



Nonlinear responses of particulate nitrate to NO_x emission controls in the megalopolises of China

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Abstract. Nitrate is an increasingly important component of fine particulate matter ($\text{PM}_{2.5}$) in Chinese cities. The production of nitrate is not only related to the abundance of its precursor, but it is also supported by the atmospheric photochemical oxidants, raising a new challenge for the current emission control actions in China. This paper uses comprehensive measurements and a regional meteorology–chemistry model with optimized mechanisms to establish the nonlinear responses between particulate nitrate and the emission controls of nitrogen oxides (NO_x) in the megalopolises of China. Nitrate is an essential component of $\text{PM}_{2.5}$ in eastern China, accounting for 9.4 %–15.5 % and 11.5 %–32.1 % of the $\text{PM}_{2.5}$ mass for the warm and cold seasons. The hypothetical NO_x emission reduction scenarios (−10 % to −80 %) during summer–autumn result in almost linearly lower $\text{PM}_{2.5}$ by −2.2 % in Beijing–Tianjin–Hebei (BTH) and −2.9 % in Yangtze River Delta (YRD) per 10 % reduction of NO_x emissions, whereas they lead to a rather complicated response of PM components in winter. Wintertime nitrate is found to increase by +4.1 % in BTH and +5.1 % in YRD per 10 % reduction of NO_x emissions, with nearly unchanged nitric acid (HNO_3) and higher dinitrogen pentoxide (N_2O_5) intermediate products produced from the increased atmospheric oxidant levels. An inflexion point appears at 30 %–50 % NO_x emission reduction, and a further reduction in NO_x emissions is predicted to cause −10.5 % reduction of nitrate for BTH and −7.7 % for YRD per 10 % reduction of NO_x emissions. In addition, the 2012–2016 NO_x control strategy actually leads to no changes or even increases of nitrate in some areas (8.8 % in BTH and 14.4 % in YRD) during winter. Our

results also emphasize that ammonia (NH_3) and volatile organic compounds (VOCs) are effective in controlling nitrate pollution, whereas decreasing the sulfur dioxide (SO_2) and NO_x emissions may have counterintuitive effects on nitrate aerosols. This paper helps understand the nonlinear aerosol and photochemistry feedbacks and defines the effectiveness of proposed mitigations for the increasingly serious nitrate pollution in China.

1 Introduction

Secondary inorganic aerosols (SIAs), including sulfate (SO_4^{2-}), nitrate (NO_3^-) and ammonium (NH_4^+), account for 30 %–60 % of the total fine particulate matter ($\text{PM}_{2.5}$) mass during haze events in China (R. J. Huang et al., 2014; Zhao et al., 2013). Since the enactment of the Air Pollution Prevention and Control Action Plan in 2013, the Chinese government has taken drastic measures to reduce the emissions of sulfur dioxide (SO_2), nitrogen oxides (NO_x) and primary $\text{PM}_{2.5}$, leading to significant decreases in sulfate and overall $\text{PM}_{2.5}$ concentrations in cities (Silver et al., 2018; Li et al., 2021a; J. D. Wang et al., 2017). Meanwhile, the nitrogen/sulfur (N/S) ratio in $\text{PM}_{2.5}$ increased significantly, and nitrate became the main component of $\text{PM}_{2.5}$ (16 %–45 %) during haze episodes, despite a more than 20 % reduction in the concentrations of its precursor NO_x (Shao et al., 2018; Wen et al., 2018; Zhai et al., 2019). The increasingly serious nitrate pollution has emerged to be the new emphasis of air pollution controls in China.

Nitrate formation involves complex multiphase chemical reactions. In the daytime, nitrogen dioxide (NO₂) reacts with the hydroxyl radical (OH) to produce nitric acid (HNO₃). With excess ammonium (NH₃), low temperature and insufficient sulfuric acid, this reaction can proceed quickly and produce high ammonium nitrate (Seinfeld and Pandis, 2006). In the nighttime, however, high-concentration NO₂ reacts with ozone (O₃) to produce the nitrate radical (NO₃) and dinitrogen pentoxide (N₂O₅). The heterogeneous hydrolysis of N₂O₅ on wet particles is the main pathway for nocturnal nitrate formation (56 %–97 %) (He et al., 2018; Pathak et al., 2011; Xue et al., 2014).

Nitrate chemistry is not only related to the abundance of its precursor NO_x, but it is also supported by the atmospheric oxidants (e.g., OH and O₃) produced from the photochemical reactions of NO_x and volatile organic compounds (VOCs) (Meng et al., 1997). Using a box model, some studies have determined that the relationship between particulate nitrate and NO_x emissions is nonlinear depending on the ozone chemical sensitivity regime (Pun and Seigneur, 2001; Nguyen and Dabdub, 2002). Pun and Seigneur (2001) showed that the daytime HNO₃ production was more sensitive to the concentrations of atmospheric oxidants and that in the VOC-limited regime the decrease of HNO₃ production due to the NO_x emission control might be offset by the increase of OH. Nguyen and Dabdub (2002) calculated the detailed isopleth between nitrate and NO_x emissions; they found that the reduction of NO_x emissions resulted in a decrease of nitrate in the NO_x-limited regime, and an increase of nitrate under extreme conditions in the VOC-limited regime. Despite that, the single-site box model results could not distinguish the regional differences among chemical regimes; the basic hypotheses in box models to predict nitrate production are also unreasonable in the real atmosphere.

As an important precursor for both fine particles and ozone, strict control of NO_x emissions has started in China since the 12th Five-Year Plan was established (Zheng et al., 2018). A confounding factor is that, for most cities in China, the production of O₃ is usually limited by VOCs (Xie et al., 2014; Dong et al., 2014; Liu et al., 2010). The control of NO_x emissions has therefore resulted in an increase of surface O₃ concentrations in recent years (Li et al., 2021a; K. Li et al., 2019; Kalsoom et al., 2021), implying complex impacts on nitrate formation. Li et al. (2021a) and Liu and Wang (2020) examined the influencing factors on the surface O₃ trends in China from 2013 to 2017 using regional chemical models. They highlighted that the control of NO_x emissions explained 11 %–35 % of the increased O₃ due to the nonlinear NO_x–VOC–O₃ chemistry and that for most regions the magnitudes could be comparable to those resulting from the meteorological influences and aerosol effects. Some simulations suggested that the NO_x emission increase in 2005–2012 resulted in an increase of nitrate by 3.4 % yr^{−1} in eastern China (Geng et al., 2017; Wang et al., 2013), and the following NO_x emission control resulted in a decrease of ni-

trate by 3 %–14 % (Wang et al., 2014). Recent evidence from field observations (Fu et al., 2020) and numerical simulations (Dong et al., 2014), however, has suggested that the NO_x emission reduction in China could result in an increase of nitrate in winter through increased photochemical oxidants and nocturnal N₂O₅ chemistry but a decrease in other seasons. In the next 5–10 years, SO₂ emissions might level off in China, while NO_x emissions will become stringently controlled to ensure further air quality improvements (Zheng et al., 2018). Accurately understanding the nonlinear aerosol and photochemistry feedbacks is crucial to resolve the emerging nitrate pollution and to establish reasonable air pollution control strategies in China.

To address this issue, we use comprehensive measurements and a regional meteorology–chemistry model combined with hypothetical NO_x emission scenarios to establish the nonlinear response relationships between particulate nitrate and NO_x emission controls in the megalopolises of China. The model configurations, numerical designs and observational data are presented in Sect. 2. Section 3 discusses the results. Finally, a summary is presented in Sect. 4.

2 Materials and methods

2.1 Model setup and experimental designs

This study uses the Weather Research and Forecasting–Chemistry (WRF–Chem) model version 4.1 developed by Grell et al. (2005) to simulate the regional meteorology and atmospheric chemistry. The mesoscale meteorology and air quality simulations of WRF–Chem have been improved in terms of incorporating the satellite-derived land surface parameters (Li et al., 2014, 2017) and optimizing the SIA formation pathways enhanced by mineral aerosols (M. M. Li et al., 2019; X. Huang et al., 2014).

The modeling domain covers two main megalopolises of China and its adjacent areas – the Beijing–Tianjin–Hebei (BTH) region and the Yangtze River Delta (YRD) region (Fig. 1). The modeling framework is configured with 81 × 86 grid cells at 25 km horizontal resolution. The model is run with an 84 h model cycle, with the first 12 h discarded as spin-up time and model outputs of each model cycle to provide chemical initial conditions for the subsequent overlapping 84 h simulation. The 6 h, 1° × 1° National Centers for Environmental Prediction Final (NCEP/FNL) analysis fields are regularly input for the model initial and lateral boundary meteorological conditions.

The model physical configurations include the YSU boundary layer scheme (Noh et al., 2003), the RRTMG radiation scheme (Iacono et al., 2008), the Noah land surface scheme (Ek et al., 2003) and the Lin microphysics scheme (Lin et al., 1983). We have updated the land cover type and vegetation data in the WRF mesoscale model with the latest land surface parameters derived from the Moderate Res-

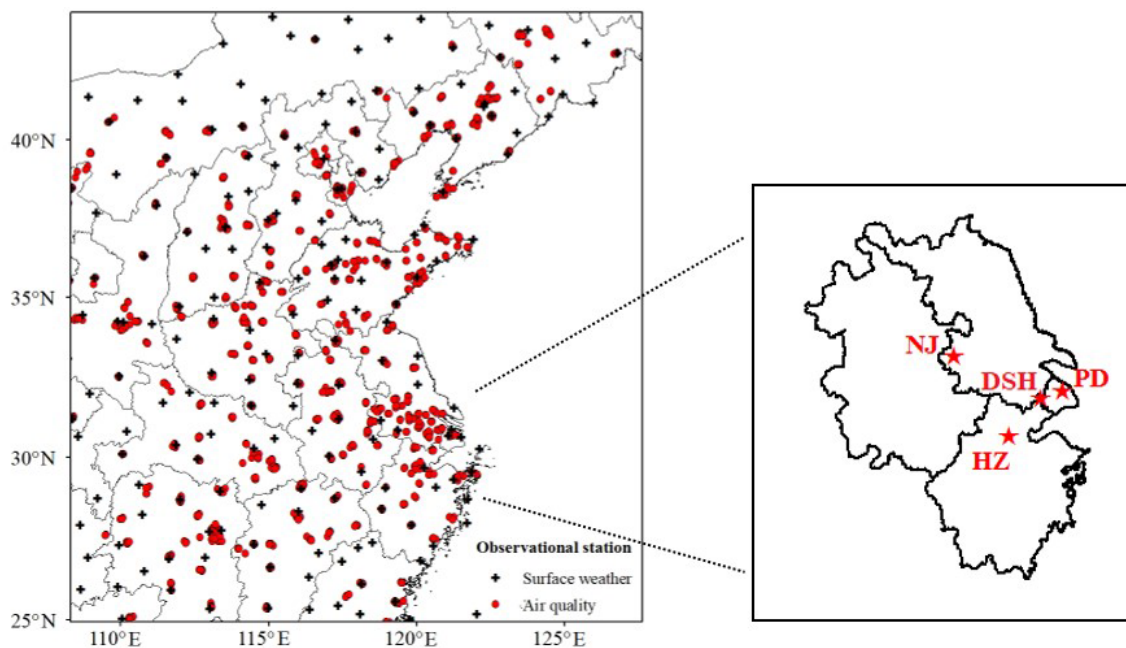


Figure 1. WRF-Chem domain configuration and observational stations. Black crosses: surface weather stations; red dots: CNEMC routine air quality monitoring stations; red stars: surface supersites in YRD.

olution Imaging Spectroradiometer (MODIS; Li et al., 2014, 2017).

The atmospheric chemistry is simulated using the Carbon Bond Mechanism version Z (CBMZ) (Zaveri and Peters, 1999) gas-phase chemistry module coupled with the four-bin sectional Model for Simulating Aerosol Interactions and Chemistry (MOSAIC) (Zaveri et al., 2008). The aqueous-phase chemistry is based on the Carnegie Mellon University (CMU) scheme including 50 species and more than 100 reactions (Fahey and Pandis, 2001). Formation of SIA in the default WRF-Chem model accounts for the gas-phase oxidation of SO₂ and NO₂ and aqueous-phase oxidation of SO₂ by hydrogen peroxide (H₂O₂) and O₃ in cloud. We have optimized the SIA formation pathways by including the aqueous SO₂ oxidation catalyzed by mineral ions and heterogeneous uptakes of SO₂, NO₂, NO₃, N₂O₅ and HNO₃ on mineral aerosols in the MOSAIC aerosol module (M. M. Li et al., 2019; X. Huang et al., 2014).

Anthropogenic emissions are adopted from the 2016 Multi-resolution Emission Inventory for China (MEIC) and the 2010 MIX-Asia emission inventory for regions outside of mainland China developed by Tsinghua University (<http://meicmodel.org>, last access: December 2020). Biogenic emissions are calculated online using the Model of Emissions of Gases and Aerosols from Nature (MEGAN; Guenther et al., 2006).

A series of WRF-Chem simulations is designed, as summarized in Table 1. In the baseline simulation (denoted as the B0 scenario), the anthropogenic emissions in China re-

main unchanged at the usual levels in 2016. Simulation N0 is the same as B0, but it only considers the gas-phase oxidation production of HNO₃ (NO₂ + OH → HNO₃) and its subsequent partitioning to the aerosol phase of nitrate in WRF-Chem. The B0 and N0 simulations are combined to distinguish the contributions of gas-phase oxidation and heterogeneous pathways (i.e., uptakes of N₂O₅, NO₃ and NO₂) for the formation of nitrate aerosols during the warm and cold seasons. A group of sensitivity scenarios (C_{NO_x-N} (N = 10 %, 20 %, ..., and 80 %)) are designed with the perturbed anthropogenic NO_x emissions in China reduced by 10 %, 20 %, ..., and 80 %, respectively. The differences between B0 and C_{NO_x-N} simulations are calculated to illustrate the responses of particulate pollution in China's megacities to the NO_x emission reduction scenarios. Another simulation (E1) is designed with the anthropogenic emissions of NO_x in China set to the 2012 levels to show the impacts of 2012–2016 NO_x control strategy on particulate pollution. Additionally, in order to evaluate the effectiveness of multi-pollutant cooperative controls, three series of simulations (C_{SO₂-N}, C_{NH₃-N} and C_{VOCs-N}, N = 20 %, 40 %, 60 % and 80 %) are also supplemented with the anthropogenic emissions of SO₂, NH₃ and VOCs in China reduced by 20 %, 40 %, 60 % and 80 %, respectively. The differences between B0 and C_{NO_x-N}/C_{SO₂-N}/C_{NH₃-N}/C_{VOCs-N} simulations are calculated to illustrate the responses of nitrate pollution in China's megacities to the multi-pollutant cooperative controls.

Table 1. The emission scenarios in WRF-Chem numerical experiments.

| Simulation scenarios | Descriptions |
|---|---|
| B0 | Base simulation under the 2016 emission conditions. |
| C _{NO_x-N} (<i>N</i> = 10 %, 20 %, ... and 80 %) | Same as B0, but anthropogenic NO _x emissions are reduced by 10 %, 20 %, ... and 80 %, respectively, relative to the usual levels in 2016. |
| C _{SO₂-N} (<i>N</i> = 20 %, 40 %, 60 % and 80 %) | Same as B0, but anthropogenic SO ₂ emissions are reduced by 20 %, 40 %, 60 % and 80 %, respectively, relative to the usual levels in 2016. |
| C _{NH₃-N} (<i>N</i> = 20 %, 40 %, 60 % and 80 %) | Same as B0, but anthropogenic NH ₃ emissions are reduced by 20 %, 40 %, 60 % and 80 %, respectively, relative to the usual levels in 2016. |
| C _{VOCs-N} (<i>N</i> = 20 %, 40 %, 60 % and 80 %) | Same as B0, but anthropogenic VOC emissions are reduced by 20 %, 40 %, 60 % and 80 %, respectively, relative to the usual levels in 2016. |
| N0 | Same as B0, but it only considers the NO ₂ + OH gas-phase oxidation pathway for the production of nitrate aerosol. |
| E1 | Same as B0, but anthropogenic NO _x emissions are replaced using the MEIC inventory in 2012. |

For all simulation scenarios, two month-long periods during the Campaign on Air Pollution and Urban Meteorology in Yangtze River Delta (CAPUM-YRD) – 15 August to 16 September (Period I) and 24 November to 26 December (Period II) in 2016 – are simulated to represent the warm and cold seasons, respectively (Shu et al., 2019). The complete simulation consists of 13 84 h model cycles, with the first 6 d as a spin-up for chemistry and the remaining model outputs for analysis.

2.2 Weather and air pollutant data

Surface meteorological observations at 186 land-based automatic stations across China (Fig. 1) are collected for model meteorological validation, including hourly data of 2 m air temperature, 2 m relative humidity and 10 m wind speed. These data are archived at the U.S. National Climatic Data Center (NCDC) (Smith et al., 2011).

Air pollutant data at the national air quality monitoring network and regional supersites of China (Fig. 1) are used for model chemical validation. This nationwide monitoring network contains 1597 sites covering 454 cities in mainland China, as shown in Fig. 1. Six routine air pollutants including PM_{2.5}, particulate matter with aerodynamic diameter less than 10 µm (PM₁₀), SO₂, NO₂, carbon monoxide (CO) and O₃ are monitored and reported hourly by the Chinese National Environmental Monitoring Center (CNEMC) network (available at <http://www.cnemc.cn>, last access: December 2020).

Additionally, four comprehensive atmospheric environment supersites in YRD including Dianshanhu (DSH; 31.1° N, 121.0° E), Pudong (PD; 31.2° N, 121.5° E), Nanjing (NJ; 32.1° N, 118.8° E) and Hangzhou (HZ; 30.3° N, 120.2° E) measured the mass concentrations of PM_{2.5}, water-

soluble ions (sulfate, nitrate, ammonium, sodium, chloride, potassium, calcium and magnesium), carbonaceous aerosols (elemental carbon (EC) and organic carbon (OC)) and gaseous pollutants (SO₂, NO₂, CO and O₃) during the CAPUM-YRD. Details for the methods and data at the four supersites are described in Shu et al. (2019).

3 Results and discussions

3.1 Model weather and chemical validation

Model evaluations indicate that the WRF-Chem model is able to simulate the weather and atmospheric pollution characteristics in China. The simulated magnitudes of surface temperature by WRF-Chem in general agree with actual observations, with a correlation efficient (*R*) of 0.89 and 0.94 and a normalized mean bias (NMB) of −0.55 % and −0.80 %, respectively, in Period I and Period II (Table 2). Underestimation of relative humidity (−5.65 % in Period I and −6.56 % in Period II) is common in the WRF simulation, and it might be attributed to the influence of the boundary layer parameterization on the weather forecast (Bhati and Mohan, 2018; Gomez-Navarro et al., 2015). Clear overestimation of wind speed (23.72 % in Period I and 40.64 % in Period II) might be because of the unresolved topography in WRF (Jimenez et al., 2013; Li et al., 2014).

The predicted concentrations of routine air pollutants also accurately capture the spatial and seasonal patterns of observed surface PM_{2.5}, SO₂, NO₂ and O₃ levels in both seasons (Fig. 2). Both simulations and observations display high air pollutant concentrations in the vicinity of the North China Plain (NCP) and eastern China but with higher O₃ levels in the warm season and oppositely higher PM_{2.5} and other gaseous pollutant concentrations in winter. The model

Table 2. Statistical evaluations of the model meteorological performance.

| Variable | Obs | Sim | <i>R</i> ^a | MB ^a | NMB ^a | ME ^a | RMSE ^a |
|--------------------------------|-------|-------|-----------------------|-----------------|------------------|-----------------|-------------------|
| Period I (15 Aug to 16 Sep) | | | | | | | |
| Temperature (°C) | 24.04 | 23.91 | 0.89 | −0.13 | −0.55 % | 1.98 | 2.63 |
| Humidity (%) | 70.89 | 66.88 | 0.78 | −4.01 | −5.65 % | 11.07 | 14.67 |
| Wind speed (ms ^{−1}) | 2.46 | 3.04 | 0.50 | 0.58 | 23.72 % | 1.38 | 1.83 |
| Period II (24 Nov to 26 Dec) | | | | | | | |
| Temperature (°C) | 3.43 | 3.40 | 0.94 | −0.03 | −0.80 % | 2.18 | 2.83 |
| Humidity (%) | 69.85 | 65.27 | 0.63 | −4.58 | −6.56 % | 13.51 | 17.88 |
| Wind speed (ms ^{−1}) | 2.61 | 3.66 | 0.55 | 1.06 | 40.64 % | 1.70 | 2.23 |

^a *R*: correlation coefficient; MB: mean bias; NMB: normalized mean bias; ME: mean error; RMSE: root mean square error.

Table 3. Statistical evaluations of the model chemical performance.

| Variable | Obs | Sim | MB | NMB | Obs | Sim | MB | NMB |
|------------------------------|--------|--------|-------|---------|-----------|-------|--------|----------|
| Period I | | | | | Period II | | | |
| PM _{2.5} | 36.88 | 33.22 | −3.66 | −9.92 % | 91.59 | 64.28 | −27.31 | −29.82 % |
| SO ₂ | 17.65 | 16.51 | −1.14 | −6.46 % | 41.45 | 29.80 | −11.65 | −28.11 % |
| NO ₂ | 28.53 | 33.23 | 4.70 | 16.47 % | 53.01 | 54.28 | 1.27 | 2.40 % |
| Daily-maximum O ₃ | 237.45 | 255.77 | 18.32 | 7.72 % | 125.62 | 86.61 | −39.01 | −31.05 % |

statistical evaluations show a mean bias (MB) of −3.66, −1.14, 4.70 and 18.32 μg m^{−3} and a NMB of −9.92, −6.46, 16.47 and 7.72 % for PM_{2.5}, SO₂, NO₂ and O₃ in Period I and a relatively larger MB of −27.31, −11.65, 1.27 and −39.01 μg m^{−3} and a NMB of −29.82, −28.11, 2.40 and −31.05 % in Period II, respectively (Table 3). The uncertainty in emissions data, the absence of secondary organic aerosol in MOSAIC aerosol chemistry or the simulated wind errors (Table 2) may be responsible for the larger atmospheric chemical biases in winter, which has been extensively discussed in some studies (Zhao et al., 2016; Li et al., 2021a).

As the most important components of PM_{2.5}, reasonable representation of SIA is imperative to the PM_{2.5} simulation. Evaluations with measurements of PM_{2.5} components at the four supersites of eastern China show that the model performs reasonably in simulating the seasonal variations and proportions of aerosol species in PM_{2.5}, but it is biased low by 10 %–40 % in simulating the magnitudes of SIA concentrations (Fig. 3). The model underestimation is −1.8, −2.2 and −2.2 μg m^{−3} for sulfate, nitrate and ammonium, respectively, in Period I and −2.6, −4.3 and −3.4 μg m^{−3} in Period II. The model also captures the large change of N/S ratio from the warm to cold seasons, that increases from 0.4 in Period I to 1.6 in Period II. Our previous work (Li et al., 2019) has confirmed that the consideration of the optimized aqueous and heterogeneous SIA formation pathways in WRF-Chem significantly reduces the model biases by 41.4 % for sulfate and 44.6 % for nitrate during the CAPUM-YRD of 2016. Recent studies highlighted that the remaining SIA simulation biases may be attributed to the missing aqueous ox-

dation of SO₂ by NO₂ on alkaline aerosols under humid conditions (Wang et al., 2016; Cheng et al., 2016).

3.2 Air pollution and aerosol composition characteristics

Chemical composition analyses of major gaseous and particulate air pollutants suggest large seasonal variations of air pollution characteristics in China (Fig. 2). Mainly emitted from combustion sources, atmospheric pollutants accumulate in the densely industrialized and populated megalopolises of China, with a hotspot along Beijing, Hebei, Shandong and their adjacent cities frequently exceeding China's National Ambient Air Quality Standards. The average concentrations of surface PM_{2.5}, SO₂, NO₂ and daily-maximum O₃ in China's routine air quality monitoring network are 33.8, 15.8, 26.5 and 223.2 μg m^{−3} for Period I and 80.2, 34.7, 47.7 and 131.4 μg m^{−3} for Period II. The surface PM_{2.5}, SO₂ and NO₂ concentrations show obvious increases by 137.6 %, 119.2 % and 80.2 % during winter compared to those of the summer–autumn period (Period I). The maximum surface PM_{2.5} concentration recorded in the winter period was more than 600 μg m^{−3}, which is the highest value ever recorded in 2016 and led to the “orange” air quality alert.

The further analyses of PM_{2.5} mass concentrations, major PM_{2.5} components and gases at the four supersites in YRD are presented in Figs. 4–5. Organic matter (OM) is obtained by multiplying the OC concentrations by a factor of 1.6, mainly accounting for the hydrogen and oxygen masses in OM. The measured SIA concentrations exhibit

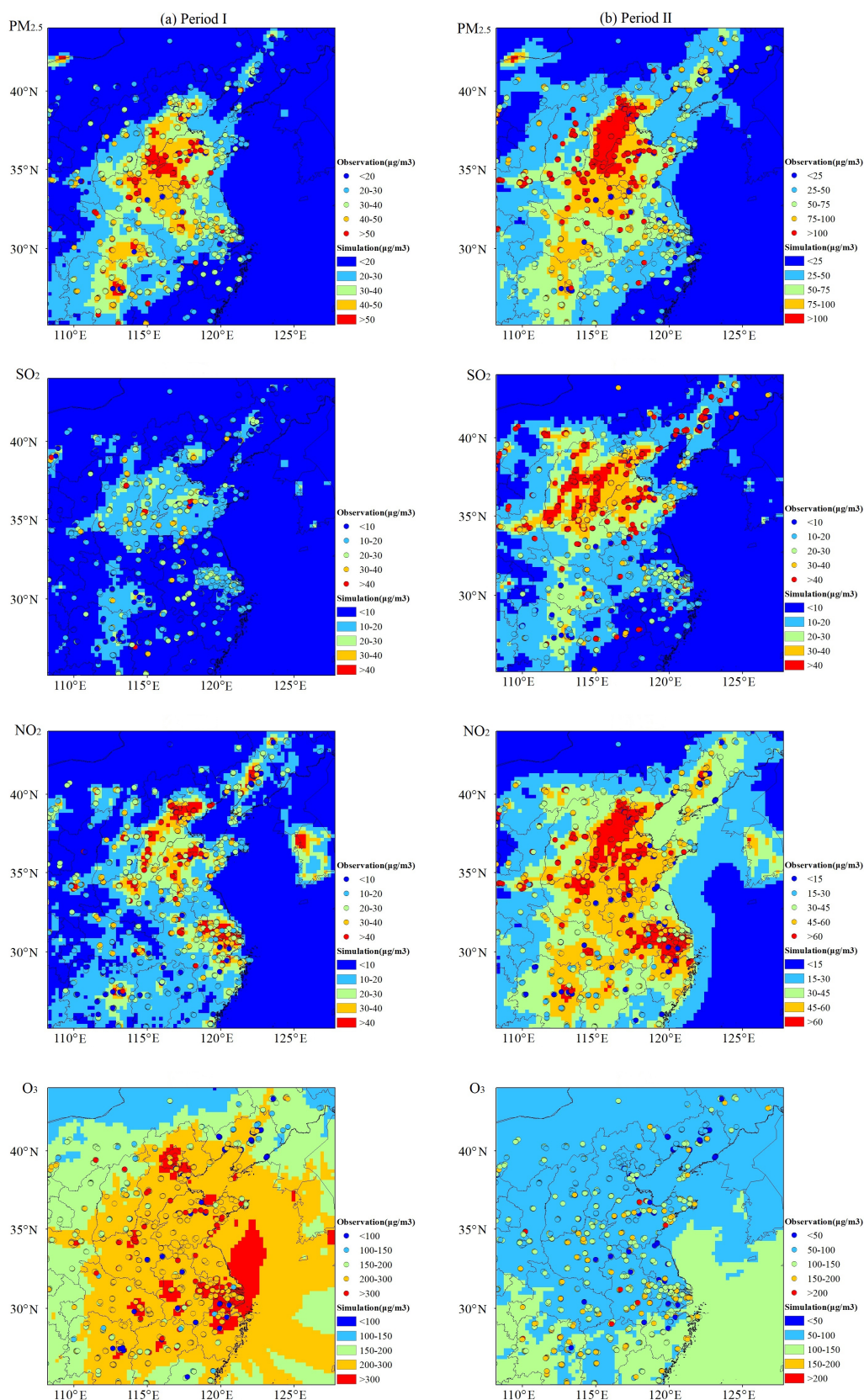


Figure 2. Spatial patterns of the surface average PM_{2.5}, NO₂, SO₂ and daily-maximum O₃ concentrations in Period I (a) and Period II (b) from the WRF-Chem modeling (shaded contours) and routine air quality observations (dots).

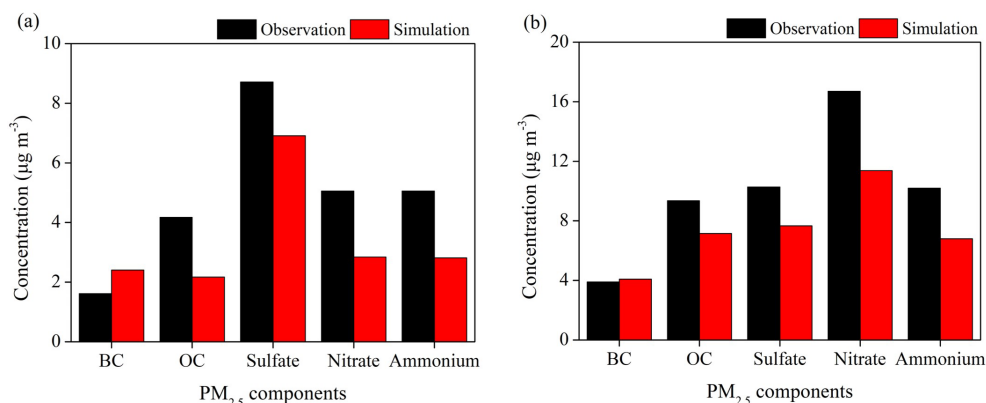


Figure 3. Comparisons of surface PM_{2.5} components from WRF-Chem simulations and observations in Period I (a) and Period II (b) at the four supersites in YRD.

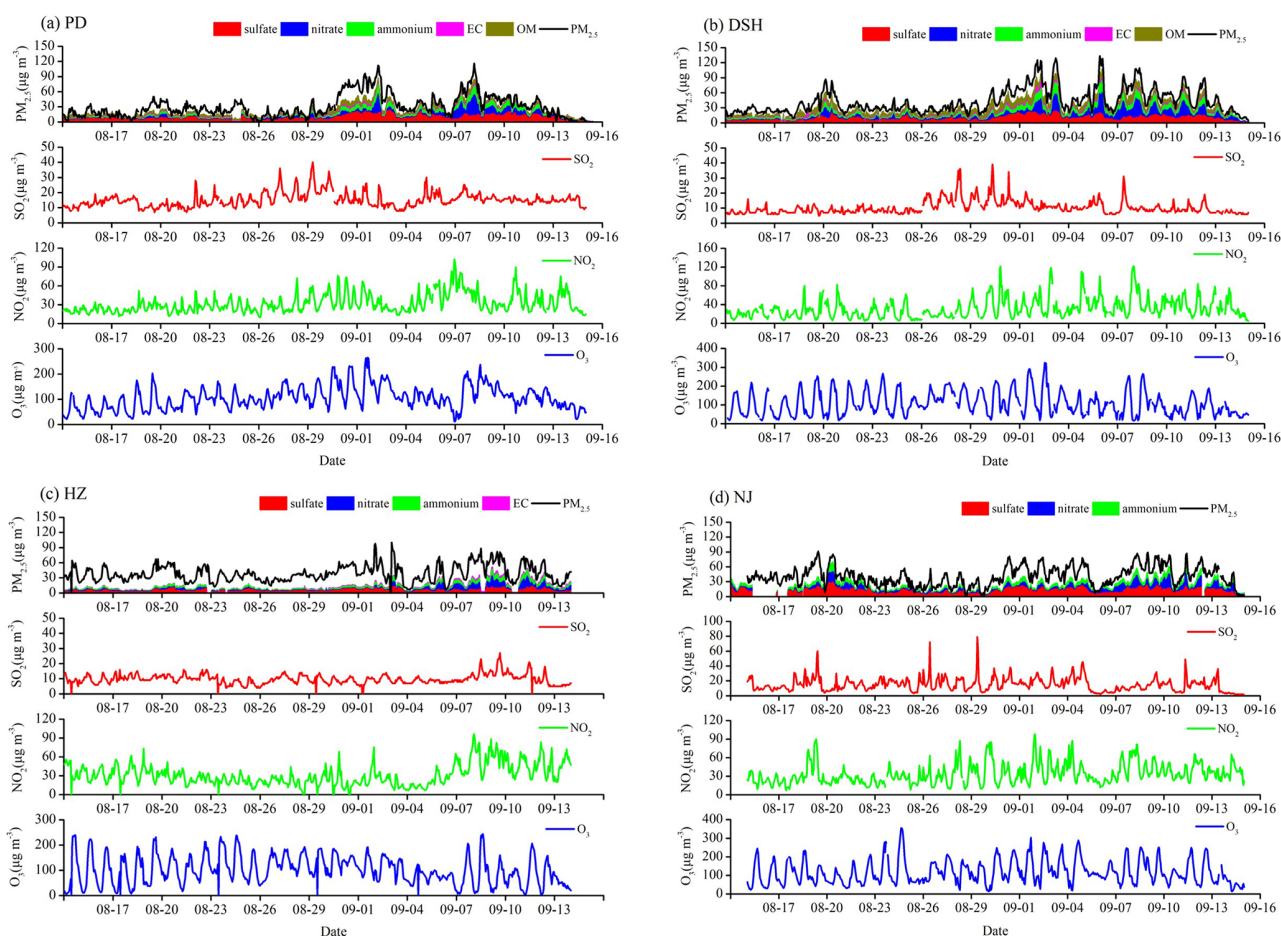


Figure 4. Observed aerosol composition and gaseous pollutant concentrations at the four supersites during Period I.

high levels, with average values of $18.8 \mu\text{g m}^{-3}$ for Period I and $37.1 \mu\text{g m}^{-3}$ for Period II. The three SIA components together account for 32.3 %–57.4 % (48.6 % on average) and 27.7 %–70.9 % (56.9 % on average) of the total PM_{2.5} mass concentrations and become the primary components of

PM_{2.5} in the two periods. The proportions of sulfate, nitrate and ammonium in total PM_{2.5} range from 13.5 %–28.9 %, 9.4 %–15.5 % and 9.4 %–14.9 % at the four supersites for Period I and 9.2 %–20.3 %, 11.5 %–32.1 % and 7.0 %–19.8 % for Period II, respectively. The strikingly higher proportion

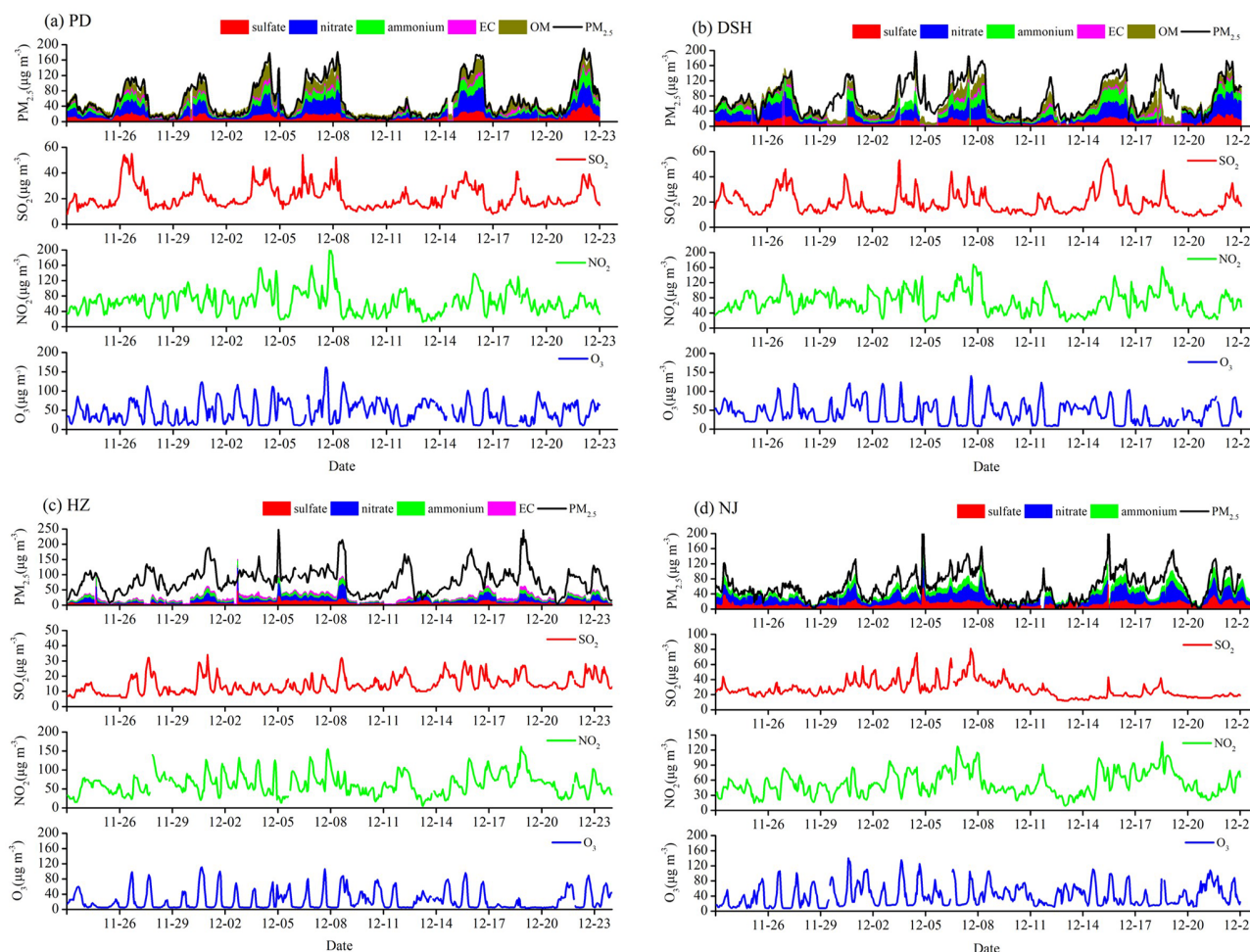


Figure 5. Same as Fig. 4 but for Period II.

of nitrate than that of sulfate in PM_{2.5} during winter, with a N/S ratio of 1.6, is in accordance with recent observations during other winter haze periods in China (Shao et al., 2018; Zhang et al., 2018; Zhang et al., 2019). They emphasized that since the enactment of the Clean Air Action Plan in 2013, the PM_{2.5} components have changed clearly, with decreasing contributions from coal combustion.

The high proportions of sulfate and nitrate in PM_{2.5} could be related to the high oxidation rates of SO₂ and NO₂. The observed average values of sulfur oxidation ratio ($\text{SOR} = [\text{SO}_4^{2-}] / ([\text{SO}_4^{2-}] + [\text{SO}_2])$) and nitrogen oxidation ratio ($\text{NOR} = [\text{NO}_3^-] / ([\text{NO}_3^-] + [\text{NO}_2])$) are 0.41 and 0.13 in Period I and 0.33 and 0.21 in Period II. In contrast, the observed SOR is generally higher in summer–autumn than winter, opposite to that of NOR, indicating the enhanced formation of nitrate in winter. Shu et al. (2019) also noted similar seasonal distinctions for SOR and NOR in YRD. They attributed the weakened conversion from NO₂ to nitrate in summer to the volatility and evaporative loss of nitrate (Sun et al., 2012). The sharp increase of particles and moderate

ambient humidity in winter also benefits the heterogeneous formation of SIA, leading to high NOR and SOR (Wang et al., 2012).

Figure 6 illustrates the contributions of gas-phase oxidation and heterogeneous reactions for the nitrate production calculated from B0 and E0 simulations. It is shown that on a daily basis the gas-phase oxidation production of HNO₃ and its subsequent partitioning to the aerosol phase is the principal formation route for particulate nitrate, with the average contributions of 60.2 % for BTH and 91.7 % for YRD in Period I and 75.1 % for BTH and 85.9 % for YRD in Period II. The heterogeneous hydrolyses of N₂O₅ and other nitrogenous gases (calculated as the model differences between B0 and N0 simulations) contribute to the remaining nitrate, particularly in BTH with high aerosol loading. These calculated results (60.2 %–91.7 % for NO₂ + OH oxidation and 8.3 %–39.8 % for heterogeneous pathways) are in line with previous assessments in China and globally. Alexander et al. (2009) reported that the global tropospheric nitrate burden is dominated by NO₂ + OH (76 %), followed by N₂O₅ hydrolysis

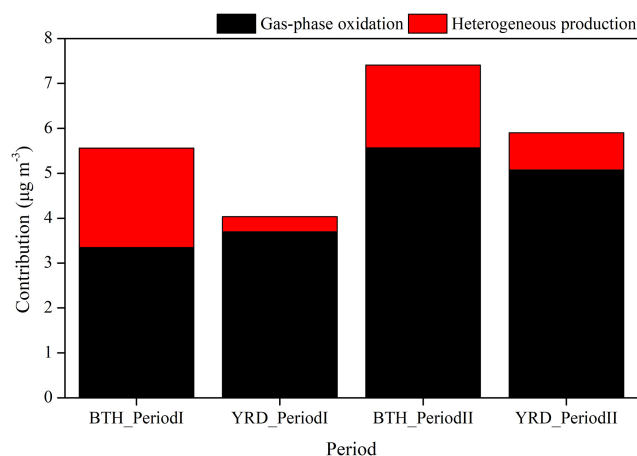


Figure 6. Contributions of gas-phase oxidation and heterogeneous production to the surface nitrate concentrations for the BTH and YRD regions in two seasons.

(18 %), but recent results suggested that N₂O₅ hydrolysis was as important as NO₂ + OH (both 41 %) for global nitrate production (Alexander et al., 2020). In major Chinese cities, it was estimated that the conversion of NO_x to nitrate was dominated by NO₂ + OH oxidation in Shanghai, with a mean contribution of 55 %–77 % in total and an even higher contribution (84 %–92 %) in summer (He et al., 2020). In the NCP, the nitrate contribution of heterogeneous pathways was about 30.8 % (Liu et al., 2020) or even comparable to the partitioning of HNO₃ (Wang et al., 2019; H. C. Wang et al., 2017; Luo et al., 2021). The nitrate formation from heterogeneous pathways is moderately underestimated in the optimized WRF-Chem model of this study, possibly due to the uncertainties of heterogeneous uptake coefficients and unclear reaction mechanisms applied in the model (M. M. Li et al., 2019; Xue et al., 2016; He et al., 2014).

3.3 Nonlinear responses of nitrate to NO_x emissions and their policy implications

3.3.1 PM_{2.5}–NO_x and O₃–NO_x responses in the warm and cold seasons

NO_x is key in atmospheric chemistry and serves as an important precursor for both ozone and secondary aerosols. We conduct a series of simulations with perturbed NO_x emissions to assess the responses of PM_{2.5} mass concentrations to NO_x emissions in two megalopolises of China (Fig. 7). The WRF-Chem simulation results show that the responses of surface PM_{2.5} concentrations to NO_x emissions vary in different seasons and display strong nonlinear behavior in winter. To better quantify their effectiveness, we define the NO_x emission control efficiency (β), which denotes the percentage changes of surface PM_{2.5} or its component concentrations in response to the successive 10 % reduction of NO_x emissions.

In Period I (August–September), the PM_{2.5}–NO_x responses are closer to a linear function, reflecting a stronger sensitivity to the NO_x emission changes in the warm season. The surface PM_{2.5} concentrations decrease almost linearly as we gradually reduce NO_x emissions in China, with the average β values of -2.2% in BTH and -2.9% in YRD. However, the PM_{2.5}–NO_x emission responses in Period II (November–December) display strong nonlinearity and are analogous to a quadratic parabola distribution for both regions. The NO_x emission reductions within the first 50 % would even increase surface PM_{2.5} concentrations by $+1.2\%$ averagely in BTH, and this β value increases to $+1.8\%$ in YRD with the first 40 % reductions of NO_x emissions. Subsequently, the PM_{2.5} responses shift towards a similar linear pattern, with an average β value of -2.5% in BTH and -4.0% in YRD.

The distinct forms of PM_{2.5}–NO_x emission responses for the warm and cold seasons are determined by the seasonal ozone chemical sensitivity regimes. The photochemical indicator of $\Delta[\text{O}_3]_{\text{NO}_x}/\Delta[\text{O}_3]_{\text{VOCs}}$ with a critical value of 1.0 is used to investigate the season-varying ozone sensitivity in China, which is calculated as the ratio of ozone concentration changes under 20 % NO_x emission reduction to that under 20 % VOC emission reduction (Fig. S1 in the Supplement). The results indicate a strong VOC-limited ozone chemistry across China during winter while either a VOC-limited regime over a large portion of the NCP and eastern China or a NO_x-limited regime in northern and western China during summer–autumn, as also indicated by previous studies (Xie et al., 2014; Dong et al., 2014; Liu et al., 2010). We find larger O₃ and OH production under the NO_x emission reduction conditions in both seasons (Figs. 8 and 9), particularly in Period II (November–December), with an average increase rate of $+14.7\%$ and $+18.5\%$ in BTH and $+25.2\%$ and $+23.1\%$ in YRD per 10 % reduction of NO_x emissions. The SIA formation chemistry is highly limited by atmospheric oxidants produced from the NO_x–VOC–O₃ photochemical cycles. The nonlinear O₃–NO_x responses indicate a rather complicated aerosol and photochemistry feedback in megacities.

3.3.2 Nonlinear responses of particulate nitrate to NO_x emissions

The SIA formation is basically driven by the atmospheric oxidant levels, and a reduction of NO_x emissions may have counterintuitive effects on SIA components by controlling the atmospheric oxidant levels. The calculated SIA components for each emission scenario in both months show that the surface nitrate aerosols can be substantially decreased/increased with reducing NO_x emissions, but the sulfate and ammonium concentrations have moderately smaller changes (Figs. 8–10).

Response of sulfate to the NO_x emissions is more predictable and determined by the changes of atmospheric ox-

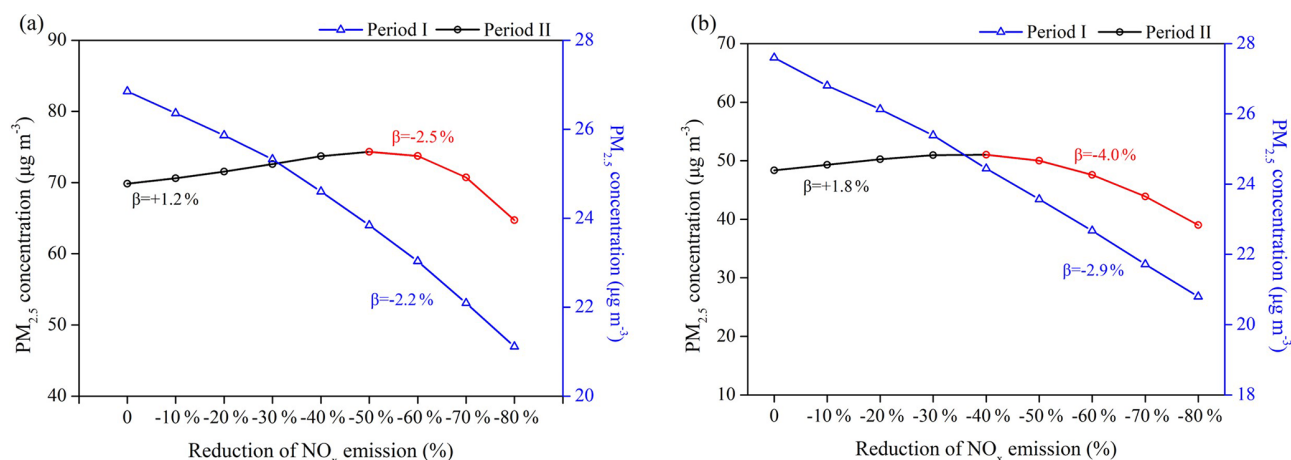


Figure 7. Responses of surface PM_{2.5} concentrations to the NO_x emission reduction scenarios in (a) BTH and (b) YRD. The calculated NO_x emission control efficiency (β) is also marked in the figure.

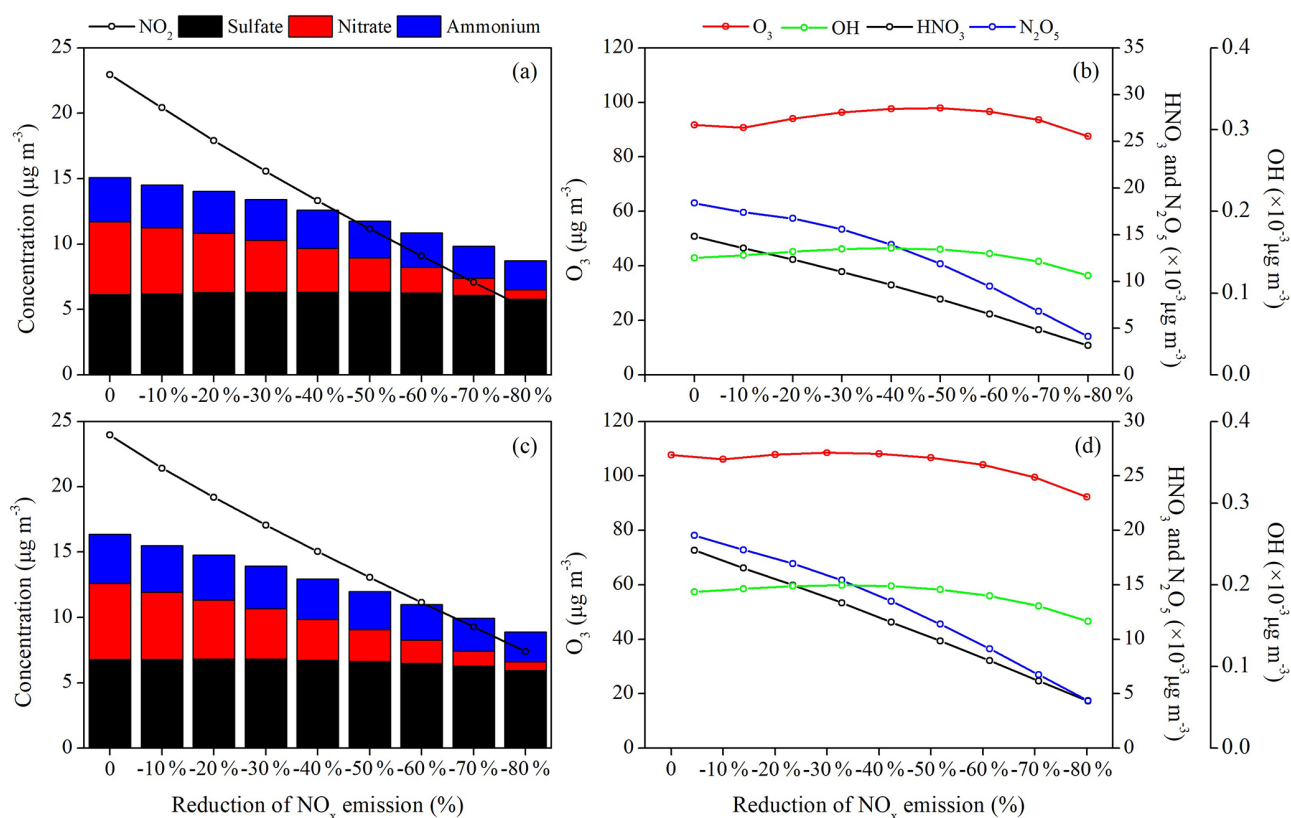


Figure 8. Responses of the surface concentrations of SIA components and key atmospheric trace gases (NO₂, O₃, OH, HNO₃ and NO₃) to the NO_x emission reduction scenarios in (a, b) BTH and (c, d) YRD during Period I.

ident levels since the conversion of SO₂ to sulfate is partly driven by OH in the gas phase and by dissolved H₂O₂ or O₃ in the presence of fog or cloud. In Period I (August–September), the sulfate–NO_x response follows a gradual quadratic parabola distribution as that of O₃–NO_x and OH–NO_x response curves (Figs. 8 and 10), with a fitted func-

tion in Eq. (1). The β values for surface sulfate change by -0.7% – $+1.2\%$ in BTH and -1.5% – $+0.2\%$ in YRD under

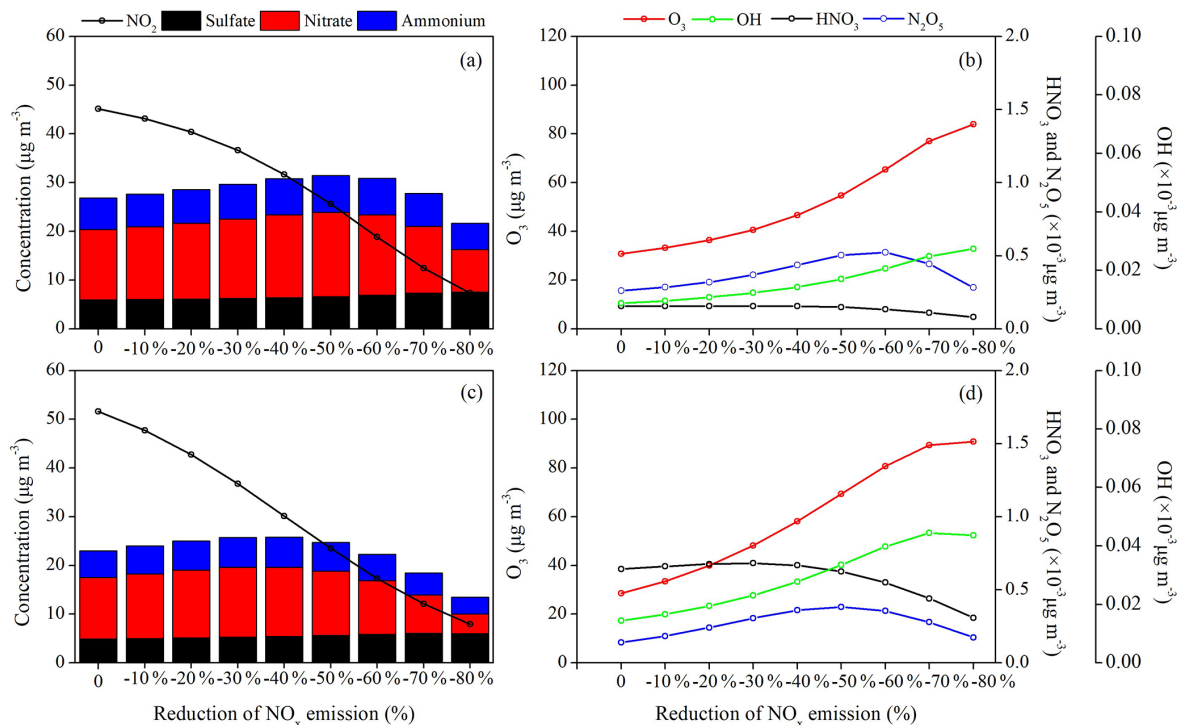


Figure 9. Same as Fig. 8 but for Period II.

each NO_x emission reduction scenario.

$$[\text{SO}_4^{2-}] = -2.4\Delta E_{\text{NO}_x}^2 - 1.7\Delta E_{\text{NO}_x} + 6.1$$

in BTH ($R^2 = 0.93$)

$$[\text{SO}_4^{2-}] = -2.3\Delta E_{\text{NO}_x}^2 - 0.9\Delta E_{\text{NO}_x} + 6.8$$

in YRD ($R^2 = 0.99$), (1)

where $[\text{SO}_4^{2-}]$ is the surface mean concentration of sulfate ($\mu\text{g m}^{-3}$), and ΔE_{NO_x} is the percentage change of NO_x emissions (%).

As expected, the production of nitrate reflects a strong sensitivity to NO_x, and it decreases linearly with the NO_x emission control, with an average β value of -10.2% in BTH and -11.5% in YRD, which further leads to a decrease of ammonium concentrations by -3.3% in BTH and -4.3% in YRD (Figs. 8 and 10). The formation of nitrate mainly involves the $\text{NO}_2 + \text{OH} \rightarrow \text{HNO}_3$ gas-phase oxidation and the heterogeneous hydrolysis of N_2O_5 and other nitrogenous gases. The strong sensibility of particulate nitrate in response to the NO_x emission decreases can be explained by the synchronously suppressive production of its intermediate products HNO_3 and N_2O_5 . For example, when the NO_x emission is reduced by 20 %, the surface NO₂ concentration in BTH drops by 20.0 %, but the surface O₃ and OH concentrations increase slightly by 2.6 % and 5.3 % due to the reduction of $\text{NO} + \text{O}_3$ titration reaction and the greater VOC availability in the warm season, leading to substantial reductions in surface

HNO_3 (-16.7%) and N_2O_5 (-8.9%) concentrations.

$$[\text{NO}_3^-] = -34.5\Delta E_{\text{NO}_x}^2 - 23.8\Delta E_{\text{NO}_x} + 13.2$$

in BTH ($R^2 = 0.84$)

$$[\text{NO}_3^-] = -36.5\Delta E_{\text{NO}_x}^2 - 19.6\Delta E_{\text{NO}_x} + 12.0$$

in YRD ($R^2 = 0.99$) (2)

$$[\text{NH}_4^+] = -9.1\Delta E_{\text{NO}_x}^2 - 6.9\Delta E_{\text{NO}_x} + 6.2$$

in BTH ($R^2 = 0.78$)

$$[\text{NH}_4^+] = -10.5\Delta E_{\text{NO}_x}^2 - 6.2\Delta E_{\text{NO}_x} + 5.3$$

in YRD ($R^2 = 0.98$), (3)

where $[\text{NO}_3^-]$ and $[\text{NH}_4^+]$ are the surface mean concentrations ($\mu\text{g m}^{-3}$) of nitrate and ammonium, respectively.

In Period II (November–December), we find opposite results, with quadratic parabola distributions for the nitrate–NO_x response (Eq. 2) and the ammonium–NO_x response (Eq. 3) but linearly increasing sulfate concentrations (average β values of $+2.0\%$ in BTH and $+2.6\%$ in YRD; Figs. 9 and 10), leading to small PM_{2.5} changes in winter. Such nonlinear nitrate–NO_x responses can be explained by the substantially increased oxidants as we gradually reduce NO_x emissions in each scenario. It is noted that in winter the nitrate–NO_x response highly depends on the production of N_2O_5 , which is produced from the $\text{NO}_2 \xrightarrow{\text{O}_3} \text{NO}_3 \xrightarrow{\text{NO}_2} \text{N}_2\text{O}_5$ chemical reactions and is a crucial intermediate product for nitrate formation. Under the low NO_x emission reduc-

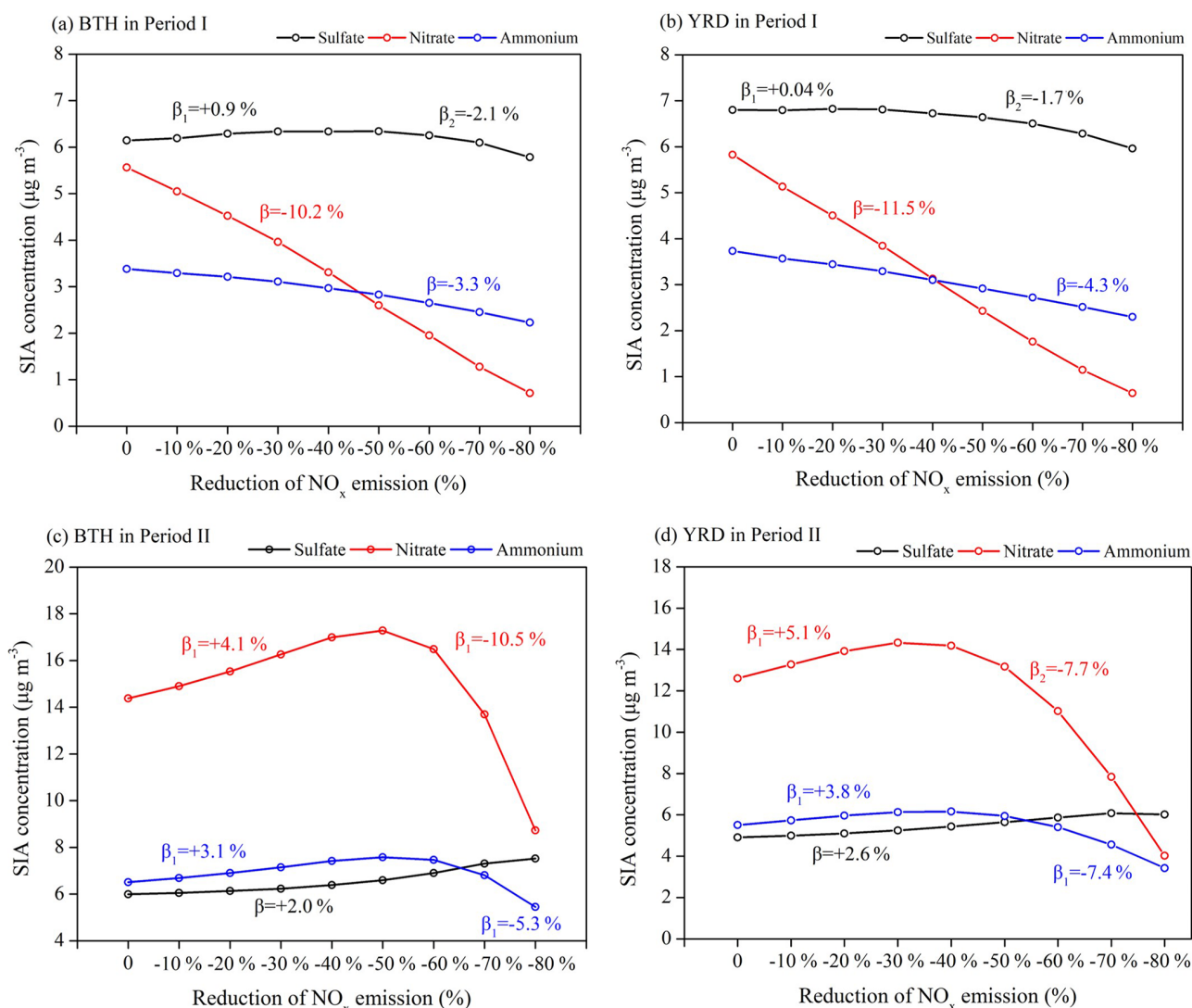


Figure 10. Responses of the surface concentrations of SIA components to the NO_x emission reduction scenarios and their emission control efficiencies in (a, b) Period I and (c, d) Period II.

tion conditions, the production of N₂O₅ is more sensitive to the atmospheric oxidant concentrations. The significant increases of surface O₃ in each NO_x emission scenario in the VOC-poor environment (Fig. 9b and d) lead to an enhancement of N₂O₅ levels from 10 % to more than 100 %. In spite of the HNO₃ concentration remaining nearly unchanged or decreasing slightly by less than 5 % in response to NO_x control, nitrate is found to increase (average β values of +4.1 % in BTH and +5.1 % in YRD), with higher N₂O₅ produced from the increased ozone introduced by attenuated titration. An inflexion point appears at the 30 %–50 % NO_x emission reduction scenario, and a further reduction in NO_x emissions is predicted to cause –10.5 % and –5.3 % reductions of surface particulate nitrate and ammonium for BTH and –7.7 % and –7.4 % for YRD.

These results reveal that the increase in atmospheric oxidants in response to NO_x emission control can offset the decreasing precursors concentrations and further enhance the formation of secondary nitrate, as recently found during the COVID-19 pandemic (Huang et al., 2020; Li et al., 2021b).

3.3.3 Impacts of 2012–2016 NO_x control strategy on particulate pollution

During the 12th Five-Year Plan period (2011–2015), a series of end-of-pipe pollutant controls (e.g., selective catalytic reduction techniques) were carried out for power, industry and transportation sectors. These measures effectively controlled the national NO_x emissions by 22.8 % from 2012 to 2016 (MEIC v1.3) in China. To quantify the effects of recent NO_x control measures on the levels of photochemical oxidants and

particulate nitrate, we conduct an additional simulation with NO_x emissions set to the levels of 2012 in E1.

The model simulations (Fig. 11) suggest that reducing China's NO_x emissions alone from 2012 to 2016 leads to an average -24.9% to -8.6% decrease of NO_x concentrations in the surface layer. As previously pointed out, the 2012–2016 NO_x emission control measures led to increased O₃ and OH levels in winter, which offset the effectiveness of NO_x emission reduction in alleviating winter nitrate. No obvious declines in the winter nitrate levels are observed and even increases in some areas ($+8.8\%$ in BTH and 14.4% in YRD; Figs. S2 and S3 in the Supplement). As shown, the largest PM_{2.5} responses shift towards the southern Hebei and central China provinces, where the wintertime PM_{2.5} concentrations are particularly high. The substantial emission changes from 2012 to 2016 lower the PM_{2.5} air pollution by up to -1.8% in BTH and -3.5% in YRD for Period I and oppositely increase the surface PM_{2.5} by 2.4% in BTH and 4.7% in YRD for Period II. The past NO_x emission control strategy leads to increased atmospheric oxidant levels and deteriorated particulate pollution in winter due to the nonlinear photochemistry and aerosol chemical feedbacks, without regard of the other emission control measures. This conclusion is also supported by evidence from the recent field observations (Fu et al., 2020).

3.3.4 Responses of particulate nitrate to multi-pollutant cooperative controls

In order to evaluate the effectiveness of multi-pollutant cooperative controls in China, three series of additional simulations (C_{SO_2-N} , C_{NH_3-N} and $C_{\text{VOCs}-N}$) are also designed to show the responses of nitrate and PM_{2.5} pollution to the emission controls of NO_x, SO₂, NH₃ and VOCs, respectively. The results (Fig. 12) show that atmospheric NH₃ and VOCs are effective in controlling the particulate nitrate pollution for both seasons, whereas decreasing the SO₂ and NO_x emissions may have counterintuitive effects on the concentration levels of nitrate aerosols.

Atmospheric NH₃ acts as a critical neutralizing species for SIA production and efficient haze mitigation (Liu et al., 2019). According to the WRF-Chem simulation, reduction of NH₃ emissions may be effective in reducing the nitrate component, with an average β value of -10.0% in BTH and -10.3% in YRD for Period I and -8.3% in BTH and -11.5% in YRD for Period II, primarily by suppressing the ammonium nitrate formation. Quantitatively, a 10% reduction in NH₃ emissions can alleviate the PM_{2.5} pollution by -2.7% during summer–autumn and -3.2% during winter in the two Chinese megacities. Atmospheric chemistry modeling by Wen et al. (2021) also indicated that controlling NH₃ emissions in Beijing would significantly reduce the population-weighted PM_{2.5} concentrations by 6.2% – 21% , with 60% – 100% NH₃ reductions in January, implying the

need to consider NH₃ emission controls when designing the PM_{2.5} pollution mitigation strategies.

VOCs, which are not a direct precursor for SIA, are effective in SIA controls due to their influences on the atmospheric oxidation cycles (Tsimpidi et al., 2008; Womack et al., 2019; Nguyen and Dabdub, 2002). Our results suggest that decreasing VOC emissions per 10% would suppress the oxidation formation of nitrate and decrease the nitrate concentrations by -2.5% in BTH and -1.7% in YRD for Period I and -5.0% in BTH and -6.3% in YRD for Period II. The reduction of VOC emissions would result in a decrease of PM_{2.5} by -0.7% during summer–autumn and -1.8% during winter in the two megacities. Tsimpidi et al. (2008) also showed that the reduction of VOC emissions caused a marginal increase of PM_{2.5} during summer in the eastern United States, whereas it resulted in a decrease of atmospheric oxidant levels and 5% – 20% reduction of both inorganic and organic PM_{2.5} components during winter. Larger and synchronized NO_x and VOC emission controls are required to overcome the adverse effects of nonlinear photochemistry and aerosol chemical feedbacks.

The SO₂ emission reduction, although effective in reducing sulfate and PM_{2.5}, is not successful in regulating the nitrate pollution due to the chemical competition in nitrate and sulfate formations (Geng et al., 2017; Wang et al., 2013). Changes in nitrate concentration are linearly associated with the SO₂ emission reductions, with the average β values of 2.9% during summer–autumn and 1.3% during winter. Decreasing SO₂ emissions is less effective (a β value of -0.7%) in mitigating the wintertime haze pollution because the benefit of SO₂ reduction is partly offset by the significant increase of nitrate, demonstrating the critical role of multi-pollutant cooperative controls. Lei et al. (2013) evaluated the impacts of SO₂ control strategies on nitrate and sulfate production in the United States and also found that the competition for bases in nitrate and sulfate formation significantly affects the nitrate concentrations.

Our results emphasize that future nitrate and PM_{2.5} pollution mitigation strategies should focus on reducing the chemical precursors and key atmospheric oxidants involved in the production of secondary aerosols. The recent “Three-Year Action Plan for Winning the Blue Sky Defense Battle” calls for stringent emission controls of NO_x, SO₂, VOCs and NH₃ but without specific reduction targets. Such emission changes would emphasize the need to jointly consider multi-pollutant emission controls for mitigating haze air pollution.

4 Conclusions

Recent air pollution actions have significantly lowered the PM_{2.5} levels in China via controlling emissions of SO₂ and NO_x but raised a new question of how effective the NO_x emission controls can be in the mitigation of emerging nitrate and ozone air pollution. We use comprehensive mea-

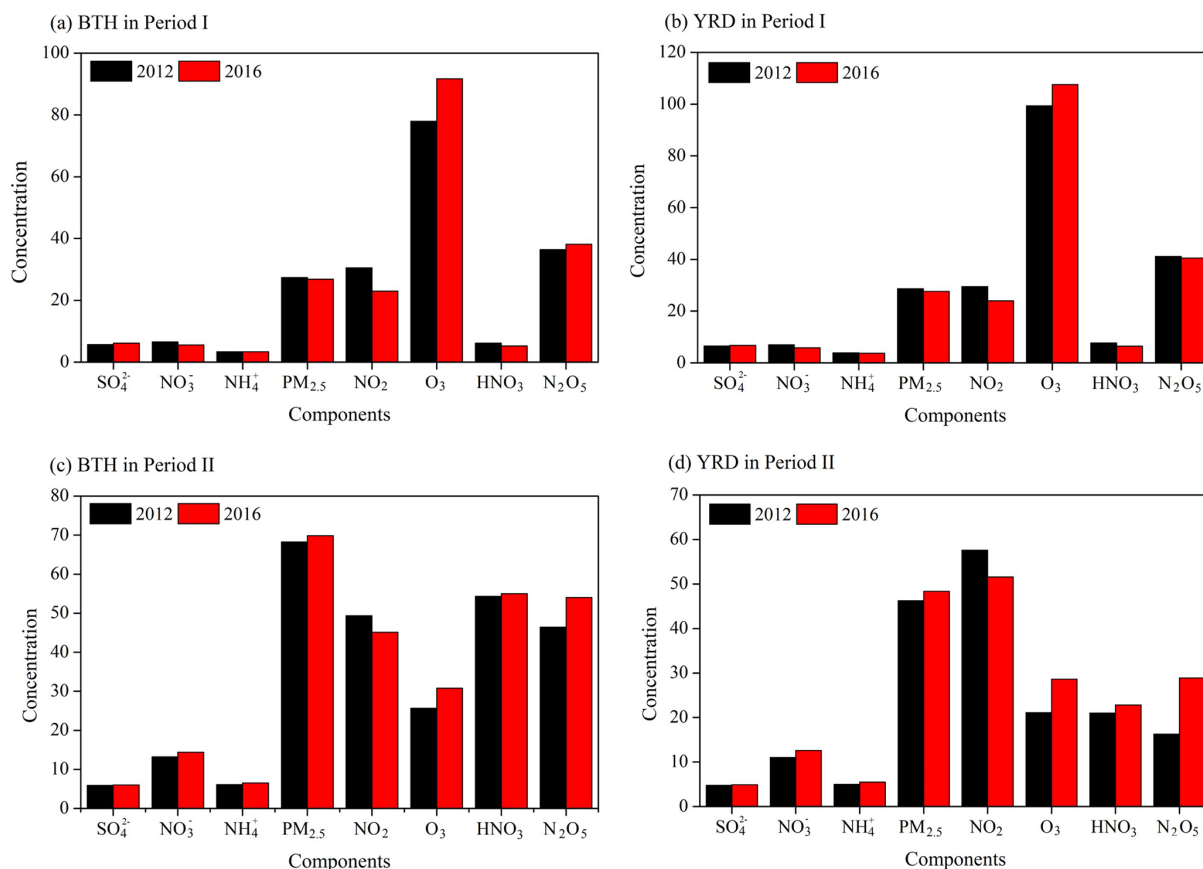


Figure 11. Changes in the concentrations of surface PM_{2.5}, SIA components and key atmospheric trace (NO₂, O₃, HNO₃ and N₂O₅) due to the 2012–2016 NO_x emission reductions in China, estimated as the differences between the base simulation and the E1 scenario. The units are parts per trillion (ppt) for HNO₃ and N₂O₅ and micrograms per cubic meter (μg m⁻³) for other chemical species.

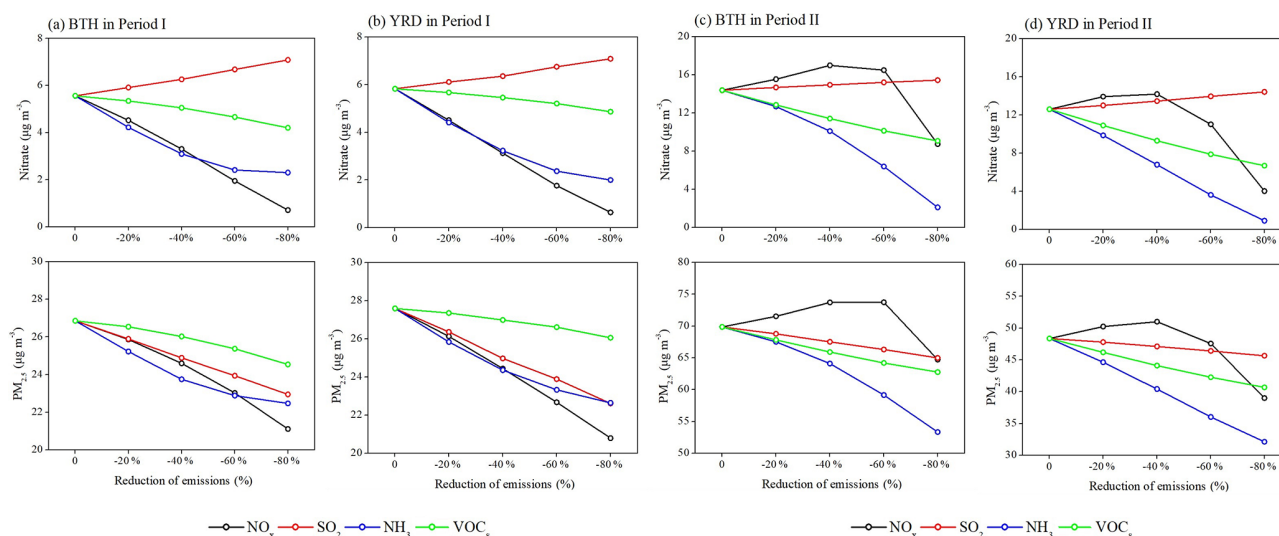


Figure 12. Responses of the surface nitrate (upper panels) and PM_{2.5} (bottom panels) concentrations to the emission reduction scenarios of NO_x, SO₂, NH₃ and VOCs during Period I (a, b) and Period II (c, d).

surements and a regional meteorology–chemistry model with optimized mechanisms to establish the nonlinear responses between particulate nitrate and NO_x emission controls in the megalopolises of China.

Nitrate is an essential component of PM_{2.5} in eastern China, accounting for 9.4 %–15.5 % and 11.5 %–32.1 % of the total PM_{2.5} mass for the warm and cold seasons, respectively. We find that the efficiency of PM_{2.5} reduction is highly sensitive to NO_x emissions, and it varies in different seasons depending on the ozone chemical regimes. The reduction of NO_x emissions results in almost linearly lower PM_{2.5} by −2.2 % in BTH and −2.9 % in YRD per 10 % reduction of NO_x emissions during summer–autumn, whereas it increases the atmospheric oxidant levels and leads to a rather complicated response of the PM components in winter. Nitrate is found to increase (average β values of +4.1 % in BTH and +5.1 % in YRD) in winter with higher N₂O₅ intermediate produced from the increased ozone introduced by attenuated titration, despite the nearly unchanged or slightly decreased HNO₃ concentrations in response to NO_x control. An inflexion point appears at 30 %–50 % NO_x emission reduction, and a further reduction of NO_x emissions is predicted to cause −10.5 % reductions of particulate nitrate for BTH and −7.7 % for YRD. In addition, the 2012–2016 NO_x emission control strategy leads to −24.9 % to −8.6 % decreases of surface NO_x concentrations and no changes or even increases of wintertime nitrate in BTH (+8.8 %) and YRD (14.4 %). Our results also emphasize that atmospheric NH₃ and VOCs are effective in controlling the particulate nitrate pollution, whereas decreasing the SO₂ and NO_x emissions may have counterintuitive effects on nitrate aerosols. These results provide insights for developing mitigation strategies for the ubiquitous nitrate aerosols in the winter haze of China.

Data availability. The WRF-Chem model version 4.1 is available at https://www2.mmm.ucar.edu/wrf/users/download/get_source.html (last access: December 2020). The NCEP FNL data are accessible in the National Center for Atmospheric Research (NCAR) Research Data Archive (RDA; <http://rda.ucar.edu/datasets/ds083.2/>, last access: December 2020). The MEIC anthropogenic emission inventories are available at <http://www.meicmodel.org> (last access: December 2020). The surface weather data are accessible in the Integrated Surface Database (<https://www.ncdc.noaa.gov/isd/data-access>, last access: December 2020). The surface air pollutant and aerosol species data are provided by the Chinese National Environmental Monitoring Center (<http://www.cnemc.cn/en/>, last access: December 2020) and archived at <https://doi.org/10.6084/m9.figshare.12818807.v1> (Li, 2020).

Supplement. The supplement related to this article is available online at: <https://doi.org/10.5194/acp-21-15135-2021-supplement>.

Author contributions. ML developed the model code, designed the numerical experiments and wrote the original draft. ZZ carried out the numerical experiments. QY provided and analyzed some of the data. MX, SL and BZ validated and analyzed the model results. TW and YH reviewed and revised the manuscript.

Competing interests. The contact author has declared that neither they nor their co-authors have any competing interests.

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