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Secondary organic aerosols from OH oxidation of cyclic volatile methyl siloxanes as an important Si source in the atmosphere

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Abstract. Cyclic volatile methyl siloxanes (cVMSs), which can volatilize into the atmosphere, are active ingredients in widely used consumer products, thus attracting much attention due to their potential environmental risks. While in the atmosphere the cVMSs undergo oxidation, yielding both gaseous and particulate products. The aerosol yields and compositions from the OH oxidation of four cVMSs (D3-D6) were determined under low- and high-NO_x conditions in an oxidation flow reactor. The aerosol yields increased progressively from D3 to D6, consistent with the volatilities and molecule weights of these cVMSs. NO_x can restrict the formation of secondary organic aerosols (SOAs), leading to lower SOA yields under high-NO_x conditions than under $low-NO_x$ conditions, with a yield decrease between 0.05–0.30 depending on the cVMSs. Ammonium sulfate seeds exhibited minor impacts on SOA yields under low-NO_x conditions but significantly increased the SOA yields in the oxidation of D3-D5 at short photochemical ages under high-NO_x conditions. The mass spectra of the SOAs showed a dependence of its chemical compositions on OH exposure. At high exposures equivalent to photochemical ages of >4 d in the atmosphere, D4–D6 SOAs mainly consisted of $C_x H_y$ and $C_x H_y O_z Si_n$ under low-NO_x conditions, whereas they primarily contained $N_m O_z$, $C_x H_y$, $C_x H_y O_1$, $C_x H_y O_{>1}$ and $C_x H_y O_z Si_n$ under high-NO_x conditions. The potential contributions of cVMSs to SOA formation in the atmosphere were evaluated using the reported cVMSs annual production and the yield obtained in the present study. A global cVMS-derived (D4–D6) SOA source strength is estimated to be 0.01 Tg yr⁻¹, distributed over major urban centers.

1 Introduction

Secondary organic aerosols (SOAs), which contribute 50 %–85 % to the mass of atmospheric organic aerosols (OAs) (Glasius and Goldstein, 2016), are mainly formed via the partitioning of low volatility products as a result of oxidation of volatile organic compounds (VOCs), semi- and intermediate volatile organic compounds (S / IVOCs) (Riipinen et al., 2012). SOAs have attracted significant attention due to their important impacts on climate, ecosystems and human

health (Berndt et al., 2016). Global budgets of SOAs remain an unresolved issue despite extensive research, largely due to uncertainties associated with aerosol yields and the presence of unconsidered SOA precursors.

As one type of anthropogenic VOC and potential SOA precursor, cyclic volatile methyl siloxanes (cVMSs) are widely used in industrial applications and personal care products (Genualdi et al., 2011; Krogseth et al., 2013a). cVMSs have been classified as high-volume chemicals with an annual production of millions of tons globally (Rücker and Kümmerer,

2015; Ahrens et al., 2014). Studies of cVMSs in the environment have focused on investigating their impact on health and the environment, particularly due to their potential persistence, bioaccumulation and toxicity (Guo et al., 2019; Liu et al., 2018; Farasani and Darbre, 2017; Xu et al., 2019; Kim et al., 2018; Coggon et al., 2018). As a result, the European Council has proposed a restriction on the octamethyl-cyclotetrasiloxane (D4) and decamethylcyclopentasiloxane (D5) content in wash-off personal care products to a limit of 0.1 mass % by 2020 (Eur-Lex, 2018). The legislative actions notwithstanding, knowledge of the environmental behavior of cVMSs still needs to be further deepened as compared to knowledge of their applications and economic significance (Rücker and Kümmerer, 2015).

It has been estimated that approximately 90 % of cVMSs are emitted into the atmosphere due to their high saturation vapor pressures (Allen et al., 1997). Gas-phase cVMSs have been observed in both indoor and outdoor air. Tang et al. (2015) reported that cVMSs accounted for about one-third of the total VOC mass concentration in a classroom. Outdoor air concentrations of cVMSs have also been measured at different sites worldwide (Li et al., 2020; Wang et al., 2018; Rauert et al., 2018), increasing from rural to urban sites and consistent with increasing population density (Rücker and Kümmerer, 2015). For example, at a rural site in Sweden, the concentrations of hexamethylcyclotrisiloxane (D3), D4, D5 and dodecamethylcyclohexasiloxane (D6) were 0.94, 3.5, 13 and 1 ng m⁻³, respectively (Kierkegaard and Mclachlan, 2013), while they were 18, 55, 172 and 14 ng m^{-3} in urban areas of Toronto in Canada, respectively (Genualdi et al., 2011; Rauert et al., 2018). cVMSs have also been detected in the remote Arctic atmosphere, confirming their long-range transport (Genualdi et al., 2011; Krogseth et al., 2013b). Atmospheric half-lives of cVMSs are on the order of 30, 15, 10 and 6 d for D3-D6, respectively, which allow cVMSs to exhibit a hemispherical distribution in the atmosphere (Canada, 2008; Xiao et al., 2015). These lifetimes are driven mostly by reactions with OH radicals (Xiao et al., 2015; Wang et al., 2013), which generate silanols and dimeric products that can be partitioned to condensed phases (Coggon et al., 2018; Sommerlade, 1993; Wu and Johnston, 2016). Different OH concentrations could partly explain the seasonal variation of cVMS lifetimes that are characteristically longer during winter than in summer (Rücker and Kümmerer, 2015). The loss of cVMSs in the atmosphere is negligible through O₃ and NO₃ due to their small reaction rates (Atkinson, 1991). The global loss as a result of the reaction with Cl atoms is less than 5 % on account of low Cl concentrations, although it may be higher in some regions where cVMS emissions and Cl sources overlap in both space and time (Alton and Browne, 2020). It has been demonstrated that elemental Si is a frequent constituent of nanoparticles in rural and urban areas (Phares et al., 2003; Rhoads, 2003; Bein, 2005; Bzdek et al., 2014) and in remote regions (Li and Winchester, 1990, 1993). These Si-containing nanoparticles have previously been attributed to ore smelting processes, but recent studies have shown that Si-containing species are one of the main components in cVMS SOAs, suggesting that the oxidation of cVMSs may be an important source of Si in atmospheric aerosols (Wu and Johnston, 2016, 2017). In a modeling study, the oxidation products of cVMSs (D4, D5 and D6) were considered in order to quantify the maximum potential for aerosol formation through reactions with the OH radicals (Janechek et al., 2017). Chandramouli and Kamens (2001) demonstrated the gas-particle partitioning of silanols from D5 oxidation by the OH radicals. Wu and Johnston (2016, 2017) analyzed the chemical composition of secondary aerosols from OH oxidation of D4 and D5, showing a large number of monomeric and dimeric products. Janechek et al. (2017, 2019) reported the physical properties of SOAs generated by OH oxidation of D5, including hygroscopicity, cloud seeding potential and volatility. Charan et al. (2022) measured SOA yields of D5 using chambers and flow tube reactors, emphasizing the importance of the relevant OH concentrations and exposures when extrapolating these laboratory results or when comparing with other studies. These studies mainly focused on D5 and occasionally D4 but rarely others. To better understand the SOA-forming potentials of typical cVMSs in the atmosphere, accurate yields and molecular compositions of SOAs from the oxidation of cVMSs under various atmospheric conditions are needed.

In this work, the formation of SOAs from the oxidation of four cVMSs (D3–D6) by OH radicals was investigated in an oxidation flow reactor (OFR). Under various combinations of NO_x and ammonium sulfate seed concentrations, the yields and compositions of SOAs formed from the oxidation were measured using a suite of instruments, including a scanning mobility particle sizer (SMPS), a proton transfer reaction time of flight mass spectrometer (PTR-ToF-MS) and an aerosol mass spectrometer (AMS). Based on these SOA yields, the contribution of cVMSs to SOAs in the global atmosphere was estimated using reported cVMSs concentrations. The results obtained here could largely improve our understanding of the contribution and composition of SOAs from cVMSs.

2 Experiments and methods

2.1 Photo-oxidation experiments

The reactions of cVMSs with OH radicals were controlled at a constant temperature $(21\pm1\,^{\circ}\text{C})$ and relative humidity $(35\,\%\pm2\,\%)$ in a custom-made oxidation flow reactor (the Environment and Climate Change Canada oxidation flow reactor, ECCC-OFR), which is shown in Fig. S1 in the Supplement and has been described in detail previously (Li et al., 2019a). Briefly, the ECCC-OFR is a fused quartz cylinder (length: $50.8\,\text{cm}$, inner diameter: $20.3\,\text{cm}$) equipped with a conical inlet and seven outlets. Wall losses of particles and gases in the ECCC-OFR have been shown to be lower than

in other OFRs (Huang et al., 2017; Lambe et al., 2011; Simonen et al., 2017; Li et al., 2019a). The length and full angle of the cone inlet are 35.6 cm and 30°, respectively, designed to minimize the formation of jetting and recirculation in the OFR. The outlet at the reactor center is a stainless-steel sampling port (inner diameter: 0.18 in) extending 12.7 cm lengthwise into the ECCC-OFR. This sampling inlet reduces the impact of potential turbulent eddies caused by the back end of the reactor. The remaining six outlets around the perimeter are designed to allow side flows to pass through the OFR as a sheath flow, indirectly reducing wall losses of gases and particles inside the OFR upon sampling. Ozone-free mercury UV lamps for generating OH radicals are housed in small quartz tubes around and in parallel to the quartz reaction cylinder, and a large flow of air through each of these smaller quartz tubes is used to remove the heat produced by the lamps. The relative humidity was adjusted by controlling the ratio of dry air to wet air in the reactor and was measured using a humidity sensor (Vaisala) at one of the sheath flow outlets (side flows) of the reactor. The volume of the entire ECCC-OFR is about 16 L and the total flow rate is 8 L min⁻¹, leading to a residence time of 2 min in the OFR.

OH radicals were produced through the reaction of water vapor with O(¹D) formed from O₃ photolysis at 254 nm. The OH concentration in the ECCC-OFR was regulated by controlling the input voltage and the number of UV lamps. Methanol vapor, introduced into the ECCC-OFR through a bubbler containing methanol solution, was used to determine the OH exposure (i.e., photochemical age, derived from the multiplication of OH concentration and reaction time) by tracking its decay in the reactor from the reaction with the OH. The decay, or fractional loss, of gas-phase methanol, [MeOH] / [MeOH]₀ was measured with the PTR-ToF-MS and was used to calculate the OH concentration via Eq. (1):

$$[OH] = -\frac{1}{k_{MeOH}} ln \frac{[MeOH]}{[MeOH]_0}, \tag{1}$$

where k_{MeOH} is the second-order rate constant of methanol reaction with OH at 298 K (9.4×10⁻¹³ cm³ molecule⁻¹ s⁻¹). The OH exposure measurement was offline, because methanol can affect the OH reactivity with cVMSs. Under the low- and high-NO_x conditions described below, the OH exposure varied in the range of 5.5×10^{10} – 1.8×10^{12} and 8.2×10^{10} – 1.1×10^{12} molecules cm⁻³ s, respectively. They correspond to 0.4–14.2 and 0.6–8.5 equivalent days (photochemical age), respectively, assuming that an average OH concentration in the air is 1.5×10^6 molecules cm⁻³ (Mao et al., 2009).

Pure D3–D6 cVMS compounds (solid D3 and liquid D4, D5 and D6) were placed in a glass U-type tube and maintained at room temperature. Vapors from these compounds (Table S1 in the Supplement) were separately introduced into the ECCC-OFR by a small flow of zero air (1–18 mL min⁻¹) passing over the headspace of the U-tube containing the pure compounds. The concentrations of D3–D6 in the ECCC-

OFR ranged from 20 to 43 ppb (Table S2), depending on their volatilities. Table S2 summarizes the concentrations of cVMSs at the reactor inlet and outlet, when the OH exposure was 1.85×10^{12} and 1.10×10^{12} molecules cm⁻³ s in low and high-NO_x experiments, respectively, indicating the reaction depth of cVMSs. To study the influence of existing particles on the SOA formation, ammonium sulfate (AS) seed particles were produced using an atomizer, dried by a diffusion dryer, neutralized by a neutralizer and then injected into the reactor without size selection. The mass concentration of AS seed particles was approximately $30 \,\mu \mathrm{g} \,\mathrm{m}^{-3}$ for all experiments.

 N_2O was used as a source of NO to achieve high- NO_x conditions (Lambe et al., 2017). NO_x conditions were defined by the fate of peroxy radicals (RO₂), which are described by the reaction rate ratio (R_{NO}) of $RO_2 + NO$ and $RO_2 + HO_2$ (Peng et al., 2018). The $R_{\rm NO}$ ratio increases with increasing OH exposures at a constant concentration of N₂O (Li et al., 2019b). To achieve a constant branching ratio during the high- NO_x experiments, the initial N_2O concentration in the OFR was varied (1.6%-8.0%) to maintain an R_{NO} value of 20 (Li et al., 2019b), as calculated using a model (OFR Exposures Estimator v3.1) (Peng et al., 2018). A ratio of $R_{NO} = 20$ indicates that 95 % of RO₂ reacts with NO, ensuring the dominance of $RO_2 + NO$, which represents conditions that are relevant for urban atmosphere (Peng et al., 2019). The role of RO₂ + RO₂ here should be minor or negligible due to the low concentrations of SOA precursors (cVMSs, 18–46 ppb) (Lambe et al., 2017; Peng et al., 2019; Li et al., 2019b). Peng et al. (2019) have reported that in the experiments utilizing the injection of N_2O to achieve high- NO_x conditions, the relative importance of RO₂ + OH was generally negatively correlated with N₂O due to the suppressing effect of NO_x on OH radicals and the increasing role of $RO_2 + NO$. Under low- NO_x conditions, N_2O was not introduced into the OFR, where the reaction ratio of RO2 with HO2 was estimated to be larger than 99 % according to the model mentioned above (Peng et al., 2018), representing atmospheric scenarios with few NO_x sources.

2.2 Characterization and analysis

The concentrations of cVMSs in the OFR were measured online with PTR-ToF-MS (Ionicon Analytik GmbH) (Liggio et al., 2016). The number and mass size distribution of aerosols were monitored using a scanning mobility particle sizer (SMPS, TSI). The mass spectra and elemental composition of aerosols were determined with a high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS, Aerodyne) and analyzed with the AMS analysis software Squirrel (version 1.62G) and Pika (version 1.22G).

SOA mass yields (Y) were calculated via Eq. (2):

$$Y = \frac{\Delta C_{\text{SOAs}}}{\Delta C_{\text{cVMSs}}},\tag{2}$$

where ΔC_{SOAs} and ΔC_{cVMSs} are the mass concentrations of SOAs formed and cVMSs lost, respectively. The mass concentration of SOAs was determined by multiplying the effective aerosol density by the integrated SOAs volume concentration from the SMPS, subtracting the AS seed volume for experiments with AS seeds. The effective aerosol density (ρ) was calculated for unseeded experiments through the following Eq. (3) (Lambe et al., 2015):

$$\rho = \frac{D_{\text{va}}}{D_{\text{m}}},\tag{3}$$

where $D_{\rm va}$ is the vacuum aerodynamic diameter obtained from the HR-ToF-AMS, and $D_{\rm m}$ is the electric mobility diameter measured by the SMPS. The ρ varied in the range of 1.6–1.8 depending on the cVMSs. The same ρ value was also used in the seeded experiments. It should be pointed out that the background values in Table S3 have been subtracted when calculating the cVMS SOA yields. The average data of SMPS and AMS in the last \sim 10 min for each OH exposure were used to calculate the SOA yields (inset of Fig. S2), which could reduce the deviation caused by unstable SOA loadings at high OH exposures.

3 Results and discussion

3.1 SOA yields

Taking the D5 SOAs under unseeded conditions as an example, the number and mass size distributions of aerosols are shown in Fig. S3 at three photochemical ages (PA), i.e., time-integrated OH exposure. It can be seen that small particles dominated the total particle number counts, while large ones dominated the mass. The mass mode diameter of SOAs for mass size distributions increased with PA under low- and high-NO_x conditions. The mass concentrations and time series of SOAs obtained from SMPS and AMS are shown in Table S4 and Fig. S2, respectively, which reflected a step-by-step pattern with increasing OH exposures. Unstable SOA loadings at high OH exposures may be attributed to the fragmentation reactions, leading to the difficulty in the deposition of products on SOAs.

Figure 1 shows the SOA yields from the photooxidation of the D3–D6 cVMSs under low- and high-NO $_x$ conditions as a function of PA, with and without AS seed particles. SOA yields have been widely used to estimate the potential of precursors to produce aerosol mass (Mcfiggans et al., 2019; Li et al., 2019a; Bruns et al., 2015; Lambe et al., 2015). As shown in Fig. 1, the cVMS SOA yields exhibited an overall increasing trend with PA, expressed in equivalent photochemical days, which agreed with the trend of D5 SOA yields reported by Janechek et al. (2019). Under low-NO $_x$ conditions (Fig. 1a), SOA yields exhibited a slow growth, reaching a plateau after 10 equivalent days. This may be due to the increased gas-phase fragmentation of cVMSs and the generation of some higher volatility products, leading to a

small increasing amplitude of partition ratio of species into SOAs at longer photochemical ages.

For the unseeded and low-NO_x experiments in Fig. 1a, SOA yields of four cVMSs exhibited significant differences in values over the same number of equivalent days. The SOA yields successively increased from D3 to D6, consistent with the volatilities and molecular masses of the cVMSs as well as their reaction rate constants with the OH radical (Alton and Browne, 2020; Kim and Xu, 2017; Safron et al., 2015). The maximum SOA yields of D3-D6 were (0.16 ± 0.02) , $(0.56\pm0.03), (0.80\pm0.03)$ and (0.99 ± 0.04) , respectively, occurring after a PA of 12 equivalent days. It has been reported that D5 SOA yields varied in the range of 0-1.1 (Janechek et al., 2019; Wu and Johnston, 2017; Charan et al., 2022). Under a low OH exposure ($\sim 10^{10}$ – 10^{11} molecules cm⁻³ s), the D5 SOA yield (0.01-0.11) obtained here was similar to that (chamber, 0-0.057; flow tube, 0.018-0.06) measured by Charan et al. (2022). However, under a high OH exposure of $\sim 10^{11}$ – 10^{12} molecules cm⁻³ s, the D5 SOA yield of 0.46-0.70 was higher than 0.22 and 0.14-0.35 (flow tube), as was reported by Janechek et al. (2019) and Charan et al. (2022), respectively; this may be attributed to differences in experimental conditions, such as differences in reactors, wall losses, SOA measurement methods, determination of OH concentrations and initial D5 concentrations (Table S5) (Janechek et al., 2019; Charan et al., 2022). Although the amount of cVMSs lost was variable, cVMS SOA yields positively depended on SOA mass concentrations (Fig. S4). This trend was observed in previous D5 SOA experiments with OH oxidation (Wu and Johnston, 2017).

As shown in Fig. 1b, the order of SOA yields from the four cVMSs under high-NO $_x$ conditions was the same as that under low- NO_x conditions. However, the SOA yields under high-NO_x conditions were generally smaller than the corresponding yields at similar OH exposures under low-NO_x conditions, with a decrease of 0.05-0.30 depending on the cVMSs. Such a reduction suggests that NO_x could restrict the formation of cVMS SOAs. NO_x has been shown to reduce SOA yields for some anthropogenic alkanes (Li et al., 2019b), aromatics (Ng et al., 2007a; Chan et al., 2009; Zhou et al., 2019), monoterpenes (Zhao et al., 2018) and other terpenes (Ng et al., 2007b), attributable to the formation of higher volatility products (e.g., organic nitrates) generated by $RO_2 + NO$ compared to $RO_2 + HO_2$ (Presto et al., 2005; Li et al., 2019b), which is also likely to be the case here. The higher volatility products favor partitioning in the gas phase, thus reducing the potential for forming SOAs (Zhou et al., 2019). Moreover, high NO_x levels could suppress the formation of products for nucleation, thereby reducing the aerosol surface as a condensational sink and increasing the wall loss of condensable species in an OFR under high-NO_x conditions (Zhao et al., 2018; Sarrafzadeh et al., 2016; Wildt et al., 2014). Figure S5 indicates that the difference between SOA yields with and without NO_x decreased with increasing silicon atoms within individual cVMSs, indicating a less

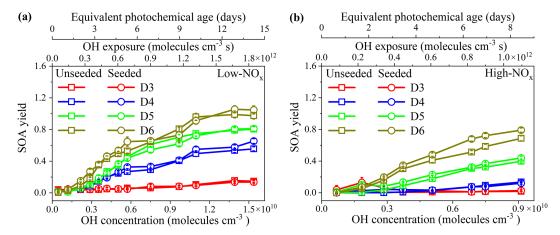


Figure 1. SOA yields from unseeded and seeded (30 μ g m⁻³) photooxidation of cVMSs by OH radicals. (a) low-NO_x experiments; (b) high-NO_x experiments.

restricting effect of NO_x on the SOA formation for larger cVMSs. This means that high NO_x levels play a lessor role in the SOA yields of lower volatility precursors.

SOA yields in the AS-seeded experiments under low- and high- NO_x conditions are also shown in Figs. 1 and S5, indicating the minimal impact of the AS seed particles on SOA yields. A yield enhancement ratio ($R_E = Y_{\text{seeded}}/Y_{\text{unseeded}}$, Fig. S6) was used to show the seed impacts more clearly. Under low-NO $_x$ conditions, the R_E values for all cVMSs were close to 1.0 (Fig. S6a), suggesting a negligible impact of AS seed particles on SOA yields; however, under high-NO $_x$ conditions, $R_{\rm E}$ was much larger (17.81, 13.18 and 15.51 for D3– D5, respectively) at short PA but gradually decreased to 1.0 with increasing PA for D3-D5, while it was always close to 1.0 for D6 regardless of PA (Fig. S6b). R_E values greater than 1.0 suggest that AS seed particles played an enhancement role in the cVMS SOA formation, as similarly observed in SOA formation from hydrocarbons (Sarrafzadeh et al., 2016; Lamkaddam et al., 2017; Li et al., 2019b). Under low- NO_x conditions, the general lack of impact on cVMS SOA yields by the AS seed particles suggests that condensation was not the main process driving SOA formation in cVMS oxidation. For the few cases of high NO_x level at low PA, where R_E was >1 for D3–D5, it is possible that their early generations of oxidation products were more volatile than successive generations of products and hence more prone to condensation enhanced by AS seeds. As PA increased, further reactions of these early generation oxidation products with OH radicals resulted in further generation products that were likely to be less volatile, thereby weakening the enhancing role of AS seeds at high OH exposure. Such an effect was less pronounced for D6, likely because its oxidation products at different PA had similar volatilities. Figure S6b shows that the effect of AS seed particles on SOA yields negatively correlated with the number of silicon atoms in the cVMSs. Lower volatility precursors (D5 and D6) may form lower volatility

products, resulting in SOA yields less sensitive to the preexisting seeds.

3.2 Aerosol compositions

3.2.1 Compositions of SOAs under low- NO_X conditions

Figures 2 and S7 show the normalized HR-ToF-AMS mass spectra of cVMS SOAs from unseeded experiments under low-NO_x conditions at OH exposures of $9.0 \times$ 10^{11} molecules cm⁻³ s (i.e., OH concentration of 7.5 × 10^9 molecules cm⁻³). The mass spectral signals can be identified as fragments with a formula of $C_x H_y O_z Si_n$. For D3 SOAs, the most prominent peaks were at m/z 44 and 29, dominated by CO₂⁺ and CHO⁺, which were tracers for organic acids (Ng et al., 2010), alcohols and aldehydes (Lee et al., 2012), respectively. They may result from the oxidation of the methyl groups in D3 by OH radicals. For the mass spectra of D4-D6 SOAs, the two highest peaks at m/z 14 and 15 were CH_2^+ and CH_3^+ , respectively. In addition, there were several dominant $C_x H_y O_z Si_n$ peaks, which were fragments of silicon-containing products. For the $C_x H_y O_z Si_n$ group in D4 SOAs, there were four typical peaks at m/z 255, 257, 271 and 273, with formulae of $C_4H_{11}O_7Si_3^+, C_3H_9O_8Si_3^+, C_3H_7O_9Si_3^+$ and $C_3H_9O_9Si_3^+, re$ spectively. The $C_x H_y O_z Si_n$ fragment group containing Si of D5 SOAs had three dominant peaks at m/z 327, 329 and 331, corresponding to $C_{12}H_{11}O_2Si_5^+$, $C_9H_9O_8Si_3^+$ and $C_5H_{15}O_9Si_4^+$, respectively. For the $C_xH_yO_zSi_n$ group containing Si in D6 SOAs, there were five main peaks at m/z 73 (C₃H₉Si), 387 (C₈H₂₃O₈Si₅⁺), 389 (C₈H₉O₉Si₅⁺), $401 (C_9H_{21}O_{10}Si_4^+)$ and $403 (C_7H_{15}O_{12}Si_4^+)$.

Figure 3 shows the evolution of different groups of ions in the HR-ToF-AMS spectra of the cVMS SOAs as a function of PA in equivalent days. For D3–D6 under unseeded conditions, $C_xH_yO_1$ and $C_xH_yO_{>1}$ ions significantly decreased within 0–4 equivalent days of PA, but remained essentially

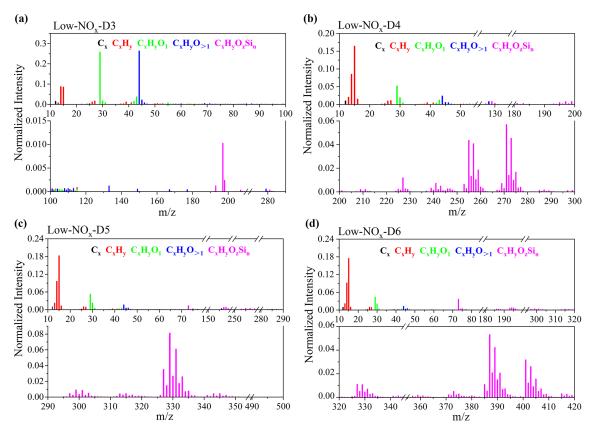


Figure 2. HR-ToF-AMS mass spectra of cVMS SOAs at OH exposure of 9.0×10^{11} molecules cm⁻³ s (i.e., OH concentration of 7.5×10^9 molecules cm⁻³) under low-NO_x conditions in unseeded experiments. Panels (a)–(d) represent the mass spectra of D3–D6 SOAs, respectively.

unchanged when PA increased to 7–15 equivalent days. The C_xH_y ion increased to its peak value at about 9 equivalent days of PA for D3 and 2–3 equivalent days of PA for D4–D6 and then gradually decreased with further PA increases. The $C_xH_yO_zSi_n$ group of ions maintained an increasing trend until 9–10 d of PA; thereafter it decreased slightly for D4–D6 SOAs.

The weighted values of the Si / C (n/x) and Si / O (n/z)atomic ratios for the $C_x H_y O_z Si_n$ groups in D5 and D6 SOAs at different PA are plotted in Fig. S8 (detailed calculations in Text S1 in the Supplement), which can be used to indicate the changes in the Si element of SOAs. The Si / C ratio increased slightly with increasing PA, whereas the Si / O ratio had an overall decreasing trend. While it is difficult to separate the effect of fragmentation due to the AMS ionization process, the relative changes of group intensities and the evolution of Si / C and Si / O in $C_x H_y O_z Si_n$ over different PA may be attributed to the evolution of cVMSs when oxidized by the OH radicals. The initial step of OH radical oxidation is H abstraction from the methyl groups on the -Si-O- ring of the cVMSs to form Si-containing radicals, which may generate OH and CH₂OH substitution products, such as silanol and silyl methanol (Wu and Johnston, 2016; Alton and Browne, 2020). Such Si-containing products may partition into SOAs and result in an appearance of $C_x H_y O_z Si_n$ ions in the AMS mass spectra. Notably, it has been reported that one of oxidation products of D5, 1-hydroxynonamethylcyclopentasiloxane (D₄TOH), has been detected in ambient particulate matter (Milani et al., 2021). At low PA, some oxygen-containing functional groups (-CH₂OH/-COOH/-OH), which were formed by the reaction of methyl groups in cVMSs with OH, resulted in a decrease of Si / O ratio. The Si-O bond breaking may happen mainly at high OH exposures, and it may occur after the cleavage of Si–C bonds (Rücker and Kümmerer, 2015). According to the previous study of Wu and Johnston (2017), some ring-opened products were generated from the reaction of D5 with OH radicals, necessarily requiring the cleavage of Si-O bonds. The Si-O bond cleavage from the OH radical attack may reduce the number of Si atoms, leading to a continuous decrease of Si / O at high PA. The continued breaking of Si-O bonds would lead to fragmentation and more volatile products, which caused a lower SOA yield and $C_x H_y O_7 Si_n$ fraction at longer PA (Figs. 1 and 3). The field measurements observed that Si acts as a frequent component of atmospheric nanoparticles (Bzdek et al., 2014). This work further deter-

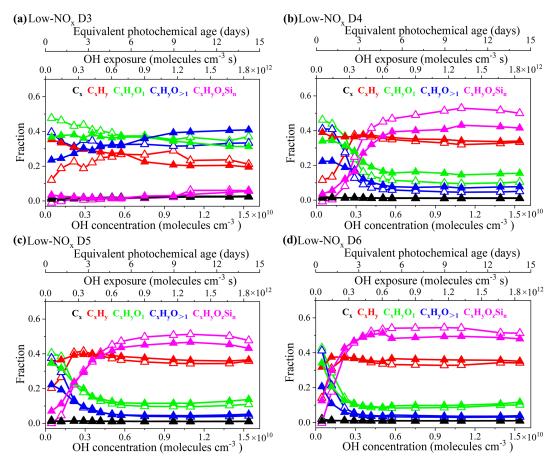


Figure 3. Fraction of C_x , C_xH_y , $C_xH_yO_1$, $C_xH_yO_{>1}$ and $C_xH_yO_zSi_n$ ion groups for SOAs derived from the oxidation of cVMSs (**a-d**) by OH radicals at different photochemical ages under low-NO_x conditions. Empty and solid triangles represent experimental data under unseeded and seeded conditions, respectively.

mines that cVMS SOAs could become an important contributor to Si in aerosols.

As shown in Fig. 3, the presence of seeds led to some changes in the evolution trends of ion groups in the AMS spectra. For instance, the initial fraction of C_xH_y in seeded experiments was larger than that in unseeded experiments – which may be related to the volatility of species containing C_xH_y that may be more easily deposited in the presence of seeds – whereas $C_xH_yO_1$ and $C_xH_yO_{>1}$ exhibited opposite changes. The presence of seeds led to larger initial and smaller steady-state $C_xH_yO_zSi_n$ fractions than those in unseeded experiments. Regardless of the presence of seeds, C_xH_y , $C_xH_yO_1$ and $C_xH_yO_{>1}$ mainly contributed to the composition of all cVMS SOAs at initial OH radicals oxidation, but D4–D6 SOAs primarily consisted of C_xH_y and $C_xH_yO_zSi_n$ after 4 equivalent days.

3.2.2 Compositions of SOAs under high-NO $_X$ conditions

Figure S9 shows the HR-ToF-AMS mass spectra of cVMS SOAs from unseeded experiments under high-NO_x conditions at OH exposures of 9.0×10^{11} molecules cm⁻³ s (\sim

6.9 d). Compared to that under low-NO_x conditions (Figs. 2 and S7), there was one additional N-containing group $(N_m O_z)$ in the SOA mass spectra under high-NO_x conditions, which accounted for 16 %-31 %. For the mass spectra of D3-D6 SOAs originating from unseeded experiments under high-NO_x conditions in Fig. S9, the dominating peaks of the $N_m O_z$ family were m/z 30 (NO⁺) and m/z 46 (NO₂⁺). The common main peaks were located at m/z 30 (NO⁺) for cVMS SOAs, m/z 44 (CO₂⁺) for D3–D4 SOAs, and m/z 46 (NO₂⁺) for D4–D6 SOAs. In addition, there were other primary peaks at m/z 29 (CHO⁺) for D4 SOAs and at m/z 15 (CH_3^+) and m/z 28 (CO^+) for D5–D6 SOAs. The m/z 28 (CO^+) , similar to m/z 44 (CO_2^+) , is considered as a tracer for organic acids (Ng et al., 2010). In the mass spectra for D3–D6 SOAs under high-NO $_x$ conditions, the presence of NO^+ (m/z 30) and NO_2^+ (m/z 46) illustrated the formation of organic or inorganic nitrates in SOAs (Ng et al., 2007b; Zhao et al., 2018).

For the $C_x H_y O_z Si_n$ group in the D4 SOA mass spectra under high-NO_x conditions, the dominating peaks and their formulas were the same as those under low-NO_x conditions. For

the $C_x H_y O_z Si_n$ group in D5 SOAs, in addition to two typical peaks at m/z 327 and 329 in the low-NO_x experiments, there was another prominent peak at m/z 328, with a formula of $C_8 H_{12} O_5 Si_5$. The $C_x H_y O_z Si_n$ group in D6 SOAs had three typical peaks at m/z 73 ($C_3 H_9 Si$), m/z 387 ($C_8 H_{23} O_8 Si_5^+$) and m/z 401 ($C_9 H_{21} O_{10} Si_4^+$). For the $C_x H_y O_z Si_n$ groups in cVMS SOAs, there was little difference in the x, y, z and n value assignment of $C_x H_y O_z Si_n$ peaks in SOAs generated under low-NO_x and high-NO_x conditions, suggesting the formation of similar Si-containing oxidation products.

For cVMS SOAs under high-NO $_x$ conditions, the evolution of family groups as a function of OH exposure is summarized in Fig. S10. The dominant composition at the initial stage was $C_x H_y O_{>1}$ groups for D3–D6 SOAs. At equivalent days larger than 4, D3 SOAs primarily consisted of $C_x H_y O_{>1}$, $N_m O_z$ and $C_x H_y O_1$ groups, while D4–D6 SOAs were mainly composed of $C_x H_y O_z Si_n$, $N_m O_z$, $C_x H_y O_1$, $C_x H_y$ and $C_x H_y O_{>1}$ groups. Figure S10 also shows the influences of seeds on the evolution of family groups under high-NO $_x$ conditions. It was observed that all groups in D3– D6 SOAs displayed similar change trends regardless of the existence of seeds. As shown in Fig. S11, the trend of the Si / O ratio in the $C_x H_y O_z Si_n$ groups at different photochemical ages under high-NO_x conditions was similar to that in low-NO_x experiments. However, the Si / C ratios remained almost unchanged and were close to the initial value (0.5) in cVMSs. This may be attributed to the possible suppression of cleavage of methyl groups from the -Si-O- ring of the cVMSs under high-NO $_{x}$ conditions.

4 Conclusions and implications

The yields and compositions of SOAs generated from the photooxidation of four cVMSs (D3–D6) with OH radicals were investigated using an oxidation flow reactor. cVMS SOA yields exhibited an overall increasing trend with PA, and their values gradually increased with cVMSs from D3 to D6. SOA formations depended on NO_x , as shown by smaller SOA yields under high- NO_x conditions. Ammonium sulfate seeds significantly enhanced SOA yields of D3–D5 at short PA under high- NO_x conditions. The SOA mass spectra showed that Si-containing species were one of main chemical compositions at PA >4 d.

To evaluate the potential contributions of cVMSs to SOA formation in the atmosphere, global SOA concentrations produced from cVMSs were estimated according to the cVMS SOA yields measured in this work and using the cVMS concentrations reported in multiple studies, which are listed in Table S6. Figure 4 shows the global concentration distribution of SOAs from four cVMSs (D3–D6) at 36 sites worldwide, as estimated by the Eq. (4):

$$C_{\text{cVMS-SOAs}} = C_{\text{cVMSs}} \times \frac{\Delta C_{\text{cVMSs}}}{C_{\text{in-cVMSs}}} \times Y,$$
 (4)

where C_{cVMSs} and $C_{\text{cVMS-SOAs}}$ are the mass concentrations of cVMSs reported in the literature and cVMS SOAs estimated in global sites, respectively; $C_{\text{in-cVMSs}}$ and ΔC_{cVMSs} are the mass concentrations of initial and lost cVMSs at the selected equivalent days during the experiments of this work, respectively (Table S7); Y is the cVMS SOA mass yield selected here. The estimations from the Eq. (4) were based on assumptions that the lost cVMS ratio is not affected by the cVMS concentration and that the background $C_{\text{cVMS-SOAs}}$ is zero. The dilution of cVMSs would occur during transportation in the atmosphere, leading to an uncertainty of cVMS concentrations (C_{cVMSs}) in Eq. (4). To simplify the estimation process, the effect of dilution on C_{cVMSs} was not taken into account, and the reported C_{cVMSs} values were directly used. It was noted that the time-integrated consumption over the lifetime of a precursor compound in the atmosphere should be suitable for evaluating the SOA formation. Accordingly, when considering atmospheric half-lives (6–30 d) of cVMSs, the $\Delta C_{\text{cVMSs}}/C_{\text{in-cVMSs}}$ values in low- NO_x and unseeded experiments at 14.2 equivalent days (Table S7) are used to calculate cVMS SOAs at background and polar sites. Due to a short residence time of air mass over urban areas, the $\Delta C_{\text{cVMSs}}/C_{\text{in-cVMSs}}$ values in high-NO_x and seeded experiments at 0.63 equivalent days (Table S7) are employed to estimate cVMS SOAs at urban sites.

It should be noted that OH concentrations and exposures have different effects on the reaction systems, leading to different SOA yields. Under an ideal condition, a low OH concentration for a long exposure time and a high OH concentration for a short exposure time could achieve the same degree of degradation of the precursor compound. However, this is not applicable for the formation of SOAs. Charan et al. (2022) have claimed that D5 SOA yields are dependent on the OH concentrations rather than OH exposures. When extrapolating the laboratory data to the real atmosphere, it is necessary to consider atmospherically relevant OH concentrations and exposures. Charan et al. (2022) also stated that the D5 SOA yield varied in a small range (0 %-6 %) at the OH concentration $\leq 5 \times 10^8$ molecules cm⁻³. It was assumed that the SOA yields at environmentally relevant OH concentrations ($\sim 10^6$ molecules cm⁻³) were similar to those at the lowest OH concentrations ($\sim 10^8$ molecules cm⁻³) used here. Thus, the high- NO_x SOA yields (D3: 0.038; D4: 0.001; D5: 0.011; D6: 0.000) under the seeded conditions at the OH concentration of 6.83×10^8 molecules cm⁻³ (0.63 equivalent days) were employed in the calculation of cVMS SOA concentrations at urban sites, while the low-NO $_{x}$ SOA yields (D3: 0.041; D4: 0.013; D5: 0.023; D6: 0.004) under the unseeded conditions at the OH concentration of 4.57×10^8 molecules cm⁻³ (0.42 equivalent days) were used to estimate cVMS SOAs at background and polar sites. Although there may be some uncertainties in extrapolating our results to the real atmosphere, these extrapolations may still provide an estimation for understanding the SOA potential of cVMSs.

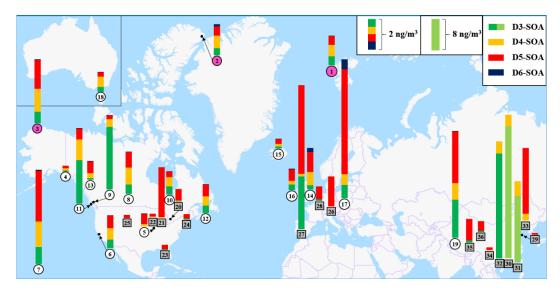


Figure 4. Global concentrations of cVMS SOAs (ng m⁻³) on the basis of the cVMS concentrations reported in multiple studies and the cVMS SOA yields measured in this work. The numbers of polar, background and urban sites are enclosed in pink, white circles and gray boxes, respectively. The details about cVMS and SOA concentrations at different sites are summarized in Table S6 in the Supplement.

Table S6 summarizes the details regarding sites and concentrations of cVMS SOAs. The derived concentrations of cVMS SOAs vary significantly among urban, background and polar sites. The total cVMS SOA concentrations in urban areas are the highest, up to $33.52 \,\mathrm{ng}\,\mathrm{m}^{-3}$, which was comparable with the maximum cVMS SOA formation (21 ng m^{-3}) reported by Pennington et al. (2021) using the CMAQ model for Los Angeles. They are 0.57-8.86 and 0.37-1.97 ng m⁻³ for background and Arctic sites, respectively. cVMS SOA concentrations in urban regions of Asia (sites 29-36) and Europe (sites 26-28) are generally larger than those of North America (sites 20-25). In China, the total cVMS SOA concentrations in urban sites range from 0.11 to 33.52 ng m⁻³. The main precursors of cVMS SOAs are different among Chinese cities. For three cities along the southeast coast of China (Guangzhou, Macau and Foshan), the dominant precursors of cVMS SOAs are D3 and D4, which are related to industrial emissions of these two siloxanes in this region (Wang et al., 2001). For Dalian in China, D5 mainly contributes to cVMS SOAs, which can be attributed to the industrial production and the use of personal care products in Dalian (Li et al., 2020). In the other Chinese urban areas with reported cVMS concentrations (Lhasa, Golmud, Kunming and Yantai), the total cVMS SOA concentrations are considerably smaller than those in the urban areas above, with D5 acting as the main precursor, which may be ascribed to the relatively low population densities in these cities (Wang et al., 2018).

At urban sites in Europe and North America, cVMS SOA concentrations are reported in the range of 0.91–9.16 and 0.19–3.23 ng m⁻³, respectively. Among these cVMSs, D5 is the main contributor to cVMS SOAs at these lo-

cations, averaging 89.1% of total cVMS SOAs. For instance, D5 SOAs are calculated to be 5.63 ng m⁻³ in Catalonia, Spain; $3.19 \,\mathrm{ng}\,\mathrm{m}^{-3}$ in Chicago, USA; $1.89 \,\mathrm{ng}\,\mathrm{m}^{-3}$ in Zurich, Switzerland; and 0.81 ng m⁻³ in Paris, France, where there are high levels of economic activity and high population densities. These results suggest that personal care products as one of the main sources of D5 may be the most important anthropogenic origin of Si-containing SOAs in Europe and North America. The D5 SOA concentration $(0.11-5.63 \text{ ng m}^{-3})$ estimated here is larger than that (0.016- $0.206 \,\mathrm{ng}\,\mathrm{m}^{-3}$) reported by Milani et al. (2021), who obtained their data using semi-quantified concentrations of D₄TOH (first-generation D5 SOA product) extracted from PM_{2.5} samples in Atlanta and Houston. The difference may be mainly attributed to the missing analysis of multi-generation SOA products or dimers (Wu and Johnston, 2016, 2017).

At background and Arctic sites, cVMS SOAs are primarily derived from D3, D4 and D5. The background sites are located in mountains, rural areas, forested areas, lakes and at high altitudes. The three highest cVMS SOA concentrations at background sites are located at Kosetice in the Czech Republic (8.86 ng m⁻³), the Tibetan Plateau in China (6.78 ng m⁻³) and Hilo of Hawaii in the USA (5.97 ng m⁻³), where the contribution percentages of SOAs from D3 to D5 are 92.8 %, 99.9 % and 99.0 %, respectively. The cVMS SOA concentrations at the Alert site in Nunavut, Canada, are the highest (1.97 ng m⁻³) among the three locations in the Arctic, 92.4 % of which are accounted for by D3–D5 SOAs. The dominance of D3–D5 SOAs in both background and the Arctic regions highlights their persistence in the atmosphere and their potential for long-range atmospheric transport.

The global annual production of D4, D5 and D6 is about 1, 0.1 and 0.01 Tg yr⁻¹, respectively, and 90 % of these cVMSs are eventually released into the atmosphere (Li et al., 2020; Genualdi et al., 2011; Wang et al., 2013; Sakurai et al., 2019). Based on the results shown in Fig. 4, the annual production of cVMS (D4-D6) SOAs was estimated to be $0.01 \,\mathrm{Tg}\,\mathrm{yr}^{-1}$, which was about $0.34 \,\%$ of SOAs $(2.9 \,\mathrm{Tg}\,\mathrm{yr}^{-1})$ produced from mobile source emissions in the USA and 33 %-50 % of SOAs generated by Athabasca oil sands (0.02-0.03 Tg yr⁻¹, one of the largest sources of anthropogenic secondary organic aerosols in North America) (Tkacik et al., 2014; Liggio et al., 2016). Moreover, it was 0.05 % and 0.14% of isoprene SOAs $(20\,\mathrm{Tg}\,\mathrm{yr}^{-1})$ and monoterpenes $SOAs (7 \text{ Tg yr}^{-1})$ (typical biogenic SOAs), respectively, indicating the potential importance of cVMS SOAs (Jokinen et al., 2015). While these cVMS SOA sources may seem small, they could make substantially higher contributions to ambient air SOAs in population centers where cVMS compounds are primarily used.

Data availability. The data used in this study are available from the corresponding author upon request (shaomeng.li@pku.edu.cn).

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Author contributions. CH designed and conducted all experiments; CH and HY analyzed the data and prepared the paper with contributions from KL, PL, JL, AL and SML. SML supervised the project.

Competing interests. At least one of the (co-)authors is a member of the editorial board of *Atmospheric Chemistry and Physics*. The peer-review process was guided by an independent editor, and the authors also have no other competing interests to declare.

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