



Supplement of

Insights into particulate matter pollution in the North China Plain during wintertime: local contribution or regional transport?

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- The supplement provides description about model description, model evaluation, and thecalculation of average wind direction during the study episode.
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Section S1 Parameterization of the heterogeneous oxidation of SO₂ involving aerosol
water

The SO₂ heterogeneous reaction parameterization is used in this study in which the SO₂ oxidation in aerosol water by O₂ catalyzed by Fe^{3+} is limited by mass transfer resistances in the gas-phase and the gas-particle interface.

29
$$S(IV) + \frac{1}{2}O_2 \xrightarrow{Fe^{3+}} S(VI)$$

When the solution pH is between 5.0 and 7.0, the oxidation reaction is second order in
dissolved iron and first order in S(IV) and can be expressed as follows (Seinfeld and Pandis,
2006):

33
$$-\frac{d[S(IV)]}{dt} = 1 \times 10^{-3} [S(IV)] \quad 5.0 < pH < 6.0$$

34
$$-\frac{d[S(IV)]}{dt} = 1 \times 10^{-4} [S(IV)] \quad pH \sim 7.0$$

where [S(IV)] is the sulfite (S(IV)) concentration. The measured SO₂ mass accommodation 35 coefficient on aqueous surfaces is around 0.1 (Worsnop et al., 1989). Due to sufficient NH₃ 36 and presence of mineral dust in the atmosphere in northern China, the calculated pH in 37 aerosol water is between 5.0 and 7.0 (Cao et al., 2013). The SO₂ uptake coefficient on aerosol 38 water surface is estimated to be about $10^4 - 10^5$ if the sulfite oxidation is catalyzed by Fe³⁺. 39 The sulfate heterogeneous formation from SO₂ is therefore parameterized as a first-order 40 irreversible uptake by aerosols, with a reactive uptake coefficient of 0.5×10^{-4} , assuming that 41 there is enough alkalinity to maintain the high iron-catalyzed reaction rate: 42

43
$$-\frac{d[SO_2]}{dt} = -(\frac{1}{4}\gamma_{SO_2}\nu_{SO_2}A_W)[SO_2]$$

44 where $[SO_2]$ is the SO₂ concentration, A_W is the aerosol water surface area, γ_{SO_2} is the SO₂ 45 reactive uptake coefficient, and v_{SO_2} is the SO₂ thermal velocity. The aerosol hygroscopic 46 growth is directly predicted by ISORROPIA (Version 1.7) in the model, and the aerosol 47 water surface area is scaled from the calculated wet aerosol surface area using the third-48 moment of aerosol species.

49 Section S2 Model Evaluation

50 Section S2.1 Air pollutants simulations in different cities in the NCP

Considering that there are many monitoring sites in the NCP, scatter plots of observed 51 and simulated PM_{2.5}, O₃, NO₂, SO₂ and CO concentrations for all sites in Beijing, Tianjin, 52 Hebei, Henan, Shandong, Shanxi, Jiangsu, and Anhui from 05 December 2015 to 04 Janurary 53 54 2016 have also been provided in Figures S4 to S8, respectively. Except Anhui, the correlation coefficients between observed and simulated PM_{2.5} concentrations are generally larger than 55 0.70 (Figure S4). The model also performs well in simulating the O₃ concentration in the 56 NCP, with correlation coefficients generally larger than 0.80 (Figure S5). The NO₂ 57 concentration in the NCP is also simulated reasonably, with correlation coefficients generally 58 59 ranging from 0.70 to 0.80 (Figure S6). Considering that the SO₂ is mainly emitted from point sources, which is more sensitive to meteorological conditions, the model has difficulties in 60 simulating the SO₂ concentration, with correlation coefficients generally less than 0.60 61 (Figure S7). In addition to Tianjin and Shanxi, the CO concentration is also reasonably 62 reproduced, with correlation coefficient larger than 0.70 (Figure S8). 63

64 Section S2.2 Cloud properties

65 Clouds are one of the most important factors affecting the solar radiation reaching the
66 ground. The daily cloud fraction (CF) used in this study was retrieved from Terra- and Aqua67 Moderate Resolution Imaging Spectroradiometer (MODIS) level 2 products. Figure S13

presents the scatter plot of the daily retrieved and simulated CF averaged in the NCP from 05 68 December 2015 to 31 December 2015. Generally, the simulated daily average CF correlates 69 well with that retrieved, with a correlation coefficient of 0.69. The simulated average CF over 70 the NCP during the episode is 52.8%, lower than the MODIS retrieved 78.4%. Numerical 71 models still have difficulties in representing accurately clouds in terms of microphysical 72 processes, cloud morphologies, occurrence and dissipation. In addition, many uncertainties 73 also significantly impact CF retrievals, such as the satellite's view zenith angle, cloud 74 microphysics assumptions, namely cloud phase, particle size and shape, et al. (An and Wang, 75 2015; Platnick et al., 2017; Zeng et al., 2012; Li et al., 2014). Therefore, it is still difficult to 76 validate cloud simulations using the satellite cloud products. 77

78 Section S3 Calculation of the average wind direction

The wind direction simulated in this study is calculated using the U (the velocity toward
east) and V (the velocity toward north) component at a specific grid point over the simulation
domain and the average wind direction is calculated based on the average U and V.

83 References

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117 Table S1 SOA yield scenarios using a four-product basis set with saturation concentrations of **118** 1, 10, 100, and 1000 μ g m³ at 298 K.

504		Aeroso	sol Yield* Aerosol Yield		Molecular				
Precursors	Low-NOx Parameterization				Hig	Weight			
	1	10	100	1000	1	10	100	1000	$(g mol^{-1})$
ALK4	0.000	0.038	0.000	0.000	0.000	0.075	0.000	0.000	120
ALK5	0.000	0.150	0.000	0.000	0.000	0.300	0.000	0.000	150
OLE1	0.001	0.005	0.038	0.150	0.005	0.009	0.060	0.225	120
OLE2	0.003	0.026	0.083	0.270	0.023	0.044	0.129	0.375	120
ARO1	0.003	0.165	0.300	0.435	0.075	0.225	0.375	0.525	150
ARO2	0.002	0.195	0.300	0.435	0.075	0.300	0.375	0.525	150
CRES	-	-	-	-	-	-	-	-	-
ISOP	0.001	0.023	0.015	0.000	0.009	0.030	0.015	0.000	136
TERP	0.012	0.122	0.201	0.500	0.107	0.092	0.359	0.600	180

119 *The SOA yields are based on an assumed density of 1.5 g cm^{-3} .

C [*] at 298K (µg m ⁻³)	0.01	0.1	1	10	10 ²	10 ³	10 ⁴	10 ⁵	10 ⁶
Fraction of emissions	0.03	0.06	0.09	0.14	0.18	0.30	0.40	0.50	0.80
Emission Phase (Particle:P;Gas:G)	Р	Р	Р	Р	Р	Р	G	G	G
Molecular Weight (g mol ⁻¹)	250	250	250	250	250	250	250	250	250
Δ H (kJ mol ⁻¹) (Robinson et al., 2007)	112	106	100	94	88	82	76	70	64
Δ H (kJ mol ⁻¹) (Grieshop et al., 2009)	77	73	69	65	61	57	54	50	46

Table S2 Parameters used to treat partitioning of POA emissions.

Supplement Figure Captions

Figure S1 Model simulation domain and designation of source regions. The black filled circles with number denote the meteorological sites used in this study in the NCP. 1:
Beijing; 2: Tianjin; 3: Shijiazhuang; 4: Jinan; 5: Zhengzhou; 6: Hefei; 7: Nanjing.

- Figure S2 (a) Geopotential heights and (b) the mean sea level pressures with wind vectors during the study episode from 05 December 2015 to 04 January 2016.
- Figure S3 Comparison of observed (black dots) and simulated (solid red lines) diurnal
 profiles of near-surface (a) pressure, (b) temperature, (c) wind speed, and (d) wind
 direction averaged at monitoring sites in the NCP from 05 December 2015 to 04
 January 2016.
- Figure S4 Scatter plot of hourly simulated and observed PM_{2.5} concentration in (a) Beijing, (b)
 Tianjin, (c) Hebei, (d) Henan, (e) Shandong, (f) Shanxi, (g) Anhui, and (h) Jiangsu during the episode from 05 December 2015 to 04 January 2016.
- Figure S5 Scatter plot of hourly simulated and observed O₃ concentration in (a) Beijing, (b)
 Tianjin, (c) Hebei, (d) Henan, (e) Shandong, (f) Shanxi, (g) Anhui, and (h) Jiangsu
 during the episode from 05 December 2015 to 04 January 2016.
- Figure S6 Scatter plot of hourly simulated and observed NO₂ concentration in (a) Beijing, (b)
 Tianjin, (c) Hebei, (d) Henan, (e) Shandong, (f) Shanxi, (g) Anhui, and (h) Jiangsu during the episode from 05 December 2015 to 04 January 2016.
- Figure S7 Scatter plot of hourly simulated and observed SO₂ concentration in (a) Beijing, (b)
 Tianjin, (c) Hebei, (d) Henan, (e) Shandong, (f) Shanxi, (g) Anhui, and (h) Jiangsu during the episode from 05 December 2015 to 04 January 2016.
- Figure S8 Scatter plot of hourly simulated and observed CO concentration in (a) Beijing, (b)
 Tianjin, (c) Hebei, (d) Henan, (e) Shandong, (f) Shanxi, (g) Anhui, and (h) Jiangsu during the episode from 05 December 2015 to 04 January 2016.
- Figure S9 Pattern comparisons of simulated (color contours) vs. observed (colored circles) near-surface mass concentrations of PM_{2.5} concentration at (a) 00:00 BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged from 05 December 2015 to 04 January 2016. The black arrows indicate simulated surface winds.
- Figure S10 Pattern comparisons of simulated (color contours) vs. observed (colored circles) near-surface mass concentrations of O₃ concentration at (a) 00:00 BJT, (b) 04:00 BJT,
 (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged from 05 December 2015 to 04 January 2016. The black arrows indicate simulated surface winds.
- Figure S11 Pattern comparisons of simulated (color contours) vs. observed (colored circles) near-surface mass concentrations of NO₂ concentration at (a) 00:00 BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged from 05 December 2015 to 04 January 2016. The black arrows indicate simulated surface winds.
- Figure S12 Pattern comparisons of simulated (color contours) vs. observed (colored circles) near-surface mass concentrations of SO₂ concentration at (a) 00:00 BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged from 05 December 2015 to 04 January 2016. The black arrows indicate simulated surface winds.
- **176** Figure S13 Scatter plot of the MODIS retrieved and simulated daily cloud fraction averaged

- in the NCP from 05 December 2015 to 31 December 2015.
- 178Figure S14 Spatial distribution of average $PM_{2.5}$ contributions from Beijing at (a) 00:00 BJT,179(b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT180averaged from 05 December 2015 to 04 January 2016.
- **181**Figure S15 Spatial distribution of average $PM_{2.5}$ contributions from Tianjin at (a) 00:00 BJT,**182**(b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT**183**averaged from 05 December 2015 to 04 January 2016.
- Figure S16 Spatial distribution of average $PM_{2.5}$ contributions from Hebei at (a) 00:00 BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged from 05 December 2015 to 04 January 2016.
- 187Figure S17 Spatial distribution of average $PM_{2.5}$ contributions from Henan at (a) 00:00 BJT,188(b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT189averaged from 05 December 2015 to 04 January 2016.
- 190Figure S18 Spatial distribution of average $PM_{2.5}$ contributions from Shandong at (a) 00:00191BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT192averaged from 05 December 2015 to 04 January 2016.
- Figure S19 Spatial distribution of average $PM_{2.5}$ contributions from Shanxi at (a) 00:00 BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged from 05 December 2015 to 04 January 2016.
- Figure S20 Vertical profiles of average PM_{2.5} contribution from local (red line) and non-local
 (black line) emissions in (a) Beijing, (b) Tianjin, (c) Hebei, (d) Henan, (e) Shandong,
 and (f) Shanxi from 05 December 2015 to 04 January 2016.
- Figure S21 Spatial distribution of average near-surface PM_{2.5} contributions from (a) residential, (b) transportation, (c) industry, (d) power, and (e) agriculture emission sectors from 05 December 2015 to 04 January 2016.
- Figure S22 Average (a) decrease of surface temperature (TSFC), (b) increase of relative humidity (RH), and (c) percentage decrease of PBLH caused by the BC transported from the south of 32°N, as a function of the near-surface PM_{2.5} concentration in the NCP during daytime from 05 December 2015 to 04 January 2016.
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Figure S1 Model simulation domain and designation of source regions. The black filled
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averaged at monitoring sites in the NCP from 05 December 2015 to 04 January 2016.



Figure S4 Scatter plot of hourly simulated and observed PM_{2.5} concentration in (a) Beijing, (b)
Tianjin, (c) Hebei, (d) Henan, (e) Shandong, (f) Shanxi, (g) Anhui, and (h) Jiangsu during the
episode from 05 December 2015 to 04 January 2016.



Figure S5 Scatter plot of hourly simulated and observed O₃ concentration in (a) Beijing, (b)
Tianjin, (c) Hebei, (d) Henan, (e) Shandong, (f) Shanxi, (g) Anhui, and (h) Jiangsu during the
episode from 05 December 2015 to 04 January 2016.



Figure S6 Scatter plot of hourly simulated and observed NO₂ concentration in (a) Beijing, (b)
Tianjin, (c) Hebei, (d) Henan, (e) Shandong, (f) Shanxi, (g) Anhui, and (h) Jiangsu during the
episode from 05 December 2015 to 04 January 2016.



Figure S7 Scatter plot of hourly simulated and observed SO₂ concentration in (a) Beijing, (b)
Tianjin, (c) Hebei, (d) Henan, (e) Shandong, (f) Shanxi, (g) Anhui, and (h) Jiangsu during the
episode from 05 December 2015 to 04 January 2016.



Figure S8 Scatter plot of hourly simulated and observed CO concentration in (a) Beijing, (b)
Tianjin, (c) Hebei, (d) Henan, (e) Shandong, (f) Shanxi, (g) Anhui, and (h) Jiangsu during the
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Figure S9 Pattern comparisons of simulated (color contours) vs. observed (colored circles) near-surface mass concentrations of PM_{2.5} concentration at (a) 00:00 BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged from 05 December 2015 to 04 January 2016. The black arrows indicate simulated surface winds.



Figure S10 Pattern comparisons of simulated (color contours) vs. observed (colored circles)
near-surface mass concentrations of O₃ concentration at (a) 00:00 BJT, (b) 04:00 BJT, (c)
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273 2015 to 04 January 2016. The black arrows indicate simulated surface winds.

CF observation Figure S13 Scatter plot of the MODIS retrieved and simulated daily cloud fraction averaged in the NCP from 05 December 2015 to 31 December 2015.

PM_{2.5} concentration (µg m³)
Figure S14 Spatial distribution of average PM_{2.5} contributions from Beijing at (a) 00:00 BJT,
(b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged
from 05 December 2015 to 04 January 2016.

Figure S15 Spatial distribution of average $PM_{2.5}$ contributions from Tianjin at (a) 00:00 BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged from 05 December 2015 to 04 January 2016.

from 05 December 2015 to 04 January 2016.

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Figure S17 Spatial distribution of average $PM_{2.5}$ contributions from Henan at (a) 00:00 BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged from 05 December 2015 to 04 January 2016.

298 PM_{25} concentration (μ g m³) PM_{25} concentration (μ g m³)**299**Figure S18 Spatial distribution of average $PM_{2.5}$ contributions from Shandong at (a) 00:00**300**BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT**301**averaged from 05 December 2015 to 04 January 2016.

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Figure S19 Spatial distribution of average $PM_{2.5}$ contributions from Shanxi at (a) 00:00 BJT, (b) 04:00 BJT, (c) 08:00 BJT, (d) 12:00 BJT, (e) 16:00 BJT, and (e) 20:00 BJT averaged from 05 December 2015 to 04 January 2016.

314 Figure S21 Spatial distribution of average near-surface PM_{2.5} contributions from (a)

- residential, (b) transportation, (c) industry, (d) power, and (e) agriculture emission sectors
- **316** from 05 December 2015 to 04 January 2016.

- humidity (RH), and (c) percentage decrease of PBLH caused by the BC transported from the
- south of 32° N, as a function of the near-surface PM_{2.5} concentration in the NCP during
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