), the correlation coefficient ( $R$ ), and the slope are also shown.

The background and urban component maps have a simple interpretation. Figure 3j and k show maps of the elevation and population density respectively. Not surprisingly, the background component, which is dominated by scaled elevation, looks similar to the elevation map itself. The urban com-

ponent is the population density map convoluted with EMG functions, and therefore it looks like a smoothed population density map.

The suggested algorithm essentially finds the emission levels that give the best agreement with the TROPOMI data

NO<sub>2</sub> VCD and then uses these estimates to “reconstruct” the spatial NO<sub>2</sub> distribution as well as the contribution from each source. As explained by Fioletov et al. (2017), the technique of satellite VCD reconstruction from fitted coefficients  $\alpha_i$  using Eq. (1) to isolate different components can be applied to the reported emissions  $E_i$  by using  $\alpha_i = E_i \cdot \tau$ . This produces a map of VCD that would be seen by satellites if these reported emissions are the only sources of NO<sub>2</sub>. The same approach was employed here using US emission inventories. For such estimates, the ratio between NO<sub>x</sub> and NO<sub>2</sub> is required. Beirle et al. (2021, their Fig. 2) have recently estimated the NO<sub>x</sub>-to-NO<sub>2</sub> ratio for different parts of the world and found that the ratio is about 1.4 over the US and typically between 1.2 and 1.6 elsewhere. The value of 1.4 was used in this study.

The quality of a regression model (Eq. 1) can be described in terms of the correlation coefficient between the original and predicted values. In the case of Montreal, the correlation coefficient is about 0.55; i.e., a set of about half a million original TROPOMI observations over the 3° × 4° area during a 3-month period can be described by just eight parameters ( $\alpha_p$ ,  $\alpha_0$ ,  $\beta_0$ ,  $\beta_1$ , and  $\beta_2$  plus three coefficients  $\alpha_i$  for industrial sources) with that correlation coefficient. The model can be further improved by adding parameters responsible for workday–weekend differences, seasonal changes, and meteorological proxies (Goldberg et al., 2021; Kim et al., 2021). However, we focused on the mean NO<sub>2</sub> changes over a 3-month period, and they can be successfully estimated without such additional parameters. Figure 3 shows that the fitting results are able to reproduce such mean data accurately: in the case of Montreal, the coefficient of determination ( $R^2$ ), i.e. the ratio of the variance of the residuals (Fig. 3c) to the variance of the averaged TROPOMI data (Fig. 3a), is between 0.9 (in 2019) to 0.93 (in 2020) meaning that fitting results “explain” from 90 % to 93 % of the observed variance. The Pearson correlation coefficient between the mean TROPOMI data and the fitting results is about 0.96 (Fig. 3l).

The necessity of both linear gradient- and elevation-related components in the background term in Eq. (1) is illustrated by Fig. 4. If the surface is nearly flat in the analysed area (as, for example, in the case of Minneapolis, Fig. 4g), the background component is dominated by the linear gradient. However, the elevation affects the NO<sub>2</sub> distribution near mountain areas as, for example, in the case of Seattle, where mountains as high as 2000 m are located east of the city (Fig. 4i). It is interesting to note that the background components are practically identical for both periods, which gives a high confidence in the obtained results. The influence of the landscape on the NO<sub>2</sub> distribution also explains why the distribution near Seattle does not look like a “hotspot” NO<sub>2</sub> distribution near a typical large urban area. As Fig. 4 shows, the statistical model can successfully reproduce the NO<sub>2</sub> VCD distribution in both areas. The Pearson correlation coefficient between the 3-month mean TROPOMI data and the fitting results for Minneapolis and Seattle are 0.96 and 0.94 respectively.

Since the fitting results are based on just a handful of parameters, the approach of this study is to investigate changes in these parameters or the three regression terms themselves between 2020 and 2018–2019.

## 4 NO<sub>2</sub> VCD estimation results for urban areas

To test the method, the described technique was applied to the 22 largest urban areas in the US and 5 in Canada (Fig. 5). Four examples with detailed analysis of the components of the NO<sub>2</sub> distribution are discussed below with results shown in Fig. 6. Eight types of maps are shown. They include mean values for the analysed period for the actual TROPOMI data (column a), the fitting results (b), the residuals (c), i.e. (a) minus (b), and individual components of the fitting: the background (d) and urban (e) components and the industrial source clusters (f). Figure 6 is divided into four sections with the area name shown at the top of each cluster of plots.

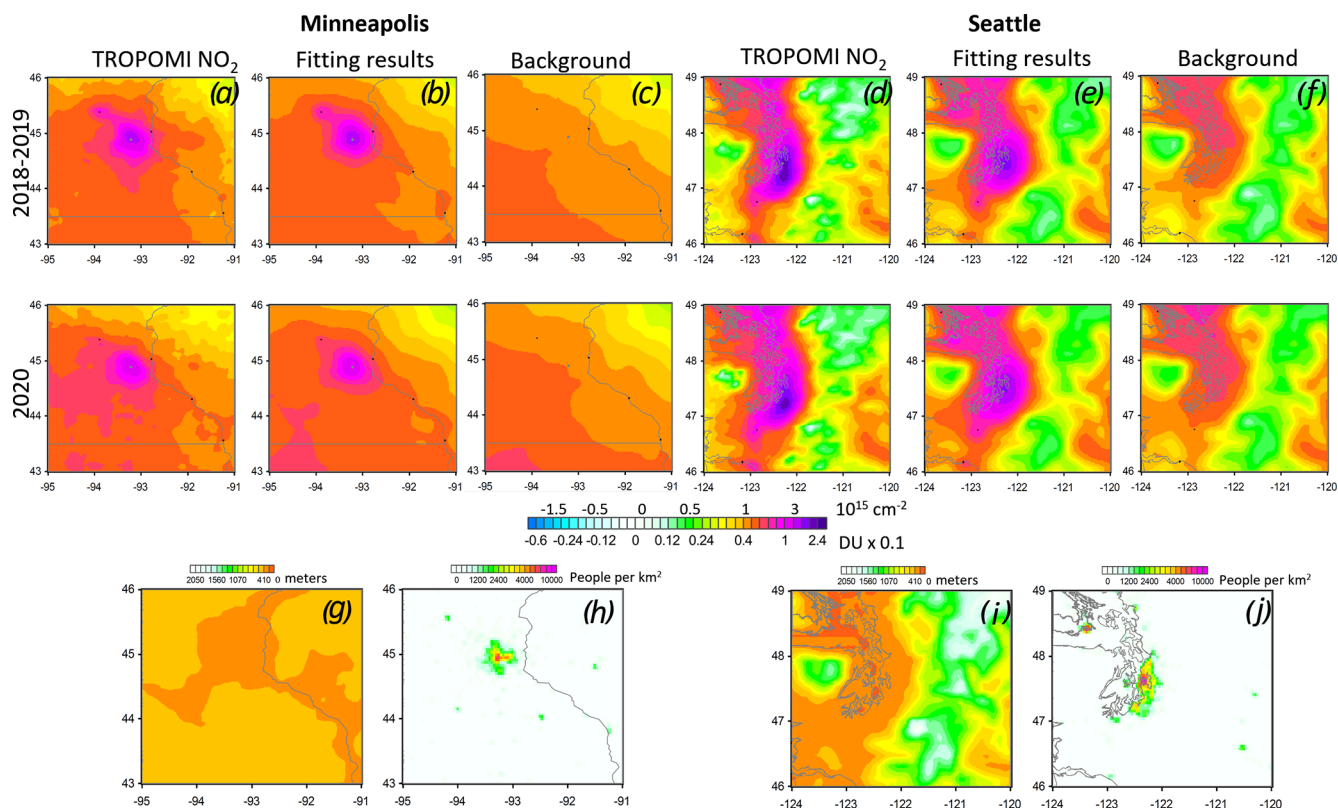
As mentioned in Sect. 3, reported emissions can be used to reconstruct VCD distribution for NO<sub>2</sub> emitted from these sources using Eq. (1). The maps of NO<sub>2</sub> VCDs from the reported bottom–up emissions is shown in Fig. 6 (column g). We would like to emphasize that such a reconstruction is based on industrial emission data only, without any satellite NO<sub>2</sub> observations (although  $\tau$  and  $w$  in the plume functions were the same as in the satellite-based estimates). Finally, the maps of the difference between the TROPOMI industrial-source-related component (Fig. 6, column f) and NO<sub>2</sub> VCD from the reported “bottom–up” emission-based reconstruction (Fig. 6, column g) is also shown in Fig. 6 (column h).

### 4.1 Case studies

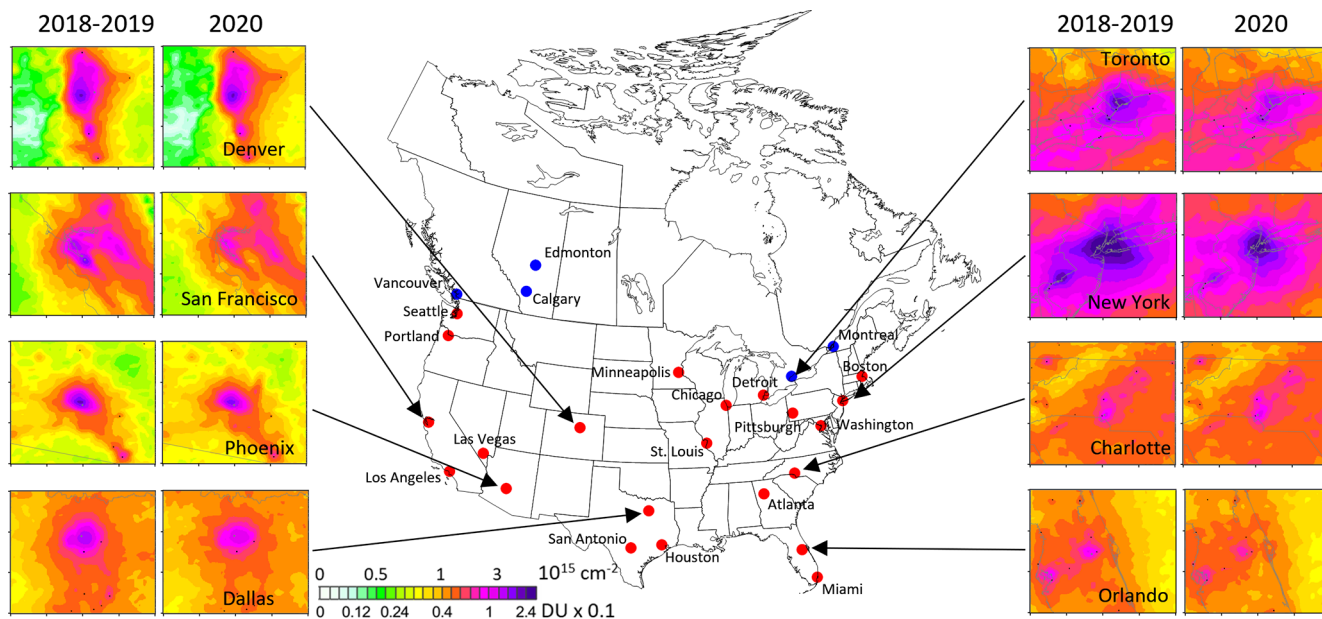
Four examples that represent different cases of NO<sub>2</sub> distributions around large urban areas are discussed below. In the case of Boston, there is a single urban source with no large industrial sources nearby and with relatively small impact from the terrain. The Atlanta area represents the case where the urban component is well-separated from industrial sources and the area also contains the world’s largest airport. In the Pittsburgh area, industrial and urban sources have comparable contributions, and the TROPOMI-based industrial emission estimates can be validated by EPA NEI CEMS measured emissions. Multiple industrial sources in the Houston area are missing from the EPA NEI CEMS emission database used, and in this example emissions from the EPA eGRID database can be compared with TROPOMI-based estimates.

Boston is a major urban area with a population of more than 8 million (for the combined statistical area of Greater Boston). On the TROPOMI NO<sub>2</sub> map (Fig. 6, column a), it appears as a large hotspot that can be successfully reproduced by the statistical model (Eq. 1) using the population density as a proxy. From our estimates, there is a  $-24 \pm 2$  % decline (the error bars correspond to  $2\sigma$  for random uncertainty; see Sect. 4.3) in the urban emissions in 2020 com-



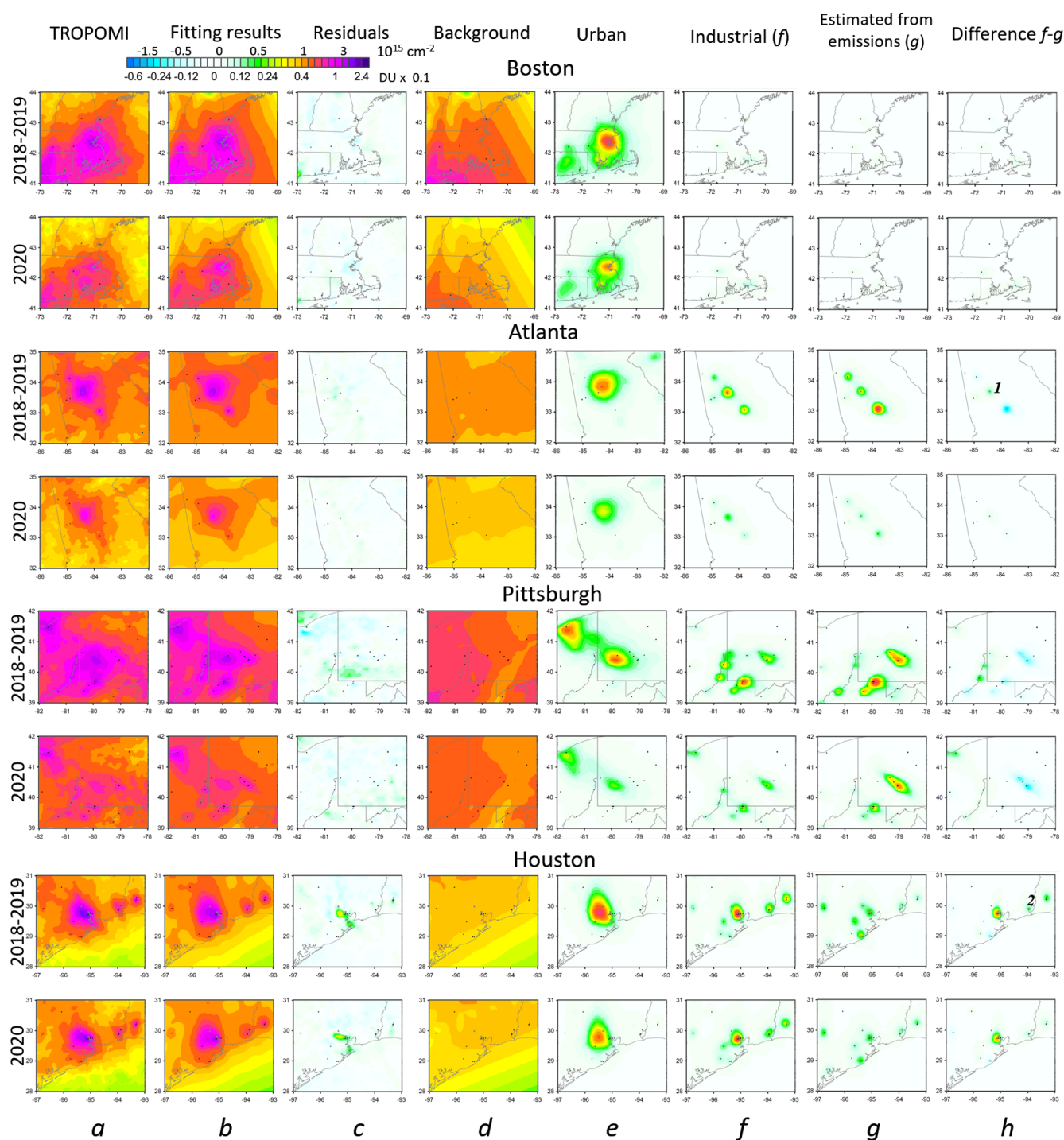


**Figure 4.** Mean TROPOMI NO<sub>2</sub> for 16 March–15 June over a flat area around Minneapolis and a mountain area around Seattle as indicated. The columns represent mean TROPOMI NO<sub>2</sub> values (columns **a**, **d**), the fitting results (columns **b**, **e**), and the elevation-related background component (columns **c**, **f**). Elevation map on the colour scale that is similar to the background component (**g**, **i**) and the population density maps (**h**, **j**). The “hotspots” on the population density maps correspond to Minneapolis (**h**) and Seattle (**j**).



**Figure 5.** The map of locations of the analysed 27 most populated urban cities in (red) the US and (blue) Canada (22 and 5 areas respectively). The analysis was done for 3° (latitude) by 4° (longitude) areas around the sites. The mean NO<sub>2</sub> values for eight areas for the period from 16 March to 15 June in 2018–2019 and 2020 are also shown.





**Figure 6.** Mean TROPOMI NO<sub>2</sub> for 16 March–15 June over the four areas as indicated. For each area, the first row shows the 2018–2019 averages and the second row shown the 2020 averages. The columns represent mean TROPOMI NO<sub>2</sub> VCD values (column a), the fitting results (column b), the residuals (column c) as well as individual components of the fitting: the background (elevation-related) (column d), the urban (population-density-related) (column e), and the industrial-source-related (column f) components. VCDs estimated from reported emissions are in column (g), and the difference between columns (f) and (g) is in column (h). 1 – Hartsfield–Jackson Atlanta International Airport; 2 – oil refineries near Houston.

pared to the 2018–2019 average. Our estimates of urban emission changes in 2020 are similar to the  $-22.8\%$  drop in TROPOMI NO<sub>2</sub> values estimated by Goldberg et al. (2020) for the period from 15 March to 30 April and a  $-18.3\%$  drop estimated by Bar et al. (2021) for the period from 22 March to 30 May. Boston also shows one of the largest declines in the US in the background component (about  $20 \pm 0.5\%$ ). Although the background component is not linked to particular plumes, it is likely that very high emissions from the largest NO<sub>2</sub> hotspot in the US over the New York–Philadelphia area contributed to the background NO<sub>2</sub> over Boston, and a decline in emissions there caused changes in the background NO<sub>2</sub> over Boston.

Our estimate of the urban emission decline for Atlanta is about  $-35 \pm 2\%$ . This is higher than the  $-20\%$  decline estimated by Goldberg et al. (2020). However, changes in the background component were about  $-13 \pm 0.4\%$ , while the background (Fig. 6, column d) component over Atlanta is comparable to the urban (Fig. 6, column e) component (both are about 0.04 DU), so the decline in the sum of the two components over Atlanta should be about  $-23\%$ . The urban component estimates are based on the fitting of the plume from the city itself where NO<sub>2</sub> is dominated by on-road vehicle emissions. Kondragunta et al. (2021) estimated that the decline in on-road emissions is about  $-28\%$ , which is closer to our estimate. The Atlanta area also hosts the Hartsfield–Jackson Atlanta International Airport (labelled as “1” in Fig. 6, column h), the world’s busiest airport with more than 100 million passengers per year in 2018–2019 (<https://aci.aero/data-centre/annual-traffic-data/passengers/2017-passenger-summary-annual-traffic-data/>, last access: 5 August 2021). The Atlanta airport NO<sub>2</sub> signal can be easily isolated since the airport is located far away from industrial sources (the correlation coefficients between the plume functions are less than 0.2) and at a distance from Atlanta’s most populated area (the correlation coefficient is 0.54). VCDs estimated for the industrial source clusters (column f in Fig. 6) are in line with those based on reported emissions (column g in Fig. 6). Our estimated annual emissions for the airport are  $5.1 \pm 0.2$ ,  $6.4 \pm 0.2$ , and  $2.9 \pm 0.2$  kt yr<sup>-1</sup> in 2018, 2019, and 2020 respectively, while the EPA emission inventory value is 3.7 kt yr<sup>-1</sup> for 2017 (the last available year). Thus, our estimates show a 55 % decline in airport emissions between 2019 and 2020. The decline in aircraft operations for the analysed period was about 75 % for passenger flights and 25 % for cargo operations (according to the Department of Aviation, Hartsfield–Jackson Atlanta International Airport, <https://www.atl.com/business-information/statistics/>, last access: 15 November 2021). For illustration purposes only, for Hartsfield–Jackson Atlanta International Airport, the 2017 EPA emission inventory value was used to calculate NO<sub>2</sub> VCD in column g of Fig. 6 for 2018 and 2019 and half that value for 2020.

The Pittsburgh area includes the cities Pittsburgh (population of  $\sim 2.4$  million) and Cleveland ( $\sim 3.6$  million) and has

one of the highest emissions from industrial sources among the analysed areas. Several coal-burning power plants are located east, west, and south of the city. Their emissions are comparable or even larger than from Pittsburgh itself. The NO<sub>2</sub> distribution around major industrial sources reconstructed from the reported emissions (Fig. 6, column g) is similar to the NO<sub>2</sub> distribution from industrial sources based on satellite estimates (Fig. 6, column f). The differences (column f minus column g) are small, although NO<sub>2</sub> from the reported emissions is slightly larger for the cluster of power plants east of the city. The total reported emissions from all industrial sources in the Pittsburgh area are 43, 37, and 26 kt yr<sup>-1</sup> for 2018, 2019, and 2020 respectively, while our estimates are 36, 34, and 24 kt yr<sup>-1</sup> (with  $2\sigma$  uncertainty of about 1.5 kt yr<sup>-1</sup>); i.e. the 2020 decline from our estimates is 35 %, while the decline in reported emissions is 31 %. The urban emissions declined from about  $72 \pm 2.3$  kt yr<sup>-1</sup> in 2018 and 2019 to  $36 \pm 1.2$  kt yr<sup>-1</sup> in 2020, i.e. by  $-50\%$ .

In the case of Houston, the EPA NEI CEMS emission inventory contains emissions from the power plants in the area but not from large oil refineries that are responsible for hotspots seen on the TROPOMI mean NO<sub>2</sub> plot. Their coordinates and emission estimates were obtained from the eGRID inventory. The reported industrial emission values for the analysed Houston area in 2018–2019 are 17 kt yr<sup>-1</sup>, while our estimates are 36 and 31 kt yr<sup>-1</sup> for 2018 and 2019 respectively, and the estimated value for 2020 is 33 kt yr<sup>-1</sup>. It appears that TROPOMI-based emission estimates agree with emissions from the power plants from CEMS but are noticeably larger than emissions from oil refineries available from the eGRID inventory (Fig. 6, column h). Our estimated changes in background and urban components for the Houston area are  $-2.3 \pm 0.4\%$  and  $-18 \pm 1.6\%$  respectively; i.e. we see a decline in the urban component and practically no changes in the two other components. Goldberg et al. (2020) estimated the decline over Houston as being  $-15.6\%$ , although the spread between the three methods of estimation used is large: from  $-26.3\%$  to  $-1.9\%$ . Note that the lockdown period in Houston was relatively short: from 2 April to 30 April.

#### 4.2 Relative contribution of different components

NO<sub>2</sub> VCD represents the total number of molecules and equivalently mass per area unit. When background, urban, and industrial components of the NO<sub>2</sub> distribution are estimated as described in Sect. 3, it is possible to calculate the total NO<sub>2</sub> mass of each of the components and estimate their relative contribution to the total NO<sub>2</sub> mass. The diagram in Fig. 7 shows such a contribution of individual components for the Montreal area (Fig. 3d, e, f). Most NO<sub>2</sub> mass is associated with the term related to the background component. For the Montreal area, the contribution of industrial sources is 4 times less than the contribution of the urban component, and these two components are responsible for less than one-

quarter of the total NO<sub>2</sub> mass in the area. The relative contribution of the three components in the other areas for the 2018–2019 period are shown in Fig. S1 in the Supplement. Most NO<sub>2</sub> mass belongs to the background component that is not directly linked to plumes from urban and industrial sources. These plumes are responsible for about one-third of total satellite-estimated NO<sub>2</sub> mass in New York and Los Angeles and far less in the other analysed  $3^{\circ} \times 4^{\circ}$  urban areas in the US and Canada. This result depends on a particular size of the area, but the fraction of the background component is larger for larger areas as all major urban areas are already included in the analysis. Figure S1 also shows that NO<sub>2</sub> mass emitted from cities is larger than emissions from the industrial sources for most of the analysed areas in the US and Canada. Note that characteristics such as the mean background value and annual emissions per capita are much less dependent on the area size, and the rest of the study is focused on them.

The mean NO<sub>2</sub> distribution near major emission sources has sharp gradients that suggest that the NO<sub>2</sub> lifetime is relatively short (on the order of a few hours), which is also confirmed by direct estimates (Beirle et al., 2011; de Foy et al., 2015). However, a large background component may suggest that the lifetime should be relatively long since NO<sub>2</sub> distribution follows the terrain over large areas. This difference in the lifetime could be reconciled if we assume that a fraction of NO<sub>2</sub> emitted from cities and industrial sources gets into the free troposphere and has a longer lifetime there than near the ground. Also, levels of the OH radical, the main chemical NO<sub>x</sub> sink, within a plume can be much larger than under “clean” conditions, and NO<sub>2</sub> lifetime could be longer under such conditions than in the plume (Juncosa Calahorrano et al., 2021). Other sources, e.g. lightning or soil emissions, may contribute to background component NO<sub>2</sub> directly. The background term can also include components of stratospheric NO<sub>2</sub> that were imperfectly removed as part of the retrieval algorithm (von Geffen et al., 2020). Finally, estimates of NO<sub>2</sub> lifetime from TROPOMI data (e.g. de Foy et al., 2015; Liu et al., 2016; Lange et al., 2022) are based on daytime observations only. However, the lifetime at night could be different (Kenagy et al., 2018), and nighttime emissions and NO<sub>x</sub> evolution during the nights are not reflected in our estimates.

#### 4.3 Variability and uncertainty estimates

Two characteristics of uncertainties of the estimated NO<sub>2</sub> components are calculated, and the results are presented in Table 1. Uncertainties related to the random measurement errors can be estimated assuming that the residuals  $\varepsilon$  in Eq. (1) are uncorrelated and have the same variance. Since the total number of satellite pixels in the statistical model is very large (several hundred thousand) and the number of parameters is small, such uncertainties are typically low. These uncertainties are calculated for the three components in each analysed

year, and the average value for each area (in percent) is given in Table 1 as “random error”. On average, these random errors are about 0.25 %, 1 %, and 3 % for the baseline, urban, and industrial components respectively. The random uncertainty represents how precisely the component value is calculated and provides the lowest limit of the total uncertainty.

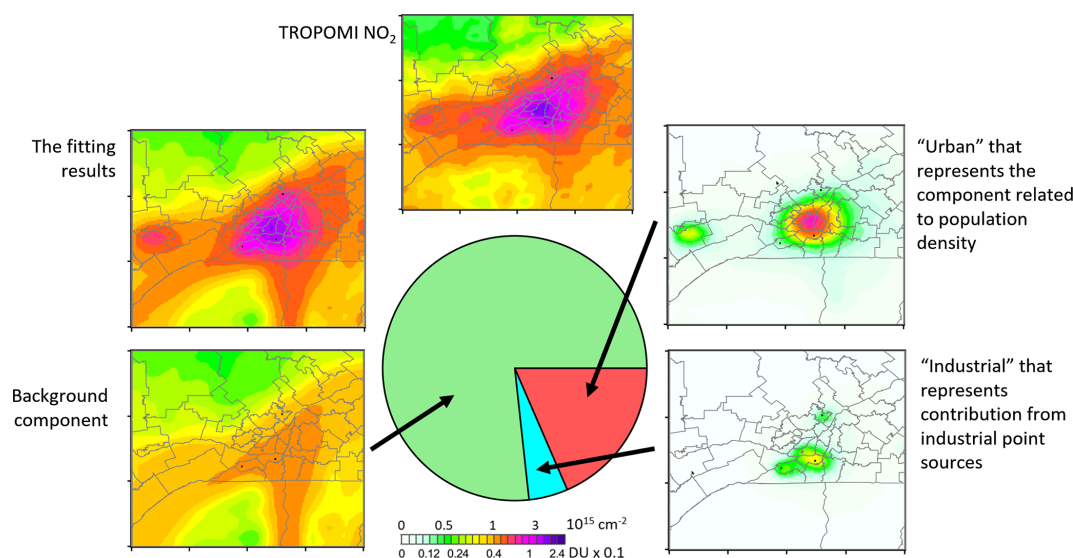
Interannual variability is another characteristic that reflects uncertainties related to the contributions from meteorology, possible instrument or algorithm-related issues, differences in sampling due to variations in cloud cover, and perhaps other factors. It is also affected by the changes in emissions themselves. Internal variability can be estimated by comparing the components, estimated for different years. The 2020 data are not used in this estimate since they were greatly affected by the lockdowns. Instead, we added estimates for 2021 and calculated the standard deviations from the three values (Table 1). Although estimates from just three data points are not very reliable, they show similar results for most of the analysed areas, and their average can be used as a characteristic of the interannual variability. The average standard deviation of the interannual variability for the background component is only 7.5 %. The interannual variabilities for the urban and industrial components are 10 % and 18 % respectively. The interannual variability represents the upper limit of the total uncertainty.

The uncertainty of the percentage change between 2018–2019 and 2020 values is a combination of the uncertainty of the baseline, estimated from just 2 years, and the uncertainty of the 2020 value. This gives the following values for 2- $\sigma$  confidence limits for the percent changes: 18 %, 24 %, and 44 % for the baseline, urban, and industrial components respectively.

#### 4.4 The COVID-19 lockdown impact: the US and Canada

The ability of the method to isolate individual components of the satellite-measured total NO<sub>2</sub> mass makes it possible to estimate the impact of the COVID-19-related lockdown on these components separately. As mentioned, we compared the averages for the period from 16 March to 15 June in 2018 and 2019 to the same period averages for 2020.

To illustrate the changes in the background component, Fig. 8a–b show the mean VCD values of that component shown in Fig. 6, column d (or, in other words, the mean value of  $\alpha_0 + (\beta_0 + \beta_1(\theta - \theta_0) + \beta_2(\varphi - \varphi_0)) \cdot \exp(-H/H_0)$ ) for the analysed areas for the two time intervals (a, c, e) as well as the percentage change in 2020 vs. 2018–2019 values (b, d, f). The mean value of decline for the background components among all urban areas is  $-6.5 \pm 3.0$  %. As mentioned, the largest decline in the background component was observed in Boston. The decline was also large (about  $-20$  %) over two areas (Edmonton and Calgary) in the Canadian province of Alberta. It is unlikely that this decline is related to the lockdown; the restrictions in Alberta were



**Figure 7.** The contribution of the three components to the total NO<sub>2</sub> mass in the Montreal area for 16 March–15 June (average for 2018–2019). The total mass can be represented as a sum of three components shown in Fig. 2.

not as tight as in many other areas: only some non-essential services were closed on 27 March and the restriction started to be eased in May (<https://edmonton.citynews.ca/2020/12/24/2020-look-back-albertas-pandemic-response/>, last access: 20 November 2021). In 2020, Alberta had a “historically low” level of forest fires: by June 2020, fires had burned just about 450 ha of forest, compared to, for example, 650 000 ha by June 2019 (<https://globalnews.ca/news/7396849/alberta-2020-slow-wildfire-season/>, last access: 10 November 2021), and therefore likely lower than normal natural NO<sub>2</sub> emissions resulted in lower background levels.

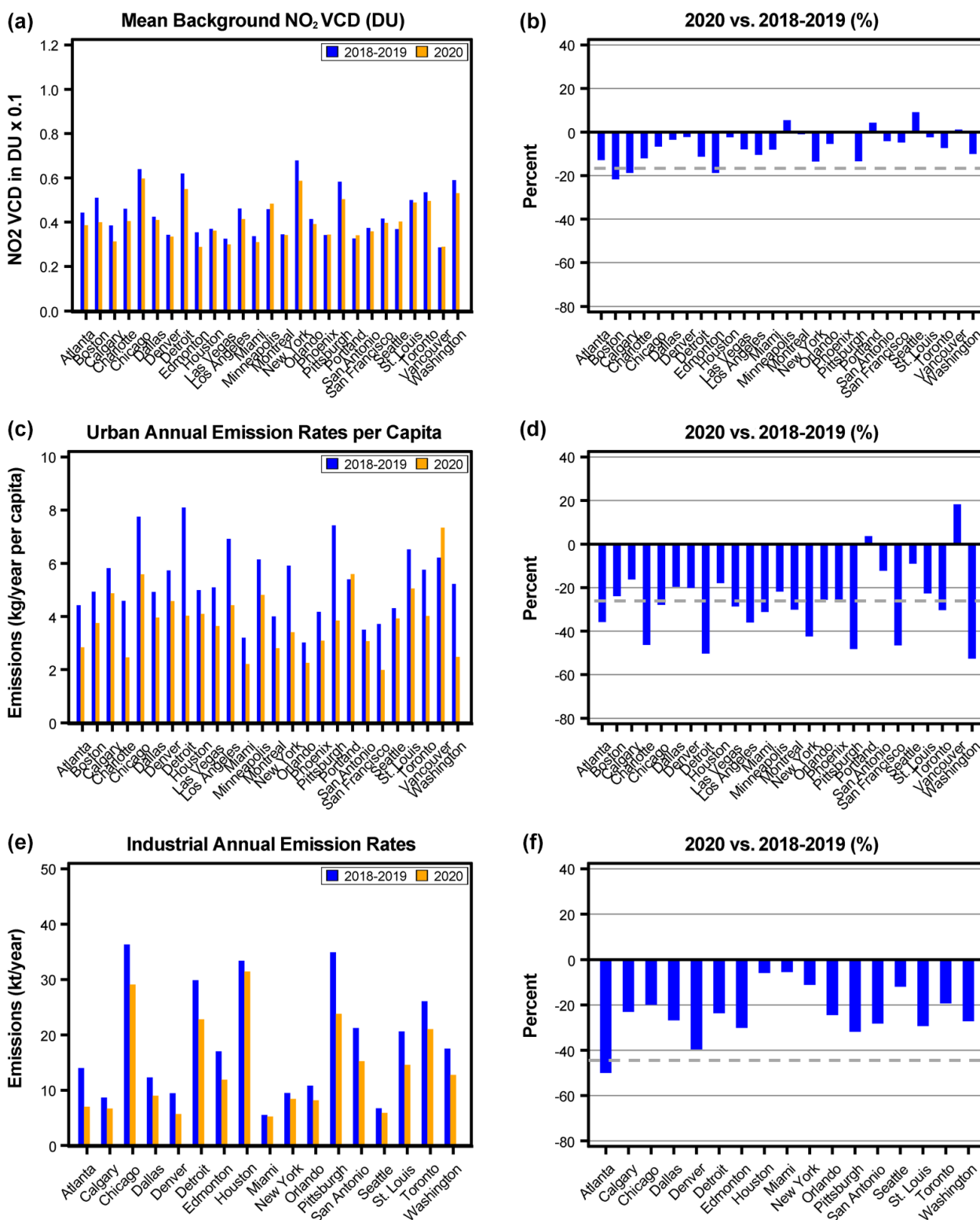
The changes in the urban component are shown in Fig. 8c, d expressed as annual NO<sub>2</sub> emissions per capita. Recall that emission rate is the mass divided by the constant lifetime; therefore, the percent changes in emissions per capita and the changes in total mass are identical. The relative changes for the urban component (Fig. 8d) are typically larger than those for the background component (Fig. 8b). The average total emissions per capita declined by  $-28\%$  in 2020 compared to the 2018–2019 average (from 5.6 to 4.2 kg yr<sup>-1</sup>). The median value of decline among all urban areas is  $-26\%$ , and the mean value of percentage decline is  $-27 \pm 6.2\%$ . The changes in emissions per capita are rather uniform except in Vancouver, where 2020 emissions are 15 % larger than the average 2018–2019 emissions. This Vancouver anomaly is within the  $2\sigma$  limits of natural variability as discussed in Sect. 4.3 (Table 1) and may be related to unusual meteorology and persistent cloud cover there in 2020. Edmonton is excluded from this panel because two industrial sources are located in the city itself, and, therefore, it is hard to separate their emissions from the urban emissions.

The number of large industrial sources and their emission strengths vary from area to area. Some areas, e.g. Las Vegas, do not have such emission sources at all. The total emissions from all large industrial emission sources and the percentage change in emissions are shown in Fig. 8e, f. The mean value of percentage decline in the areas with industrial sources is  $-22 \pm 11\%$ . Unlike background and urban components, changes in emissions from industrial point sources demonstrate rather large scattering from one area to another. It is not a surprise since in addition to the difference in the strength and length of the lockdown between the areas, there is a difference in the lockdown impact on various industrial sectors.

Overall, the 2020 values for the baseline and industrial components at individual sites are within  $2\sigma$  limits of the interannual variability (the grey dashed lines in Fig. 8b, d) with just a few exceptions, while 16 of 27 urban component values are outside these limits. As noted in Sect. 4.3, the interannual variability is rather large, and, therefore, the decline for individual areas is often not significant (Fig. 8f). For this reason, we analysed 27 individual areas covering a vast region with very different meteorological conditions, so the average of individual area estimates (i.e. the regional mean) can be calculated with high confidence. Indeed, the regionally mean values of the ratios of changes in 2020 urban and industrial components to the standard errors of these regional means are about 8.5 and 5.9 respectively (assuming that deviations for individual areas are not correlated), i.e. well outside the limits of interannual variability. The approach that is focused on regional statistics rather than on individual areas is used for all other regions in this study.

Since industrial point source emission estimates are obtained as part of our TROPOMI NO<sub>2</sub> VCD data analy-





**Figure 8.** (a, c, e) The background (a), urban (c), and industrial (e) components for all 27 analysed areas in the US and Canada in 2018–2019 (blue) and 2020 (orange). (b, d, f) The decline in 2020 values in percent from the 2018–2019 values for the same components. The background component is expressed as the mean value of that component for the analysed area. The urban component is expressed as annual emissions per capita, and the industrial component is expressed as total emissions from the point sources for the period from 16 March to 15 June. The grey dashed lines in (b), (d), and (f) indicate the 2σ level for the interannual variability.

**Table 1.** The standard deviations of the random errors and interannual variability for background, urban, and industrial components for the US and Canada in percent. The random errors are calculated as the averages of estimates for individual years. The interannual variability estimates are the standard deviations calculated from 3 years (2018, 2019, and 2021). Interannual variability of the industrial component is calculated for regions with estimated total emissions greater than 1 kt yr<sup>-1</sup>.

Area	Random error (%)			Interannual variability (%)		
	Background	Urban	Industrial	Background	Urban	Industrial
Atlanta	0.20	1.04	1.47	5.9	9.7	11.3
Boston	0.29	0.93	5.68	4.0	10.8	39.3
Calgary	0.27	1.62	2.40	7.5	3.5	23.8
Charlotte	0.25	1.80	2.64	5.1	7.0	19.2
Chicago	0.27	0.73	1.74	17.5	9.3	11.6
Dallas	0.20	0.82	2.34	5.8	9.2	6.2
Denver	0.27	0.89	2.29	4.0	7.8	17.5
Detroit	0.27	0.99	2.17	13.3	5.5	16.8
Edmonton	0.34	0.80	1.91	11.0	15.6	8.1
Houston	0.22	0.78	1.25	6.7	13.0	10.5
Las Vegas	0.12	0.48		7.6	16.7	
Los Angeles	0.22	0.22		1.4	9.2	
Miami	0.15	0.88	2.66	1.9	5.8	40.7
Minneapolis	0.20	1.42	3.28	15.1	10.1	29.5
Montreal	0.29	1.21	3.24	11.8	7.3	19.7
New York	0.36	0.41	5.17	8.2	5.8	8.0
Orlando	0.17	1.17	2.16	4.0	10.6	16.5
Phoenix	0.17	0.75	2.84	4.9	17.0	23.5
Pittsburgh	0.33	1.62	2.20	6.5	6.3	7.0
Portland	0.29	0.87	4.32	5.8	21.2	23.2
San Antonio	0.18	1.50	1.20	8.1	5.9	42.0
San Francisco	0.17	0.64	4.64	1.1	12.8	20.9
Seattle	0.31	1.14	2.71	9.0	11.0	18.9
St. Louis	0.20	1.48	1.87	16.3	4.5	7.8
Toronto	0.29	0.78	1.97	10.8	12.9	13.7
Vancouver	0.49	0.74	5.74	2.1	9.6	14.7
Washington	0.24	1.03	2.76	6.8	13.2	8.0
Average	0.25	0.99	2.82	7.5	10.0	18.3
Standard deviation	0.08	0.4	1.3	4.4	4.3	10

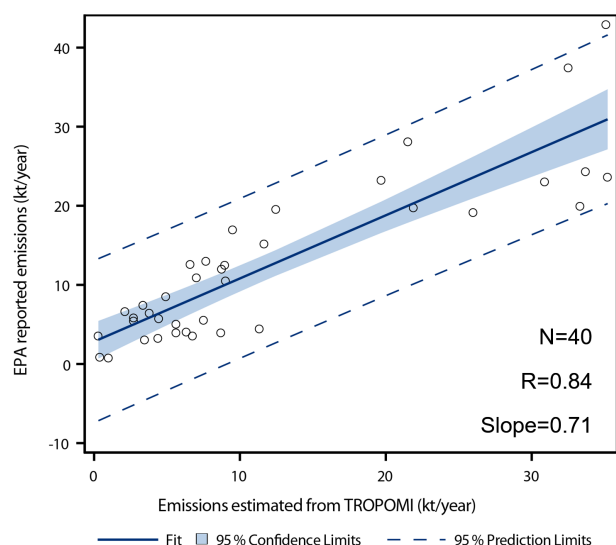
sis, such estimated emissions can be compared to the reported ones. In general, there is an agreement between estimated and reported emissions, as was already demonstrated in Fig. 6a. The scatter plot of estimated vs. reported emissions in 2018–2019 is shown in Fig. 9 for the US urban areas. Each dot in the plot corresponds to industrial emissions from one area in either 2018 or 2019 with the total of 40 data points. The correlation coefficients between the two data sets from Fig. 9 is 0.84. The slope of the regression line is about 0.7 suggesting that, on average, our estimates are 30 % higher than reported emissions. The standard deviation of the residuals is about 5 kt yr<sup>-1</sup>. This value gives an approximate uncertainty for the point source of NO<sub>2</sub> emission estimates for a 3-month period from TROPOMI data. As it is a direct comparison with the actual reported emissions, it includes all possible sources of errors. Then, the annual NO<sub>2</sub> emissions are expected to be estimated with uncertainties of

about 2.5 kt yr<sup>-1</sup>, which is twice less than about 5 kt yr<sup>-1</sup> for SO<sub>2</sub> emission uncertainties (Theys et al., 2021).

#### 4.5 The COVID-19 lockdown impact: Europe

The described technique was applied to the European Union countries (plus non-members from former Yugoslavia) where detailed information about the industrial emission sources is available. The analysis was also done for 3° × 4° areas around the 36 largest European cities with a population greater than 1 million plus some national capitals with a population of more than 500 000. Note that to avoid double-counting, if more than one city was located within an area, we used that area just once (e.g. Manchester and Birmingham are in one area).

The absolute and relative changes between 2018–2019 and 2020 for the three components are shown in Figs. 10 and 11.



**Figure 9.** Estimated and reported annual NO<sub>2</sub> emission rates for US sources for 2018–2019. Each dot represents the sum of all emissions in one urban area in 2018 or 2019, and there are 40 dots in the plot. The emissions are expressed as annual rates. The correlation coefficient between the two data sets is 0.84, and the slope is  $0.71 \pm 0.15$ . The standard deviation of the residuals is about  $5 \text{ kt yr}^{-1}$ . The plot also shows the predicted regression line (blue), 95 % confidence intervals for the regression mean (the shaded area), and 95 % prediction intervals (dashed lines).

The NO<sub>2</sub> decline in the urban component was particularly large (more than 50 %) for the countries in the most western part of the continent where the strictest lockdown measures were taken: France, Spain, and the UK (Fig. 10). In contrast, the decline in the German, Czech, and some other eastern European cities was only 20 %–25 % (Fig. 11). For this reason, two sub-regions were formed for the analysis: Europe-1 (Italy, France, Spain, Portugal, Belgium, Ireland, and the UK) and Europe-2 with all other countries. In general, the mean background values and estimated NO<sub>2</sub> emission rates per capita in Europe are similar to those in the US and Canada. However, relative changes are somewhat different.

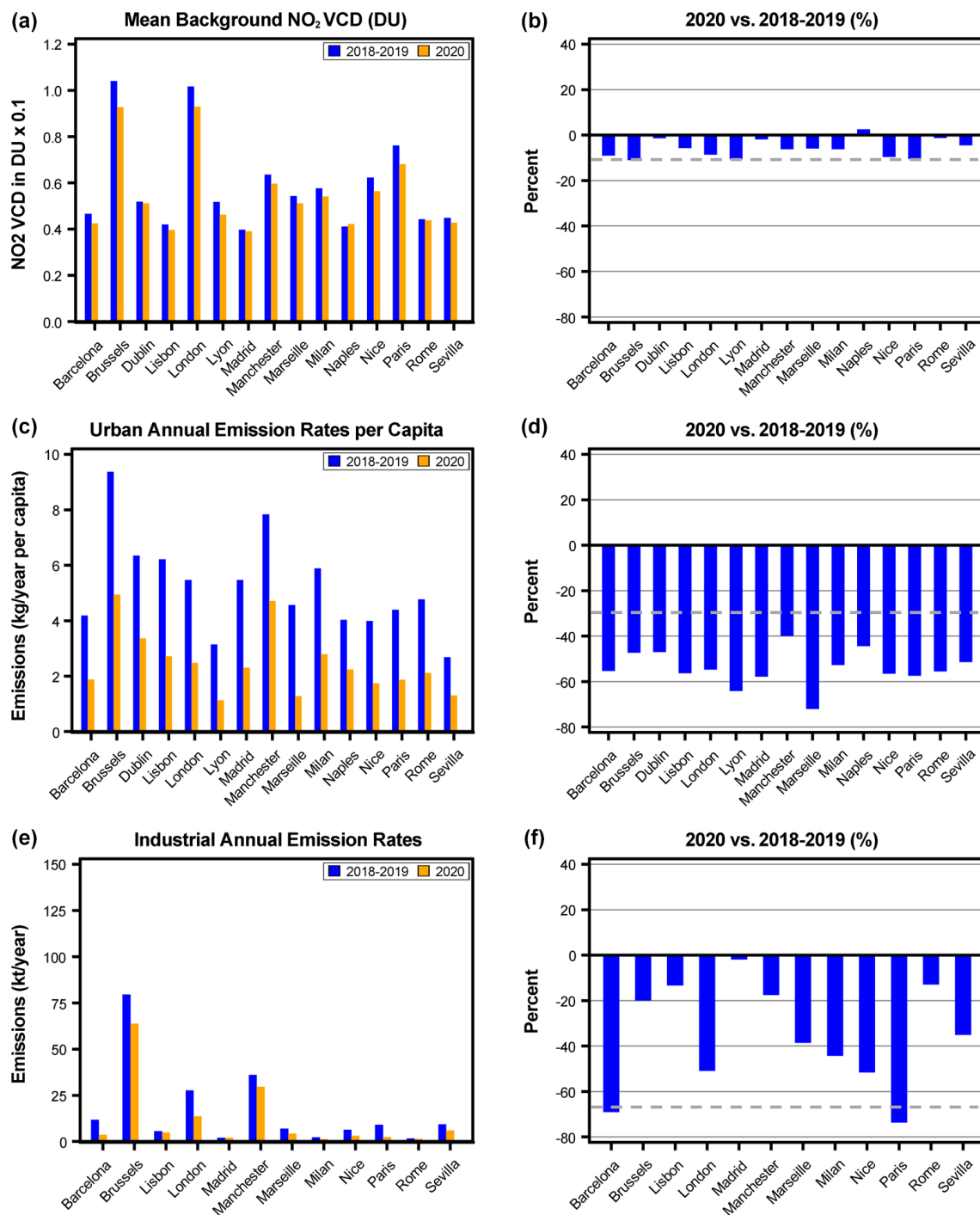
In 2018–2019, the estimated annual emissions per capita for both European regions were very similar to those for the US and Canada. In 2020, the urban component declined in almost every analysed area. The average declines for the Europe-1 and Europe-2 regions were  $-54 \pm 4 \%$  and  $-13 \pm 8 \%$  respectively. This is in general agreement with total NO<sub>x</sub> emission reduction for these two European sub-regions:  $-50 \%$  for Europe-1 countries (Italy, Spain, France) and  $-15 \%$  to  $-25 \%$  for Europe-2 countries (Germany, Sweden) with  $-85 \%$  of the total reduction attributable to on-road transport (Guevara et al., 2021). The decline in Europe-1 was rather uniform with all but one area demonstrating a decline of more than  $-40 \%$ . In contrast, only two areas demonstrated a  $-40 \%$  decline in Europe-2, while most of the areas

had a decline of under  $-20 \%$ . Two areas in Europe-2 (Budapest and Belgrade) demonstrated an increase in NO<sub>2</sub>. They are located 320 km apart, and it is possible that relatively high NO<sub>2</sub> values there were caused by some specific meteorological conditions in the spring of 2020: the NASA GEOS Composition Forecasting (GEOS-CF) simulations with constant anthropogenic emissions show a positive NO<sub>2</sub> anomaly over Hungary in April–May 2020 (Liu et al., 2020b).

As in the case of the US and Canada, the mean background component in Europe shows a smaller decline than the urban component. On average, it was  $-5.9 \pm 2 \%$  and  $-11.5 \pm 3 \%$  lower in 2020 than in 2018–2019 for the Europe-1 and Europe-2 regions respectively, but it was pretty consistent as almost all individual areas demonstrated a decline. A large decline in population-related emissions and a relatively small decline in the background component for Europe-1 and the opposite for Europe-2 may create an impression that there is anticorrelation between the background level and population-related component, but this is not true. The large decline in average background for Europe-2 was caused by large negative background values for the Scandinavian countries in 2020, which also had large negative changes in the urban components. As discussed later in Sect. 4.6, there is no correlation between the changes in the background levels and the urban component.

The emissions from industrial sources also demonstrated a decline, although the scattering of the values is large as the changes varied from country to country and from sector to sector. Guevara et al. (2021) estimated that the emission decline in the energy industry was up to  $-30 \%$  in Italy but under  $-5 \%$  in Sweden. The emission decline from the manufacturing industry was smaller: from about  $-15 \%$  in Italy, Spain, France, and the UK to  $-5 \%$  in Germany and near zero in Sweden. Emissions from aviation were reduced by  $-90 \%$  in all European countries (Guevara et al., 2021). We estimated changes in emissions only for industrial sources that are located in the analysed areas around major cities and therefore do not represent the entire industrial emissions, but our estimates also show a difference between the Europe-1 and Europe-2 regions: the average decline values are  $-34 \pm 10 \%$  and  $-13 \pm 16 \%$  respectively.

The uncertainty estimates are also in general similar to those for the US and Canada: the random uncertainty is about 0.25 % for the background component and 1 % for the urban component. The interannual variability estimates are also similar for the background component (5.6 %, 6.8 %, and 7.5 % for Europe-1, Europe-2, and Canada–US respectively). The interannual variability for the urban component for Europe-1 (12 %) is also the same as that for the Canada–US region but higher (15 %) for the Europe-2 region (Supplement, Tables S1 and S2). For Europe-1, the decline in the background component is within the  $2\sigma$  level for all the areas and the decline in the urban component outside the  $2\sigma$  level for all the areas. For Europe-2, however, the decline in the urban component is within the natural variability limits. For



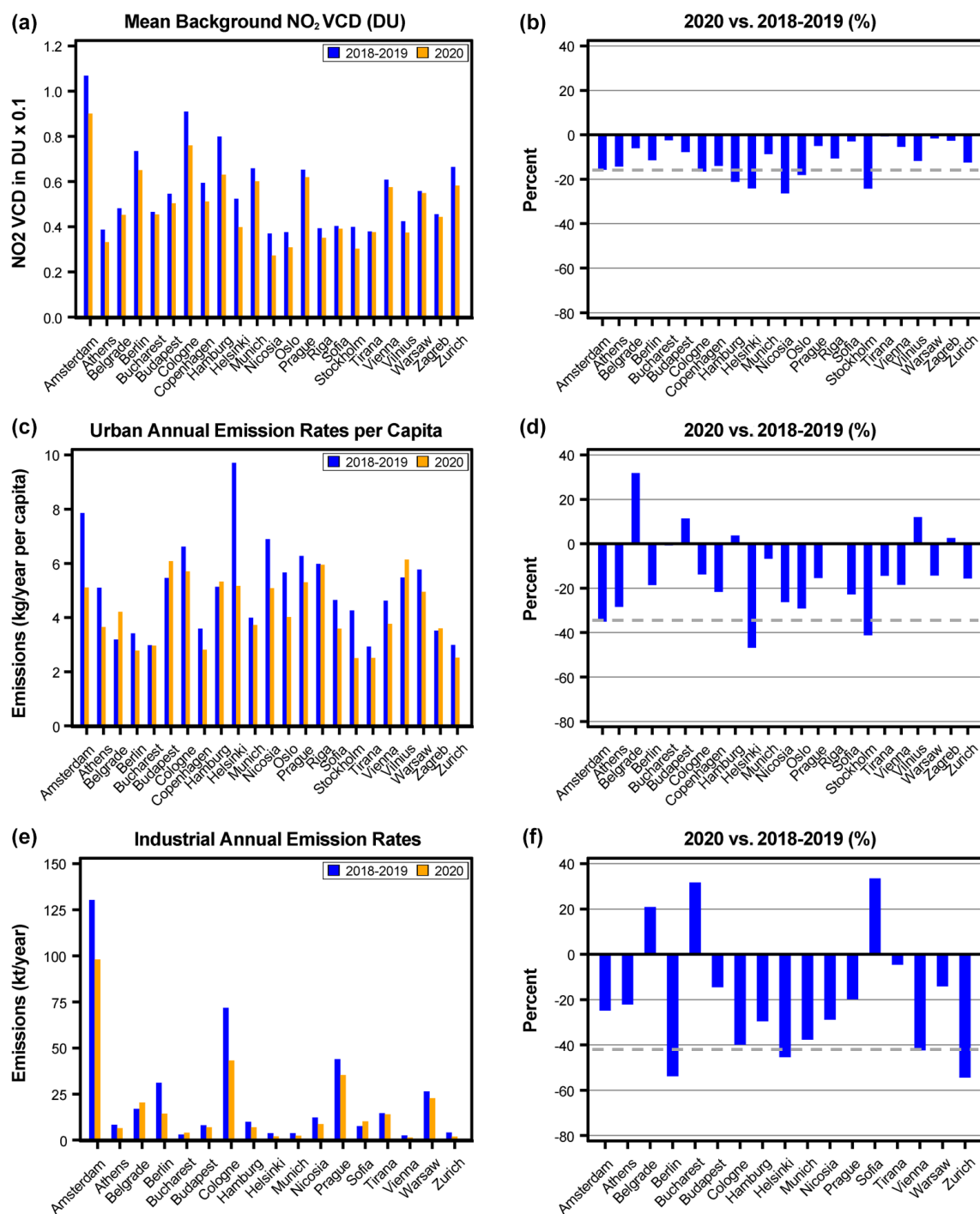
**Figure 10.** The same as Fig. 8 but for the Europe-1 sub-region (Italy, France, Spain, Portugal, Belgium, Ireland, and the UK).

the industrial component, the variability is high and the 2020 decline is within the  $2\sigma$  level for most of the areas.

For illustration purposes, four areas are examined in greater detail in Fig. 12. The Manchester map (Fig. 12 top row) illustrates a large area of high population density in central England with several power plants to the east. Recall that the urban component is essentially the population density

convoluted with EMG functions, and the two large hotspots in the urban component corresponds to the Manchester and Liverpool area to the north and the Birmingham area at the south. Our TROPOMI data analysis shows a  $-40 \pm 1.4\%$  decline in the urban component and about a  $-18 \pm 5.6\%$  decline from total emissions from the power plants. These numbers are close to the decline in road traffic ( $-35\%$  and



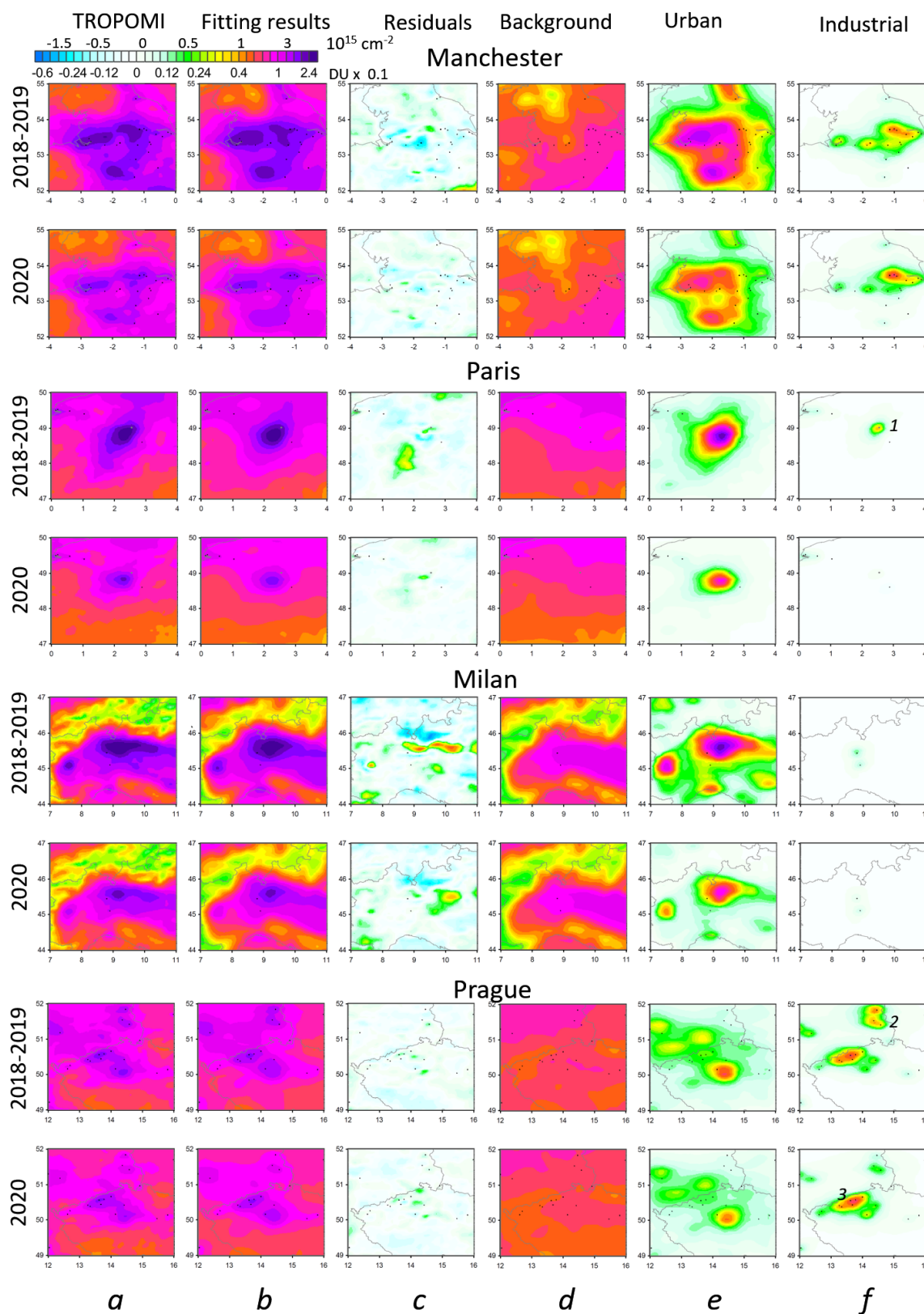


**Figure 11.** The same as Fig. 8 but for the Europe-2 sub-region (other EU countries and non-members from former Yugoslavia).

–50 % for April and May respectively) and industrial activity (–20 %) (Potts et al., 2021) reported by the UK Office of National Statistics. The estimated decline in the urban component is very close to the –42 % decline in the surface NO<sub>2</sub> concentrations reported by Lee et al. (2020). In contrast, the background component shows almost no change in 2020 compared to 2018–2019. For this reason, the total decline in

TROPOMI NO<sub>2</sub> VCD over Manchester (–27 % according Barré et al., 2021, and –32 % according to Potts et al., 2021) is smaller than our estimates for the urban component alone.

Paris is an example of a city that appears as a large, isolated urban source. The change between the two periods in the background component (Fig. 12, column d) is about  $-10 \pm 0.5$  %, while the decline in the urban compo-



**Figure 12.** Similar to Fig. 6 columns (a)–(f), for areas around four European cities: Manchester, Paris, Milan, and Prague. 1 – Charles de Gaulle Airport; 2 – power plants in Germany; 3 – power plants in the Czech Republic.

nent (Fig. 12, column e) is about  $-57 \pm 1.5\%$ , as clearly seen in the plot. As in the other cases, this value of decline is larger than the decline from TROPOMI NO<sub>2</sub> VCD data without separation of the two components and is closer to the changes in NO<sub>2</sub> surface concentrations. The estimated decline in TROPOMI NO<sub>2</sub> VCDs over Paris was about  $-30\%$  (Bauwens et al., 2020; Barré et al., 2021), while the estimated decline in NO<sub>2</sub> concentrations was  $-40\%$  to  $50\%$  (Keller et al., 2021; Barré et al., 2021). The terrain does not play a major role in the background component for the Paris area. There is a north–south gradient in the background component with higher values in the north-eastern corner of the area. The only relatively large industrial point source in the Paris area is Charles de Gaulle Airport which is evident on the 2018–2019 plot and practically disappears on the 2020 plot. Our estimates show an 90 % decline in NO<sub>2</sub> emissions from 2018–2019 averages (from about  $6.1 \pm 2.2$  to  $0.5 \pm 0.2 \text{ kt yr}^{-1}$ ), which is in line with a more than  $-95\%$  decline in the passenger traffic of Charles de Gaulle Airport in April and May 2020 (<https://www.parisaeroport.fr/en/group/finance/investor-relations/traffic>, last access: 10 November 2021).

Milan was one of the first European cities where some lockdown measures were imposed in late February, and a  $-40\%$  to  $-60\%$  reduction in NO<sub>2</sub> concentrations was reported (Collivignarelli et al., 2020). Complex terrain affects the NO<sub>2</sub> distribution creating large differences between VCD values over the mountains and valleys and also makes it more difficult to fit the observations with the plume functions based on the assumption of straight-line plumes resulting in relatively high residuals. The background component shows practically no difference between the two periods. The contribution from industrial point sources for that area is small. The urban component demonstrates a  $-53 \pm 1.5\%$  decline in 2020 that is similar to other TROPOMI-based estimates for Milan ( $-38 \pm 10\%$ , Bauwens et al., 2020; about  $-50\%$ , Barré et al., 2021), while the estimated decline in surface NO<sub>2</sub> concentrations was only slightly larger at  $-41\%$  (Keller et al., 2021) and about  $-52\%$  (Barré et al., 2021). Such a small difference in decline between VCDs and surface concentrations may be due to a relatively small contribution of the background component to the total VCD: it is just about one-third of the urban component over Milan.

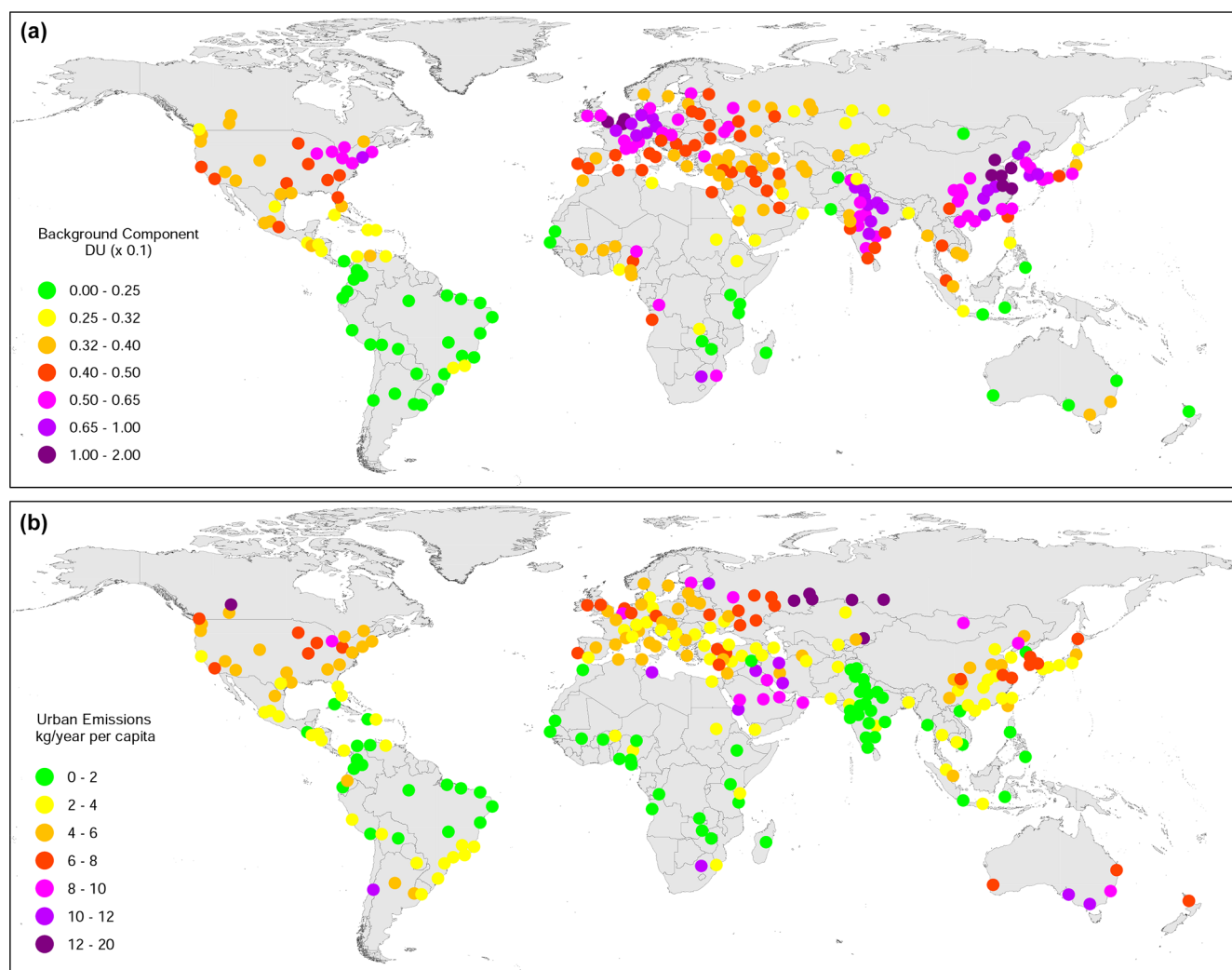
The maps for the Prague–Dresden area illustrate how changes in NO<sub>2</sub> from industrial sources reflect differences in COVID-19 lockdown policies in Germany and the Czech Republic. The decline in the urban component was only about  $-16 \pm 3\%$ . In addition to Prague that component also includes cities in east Germany (Dresden, Leipzig), but the changes over these cities and Prague are similar and close to  $-20\%$  (Barré et al., 2021). Otherwise, the difference would appear in the residuals (Fig. 12, column c). The main industrial sources in the Prague area are coal mines and coal-burning power plants in the Czech Republic west of Prague near the German border and in Germany north of

Prague, near the Polish border. In the Czech Republic, the NO<sub>2</sub> values of the industrial component remain unchanged, while the values over German industrial sources declined by a factor of 2. This is likely the result of different approaches to the coal power industry in the two countries. In the Czech case, power plants remained fully functioning and certain steps were taken to assure smooth operation and protect the workers: employees of power plants stayed on their job for longer periods, to avoid the risk of infection at home (EC, 2020). In Germany, the power generation from coal-burning plants was reduced by 60 % (from 13.4 TWh to 5.6 TWh per month) in April–May 2020 compared to 2019 (<https://www.energy-charts.info/charts/energy/chart.htm?l=en&c=DE&year=2022>, last access: 1 March 2021). As a result, we see a large difference in NO<sub>2</sub> VCDs from the power plants in the two countries.

#### 4.6 The global COVID-19 lockdown impact

To evaluate the COVID-19 lockdown impact worldwide, the analysis described earlier in Sect. 4 was performed for 261 urban areas around the world. All cities with a population greater than 1 million were considered. However, some of them, particularly in Africa, do not produce significant NO<sub>2</sub> emissions that can be measured by TROPOMI over the 3-month period selected for this study. Another obstacle is in western Africa, where biomass burning made it difficult to estimate background levels as they were very different from year to year. Biomass burning areas appear as large anomalies on the maps of the residuals (such as shown in Fig. 3c) making the standard deviations of the residuals much higher than in the other African cities. For this reason, several areas with a population of over 1 million in western Africa were not included in the analysis. In the case of China, there are too many cities with a population of over 1 million. We raised the limit for China and considered only cities with a population greater than 6 million to keep the number of analysed areas similar to other regions.

The analysis algorithm requires the coordinates of individual industrial sources in order to separate them from the urban component. The world power plant database (see Sect. 2.3) was used to locate most of the power plants. Other sources were identified from hotspots on the NO<sub>2</sub> residual maps as typically corresponding to emission sources that are not included in the original fitting. Coordinates of such sources are determined from high-resolution satellite imagery and added to the point source list, and then the fitting process is repeated. A total of 357 such additional sources were identified. Most of them were cement and steel factories and oil refineries. In addition, the world's busiest airports were included as “industrial” emission sources. However, other sources, e.g. ship tracks or major highways, may still be missing, which may affect estimates for some areas. Some of these sources are identifiable in the residual maps and could be added to the statistical model in the future.



**Figure 13.** (a) The map of the mean background component NO<sub>2</sub> for all individual areas in 2018–2019 for the period 16 March–15 June estimated from TROPOMI. (b) The map of annual per capita urban NO<sub>2</sub> emissions for the same period. The analysis was done using estimates for cities with a population greater than 6 million in China and 1 million for the rest of the world.

The map of the background and urban components for all 261 sites in 2018–2019 is shown in Fig. 13. The analysed period from mid-March to mid-June is close to spring in the Northern Hemisphere and autumn in the Southern Hemisphere, i.e. the seasons with very similar values of lifetime (Lange et al., 2022). Therefore, seasonal differences between the two hemispheres should be minimal, and maps of the main estimated components should represent their global distribution well. The highest background values are seen over east China and the northern part of central Europe, while the lowest are mostly over South America and East Africa.

The urban component demonstrates that the highest values are over the Siberian region of Russia. They are likely related to additional NO<sub>x</sub> emissions due to heating there since the climatological temperatures there are relatively low in March–April compared to other regions. Another hotspot

is Edmonton, but as mentioned, its high value is due to poor separation of urban and industrial sources there. Annual emissions per capita are also high over the Middle East. However, in this region we found that the population density data in some areas including, for example, Riyadh may not be reliable and emissions per capita may be overestimated. The population density maps there do not match Google map satellite images and other proxies such as night light data. This requires further investigation.

As Fig. 13b shows, the lowest annual emissions per capita are in South America, Africa, and India (under 2 kg yr<sup>-1</sup>). Although emissions per capita were calculated for each area independently and the population and industrial sources vary greatly from area to area, the per capita values are uniform: for example, almost all areas in India are marked by green dots (0 to 2 kg yr<sup>-1</sup>), most European areas are orange (4 to



6 kg yr<sup>-1</sup>), etc. This gives further confidence in the obtained estimates. Figure 13 shows NO<sub>2</sub> emissions based on the NO<sub>2</sub> total mass estimates and a fixed lifetime. These could be further converted to the NO<sub>x</sub> emissions by applying a conversion factor that typically varies from 1.2 to 1.4 (Beirle et al., 2021).

Note that the urban NO<sub>2</sub> “footprints” of cities with the same population vary greatly from region to region with the highest values in northern Eurasia and Australia and the smallest in Africa and India. To illustrate these large differences, Fig. S2 shows the examples of NO<sub>2</sub> distribution near cities with a population of about 5–6 million with very large (Saint Petersburg, Russia) and very small (Dar es Salaam, Tanzania) per capita emissions. The total mass of NO<sub>2</sub> per capita related to the urban component for Saint Petersburg was 40 times larger than for Dar es Salaam.

Figure 14 shows the maps of percent changes for individual areas for the background and urban components. Relative changes in the background component are typically within  $\pm 15\%$  and are much smaller than in the urban component. One of the regions with large negative changes in the background component is the Middle East. As mentioned, the population density data are not always accurate in that region and the background component may not be perfectly separated from the urban and industrial components. In contrast, the urban component demonstrates a much larger decline, particularly over Europe-1 and India. Note that the changes in the urban and background components are fairly independent: analysis of all 261 areas revealed that the correlation coefficient between them is  $-0.007$ .

The estimates for individual areas were then grouped into 13 large regions with 10–20 areas in each: the US and Canada, Europe-1 and Europe-2, China, India, South-East Asia (also includes Pakistan and Bangladesh), Japan with Taiwan and South Korea, northern Eurasia (former USSR countries and Mongolia), the Middle East, Africa, Australia and New Zealand, Central America, and South America. The regions are based on geographical location with similarities in economic development, and reactions to the COVID-19 pandemic were also considered. Then, the average characteristics of the background, urban, and industrial components were calculated for each region. Johannesburg (South Africa) and Pyongyang (North Korea) were not included in any particular region because their NO<sub>2</sub> emissions were very different from those from neighbouring countries and therefore may bias regional statistics.

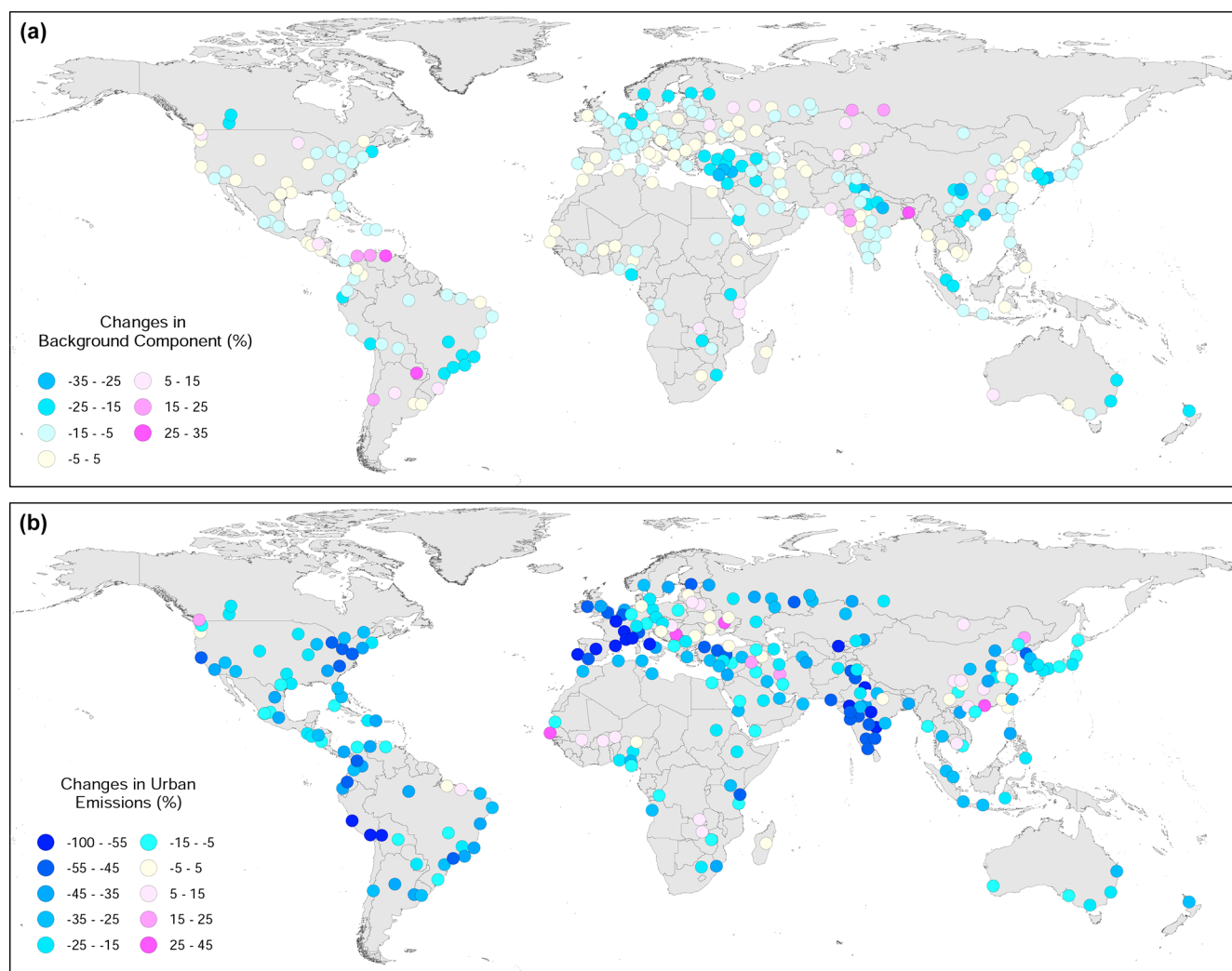
The summary results for the regions are shown in Fig. 15. The regions in Fig. 15 are sorted by relative decline in the urban component (from smallest to largest). The regional changes were calculated as the average of percent changes for individual areas for that region. The uncertainty values in Fig. 15 are based on variation in the values for individual areas within the region. The background component has the smallest variability among the three components, typically between 5 % and 9 %. The urban component variability is

between 7 % and 17 %, and the decline observed in the urban component for South America, Europe-1, and India is outside the  $3\sigma$  limits even for individual areas in these regions. The industrial component was added to separate emissions from large industrial sources in the urban areas from urban emissions themselves. Emissions from such industrial sources are typically similar to or smaller than urban emissions, and the variability of the industrial component (10 %–30 %) is similar to or larger than that for the urban component.

China shows the smallest and non-significant decline in the urban component over the analysed period as the main COVID-19 lockdown in China occurred earlier (in February). Most of the regions demonstrated a statistically significant urban emission decline within the range of  $-18\%$  to  $-28\%$ . The decline was the largest ( $-36\%$  to  $-52\%$ ) in three regions: Europe-1, South America, and India. The map of the urban emission changes (Fig. 14b) shows that the first two regions did indeed contain countries with a large decline in urban emissions. In the case of India, a similar decline can be seen in neighbouring Pakistan and Bangladesh. In Africa, a decline is seen in the south and the north of the continent, while countries in West Africa mostly show no decline and even some increase probably due to a contribution from forest fires.

As mentioned in Sect. 4.3, the industrial NO<sub>2</sub> component varies from area to area and from one type of NO<sub>2</sub> source to another, although there are some clear regional differences. Chinese cities demonstrated small changes in both urban and industrial components ( $-2.8\%$  and  $+5\%$  respectively) with one exception. Emissions from Wuhan, the city where the pandemic began, declined by more than  $-60\%$ . Industrial emissions there also declined but only by  $-30\%$ . The background component shows no change there. A very strict Wuhan lockdown ended on 8 April 2020, but during that lockdown, NO<sub>2</sub> emissions in Wuhan declined by  $-82\%$  relative to the 2019 level (Ghahremanloo et al., 2021). That strict lockdown period lasted for less than one-third of the analysed period, but apparently it took some time for NO<sub>2</sub> emissions to return to the pre-lockdown levels.

It is more difficult to interpret changes in industrial source emissions because they change over time for various reasons that may require an investigation on a case-by-case basis. For example, the large uncertainties in the industrial emission changes for Central America in Fig. 15 are caused by the doubling of emissions from power plants near Havana. This increase is likely caused by emissions from three power ships (power plants on ships) with a total capacity of 184 MW, which started their operation in Port de Mariel near Havana in the second half of 2019 (<https://karpowership.com/en/project-cuba>, last access: 4 November 2021). The largest regional industrial emission decline was observed over Europe-1 and India, i.e. where the largest urban emission decline was also observed. It is likely that the severe restrictions during the COVID-19 lockdown period there affected industrial activity. However, on a larger scale, this link is not that obvious.



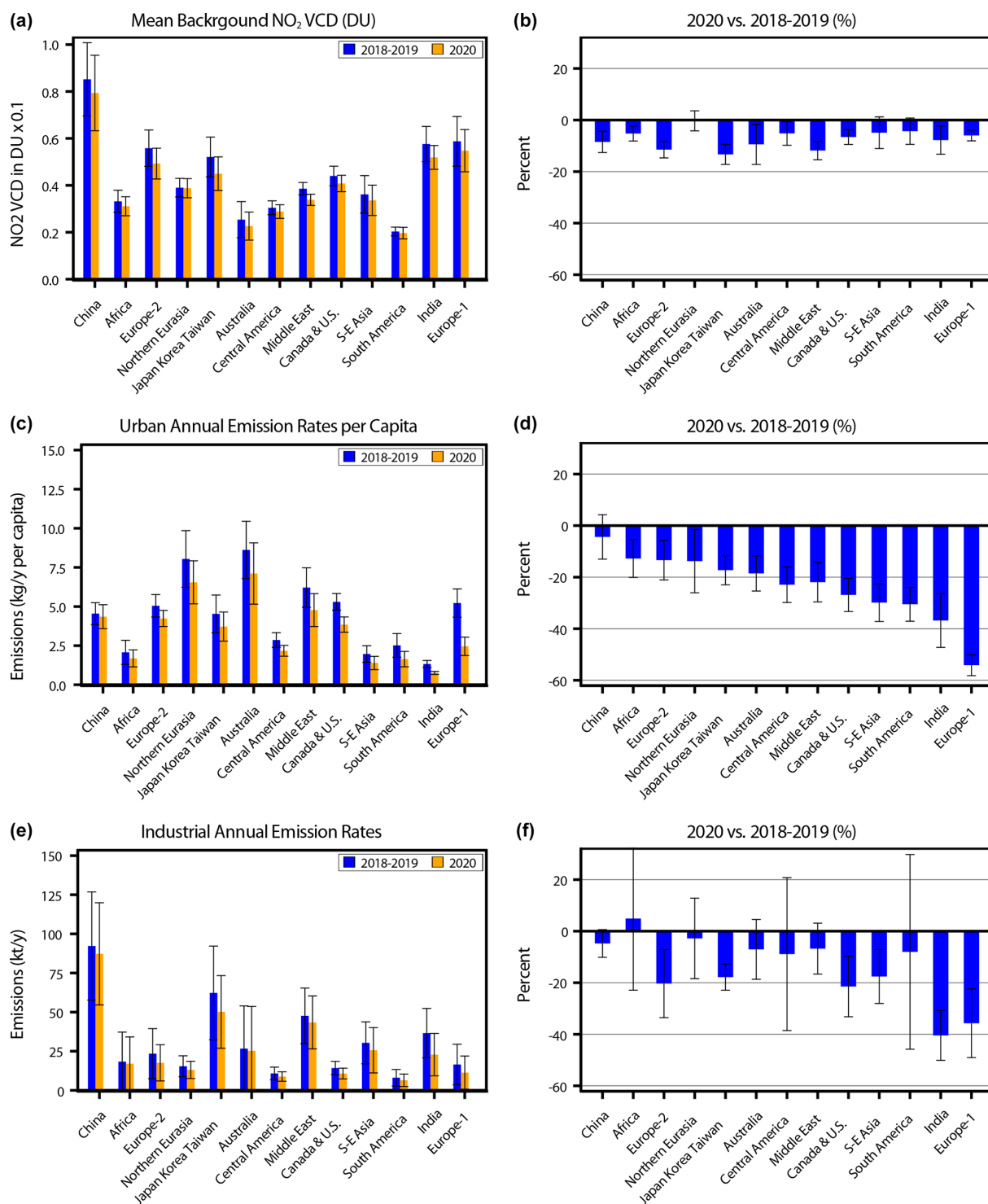
**Figure 14.** The map of NO<sub>2</sub> percent changes between 2018–2019 and 2020 for the period 16 March–15 June estimated from TROPOMI data for (a) the background component and (b) urban annual emissions per capita. The analysis was done using estimates for cities with a population greater than 6 million in China and greater than 1 million for the rest of the world.

Although the lockdown had an impact on industrial sources, the correlation coefficient between changes in urban and industrial emissions among all analysed areas is  $-0.01$ .

As mentioned, statistical errors related to the fitting procedure are relatively small due to a very large number of satellite pixels used in the fit. For the urban component, they are between 1 % and 10 % for the cities analysed in this study. However, the year-to-year variability could be high. Table 2 summarizes the uncertainty for the 13 regions analysed in this study. It is similar to Table 1; however, individual rows contain the averages of uncertainty estimates for all individual areas in the region. The uncertainties for the background component are between 4.9 % and 9 %. The urban component demonstrates the interannual variability between 9 % and 22 % with the largest value over the Middle East, where, as mentioned, there could be a problem with the population

density data quality. It is far more difficult to interpret the estimated interannual variability for the industrial sources because it depends on multiple factors from the meteorological conditions to the emission strength itself. The main conclusion here is that it is typically  $-10\%$  to  $-20\%$  for emissions from large (about  $5 \text{ kt yr}^{-1}$  or more) sources estimated based on 3 months of data.

To demonstrate that the observed NO<sub>2</sub> changes in urban emissions are indeed linked to the restricting measures taken by different countries, the estimated percent NO<sub>2</sub> changes in annual emissions per capita were compared to the Google Earth Community Mobility Report data. The mobility data represent the changes in the number of people at locations of various type and can be used as a proxy for urban traffic. The changes in the background and urban components were calculated for every country and compared to changes in mo-



**Figure 15.** (a, c, e) The mean values for 16 March–15 June in 2018–2019 (blue) and 2020 (orange) values for (a, b) the background, (c, d) urban, and (e, f) industrial components for the 13 regions. (b, d, f) The decline in 2020 mean values in percent from the mean 2018–2019 values. The data are sorted according to the changes between 2020 and 2018–2019 in the urban component (d). Mean values for each region were calculated as a mean of the values from all areas for that region. The uncertainty ( $\sigma$ ) was calculated as a standard error of the mean. The error bars represent  $2\sigma$  intervals.

**Table 2.** The standard deviations of the random errors and interannual variability for background, urban, and industrial components for 13 regions in percent. The random errors and interannual variability are calculated from 3 years (2018, 2019, and 2021) for each area and then averaged for all areas in the region. Interannual variability of the industrial component is calculated for regions with estimated total emissions greater than 1 kt yr<sup>−1</sup>.

Area	Random error (%)			Interannual variability (%)		
	Background	Urban	Industrial	Background	Urban	Industrial
Africa	0.21	1.56	2.42	7.3	19.8	21.3
Australia and New Zealand	0.34	0.80	1.22	8.6	9.2	12.2
Canada and US	0.25	0.99	2.82	7.5	10.0	18.3
Central America	0.21	0.95	1.72	5.4	11.0	20.3
China	0.30	1.06	1.90	7.3	19.6	16.4
Europe-1	0.23	0.96	4.06	5.6	12.3	27.6
Europe-2	0.25	1.30	3.13	6.8	15.4	17.1
India	0.23	1.78	0.77	9.0	16.6	12.4
Japan, Korea, Taiwan	0.31	0.86	1.29	4.9	12.9	13.2
Middle East	0.29	1.43	0.86	7.5	22.2	19.9
Northern Eurasia	0.29	1.42	2.78	8.8	13.5	25.4
South America	0.39	2.97	6.72	7.8	18.6	23.6
South-East Asia	0.29	1.03	1.68	8.0	14.1	16.1
Average	0.28	1.32	2.41	7.3	15.0	18.8
Standard deviation	0.05	0.58	1.61	1.3	4.1	4.9

bility data. Only countries with two or more cities were used in the comparison. Note that the mobility data were averages of all regions for the entire country, while the NO<sub>2</sub> changes were estimated for areas around large cities only. Mobility data for China, North Korea, and some other countries were not available.

The scatter plot of the mobility and the NO<sub>2</sub> VCD changes (Fig. 16) demonstrates a very different relationship between the urban and background components. Changes in mobility and urban components are correlated (Fig. 16a). As expected, the relative changes in the urban component are smaller than the mobility changes as the urban component includes more than just mobility-related traffic. The highest correlation is observed when changes in the NO<sub>2</sub> urban component are compared with mobility for retail and recreation, covering visits to restaurants, cafes, shopping centres, theme parks, museums, libraries, movie theatres, and similar locations. The correlation coefficient between the percent changes in per capita emissions and retail and recreation mobility is 0.62 (the probability that there is no correlation is less than 0.0003). There is no statistically significant correlation (the correlation coefficient is −0.08) between the background NO<sub>2</sub> and mobility data (Fig. 16b).

For individual areas, the uncertainties due to the interannual variability are rather large, so the observed 2020 decline in the urban component in many areas is within that uncertainty. Regional averages are more accurate and declines in urban emissions are statistically significant for all regions except China. Finally, mean 2020 declines in all areas (except China) are  $-6.0 \pm 1.2\%$  and  $-26.7 \pm 2.6\%$  for

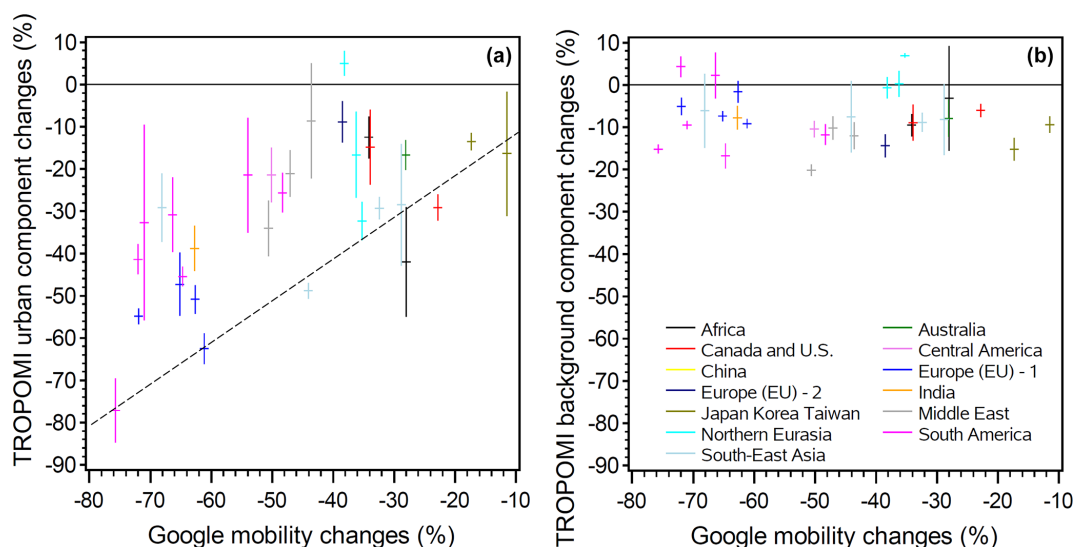
the background and urban components respectively, which corresponds to  $10\sigma$  and  $20\sigma$  levels (Supplement, Fig. S3). In 2018, 2019, and 2021, such global averages are remarkably stable, as all mean values are within  $\pm 2.5\%$  and are within  $\pm 5\%$  if the means are taken with the  $2\sigma$  uncertainties. This suggests that there are no substantial drifts or shifts on the global scale in TROPOMI data, and the 2020 data are clearly an outlier.

## 5 Discussion and conclusion

Statistical regression analysis was used to separate the contribution from industrial sources, urban areas, and background levels to the satellite-observed tropospheric NO<sub>2</sub> columns (VCDs) and to study the impact of the COVID-19 lockdown on each component separately. The analysis was done for 261 major urban areas around the world grouped into 13 large regions. The algorithm also estimates urban and industrial emissions assuming a constant NO<sub>2</sub> lifetime (or, more accurately, decay time). A constant value of 3.3 h was used as the lifetime.

To verify the obtained emission estimates, we compared our result with the estimates from a similar study by Lange et al. (2022). In that study, TROPOMI NO<sub>2</sub> data were used to estimate emissions from 45 sources worldwide, and then the results were compared with the available emission inventories and some other satellite-based emission estimates. There were 33 sources common to both works. In order to compare them we first calculated our total emissions, i.e. the sum of urban and industrial emissions, and then converted





**Figure 16.** A scatter plot of Google mobility statistic changes vs. TROPOMI NO<sub>2</sub> VCD changes for (a) the urban and (b) background components during the period from 16 March to 15 June 2020 compared to the baseline period. The Google mobility statistic changes show the difference with the pre-lockdown period (3 January–6 February 2020) in percent. For TROPOMI, the difference is between the 2020 and the 2018–2019 average. Each symbol represents one country; the dot colour demonstrates the region as shown in the legend. Only countries with at least two cities used in this study are included in this plot. The correlation coefficient between the two data sets is 0.62. The dashed  $y = x$  line is shown for reference. The error bars represent the standard errors.

them to the same lifetimes as in Lange et al. (2022) and then multiplied them by 1.4 to calculate NO<sub>x</sub> emission. As expected, our emission estimates were higher than from Lange et al. (2022) because there is typically more than one emission source in the analysed  $3^\circ \times 4^\circ$  areas of this study. Nevertheless, there is a 0.78 correlation coefficient between the two estimates.

Unlike other similar studies that simply removed the background offset (e.g. Beirle et al., 2011; Lange et al., 2022), this study included the background component as a function of the elevation in the analysis. On a scale of several hundred kilometres (as we analysed  $3^\circ \times 4^\circ$  areas), most of the NO<sub>2</sub> mass is typically related to the background component. Even in the areas such as New York City, the background component accounts for two-thirds of the total mass. This explains why the estimated impact of the COVID-19 lockdown in urban areas depends on the size of the analysed area: the larger the area the more background NO<sub>2</sub> it includes and, therefore, the smaller the NO<sub>2</sub> difference between the COVID-19 lockdown and reference periods.

In most of the analysed areas, changes in the background components between the COVID-19 lockdown period analysed here (from 16 March to 15 June 2020) were typically within 10 % from the 2018–2019 levels. In contrast, the urban component, based on population density, demonstrated a substantial and rather uniform decline of about –18 % to –28 % in most of the regions. Two regions (the most western part of Europe and India) demonstrated a larger decline: about –40 % to –50 %. China showed a much smaller de-

cline ( $-4.4 \pm 8$  %) because the lockdown there occurred prior to the analysed period. As for industrial point sources, emissions from them varied from region to region and from sector to sector. They demonstrate a decline of about –20 % or less except for the India and Europe-1 regions.

Abrupt changes in urban and industrial emissions due to the COVID-19 lockdown did not immediately result in a similar decline in the background component. This may explain why large changes in NO<sub>2</sub> emissions in urban areas produced a relatively small, about 9 % decline in global NO<sub>2</sub> (Bray et al., 2021). The importance of background NO<sub>2</sub> VCD was previously noted by Qu et al. (2021) and Silvern et al. (2019) when they found that the observed satellite tropospheric NO<sub>2</sub> VCD trends in remote areas do not match the expected changes. The origins of background NO<sub>2</sub> are still largely related to urban and industrial sources as it is clearly higher in the Northern Hemisphere, particularly over China, central Europe, and the eastern US, than in the Southern Hemisphere and tropics. However, the analysed 3-month period may simply not be long enough for the lockdown to cause large changes in the background levels. There are also other NO<sub>x</sub> sources such as soil emissions (Hudman et al., 2012; Sha et al., 2021). They directly contribute to the background component as do sources aloft, such as lightning and to a lesser extent aircraft NO<sub>x</sub>. It is estimated that lightning is responsible for roughly 16 % of global production, and most of this NO<sub>x</sub> is found in the free troposphere (Bucsela et al., 2019). Furthermore, Zhang et al. (2012) estimated that sources such as lightning, soils, and wildfires account for

about 20 % of emissions annually and up to 39 % in summer. Satellite measurements are also more sensitive to NO<sub>2</sub> in the free troposphere than in the boundary layer and relatively small amounts of NO<sub>2</sub> there produce a larger signal in satellite data. Another possible explanation is that low NO<sub>2</sub> concentrations in the boundary layer and free troposphere may have longer lifetimes than in the plumes. The fact that NO<sub>2</sub> fluctuations remain persistent over a longer time in clean conditions than over polluted areas (Vinnikov et al., 2017) indirectly confirms that.

Barré et al. (2021) noticed a different lockdown-related decline between NO<sub>2</sub> VCDs and surface concentrations (−23 % and −43 % over Europe respectively). Moreover, Qu et al. (2021) reported that VCDs and surface concentrations had a similar decline between 2019 and 2020 at only 5 % of the most polluted sites. At the other sites, TROPOMI NO<sub>2</sub> VCD data demonstrated, on average, a smaller decline than surface concentrations. Different changes in background and urban components in TROPOMI NO<sub>2</sub> could explain this inconsistency between the surface and satellite VCD-based results. The urban component is directly linked to city plumes and therefore is a better proxy for surface concentrations in polluted areas, while the background component includes contributions from other sources that were not affected by the lockdown.

The urban and industrial components are based on plume dispersion functions that correspond to NO<sub>2</sub> near the ground, almost always in the boundary layer. The urban component is based on the population density and the assumption that annual emissions per capita are uniform in the analysed 3° × 4° area. There are very large differences, up to factor of 40, in estimated emissions per capita among the different areas. The estimates were done for 3-month periods. For such a short time interval, most of the cities with a population of more than 1 million produce a statistically significant signal that can be readily detected in TROPOMI NO<sub>2</sub> data. As estimated emissions per capita are rather uniform, they can be used to account for the urban component outside large cities. Thus, it should be possible to estimate background, urban, and industrial components on the global scale and analyse the residuals in search of other factors contributing to the NO<sub>2</sub> budget.

The approach described in this study can be used to estimate emissions from cities and industrial point sources. For the latter, only source coordinates are required. A comparison of reported and TROPOMI-derived NO<sub>x</sub> emissions for the US demonstrated a good correlation between them. As source coordinates can be also detected from satellite data alone (Beirle et al., 2019; Ding et al., 2020; McLinden et al., 2016), it may be possible to develop an independent “top-down” NO<sub>x</sub> emission inventory from satellite measurements to complement and improve available bottom-up inventories as was done for SO<sub>2</sub> (Liu et al., 2018). This could be important for regions where no other emission information is available.

## Appendix A:

This appendix contains additional details of the fitting algorithm used that is largely based on the algorithm for multiple point source emission estimates (Fioletov et al., 2017). TROPOMI NO<sub>2</sub> VCD can be expressed as a sum of contributions  $\alpha_i \cdot \Omega_i$  from all individual industrial sources ( $i$ ), a population-density-related term  $\alpha_p \Omega_p$ , an elevation-related background, and noise ( $\varepsilon$ ):

$$\begin{aligned} \text{TROPOMI NO}_2(\theta, \varphi) = & \alpha_0 + \alpha_p \Omega_p(\theta, \varphi) + \sum \alpha_i \Omega_i(\theta, \varphi) \\ & + (\beta_0 + \beta_1(\theta - \theta_0) + \beta_2(\varphi - \varphi_0)) \\ & \cdot \exp(-H(\theta, \varphi)/H_0) + \varepsilon(\theta, \varphi). \end{aligned} \quad (\text{A1})$$

All  $\Omega$  function are normalized (i.e. their total integral equals 1) plume functions: the value of that function for a particular pixel with latitude  $\theta$  and longitude  $\varphi$  is proportional to the value of the plume parameterization from the source  $i$  located at the latitude  $\theta_i$  and longitude ( $\varphi_i$ ) (all in radians). The parameterization assumes that the plume is moving downwind along a straight line and has a Gaussian shape spread across that line. To describe the plume, we can rotate satellite pixels for a particular day around the source, so the plume would always be moving from north to south, apply the plume parameterization, and then rotate the pixels back. If  $(x_i, y_i)$  and  $(x'_i, y'_i)$  are the pixel's Cartesian coordinates (km) in the system with the origin at the source  $i$  before and after the rotation respectively, then they can be calculated from the pixel and source latitudes and longitudes as

$$\begin{aligned} x_i &= r \cdot (\varphi - \varphi_i) \cdot \cos(\theta_i); \\ y_i &= r \cdot (\theta - \theta_i); \quad x'_i = x_i \cdot \cos(-\omega) + y_i \cdot \sin(-\omega); \\ y'_i &= -x_i \cdot \sin(-\omega) + y_i \cdot \cos(-\omega), \end{aligned}$$

where  $r = 111.3 \text{ km} \cdot 180/\pi$  (or  $r = 6371 \text{ km} \cdot \pi/180$  for latitude and longitude in degrees);  $\omega$  is the pixel wind direction (0 for north); and  $\varphi_i$  and  $\theta_i$  are the source  $i$  longitude and latitude (all in radians). Note that there was a typo in this original formula for  $r$  in Fioletov et al. (2017).

Following Fioletov et al. (2017), the contribution  $\alpha_i \cdot \Omega_i = \alpha_i \Omega(\theta, \phi, \omega, s, \theta_i, \phi_i)$  from the source  $i$  can be expressed as  $\alpha_i \cdot \Omega_i = \alpha_i \cdot f(x'_i, y'_i) \cdot g(y'_i, s)$ , where

$$\begin{aligned} f(x'_i, y'_i) &= \frac{1}{\sigma_1 \sqrt{2\pi}} \exp\left(-\frac{x'^2_i}{2\sigma_1^2}\right); \\ g(y'_i, s) &= \frac{\lambda_1}{2} \exp\left(\frac{\lambda_1(\lambda_1 \sigma^2 + 2y'_i)}{2}\right) \cdot \text{erfc}\left(\frac{\lambda_1 \sigma^2 + y'_i}{\sqrt{2}\sigma}\right); \\ \sigma_1 &= \begin{cases} \sqrt{\sigma^2 - 1.5y'_i}, & y'_i < 0; \\ \sigma, & y'_i \geq 0; \end{cases} \\ \lambda_1 &= \lambda/s. \end{aligned} \quad (\text{A2})$$

It is assumed that NO<sub>2</sub> emitted from a point source declines exponentially (i.e. as  $\exp(-\lambda t)$ ) with time ( $t$ ) with a constant

lifetime (or decay rate)  $\tau = 1/\lambda$ . The second parameter is the plume width ( $\sigma$ ).

Note that  $\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x, y) \cdot g(y, s) dx dy = \int_{-\infty}^{\infty} (\int_{-\infty}^{\infty} f(x, y) dx) \cdot g(y, s) dy = \int_{-\infty}^{\infty} g(y, s) dy = 1$ ; therefore the parameter  $\alpha_i$  represents the total observed number of NO<sub>2</sub> molecules (or the NO<sub>2</sub> mass) near the source  $i$ . If TROPOMI NO<sub>2</sub> is in DU and  $\sigma$  is in kilometres, then  $a$  is in  $2.69 \times 10^{26}$  molecules or 0.021 T(NO<sub>2</sub>). Furthermore, the emission strength ( $E$ ) can be calculated as  $E = \alpha/\tau$  assuming a simple mass balance.

As mentioned in Sect. 3, some of the sources used in the analysis are not point sources but clusters. In that case,  $\Omega_i = \sum_j w_j \Omega_j(\theta, \phi, \omega, s, \theta_j, \phi_j)$ , where  $\Omega_j$  is the plume function for source  $j$  and  $w_j$  is the weighting coefficient established by the factor analysis.

Similarly,  $\alpha_p \Omega_p$  represents the contribution from the population-density-related component, where  $\Omega_p$  is the plume function from an area-distributed source.  $\Omega_p$  is a weighted sum of plume functions from a grid with the weighting coefficients proportional to the population at the grid points  $\Omega_p = \sum_{ij} \rho_{ij} \Omega(\theta, \phi, \omega, s, \theta_{ij}, \phi_{ij})$ , where  $\theta_{ij}$  and  $\phi_{ij}$  are the grid points coordinates and  $\rho_{ij}$  is the population associated with that grid point. Thus,  $\alpha_p$  is the coefficient that represents the total NO<sub>2</sub> mass which corresponds to one person. In our calculations we used a  $3^\circ \times 4^\circ$  area with a  $0.2^\circ \times 0.2^\circ$  grid with 336 ( $16 \times 21$ ) grid cells.

Finally, the elevation-related background term  $\alpha_0 + (\beta_0 + \beta_1(\theta - \theta_0) + \beta_2(\varphi - \varphi_0)) \cdot \exp(-H/H_0)$ , where  $\theta_0$  and  $\varphi_0$  are the coordinates of the centre of the analysed area and  $E$  is the elevation in kilometres and  $H_0 = 1$  km, is determined by three parameters.

Equation (A1) represents a linear regression model where the unknown parameters  $\alpha_p$  and  $\alpha_i$  can be estimated from the measured variable (TROPOMI NO<sub>2</sub>) at many pixels and known regressors. The fitting was done three times using all data for the analysed period (16 March–15 June) in 2018, 2019, and 2020.

**Data availability.** The TROPOMI NO<sub>2</sub> product is publicly available on the Copernicus Sentinel-5P data hub (<https://s5phub.copernicus.eu>, last access: 5 August 2021; S5P Data Hub, 2022). The reprocessed (RPRO) and offline mode (OFFL) data of version V1.2.2 to version V1.3.2 were used. The Gridded Population of the World (GPW) data set is available from the NASA Socioeconomic Data and Applications Center at <https://sedac.ciesin.columbia.edu/data/collection/gpw-v4> (last access: 1 March 2021; SEDAC, 2017). The European Centre for Medium-Range Weather Forecasts (ECMWF) ERA5 reanalysis data are available from <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5> (last access: 5 August 2021; C3S, 2017). Elevation data are from the gridded global relief ETOPO2v2 database (<https://www.ngdc.noaa.gov/mgg/global/etopo2.html>, last access: 1 March 2021; NOAA, 2006).

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**Author contributions.** VF analysed the data and prepared the paper with input from CAM and critical feedback from all the co-authors. CAM and DG generated the TROPOMI data subsets for the analysis. NK and FL contributed to the interpretation of the results. HE provided the TROPOMI NO<sub>2</sub> data product and related information. All authors read and agreed on the published version of the paper.

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