



# Seasonal characteristics, formation mechanisms and source origins of PM<sub>2.5</sub> in two megacities in Sichuan Basin, China

Huanbo Wang<sup>1,2</sup>, Mi Tian<sup>1</sup>, Yang Chen<sup>1</sup>, Guangming Shi<sup>1</sup>, Yuan Liu<sup>1</sup>, Fumo Yang<sup>1,2,3,4</sup>, Leiming Zhang<sup>5</sup>, Liqun Deng<sup>6</sup>, Jiayan Yu<sup>7</sup>, Chao Peng<sup>1</sup>, and Xuyao Cao<sup>1</sup>

<sup>1</sup>Research Center for Atmospheric Environment, Chongqing Institute of Green and Intelligent Technology, Chinese Academy of Sciences, Chongqing, 400714, China

<sup>2</sup>School of Urban Construction and Environmental Engineering, Chongqing University, Chongqing, 400044, China

<sup>3</sup>Center for Excellence in Regional Atmospheric Environment, Institute of Urban Environment, Chinese Academy of Sciences, Xiamen, 361021, China

<sup>4</sup>Coordinated Center of Excellence for Green Development in Wuling Region, Yangtze Normal University, Chongqing, 408100, China

<sup>5</sup>Air Quality Research Division, Science and Technology Branch, Environment and Climate Change Canada, Toronto, Canada

<sup>6</sup>Sichuan Academy of Environmental Sciences, Chengdu, 610041, China

<sup>7</sup>Chongqing Environmental Monitoring Center, Chongqing, 401147, China

**Correspondence:** Fumo Yang (fmyang@cigit.ac.cn)

Received: 20 March 2017 – Discussion started: 10 April 2017

Revised: 22 November 2017 – Accepted: 12 December 2017 – Published: 24 January 2018

**Abstract.** To investigate the characteristics of PM<sub>2.5</sub> and its major chemical components, formation mechanisms, and geographical origins in the two megacities, Chengdu (CD) and Chongqing (CQ), in Sichuan Basin of southwest China, daily PM<sub>2.5</sub> samples were collected simultaneously at one urban site in each city for four consecutive seasons from autumn 2014 to summer 2015. Annual mean concentrations of PM<sub>2.5</sub> were  $67.0 \pm 43.4$  and  $70.9 \pm 41.4 \mu\text{g m}^{-3}$  at CD and CQ, respectively. Secondary inorganic aerosol (SNA) and organic matter (OM) accounted for 41.1 and 26.1 % of PM<sub>2.5</sub> mass at CD, and 37.4 and 29.6 % at CQ, respectively. Seasonal variations of PM<sub>2.5</sub> and major chemical components were significant, usually with the highest mass concentration in winter and the lowest in summer. Daily PM<sub>2.5</sub> concentration exceeded the national air quality standard on 30 % of the sampling days at both sites, and most of the pollution events were at the regional scale within the basin formed under stagnant meteorological conditions. The concentrations of carbonaceous components were higher at CQ than CD, likely partially caused by emissions from the large number of motorcycles and the spraying processes used during automobile production in CQ. Heterogeneous reactions probably

played an important role in the formation of SO<sub>4</sub><sup>2-</sup>, while both homogeneous and heterogeneous reactions contributed to the formation of NO<sub>3</sub><sup>-</sup>. Geographical origins of emissions sources contributing to high PM<sub>2.5</sub> masses at both sites were identified to be mainly distributed within the basin based on potential source contribution function (PSCF) analysis.

## 1 Introduction

Fine particles (PM<sub>2.5</sub>, particulate matter with an aerodynamic diameter smaller than 2.5 μm) have adverse effects on human health (Anderson et al., 2012; Lepeule et al., 2012; Taus et al., 2008), deteriorate air quality (Zhang et al., 2008; Paraskevopoulou et al., 2015), reduce atmospheric visibility (Fu et al., 2016; Cao et al., 2012; Baumer et al., 2008), impact climate (Ramanathan and Feng, 2009; Hitzenberger et al., 1999; Mahowald, 2011), and affect the ecosystem (Larssen et al., 2006). In the past two decades, China has experienced serious PM<sub>2.5</sub> pollution due to the rapidly increasing energy consumption through economic development, industrialization and urbanization (Tie and Cao, 2009). The National

Ambient Air Quality Standards (NAAQS) for PM<sub>2.5</sub> was promulgated by the Chinese government in 2012, and strict strategies have been implemented nationwide, e.g., controlling SO<sub>2</sub> emissions by installing desulphurization system in coal-fired power plants and conversion of fuel to natural gas (Lu et al., 2011), mitigating NO<sub>x</sub> emissions through traffic restrictions, and reducing biomass burning through straw shredding. Despite these efforts, there are still many cities that have not yet met the current NAAQS (Tao et al., 2017). According to the “2013–2015 Reports on the State of Environment of China”, annual mean concentration of PM<sub>2.5</sub> in 74 major cities across China was 72, 64, and 50 µg m<sup>-3</sup> in 2013, 2014 and 2015, respectively, and only 4.1, 12.2, and 22.5 % of the monitored cities met the NAAQS (35 µg m<sup>-3</sup>).

Previous studies showed that Beijing–Tianjin–Hebei area (BTH), Yangtze River Delta (YRD), Pearl River Delta (PRD), and Sichuan Basin were the four main regions in China with severe aerosol pollution (Tao et al., 2017). While many studies have been conducted in BTH, PRD, and YRD regions to understand the general characteristics of PM<sub>2.5</sub> and its chemical components, formation mechanism, and sources (Ji et al., 2016; Li et al., 2015; Quan et al., 2015; Tan et al., 2016; Yang et al., 2015; Zhang et al., 2013; Zhao et al., 2015; P. S. Zhao et al., 2013; Cheng et al., 2015; B. Zheng et al., 2015; Yang et al., 2011a), only a few studies have focused on the Sichuan Basin (Tao et al., 2014; Tian et al., 2013; Yang et al., 2011b). Covering an area of 260 000 km<sup>2</sup> and with a population of around 100 million, the Sichuan Basin is the most populated basin in China. It is a subtropical expanse of low hills and plains and is completely encircled by high mountains and plateaus. It is also characterized by persistently high relative humidity and extremely low wind speeds all year round (Guo et al., 2016; Chen and Xie, 2013). The characteristics of PM<sub>2.5</sub> in the Sichuan Basin are believed to be very different from those in eastern coastal China (i.e., PRD and YRD) and the North China Plain (i.e., BTH) due to the special topography and meteorological conditions, besides emission sources, in the basin. Furthermore, the terrain in the two megacities is also significantly different – i.e., Chongqing is a mountainous city lying on the eastern margin of the basin, while Chengdu is a flat city on the western margin of the basin. Therefore, there is a great interest in comparing the chemical components of PM<sub>2.5</sub> and characterizing pollution episodes between the two cities.

The present study aims to fill this gap by measuring chemically resolved PM<sub>2.5</sub> in Chengdu and Chongqing in four consecutive seasons during 2014–2015. The main objectives are to (1) characterize PM<sub>2.5</sub> mass and major chemical components in urban environments of Chengdu and Chongqing, (2) compare PM<sub>2.5</sub> chemical compositions under different pollution levels and identify major chemical components responsible for long-lasting PM<sub>2.5</sub> pollution episodes in winter, (3) explore the possible formation mechanism of the secondary aerosols, and (4) reveal the geographical source regions contributing to the high PM<sub>2.5</sub> levels through PSCF

analysis. Knowledge gained in this study provides scientific basis for making future emission control policies aiming to alleviate heavy PM<sub>2.5</sub> pollution in this unique basin.

## 2 Methodology

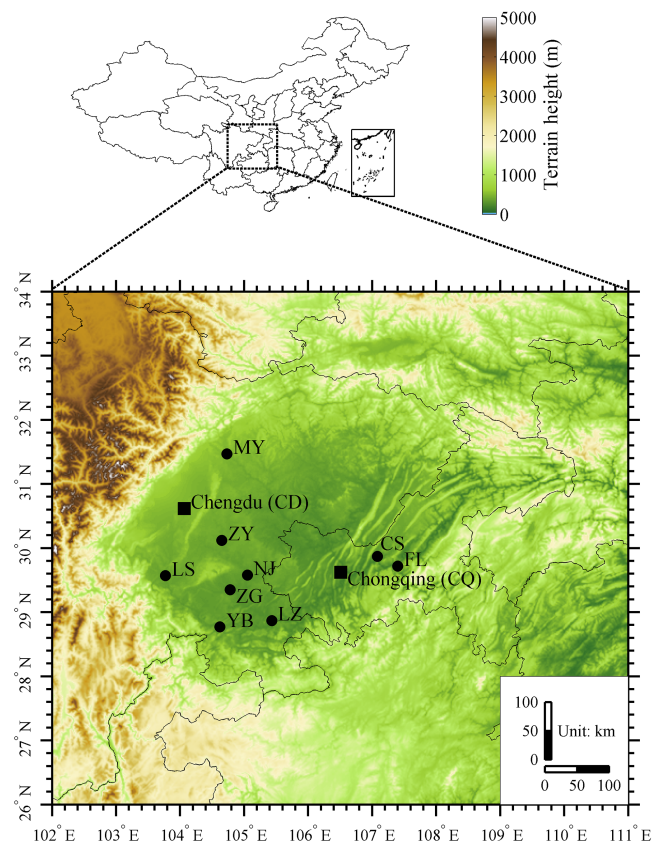
### 2.1 Sampling sites

PM<sub>2.5</sub> samples were collected at two urban sites, one in Chengdu and another in Chongqing, the two largest cities in Sichuan Basin, southwest China. The two sampling sites are located 260 km apart (Fig. 1). The sampling site in Chengdu (CD) is located on the roof of a sixth-floor building in the Sichuan Academy of Environmental Science (104°4′ E, 30°37′ N) with no large surrounding industries but heavy traffic. The closest main road (Renmin South road of Chengdu) is about 20 m east of the sampling site. The sampling site in Chongqing (CQ) is located on the rooftop of Chongqing Monitoring Center (106°30′ E, 29°37′ N). The highway G50 is 250 m away from this sampling site. The two selected sampling sites are considered to represent typical urban environments in their respective cities (Tao et al., 2014; Chen et al., 2017).

### 2.2 Sample collection

Daily (23 h) integrated PM<sub>2.5</sub> samples were collected in four months, each in a different season: autumn (23 October to 18 November, 2014), winter (6 January to 2 February, 2015), spring (2 to 29 April, 2015), and summer (2 to 30 July, 2015). At both sites, PM<sub>2.5</sub> samples were collected in parallel on Teflon filters (Whatman, 47 mm) and quartz filters (Whatman, 47 mm). At the CD site, PM<sub>2.5</sub> sampling was carried out using a versatile air pollutant sampler (Wang et al., 2017). One channel was used to load the PM<sub>2.5</sub> sample on the Teflon filter for mass and trace elements analysis and the other one was equipped with a quartz filter for water-soluble inorganic ions and carbonaceous components analysis. The sampler was running at 15 L min<sup>-1</sup> for each channel. At the CQ site, a low-volume aerosol sampler (BGI, frmOmni, USA) operating at a flow rate of 5 L min<sup>-1</sup> was used to collect PM<sub>2.5</sub> samples on Teflon filter, and another sampler (Thermo Scientific Partisol 2000i, USA) with a flow rate of 16.7 L min<sup>-1</sup> was used to collect PM<sub>2.5</sub> samples on quartz filter. A total of 112 samples and 8 field blanks, nearly equally distributed in the four seasons, were collected at each site during the campaign. In addition, three lab blank filters in each campaign were stored in clean Petri slides in the dark and analyzed in the same ways as the collected samples to evaluate the background contamination.

Before sampling, all the quartz filters were preheated at 450 °C for 4 h to remove the organic compounds. All sampled filters were stored in clean Petri slides in the dark and at –18 °C until analysis to prevent the evaporation of volatile compounds. Before and after sample collection, all



**Figure 1.** Locations of the sampling sites in Chengdu (CD) and Chongqing (CQ) and major cities in the Sichuan Basin. MY, Miyang; ZY, Ziyang; LS, Leshan; NJ, Neijiang; ZG, Zigong; YB, Yibin; LZ, Luzhou; CS, Changshou; FL, Fuling.

the Teflon filters were weighed at least 3 times using an microbalance (Sartorius, ME 5-F, Germany) after their stabilization for 48 h under controlled conditions (temperature: 20–23 °C; relative humidity: 45–50 %). Differences among replicate weights were mostly less than 15 µg for each sample.

### 2.3 Chemical analysis

For the analysis of water-soluble inorganic ions, a quarter of each quartz filter was first extracted using ultrapure water in an ultrasonic bath for 30 min, and then filtered through a 0.45 µm pore syringe filter. Anions ( $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$  and  $\text{Cl}^-$ ) and cations ( $\text{Na}^+$ ,  $\text{NH}_4^+$ ,  $\text{K}^+$ ,  $\text{Mg}^{2+}$  and  $\text{Ca}^{2+}$ ) were determined using ion chromatograph (Dionex, Dionex 600, USA). Anions were separated using AS11-HC column with 30 mM KOH as an eluent at a flow rate of 1.0 mL min<sup>-1</sup>. Cations were determined using CS12A column with 20 mM MSA (methanesulfonic acid) at a flow rate of 1.0 mL min<sup>-1</sup>. Individual standard solutions of all investigated anions and cations (1000 mg L<sup>-1</sup>, o2si, USA) were diluted to construct the calibration curves. The correlation coefficients of the lin-

ear regression of the standard curves were all above 0.999. Field blanks were prepared and analyzed together with the samples and then subtracted from the samples. The concentrations of the water-soluble inorganic ions in the field blanks were in the range of 0.008–0.13 µg m<sup>-3</sup>. The relative standard deviation of each ion was better than 8 % for the reproducibility test.

Organic carbon (OC) and elemental carbon (EC) were measured by thermal–optical reflectance (TOR) method using a DRI OC / EC analyzer (Atmoslytic Inc., USA). The methodology for OC / EC analysis was based on the TOR method as described in Chow et al. (2007). For calibration and quality control, measurement with filter blank, standard sucrose solution and replicate analysis were performed. Blank corrections were performed by subtracting the blank values from the sampled ones. The concentration of EC in field blanks was zero, while OC was below 0.7 µg C cm<sup>-2</sup>. The repeatability was better than 15 %.

The elements, including Al, Si, Ca, Fe, and Ti, were analyzed on a Teflon filter using X-ray fluorescence analyzer (Epsilon 5ED-XRF, PANalytical, Netherlands); the QA/QC procedures of the XRF analysis have been described in Cao et al. (2012). The gaseous species were continuously measured by a set of online gas analyzers, including an EC9850 SO<sub>2</sub> analyzer, 9841 NO / NO<sub>2</sub> / NO<sub>x</sub> analyzer, 9830 CO analyzer, and 9810 O<sub>3</sub> analyzer (Ecotech, Australia) at CD, and a Thermo 42i NO / NO<sub>2</sub> / NO<sub>x</sub> analyzer, 43i SO<sub>2</sub> analyzer, 48i CO analyzer, and 49i O<sub>3</sub> analyzer (Thermo Scientific, USA) at CQ. The mass concentrations of PM<sub>2.5</sub> were automatically measured by online particulate monitor instruments (BAM1020, Met One, USA, at CD and 5030 SHARP, Thermo Scientific, USA, at CQ). Hourly meteorological parameters, including ambient temperature (*T*), relative humidity (RH), wind speed (WS) and direction, barometric pressure (*P*), and solar radiation (SR), were obtained from an automatic weather station (Lufft WS501, Germany) at each site. Hourly precipitation data were recorded at the nearest weather station operated by China Meteorology Administration (<http://www.weather.com.cn/>). Planetary boundary layer height (PBLH) was obtained from the HYSPLIT model (<http://ready.arl.noaa.gov/HYSPLIT.php>).

### 2.4 Data analysis

The EC-tracer method has been widely used to estimate SOC (Turpin and Lim, 2001; Castro et al., 1999), which can be expressed as

$$\text{POC} = (\text{OC}/\text{EC})_{\text{prim}} \times \text{EC}, \quad (1)$$

$$\text{SOC} = \text{OC} - \text{POC}, \quad (2)$$

where POC, SOC, and OC represent the estimated primary OC, secondary OC, and measured total OC, respectively.  $(\text{OC}/\text{EC})_{\text{min}}$  was simplified as the  $(\text{OC}/\text{EC})_{\text{prim}}$  to estimate SOC in this study.  $(\text{OC}/\text{EC})_{\text{min}}$  was 2.4, 2.6, 1.6 and 2.2 in autumn, winter, spring and summer at CD, respectively, and

1.9, 2.8, 1.1 and 1.5 at CQ. The estimated SOC was only an approximation with uncertainties, e.g., from the influence of biomass burning (Ding et al., 2012).

The coefficient of divergence (COD) has been used to evaluate the spatial similarity of chemical compositions at different sites (Wongphatarakul et al., 1998; Qu et al., 2015), which is defined as

$$\text{COD}_{jk} = \sqrt{\frac{1}{p} \sum_{i=1}^p \left( \frac{x_{ij} - x_{ik}}{x_{ij} + x_{ik}} \right)^2}, \quad (3)$$

where  $x_{ij}$  and  $x_{ik}$  represent the average concentration for a chemical component  $i$  at site  $j$  and  $k$ , respectively, and  $p$  is the number of chemical components. Generally, a COD value lower than 0.2 indicates a relatively similarity of spatial distribution.

## 2.5 Geographical origins of PM<sub>2.5</sub>

72 h air mass back trajectories were generated based on the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPPLIT) model using  $0.5^\circ \times 0.5^\circ$  meteorological data for the period of October 2014 to July 2015 when PM<sub>2.5</sub> measurements were made at both sites. Four trajectories at 04:00, 10:00, 16:00, and 22:00 UTC every day with the starting height of 300 m above ground level were calculated (Squizzato and Masiol, 2015).

PSCF is substantially a conditional probability that trajectories with pollutant concentrations larger than a given criterion passed through a grid cell ( $i, j$ ) (Ashbaugh et al., 1985; Polissar et al., 1999), which means a grid cell ( $i, j$ ) with high PSCF values is likely to be a potential source location of pollutants. PSCF is defined as follows,

$$\text{PSCF}_{ij} = \frac{m_{ij}}{n_{ij}}, \quad (4)$$

where  $n_{ij}$  is the total number of endpoints falling in the grid cell ( $i, j$ ) and  $m_{ij}$  denotes the number of endpoints that are associated with samples exceeding the threshold criterion in the same cell. To reduce the PSCF uncertainties associated with small  $n_{ij}$  values, a weighting function was adopted as follows,

$$W_{ij} = \begin{cases} 1.0 & 3n_{\text{ave}} < n_{ij} \\ 0.7 & 1.5n_{\text{ave}} < n_{ij} \leq 3n_{\text{ave}} \\ 0.42 & n_{\text{ave}} < n_{ij} \leq 1.5n_{\text{ave}} \\ 0.2 & n_{ij} \leq n_{\text{ave}} \end{cases}, \quad (5)$$

where  $n_{\text{ave}}$  is the average number of endpoints in each grid cell.

The trajectories coupled with daily pollutants concentrations were used for PSCF analysis, with the threshold criterion in PSCF analysis being set at the upper 50% of PM<sub>2.5</sub> and other pollutants. The trajectory covered area was in the range of 20–45° N and 90–120° E and divided into  $0.5^\circ \times 0.5^\circ$  grid cells.

## 3 Results and discussion

### 3.1 PM<sub>2.5</sub> mass concentration and chemical composition

#### 3.1.1 Overview

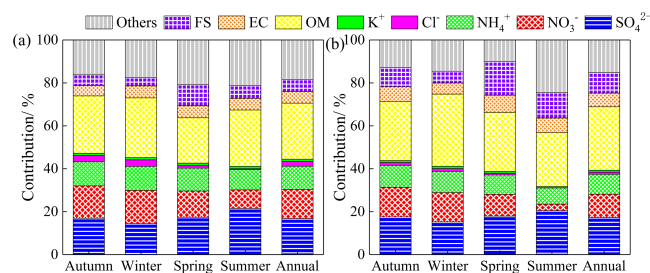
Table 1 presents seasonal and annual mean concentrations of PM<sub>2.5</sub> and its major chemical components at CD and CQ during the sampling periods. Daily PM<sub>2.5</sub> ranged from 11.6 to 224.7  $\mu\text{g m}^{-3}$ , with annual average being  $67.0 \pm 43.4 \mu\text{g m}^{-3}$  at CD and  $70.9 \pm 41.4 \mu\text{g m}^{-3}$  at CQ, which were about 2 times the NAAQS annual limit. Secondary inorganic aerosol (SNA, the sum of  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$ ) and carbonaceous species together represented more than 70% of PM<sub>2.5</sub> mass at both sites (Fig. 2). The annual mean concentrations of SNA were 27.6  $\mu\text{g m}^{-3}$  at CD and 26.5  $\mu\text{g m}^{-3}$  at CQ, contributing 41.1 and 37.4% to PM<sub>2.5</sub> mass, respectively.  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  accounted for 16.8, 13.6, and 10.8%, respectively, of PM<sub>2.5</sub> mass at CD, and 17.2, 10.9, and 9.2%, respectively, at CQ. Organic matter (OM), estimated from OC using a conversion factor of 1.6 to account for other elements presented in organic compounds (Turpin and Lim, 2001), was the most abundant species in PM<sub>2.5</sub>, accounting for 26.1 and 29.6% of PM<sub>2.5</sub> mass at CD and CQ, respectively. In contrast, EC only comprised of around 6% at both sites. The annual mean concentration of OC at CQ was 20% higher than that at CD, while the annual mean concentration of EC at CQ was 25% higher than that at CD. The annual mean concentration of fine soil (FS), calculated by summing the oxides of major crustal elements, i.e.,  $\text{Al}_2\text{O}_3$ ,  $\text{SiO}_2$ ,  $\text{CaO}$ ,  $\text{FeO}$ ,  $\text{Fe}_2\text{O}_3$ , and  $\text{TiO}_2$  (Huang et al., 2014), was 6.7  $\mu\text{g m}^{-3}$  (9.5% of PM<sub>2.5</sub> mass) at CQ. It is noted that this was about 2 times that at CD (3.8  $\mu\text{g m}^{-3}$ , 5.7% of PM<sub>2.5</sub> mass). The minor components such as  $\text{K}^+$  and  $\text{Cl}^-$  constituted less than 5% of PM<sub>2.5</sub>. The unaccounted-for portions of PM<sub>2.5</sub> reached 18.3% at CD and 15.3% at CQ, which were likely related to the uncertainties in the multiplication factors used for estimating OM and FS, other unidentified species, and measurement uncertainties.

#### 3.1.2 Seasonal variations

Figure 3 shows the seasonal variations in mass concentrations of PM<sub>2.5</sub> and its major chemical components at CD and CQ. Seasonal variations of any pollutants were influenced by the seasonal variations in source emission intensities, atmospheric processes, and meteorological conditions. Unlike in northern China, there were no extensive coal combustion or wood burning for domestic heating in winter due to the warm temperature (around 10°C on average) in the Sichuan Basin; hence, atmospheric processes and meteorological conditions played vital roles in the seasonal variations of PM<sub>2.5</sub>. On a seasonal basis, PM<sub>2.5</sub> mass was the highest in winter at both CD and CQ, which was 1.8–2.5 times those in the other sea-

**Table 1.** Meteorological parameters, annual and seasonal mean concentrations of PM<sub>2.5</sub>, gaseous pollutants, and major chemical components at CD and CQ during 2014–2015. na. means no data.

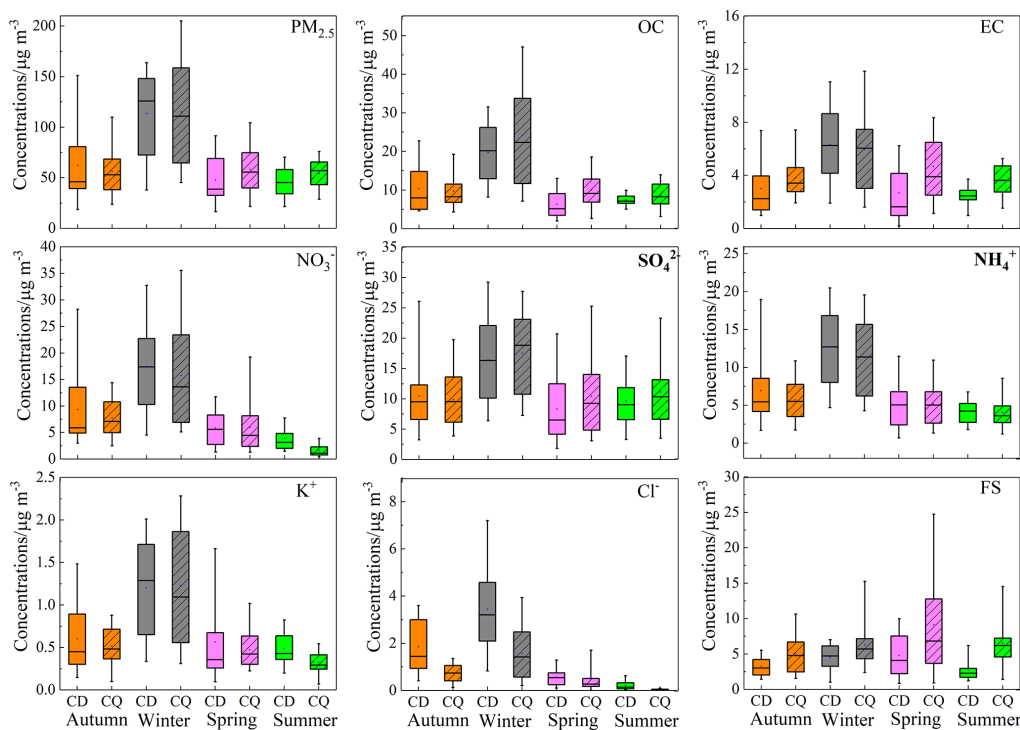
	CD					CQ				
	Autumn	Winter	Spring	Summer	Annual	Autumn	Winter	Spring	Summer	Annual
Meteorological parameters										
<i>T</i> (°C)	15.8 ± 2.9	9.3 ± 2.5	20.4 ± 4.4	28.3 ± 2.9	18.5 ± 7.7	16.0 ± 3.2	10.0 ± 2.3	20.5 ± 4.5	28.4 ± 3.4	18.8 ± 7.6
<i>P</i> (hPa)	960 ± 3.8	963 ± 4.7	954 ± 7.9	946 ± 2.1	955 ± 8.1	981 ± 4.2	984 ± 5.2	974 ± 8.4	963 ± 2.2	975 ± 7.7
RH (%)	81.9 ± 9.0	80.9 ± 6.8	70.5 ± 8.6	72.2 ± 11.3	76.3 ± 10.3	76.1 ± 5.7	68.7 ± 8.8	60.7 ± 13.6	61.0 ± 13.3	66.5 ± 12.5
SR (w m <sup>-2</sup> )	54.9 ± 40.3	37.8 ± 27.2	128.9 ± 65.0	123.6 ± 94.2	67.2 ± 56.7	na.	na.	na.	na.	na.
WS (m s <sup>-1</sup> )	0.5 ± 0.2	0.4 ± 0.3	0.7 ± 0.4	0.5 ± 0.2	0.5 ± 0.3	0.7 ± 0.2	0.7 ± 0.3	1.0 ± 0.4	0.7 ± 0.3	0.8 ± 0.3
Precipitation (mm)	76.3	18.3	56.6	247.8	na.	73.3	22.0	104.6	206.3	na.
PBLH <sub>max</sub> (m)	890 ± 305	852 ± 273	1296 ± 491	1422 ± 529	1119 ± 481	821 ± 252	928 ± 260	1310 ± 491	1329 ± 505	1101 ± 453
Concentrations of gaseous pollutants (μg m <sup>-3</sup> )										
O <sub>3</sub>	19.3 ± 10.5	11.9 ± 7.6	69.3 ± 22.9	90.5 ± 33.3	48.2 ± 39.6	13.3 ± 8.9	12.5 ± 7.7	56.3 ± 23.5	42.8 ± 17.2	31.5 ± 24.5
SO <sub>2</sub>	15.8 ± 7.0	21.5 ± 9.5	11.2 ± 6.3	11.3 ± 4.7	14.9 ± 3.7	16.4 ± 4.6	23.3 ± 9.2	13.9 ± 5.3	14.4 ± 5.4	17.0 ± 7.3
NO <sub>2</sub>	60.2 ± 18.7	75.3 ± 24.5	51.8 ± 26.8	54.2 ± 9.4	60.4 ± 22.5	66.5 ± 15.0	81.3 ± 19.8	50.8 ± 16.7	51.7 ± 20.8	62.4 ± 22.0
Concentrations of PM <sub>2.5</sub> and chemical compositions (μg m <sup>-3</sup> )										
PM <sub>2.5</sub>	62.1 ± 38.4	113.5 ± 47.8	48.0 ± 25.2	45.1 ± 15.2	67.0 ± 43.4	56.3 ± 23.6	115.1 ± 53.9	58.3 ± 24.6	54.2 ± 16.2	70.9 ± 41.4
SO <sub>4</sub> <sup>2-</sup>	10.5 ± 6.5	16.4 ± 7.1	8.3 ± 5.9	9.7 ± 4.7	11.2 ± 6.8	9.9 ± 4.7	17.5 ± 7.4	10.4 ± 6.5	11.1 ± 5.7	12.2 ± 6.8
NO <sub>3</sub> <sup>-</sup>	9.3 ± 7.4	17.5 ± 8.8	5.9 ± 3.6	3.9 ± 2.2	9.1 ± 8.0	7.8 ± 3.8	15.8 ± 9.5	5.9 ± 5.0	1.6 ± 1.3	7.7 ± 7.6
NH <sub>4</sub> <sup>+</sup>	6.9 ± 4.8	12.7 ± 5.4	5.1 ± 3.2	4.2 ± 1.9	7.2 ± 5.2	5.7 ± 2.8	11.3 ± 5.2	5.2 ± 3.0	4.0 ± 2.1	6.6 ± 4.4
Cl <sup>-</sup>	1.9 ± 1.2	3.4 ± 1.9	0.6 ± 0.4	0.2 ± 0.2	1.5 ± 1.7	0.8 ± 0.4	1.6 ± 1.2	0.5 ± 0.5	0.04 ± 0.03	0.7 ± 0.9
K <sup>+</sup>	0.6 ± 0.4	1.2 ± 0.6	0.6 ± 0.5	0.5 ± 0.2	0.7 ± 0.5	0.5 ± 0.2	1.2 ± 0.7	0.5 ± 0.2	0.3 ± 0.1	0.6 ± 0.5
OC	10.4 ± 6.1	19.7 ± 8.4	6.3 ± 3.7	7.4 ± 1.5	10.9 ± 7.6	9.7 ± 4.7	24.2 ± 13.6	10.0 ± 5.1	8.5 ± 3.4	13.1 ± 10.0
EC	3.0 ± 2.1	6.3 ± 3.0	2.7 ± 2.3	2.5 ± 0.7	3.6 ± 2.7	3.8 ± 1.7	5.9 ± 3.2	4.7 ± 3.0	3.7 ± 1.5	4.5 ± 2.6
FS	3.2 ± 1.6	4.5 ± 2.0	4.8 ± 3.0	2.7 ± 1.5	3.8 ± 2.2	5.0 ± 2.8	6.3 ± 3.3	9.1 ± 7.6	6.5 ± 4.0	6.7 ± 5.0

**Figure 2.** Seasonal and annual contributions of individual chemical components to PM<sub>2.5</sub> at CD (a) and CQ (b).

sons. In contrast, its seasonal differences among the other three seasons were generally small, i.e., less than 40%. Stagnant air conditions with frequent calm winds and low planetary boundary layer heights were the major causes of the highest PM<sub>2.5</sub> mass in winter (Table 1) (Liao et al., 2017; Chen and Xie, 2013; L. L. Li et al., 2017).

All the major PM<sub>2.5</sub> components except FS followed the seasonal pattern of PM<sub>2.5</sub> mass with subtle differences. The highest FS concentrations were observed in spring at both sites. The relatively high wind speed and lower RH in spring were conducive for re-suspension of crustal dust and resulted in higher FS concentrations. In addition, frequent spring dust storms originated in the northwestern China was able to reach Sichuan Basin via long-range transport, causing the elevated FS concentrations (Chen et al., 2015; Tao et al., 2013). The highest contributions from FS to PM<sub>2.5</sub> mass were more

than 10%, appearing in spring at both sites. The majority of PM<sub>2.5</sub> components showed a summer minimum, which was caused by high planetary boundary layer height favoring pollutant dispersion and abundant precipitation favoring wet scavenging (Table 1). One exception was SO<sub>4</sub><sup>2-</sup>, which had a minimum in spring at CD and in autumn at CQ, likely due to the enhanced photochemical reactions associated with high temperature and strong solar radiation in summer. High O<sub>3</sub> concentrations in summer also supported this seasonal trend. It is also noted that the seasonal variations of NO<sub>3</sub><sup>-</sup> were much larger than those of SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup>. SO<sub>4</sub><sup>2-</sup> and SO<sub>2</sub> showed similar seasonal trends, with their concentrations 1.4–2.0 times higher in winter than in the other seasons (Table 1). In contrast, the seasonal variations of NO<sub>3</sub><sup>-</sup> were much larger than that of NO<sub>2</sub>; for example, while the concentrations of NO<sub>2</sub> were 1.2–1.6 times higher in winter than in the other seasons, those of NO<sub>3</sub><sup>-</sup> were 9.6 times higher in winter than in summer at CQ. Thus, seasonal variations of SO<sub>2</sub> and NO<sub>x</sub> emissions were comparable, but the atmospheric chemical processes caused the much larger seasonal variations in NO<sub>3</sub><sup>-</sup>. The concentration of NO<sub>3</sub><sup>-</sup> could be enhanced in winter under high RH through heterogeneous aqueous processes and decreased in summer due to volatility of NH<sub>4</sub>NO<sub>3</sub> under high temperature, which increased the seasonal differences in NO<sub>3</sub><sup>-</sup> concentrations between winter and summer (Pathak et al., 2009; Quan et al., 2015; Squizzato et al., 2013). In addition, thermodynamically driven behavior of NH<sub>4</sub>NO<sub>3</sub> was another factor for the lower NO<sub>3</sub><sup>-</sup> concentrations in summer (Wang et al., 2016; Kuprov et al.,

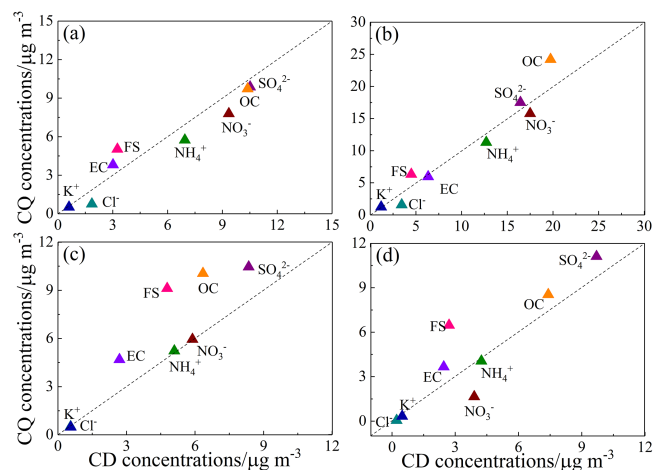


**Figure 3.** Seasonal distributions of PM<sub>2.5</sub> and its major chemical components. Shown in each sub-figure are mean (dot symbol), median (horizontal line), the central 50 % data (25th–75th percentiles, box), and the central 90 % data (5th–95th percentile, whiskers).

2014). As shown in Fig. 2, the seasonal average contributions of SNA to PM<sub>2.5</sub> only varied within a small range from 39.5 to 43.2 % at CD, whereas in a relatively larger range from 31.0 % in summer to 37.1–41.5 % in the other seasons at CQ. The smaller contribution in summer at CQ was mainly due to the lower NO<sub>3</sub><sup>-</sup> concentrations. At both CD and CQ, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> showed the highest contributions in winter and the lowest ones in summer, whereas an opposite trend was found for SO<sub>4</sub><sup>2-</sup>. Both OC and EC exhibited the highest concentrations in winter at CD and CQ, around 1.9–3.1 times those in the other seasons. SOC was also the highest in winter at both sites, similarly to what observed for OC, which can be partly explained by the enhanced condensation process forming SOC under low temperature (Sahu et al., 2011; Cesari et al., 2016). In contrast, high temperature in summer favored gas–particle partitioning in the gas phase and thus limited the formation of SOC (Strader et al., 1999). The contributions of carbonaceous components generally followed the seasonal patterns of SNA, accounting for 26.7–38.8 % of PM<sub>2.5</sub> mass. Among these carbonaceous species, OM showed the lowest fractions in PM<sub>2.5</sub> in spring (21.1 %) at CD and the highest value in winter (33.6 %) at CQ, while the percentages of OM in other seasons were similar at both sites, around 27 %. The seasonal variations of EC fractions were not obvious, with a slightly higher value in spring.

### 3.1.3 Similarities and differences between the two sites

Although none of the two sites alone can represent the whole region of the Sichuan Basin, the similarities in the characteristics of the major pollutants between the two sites should represent the regional-scale characteristics of urban–environment pollution, while the differences between the two sites should reflect the sub-regional characteristics of urban pollution. A comparison between the two sites in terms of seasonal-average concentrations of major chemical components is shown in Fig. 4 and discussed in detail below. Despite the 260 km distance between the two sampling sites, a moderate similarity was observed in autumn, winter, and spring on the basis of low COD values (0.15–0.18), indicating limited differences between the two urban environments in the Sichuan Basin and the similarities in major emission sources for both sites. The similar pollution patterns observed at both CD and CQ were likely to be related to the similar meteorological parameters and special topography of the basin, which is a closed lowland area surrounded by high mountains on all sides (Fig. 1). The mean elevation in the basin is about 200–700 m, while the surrounding mountains are around a range of 1000–3000 m elevation. The Tibetan Plateau lies close to the western Sichuan Basin, with an elevation above 4000 m. Such a plateau–basin topography forms a barrier for the dispersion of pollutants and causes air stagnation within the basin, thereby facilitating regional-



**Figure 4.** Seasonal mean concentrations of major components in autumn (a), winter (b), spring (c), and summer (d) at CD and CQ sites.

scale pollution events in the basin; 72 h air mass back trajectory analysis (18:00 local time) showed that air masses reaching CD and CQ mainly originated from local areas in the basin (Fig. S1 in the Supplement), confirming the influence of the high mountainous surroundings of the basin. These results were consistent with those found in earlier studies in Chengdu and Chongqing (Tian et al., 2017; Liao et al., 2017), which suggested that air masses had short-range trajectories and primarily originated from inside the Sichuan Basin, highlighting the impacts of the special topography on PM<sub>2.5</sub> pollution. A similar case has also been found elsewhere, such as in the Po Valley, Italy (Ricciardelli et al., 2017).

It is worth noting that the COD values used to identify the similarities or differences of the two sites were calculated based on seasonal-average concentrations of all the components in PM<sub>2.5</sub>. However, if focusing on individual components, several chemical species in PM<sub>2.5</sub> differed by up to a factor of 2.5 in their season-average concentrations between CD and CQ, e.g., OC and EC in winter and spring, and Cl<sup>-</sup> and FS in all the four seasons. In summer, the differences for several major chemical components (FS, OC, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, and EC) between the two sites were larger than in the other seasons, causing a high COD value (0.33). These discrepancies were partly caused by the different atmospheric chemical processes, local sources and meteorological parameters between the two sites. Specifically, FS mostly deviated from the 1:1 straight line in all the seasons, with substantially higher concentrations at CQ than CD (Fig. 4). There was no significant difference in NH<sub>4</sub><sup>+</sup> concentrations between CD and CQ, but there were considerable differences in SO<sub>4</sub><sup>2-</sup> and NO<sub>3</sub><sup>-</sup> in spring and summer. SO<sub>2</sub> concentration was around 25 % higher at CQ than CD in spring and summer, which partially explains the site differences in SO<sub>4</sub><sup>2-</sup>. In contrast, NO<sub>2</sub> concentration was comparable at both sites in summer, but

NO<sub>3</sub><sup>-</sup> concentration was 58 % lower in CQ than CD. The site differences in NO<sub>3</sub><sup>-</sup> concentration was caused by NH<sub>4</sub>NO<sub>3</sub> thermodynamic equilibrium controlled by ambient temperature and RH, instead of by its gaseous precursors. The equilibrium would be shifted toward the particulate phase when ambient RH was above the deliquescence relative humidity (DRH) of NH<sub>4</sub>NO<sub>3</sub>, and the dissociation constant decreased by about 1 order of magnitude when RH was above 75 % (Kuprov et al., 2014). DRH was calculated from temperature following Mozurkewich (1993). As shown in Table 1, the average temperature was comparable at CD and CQ during the summer period, hence leading to similar DRH values of NH<sub>4</sub>NO<sub>3</sub>, ranging from 59 to 64 % with an average value of 60.7 %. However, the ambient RH was substantially lower at CQ (61 %) than CD (72 %), causing lower NO<sub>3</sub><sup>-</sup> concentration at CQ. As shown in Fig. S2, 53 % of the hourly data in summer have ambient RH lower than DRH at CQ, while this is the case for only 19 % of such data at CD, which explains the different NO<sub>3</sub><sup>-</sup> concentrations between CD and CQ.

Figure 4 shows higher concentrations of carbonaceous component (OC and EC) at CQ than CD in all the seasons except OC in autumn and EC in winter. OC and EC mainly originate from fossil fuel combustion and biomass burning. K<sup>+</sup> is usually regarded as a tracer of biomass burning (Tao et al., 2016). During the sampling campaign, no significant differences in K<sup>+</sup> levels were observed between CD and CQ (Table 1), suggesting that biomass burning was not be the major cause of the higher concentrations of carbonaceous component at CQ. Motorcycle traffic was likely a major source of volatile organic compounds (VOCs) in CQ since it is a famous mountain city where most people use motorcycles as daily transportation. The number of motorcycles was 2.0 million in Chongqing in 2014, which was much higher than that (0.7 million) in Chengdu (National Bureau of Statistics of China, 2015). Moreover, Chongqing has become China's largest automobile production base, which likely also emits VOCs from spraying processes. Higher concentrations of VOCs in CQ would cause higher concentrations of secondary organic carbon via photochemical reaction under high temperature or vapor condensation under low temperature. This hypothesis is supported by the large differences in OC concentrations in winter between the two sites.

Correlation analysis may also provide an insight into the similarities/differences between the two sites over an intensive sampling period. Good correlations between the two sites were found for daily SNA, OC, EC, and K<sup>+</sup> concentrations in autumn, winter, and spring (Table S1). However, for NO<sub>3</sub><sup>-</sup>, a significant correlation was identified only in autumn, likely due to the strong impact of local vehicle emissions and the subsequent atmospheric processes forming NO<sub>3</sub><sup>-</sup>. Similarly, a moderate correlation was observed just in winter for both Cl<sup>-</sup> and FS. In summer, weak or no correlations were identified between the two sites for almost all chemical components.

### 3.2 PM<sub>2.5</sub> formation mechanisms and geographical origins

#### 3.2.1 Pollution episodes and key chemical components

Pollution episodes during the campaign are highlighted with shaded areas in Fig. 5. These pollution periods (PP) were defined as daily PM<sub>2.5</sub> concentration being above the NAAQS guideline value of 75  $\mu\text{g m}^{-3}$ . Similarly, the days with PM<sub>2.5</sub> concentration below 75  $\mu\text{g m}^{-3}$  were characterized as clean periods (CP). A total of seven pollution episodes were identified during the campaign at each site. There were three long-lasting pollution episodes occurred simultaneously at the two sites on 23–27 October 2014, 7(8)–26 January, and 26–28 (29) April 2015. A total of 34 and 31 pollution days were counted at the CD and CQ sites, respectively, accounting for 30.4 and 28.6 % of the entire sampling days (112 days). The number of pollution days at CD was 8, 21, 4, and 1 in autumn, winter, spring, and summer, accounting for 29.6, 75, 14.3, and 3.4 % of the total sampling days in each season, respectively, and at CQ they were 4, 19, 6, and 2, accounting for 14.8, 67.9, 21.4, and 6.9 %. Stagnant atmosphere and high RH were important factors causing PM<sub>2.5</sub> pollution events, as was found in this and earlier studies (G. J. Zheng et al., 2015; Chen et al., 2017; Liao et al., 2017). Compared with the clean periods, the pollution periods were usually characterized by low planetary boundary layer height and weak wind speed (Table S2), which suppressed pollutant dispersion vertically and horizontally. Temperature increased during the long-lasting pollution episodes, which promoted gas-to-particle transformation, forming secondary aerosols. RH remained high (68–88 %) during pollution episodes (except in spring at CQ), although not much different from clean periods, which was also conducive for aqueous-phase reactions converting gaseous pollutants into aerosols (Chen et al., 2017; Tian et al., 2017).

Looking more closely at a regional-scale long-lasting pollution episode in winter, from 8 to 26 January 2015, the concentrations of PM<sub>2.5</sub> and major chemical components increased dramatically compared with clean periods (Fig. 6). PM<sub>2.5</sub> concentrations were more than 3 times higher at both sites, with the two dominant groups of components, SNA and OC, being 2.5–2.8 times higher at CD and 1.7–2.7 times higher at CQ. The enhancement of SNA and OC during pollution periods were similar at CD, but OC increased much more than SNA at CQ, indicating some different contributing factors to the high-PM<sub>2.5</sub> pollution at the two sites. Pollutants accumulation under stagnant meteorological conditions might be a main factor at CD based on the similar magnitudes of the enhancements of PM<sub>2.5</sub> and its dominant components, while additional processes should have increased OC more than other components at CQ. The percentage contributions of SNA to PM<sub>2.5</sub> were similar during clean and pollution periods: 38–41 % at CD and CQ (Fig. S3). However, the percentage contributions of OM to PM<sub>2.5</sub> decreased from

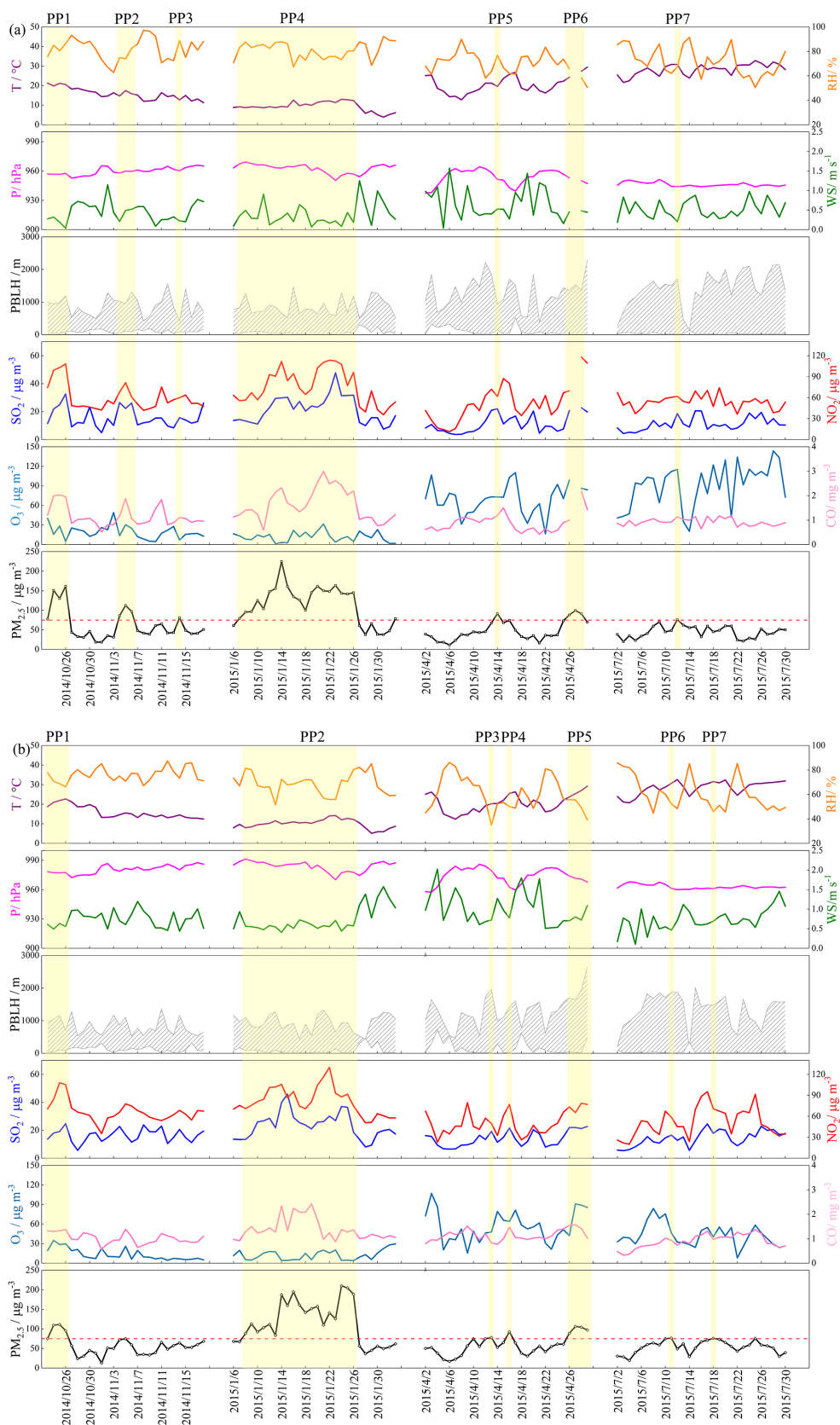
30.1 % on clean days to 27.5 % on pollution days at CD, and increased from 26.9 to 34.9 % at CQ. Concentrations of the individual SNA species ( $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$ ) increased by a factor of 2.5–3.3 on pollution days compared with clean days in all the cases (Fig. 6). But the percentage contributions differed among the species as  $\text{NO}_3^-$  increased and  $\text{SO}_4^{2-}$  decreased on pollution days (Fig. S3). The percentage contributions of SNA and OM to PM<sub>2.5</sub> discussed above were different from those found in eastern coastal China and the North China Plain, where considerable increases were found for SNA and decreases for OM on pollution days than clean days (Tan et al., 2009; H. L. Wang et al., 2015; Quan et al., 2014; Zhang et al., 2015, 2016; Cheng et al., 2015). The pollution periods in eastern coastal China and the North China Plain were accompanied with sharp increases of RH, which would promote aqueous-phase formation of secondary inorganic aerosols and resulted in rapid elevation of  $\text{SO}_4^{2-}$  and  $\text{NO}_3^-$  concentrations (G. J. Zheng et al., 2015; B. Zheng et al., 2015; X. J. Zhao et al., 2013; H. Li et al., 2017). In contrast, RH remained high during either clean or pollution periods in the present study, suggesting that high RH might not be the primary cause of the dramatic increase in PM<sub>2.5</sub> concentrations during the pollution period in the Sichuan Basin. Another point that needs to be mentioned is that, as shown in Fig. S1, local sources were the main contributors to the pollution episodes in the Sichuan Basin while sources outside local regions frequently contributed to pollution episodes in eastern coastal China and the North China Plain through long/medium-range transport (Gao et al., 2015; Hua et al., 2015; Q. Z. Wang et al., 2015).

#### 3.2.2 Transformation mechanisms of secondary aerosols

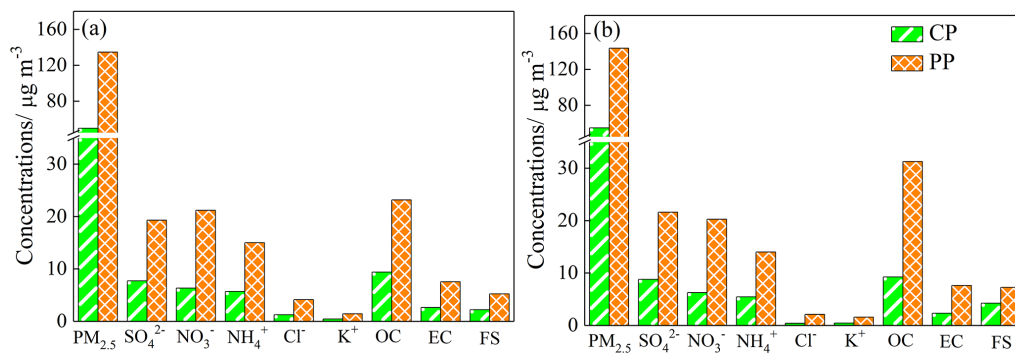
In most cases, meteorological conditions, atmospheric chemical processes, and long-range transport are all responsible for PM<sub>2.5</sub> accumulation (G. J. Zheng et al., 2015). CO is directly emitted from combustion processes and is not very reactive. Its concentrations in the air are strongly controlled by meteorological parameters within a relatively short period, which makes it a good tracer that can be used for separating different dominant factors contributing to pollutants accumulation (G. J. Zheng et al., 2015; Zhang et al., 2015; Hu et al., 2013; Liggio et al., 2016). The impact of atmospheric physical processes on other pollutants can be revealed by scaling the concentrations of other pollutants to that of CO. For example, PM<sub>2.5</sub> was enhanced by a factor of 2.7 on pollution days at both sites, but the CO-scaled PM<sub>2.5</sub> (the ratio of PM<sub>2.5</sub> to CO concentration) only showed an enhancement of a factor of 1.6–1.8 (Fig. 7), and the latter values were likely from the enhanced secondary aerosol formation.

As shown in Fig. 7, the CO-scaled SNA was 60–90 % higher on pollution days with individual species 40–120 % higher, even though their gaseous precursors ( $\text{SO}_2$  and  $\text{NO}_2$ , no data for  $\text{NH}_3$ ) were only less than 30 % higher. This

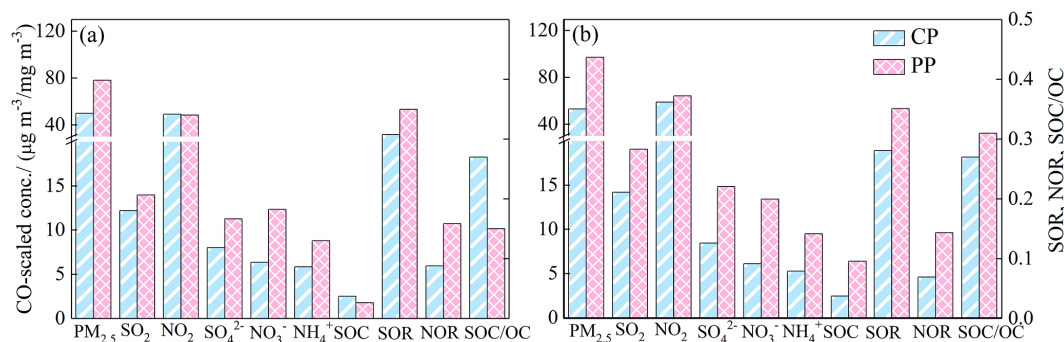




**Figure 5.** Temporal variations of meteorological parameters, gaseous pollutants, and PM<sub>2.5</sub> during the campaign at CD (a) and CQ (b). Pollution episodes are highlighted by shaded areas.



**Figure 6.** PM<sub>2.5</sub> and major chemical components during clean periods (CP) and pollution periods (PP) in winter at CD (a) and CQ (b). At CD: CP, 6 January and 27 January–2 February 2015; PP, 7–26 January 2015. At CQ: CP, 6–7 January and 27 January–2 February 2015; PP, 8–26 January 2015.

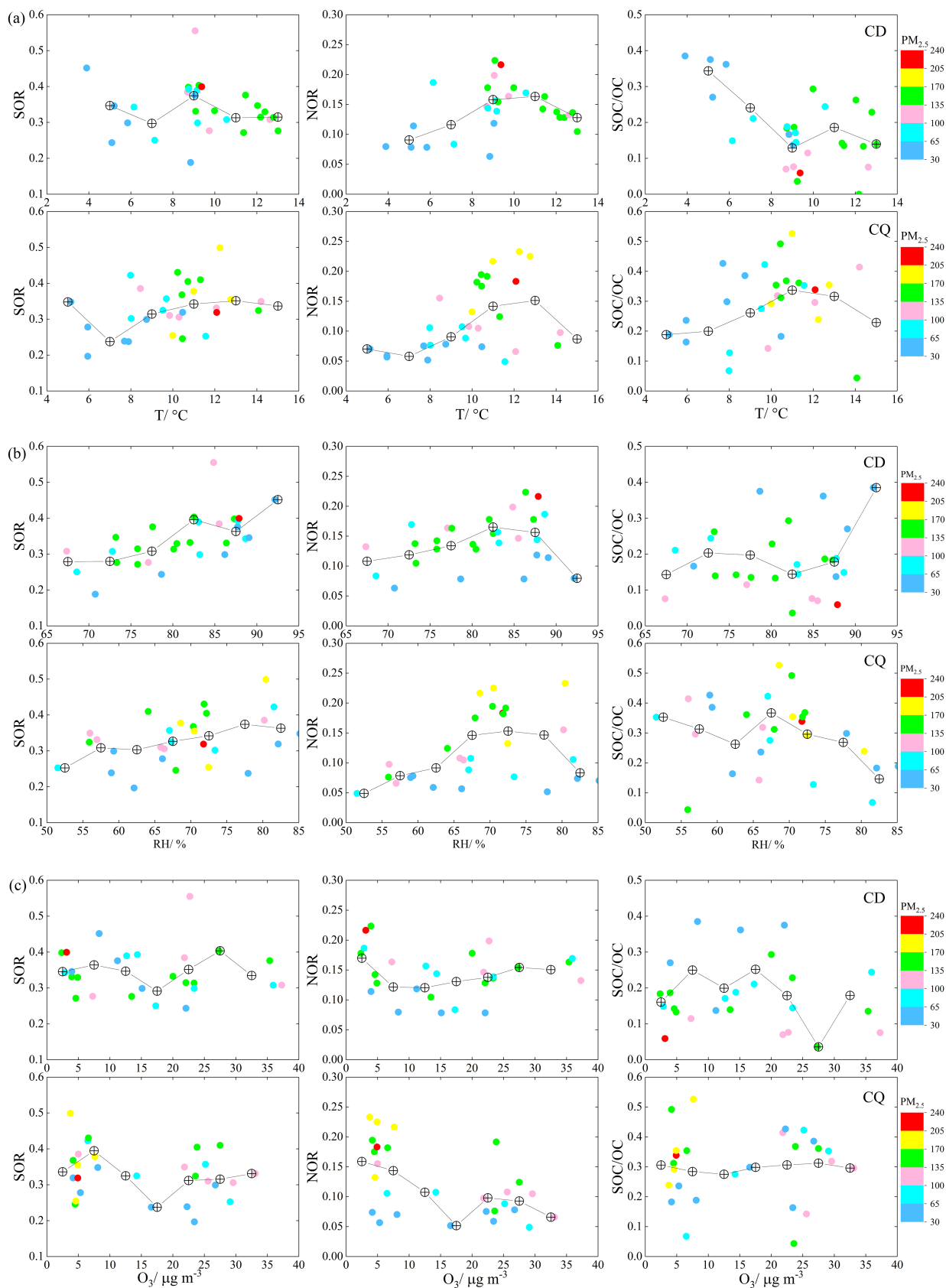


**Figure 7.** CO-scaled concentrations of various pollutants and the values of SOR, NOR, and SOC / OC in winter at CD (a) and CQ (b). CP and PP are from the same period as Fig. 6.

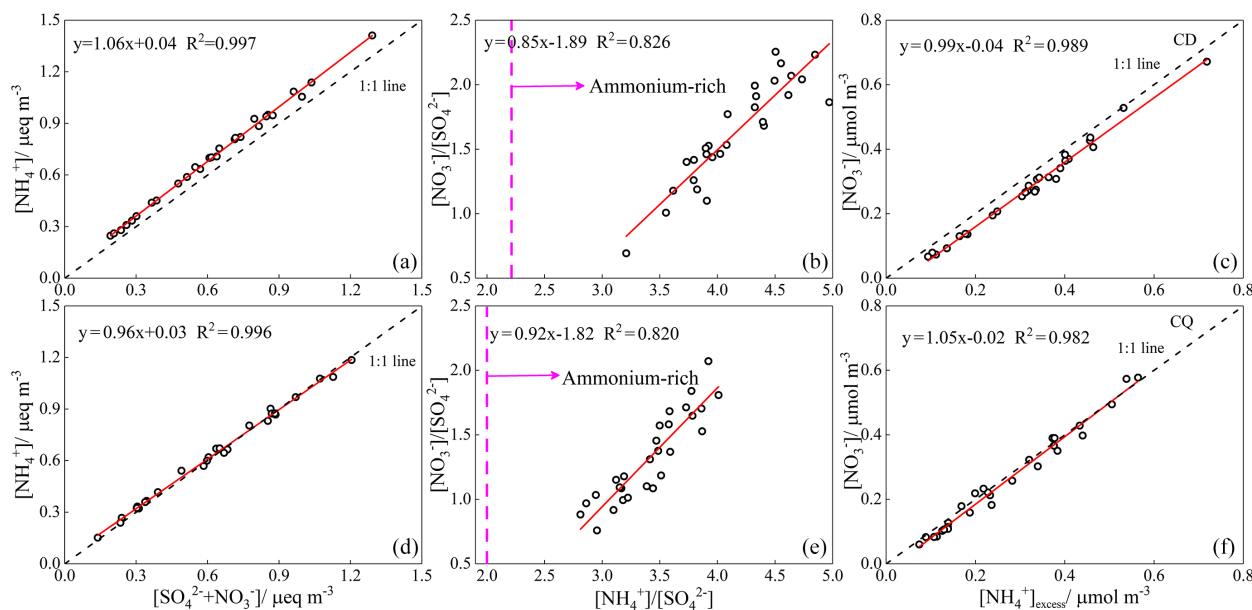
suggested stronger chemical transformation from gaseous precursors to particle formation on pollution days. Sulfur oxidation ratio ( $\text{SOR} = n\text{-SO}_4^{2-} / (n\text{-SO}_4^{2-} + n\text{-SO}_2)$ ) and nitrogen oxidation ratio ( $\text{NOR} = n\text{-NO}_3^- / (n\text{-NO}_3^- + n\text{-NO}_2)$ ) were defined to evaluate the degree of secondary transformation ( $n$  refers to as the molar concentration) (Hu et al., 2014). NOR increased from 0.09 on clean days to 0.16 on pollution days at CD and from 0.07 to 0.14 at CQ. SOR increased only slightly, from 0.31 to 0.35 at CD and 0.28 to 0.35 at CQ. The CO-scaled SOC increased by a factor of 2.6 on pollution days at CQ, but no significant change was found at CD. The different patterns in SOC (or SOC / OC) than SNA (or SOR and NOR) suggested that secondary organic aerosol (SOA) production was of less important than SNA production at CD.

SO<sub>4</sub><sup>2-</sup> is predominantly formed via homogeneous gas-phase oxidation. In this pathway, SO<sub>2</sub> is firstly oxidized by OH radical to SO<sub>3</sub>, and then to H<sub>2</sub>SO<sub>4</sub> (Stockwell and Calvert, 1983; Blitz et al., 2003). Apart from homogeneous reaction, particulate SO<sub>4</sub><sup>2-</sup> can also be formed through heterogeneous reactions with dissolved O<sub>3</sub> or H<sub>2</sub>O<sub>2</sub> under the catalysis of transition metal and in-cloud process (Ianniello et al., 2011). HNO<sub>3</sub> is primarily produced from the reactions between NO<sub>2</sub> and OH radical during the daytime

and later combines with NH<sub>3</sub> to produce particulate NO<sub>3</sub><sup>-</sup> (Calvert and Stockwell, 1983). Particulate NO<sub>3</sub><sup>-</sup> can also be formed through heterogeneous hydrolysis of N<sub>2</sub>O<sub>5</sub> on moist and acidic aerosols during nighttime (Ravishankara, 1997; Brown and Stutz, 2012). Similarly, SOA is mainly formed through photochemical oxidation of primary VOCs followed by condensation of SVOC onto particles as well as through aqueous-phase reactions (Ervens et al., 2011). While photochemical reactions are mostly influenced by temperature and oxidants amount, heterogeneous reactions always depend on ambient RH. To further explore the formation mechanisms of secondary aerosols, SOR, NOR and SOC / OC data were grouped with temperature (at 2 °C interval), RH (at 5 % interval) and daytime O<sub>3</sub> concentration (at 5  $\mu\text{g m}^{-3}$  interval) bins (Fig. 8). An obvious increase in SOR with increasing RH was found at both sites, but this was not the case for temperature and O<sub>3</sub> concentration, suggesting heterogeneous processes played important roles in the formation of SO<sub>4</sub><sup>2-</sup>, as was suggested in many previous studies (Quan et al., 2015; B. Zheng et al., 2015; X. J. Zhao et al., 2013). Interestingly, SOR exhibited a decreasing trend with increasing O<sub>3</sub> concentration at O<sub>3</sub> concentrations lower than 15  $\mu\text{g m}^{-3}$  and an opposite trend was found at O<sub>3</sub> concen-



**Figure 8.** Correlations of SOR, NOR, and SOC / OC against temperature (a), RH (b), and O<sub>3</sub> concentration (c) in winter at CD and CQ.

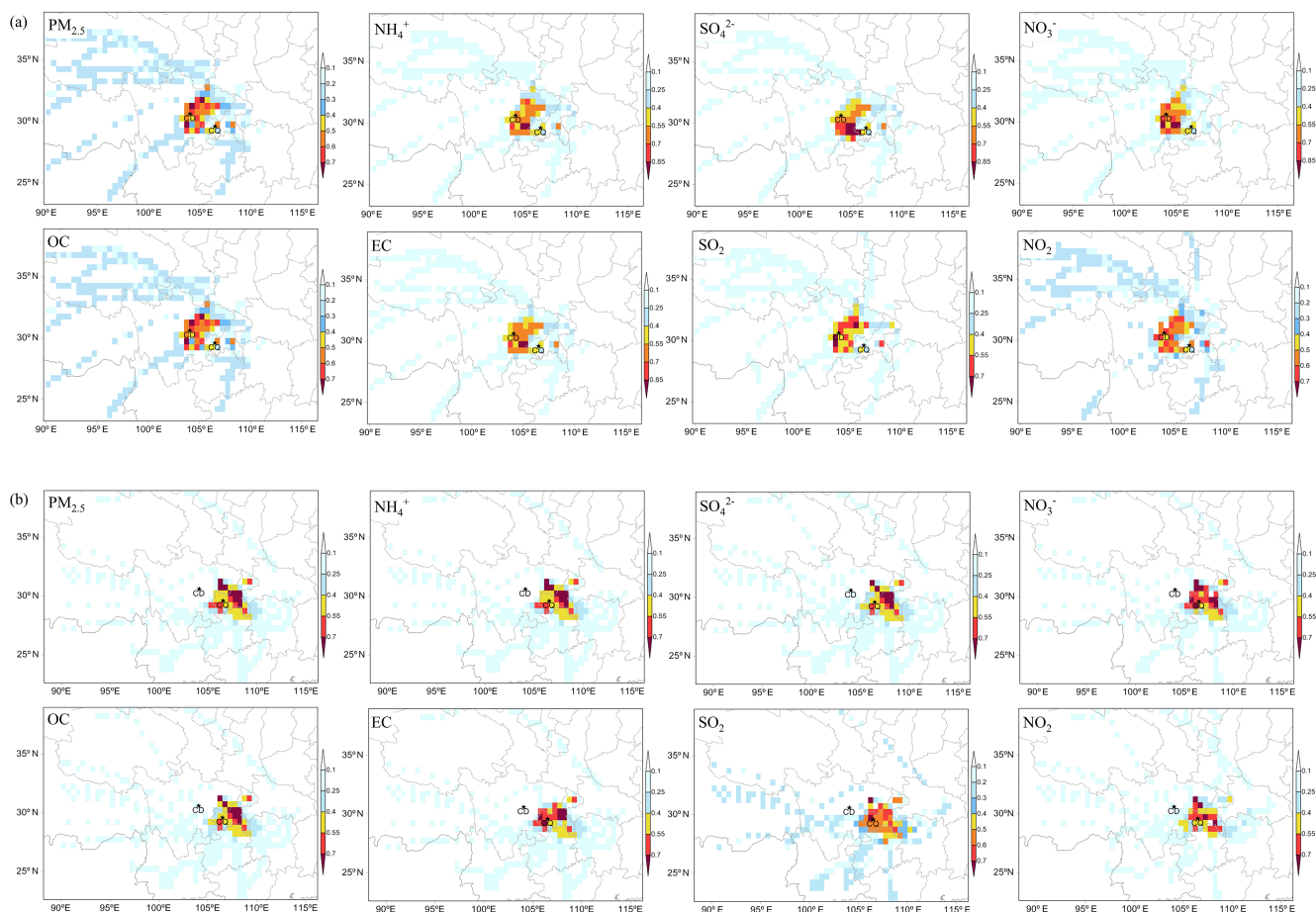


**Figure 9.**  $\text{NH}_4^+$  concentration as a function of the sum of  $\text{SO}_4^{2-}$  and  $\text{NO}_3^-$  in equivalent concentrations (left column), molar ratio  $\text{NO}_3^- / \text{SO}_4^{2-}$  as a function of  $\text{NH}_4^+ / \text{SO}_4^{2-}$  (middle column), and  $\text{NO}_3^-$  concentration as a function of  $\text{NH}_4^+$  excess (right column) at CD (upper row) and CQ (lower row).

trations above  $20 \mu\text{g m}^{-3}$  (Fig. 8). Additionally, high PM<sub>2.5</sub> concentrations were mostly associated with lower O<sub>3</sub> concentrations. This behavior might be explained by the complicated interactions between aerosol and O<sub>3</sub>. On the one hand, aerosols are generally considered as a constraining factor to O<sub>3</sub> production due to their absorption and scattering of UV radiation, which reduce solar radiation and consequently decrease photochemical activity. On the other hand, aerosols can provide an interface for the heterogeneous reaction, in accordance with O<sub>3</sub> consumption and secondary aerosol formation, which would result in decreased O<sub>3</sub> concentrations and increased secondary aerosols (G. J. Zheng et al., 2015). It was further found that the ambient RH remained high at low O<sub>3</sub> concentrations (Fig. S4), which was beneficial to  $\text{SO}_4^{2-}$  formation through heterogeneous aqueous processes, consistent with the observed results that high SOR value occurred at low O<sub>3</sub> concentrations.

Unlike SOR, NOR increased with both temperature and RH, suggesting the combined effects from homogeneous and heterogeneous reactions. However, under the very high temperature and RH conditions, NOR exhibited a decreasing trend with increasing temperature and RH. Volatilization of  $\text{NH}_4\text{NO}_3$  at high temperature should be the major cause of such a phenomenon, but it is not clear about the cause of the decreasing trend of NOR under high RH. Pathak et al. (2009) investigated the formation mechanism of  $\text{NO}_3^-$  in ammonium-rich and ammonium-poor samples, suggesting homogeneous gas-phase reaction became evident to form  $\text{NO}_3^-$  under the former condition, while heterogeneous processes dominated the  $\text{NO}_3^-$  for-

mation under the latter condition. As shown in Fig. 9,  $\text{SO}_4^{2-}$  and  $\text{NO}_3^-$  were almost completely neutralized by  $\text{NH}_4^+$ , indicating an ammonium-rich environment during the sampling campaign. The ammonium-rich environment was also confirmed by the molar ratios of  $[\text{NO}_3^-] / [\text{SO}_4^{2-}]$  and  $[\text{NH}_4^+] / [\text{SO}_4^{2-}]$ . The molar ratio  $[\text{NO}_3^-] / [\text{SO}_4^{2-}]$  as a function of  $[\text{NH}_4^+] / [\text{SO}_4^{2-}]$  is depicted in Fig. 9, which shows significant positive correlations ( $R^2 = 0.82\text{--}0.83$  at the two sites). Linear regression equations were obtained as  $[\text{NO}_3^-] / [\text{SO}_4^{2-}] = 0.85[\text{NH}_4^+] / [\text{SO}_4^{2-}] - 1.89$  at CD and  $[\text{NO}_3^-] / [\text{SO}_4^{2-}] = 0.92[\text{NH}_4^+] / [\text{SO}_4^{2-}] - 1.82$  at CQ. Based on these equations, the molar ratio of  $[\text{NH}_4^+] / [\text{SO}_4^{2-}]$  was defined as the threshold value separating ammonium-rich and ammonium-poor conditions when the value of  $[\text{NO}_3^-] / [\text{SO}_4^{2-}]$  was zero. In the present study, the threshold value was 2.2 and 2.0 at CD and CQ, respectively. The molar ratio  $[\text{NH}_4^+] / [\text{SO}_4^{2-}]$  was higher than the threshold value at both sites, suggesting the prevalence of ammonium-rich condition. The near-perfect fitting between the molar ratios of  $[\text{NO}_3^-] / [\text{SO}_4^{2-}]$  and  $[\text{NH}_4^+] / [\text{SO}_4^{2-}]$  further demonstrated the characteristics of  $\text{NO}_3^-$  formed through homogenous gas-phase reaction. Moreover,  $\text{NO}_3^-$  showed a strong correlation with excess  $\text{NH}_4^+$  with correlation coefficients of 0.98–0.99 at both sites, providing an insight into the gas-phase reactions of ambient  $\text{NH}_3$  and  $\text{HNO}_3$ . Using high-resolution inorganic ion data, Tian et al. (2017) demonstrated that  $\text{NO}_3^-$  was primarily formed via homogeneous reaction when RH below 75 % and through heterogeneous processes when RH was above 75 %. The increases in NOR with RH at both sites



**Figure 10.** PSCF distribution of PM<sub>2.5</sub>, its chemical components, and gaseous precursors in winter at CD (a) and CQ (b).

also revealed the possibility of the heterogeneous processes, although this cannot be verified directly due to the lack of high-resolution data.

The ratio of SOC / OC decreased with increasing temperature at CD but increased at CQ when temperature was lower than 10 °C. Although SOC / OC did not correlate well with RH, an opposite trend was also found between CD and CQ at high-RH conditions. Heterogeneous reactions seemed to be dominant in the formation of SOA at CD, whereas homogeneous reactions were prevalent at CQ. SOC / OC showed no apparent dependency on O<sub>3</sub> concentrations at either site, indicating more complex formation mechanisms of SOA than SO<sub>4</sub><sup>2-</sup> and NO<sub>3</sub><sup>-</sup>.

### 3.2.3 Geographical origins of high-PM<sub>2.5</sub> pollution

PSCF analysis was applied to investigate the potential source regions contributing to high-PM<sub>2.5</sub> pollution. As can be seen from the PSCF maps in Fig. 10, all the pollutants including PM<sub>2.5</sub> and its chemical components as well as gaseous precursors had similar spatial patterns of potential source areas. Basically, all the major source areas for high pollutant

concentrations were distributed within the basin. Long-range transport events as seen in the North China Plain and eastern coastal regions were not observed at CD and CQ (Zhao et al., 2015; Zhang et al., 2013). At CD, the major source areas in winter included the areas of the northeastern, southeastern, and southern Chengdu and in some areas of eastern Chongqing. A similar spatial distribution of PM<sub>2.5</sub> potential sources was also found by Liao et al. (2017) through PSCF analysis in winter 2013, in which high PM<sub>2.5</sub> concentrations were mostly associated with sources broadly located in the southeast of the basin, covering Neijiang, Zigong, Yibin, Luzhou, and east part of Chongqing. At CQ, the northeast area of Chongqing was identified as strong sources, where a number of industries were located, such as Changshou chemical industrial ozone. Overall, PM<sub>2.5</sub> pollution at CQ was characterized by significant local contribution from major sources located in or nearby Chongqing. In contrast, regional transport in Sichuan Basin from southeast, south, and southwest of Chengdu had a major impact on PM<sub>2.5</sub> pollution at CD.

## 4 Conclusions

Chemically resolved PM<sub>2.5</sub> data collected during four seasons at two urban sites in Sichuan Basin, southwest China, were analyzed in the present study. On about 30% of the days, daily PM<sub>2.5</sub> exceeded the national air quality standard, with annual mean concentrations of  $67.0 \pm 43.4$  and  $70.9 \pm 41.4 \mu\text{g m}^{-3}$  at CD and CQ, respectively. SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, OM, EC, and FS were the major chemical components of PM<sub>2.5</sub>, accounting for 16.8, 13.6, 10.8, 26.1, 5.4, and 5.7% of PM<sub>2.5</sub> at CD, and 17.2, 10.9, 9.2, 29.6, 6.4, and 9.5% at CQ, on an annual average, respectively. The concurrent occurrences of heavy pollution events at the two sites and similarities in pollutants characteristics between the two sites were mainly caused by the surrounding mountainous topography under typical stagnant meteorological conditions. Such a finding was also supported by back trajectory analysis, which showed that air masses reaching both sites originated within the basin and only traveled for short distances on heavy polluted days. Differences between the two sites with regards to several major chemical components provided evidence of sub-regional characteristics of emission sources and chemical transformation processes under different meteorological conditions. For example, an additional source factor from motorcycle traffic was identified for VOC emission in Chongqing, which led to higher OC concentrations, and lower relative humidity in Chongqing caused lower NO<sub>3</sub><sup>-</sup> concentration in this city despite similar levels of its gaseous precursors in the two cities. The present study also identified different driving mechanisms for the PM<sub>2.5</sub> pollution episodes in the Sichuan Basin than in the other regions of China. For example, sharply increased relative humidity was thought to be one of the main factors causing high inorganic aerosol concentrations during the pollution periods in eastern coastal China and the North China Plain, while in the Sichuan Basin the special topography and meteorological conditions are driving forces for such events considering relative humidity was high throughout the year and did not differ much between pollution and clean periods. However, on an annual basis heterogeneous reactions might be more important in this basin than in the other regions of China due to the consistently high humidity conditions, as revealed in the case of SO<sub>4</sub><sup>2-</sup> formation in the present study. Future studies should use high-resolution data to verify the findings related to chemical transformation paths proposed here. More importantly, a detailed emission inventory of aerosol particles and related gaseous precursors in the basin should be developed promptly, which is needed for further investigating PM<sub>2.5</sub> formation mechanisms and for making future emission control policies. Source–receptor analysis using monitored chemical-resolved PM<sub>2.5</sub> data should be conducted to verify such emission inventories.

*Data availability.* The data of this paper are available from the corresponding author upon request.

**The Supplement related to this article is available online at <https://doi.org/10.5194/acp-18-865-2018-supplement>.**

*Competing interests.* The authors declare that they have no conflict of interest.

*Acknowledgements.* This work was supported by the National Natural Science Foundation of China (no. 41405027, 41375123, and 41403089), the “Strategic Priority Research Program” of the Chinese Academy of Sciences (no. KJZD-EW-TZ-G06), the West Action Plan of the Chinese Academy of Science (no. KZCX2-XB3-14), and Chongqing Science and Technology Commission (no. cstc2014yykfc20003, cstckjxcljrc13). We are grateful to Yumeng Zhu and Jun Wang for sample collection.

Edited by: Aijun Ding

Reviewed by: four anonymous referees

## References

- Anderson, G. B., Krall, J. R., Peng, R. D., and Bell, M. L.: Is the Relation Between Ozone and Mortality Confounded by Chemical Components of Particulate Matter? Analysis of 7 Components in 57 US Communities, *Am. J. Epidemiol.*, 176, 726–732, <https://doi.org/10.1093/aje/kws188>, 2012.
- Ashbaugh, L. L., Malm, W. C., and Sadeh, W. Z.: A Residence Time Probability Analysis of Sulfur Concentrations at Grand-Canyon-National-Park, *Atmos. Environ.*, 19, 1263–1270, [https://doi.org/10.1016/0004-6981\(85\)90256-2](https://doi.org/10.1016/0004-6981(85)90256-2), 1985.
- Baumer, D., Vogel, B., Versick, S., Rinke, R., Mohler, O., and Schnaiter, M.: Relationship of visibility, aerosol optical thickness and aerosol size distribution in an ageing air mass over South-West Germany, *Atmos. Environ.*, 42, 989–998, <https://doi.org/10.1016/j.atmosenv.2007.10.017>, 2008.
- Blitz, M. A., Hughes, K. J., and Pilling, M. J.: Determination of the high-pressure limiting rate coefficient and the enthalpy of reaction for OH + SO<sub>2</sub>, *J. Phys. Chem. A*, 107, 1971–1978, <https://doi.org/10.1021/jp026524y>, 2003.
- Brown, S. S. and Stutz, J.: Nighttime radical observations and chemistry, *Chem. Soc. Rev.*, 41, 6405–6447, <https://doi.org/10.1039/c2cs35181a>, 2012.
- Calvert, J. G. and Stockwell, W. R.: Acid Generation in the Troposphere by Gas-Phase Chemistry, *Environ. Sci. Technol.*, 17, A428–A443, <https://doi.org/10.1021/es00115a002>, 1983.
- Cao, J. J., Wang, Q. Y., Chow, J. C., Watson, J. G., Tie, X. X., Shen, Z. X., Wang, P., and An, Z. S.: Impacts of aerosol compositions on visibility impairment in Xi’an, China, *Atmos. Environ.*, 59, 559–566, <https://doi.org/10.1016/j.atmosenv.2012.05.036>, 2012.
- Castro, L. M., Pio, C. A., Harrison, R. M., and Smith, D. J. T.: Carbonaceous aerosol in urban and rural European atmospheres: estimation of secondary organic carbon concentrations,

- Atmos. Environ., 33, 2771–2781, [https://doi.org/10.1016/S1352-2310\(98\)00331-8](https://doi.org/10.1016/S1352-2310(98)00331-8), 1999.
- Cesari, D., Donato, A., Conte, M., Merico, E., Giangreco, A., Giangreco, F., and Contini, D.: An inter-comparison of PM<sub>2.5</sub> at urban and urban background sites: Chemical characterization and source apportionment, *Atmos. Res.*, 174, 106–119, <https://doi.org/10.1016/j.atmosres.2016.02.004>, 2016.
- Chen, Y. and Xie, S. D.: Long-term trends and characteristics of visibility in two megacities in southwest China: Chengdu and Chongqing, *J. Air Waste Manage.*, 63, 1058–1069, <https://doi.org/10.1080/10962247.2013.791348>, 2013.
- Chen, Y., Luo, B., and Xie, S. D.: Characteristics of the long-range transport dust events in Chengdu, Southwest China, *Atmos. Environ.*, 122, 713–722, <https://doi.org/10.1016/j.atmosenv.2015.10.045>, 2015.
- Chen, Y., Xie, S. D., Luo, B., and Zhai, C. Z.: Particulate pollution in urban Chongqing of southwest China: Historical trends of variation, chemical characteristics and source apportionment, *Sci. Total Environ.*, 584, 523–534, <https://doi.org/10.1016/j.scitotenv.2017.01.060>, 2017.
- Cheng, Y., He, K. B., Du, Z. Y., Zheng, M., Duan, F. K., and Ma, Y. L.: Humidity plays an important role in the PM<sub>2.5</sub> pollution in Beijing, *Environ. Pollut.*, 197, 68–75, <https://doi.org/10.1016/j.envpol.2014.11.028>, 2015.
- National Bureau of Statistics of China: Chongqing and Chengdu Statistical Yearbook, available at: [www.stats.gov.cn/](http://www.stats.gov.cn/) (last access: 18 January 2018), 2015.
- Chow, J. C., Watson, J. G., Chen, L. W. A., Chang, M. C. O., Robinson, N. F., Trimble, D., and Kohl, S.: The IMPROVE-A temperature protocol for thermal/optical carbon analysis: maintaining consistency with a long-term database, *J. Air Waste Manage.*, 57, 1014–1023, <https://doi.org/10.3155/1047-3289.57.9.1014>, 2007.
- Ding, X., Wang, X. M., Gao, B., Fu, X. X., He, Q. F., Zhao, X. Y., Yu, J. Z., and Zheng, M.: Tracer-based estimation of secondary organic carbon in the Pearl River Delta, south China, *J. Geophys. Res.-Atmos.*, 117, D05313, [10.1029/2011jd016596](https://doi.org/10.1029/2011jd016596), 2012.
- Ervens, B., Turpin, B. J., and Weber, R. J.: Secondary organic aerosol formation in cloud droplets and aqueous particles (aq-SOA): a review of laboratory, field and model studies, *Atmos. Chem. Phys.*, 11, 11069–11102, <https://doi.org/10.5194/acp-11-11069-2011>, 2011.
- Fu, X. X., Wang, X. M., Hu, Q. H., Li, G. H., Ding, X., Zhang, Y. L., He, Q. F., Liu, T. Y., Zhang, Z., Yu, Q. Q., Shen, R. Q., and Bi, X. H.: Changes in visibility with PM<sub>2.5</sub> composition and relative humidity at a background site in the Pearl River Delta region, *J. Environ. Sci.-China*, 40, 10–19, <https://doi.org/10.1016/j.jes.2015.12.001>, 2016.
- Gao, J. J., Tian, H. Z., Cheng, K., Lu, L., Zheng, M., Wang, S. X., Hao, J. M., Wang, K., Hua, S. B., Zhu, C. Y., and Wang, Y.: The variation of chemical characteristics of PM<sub>2.5</sub> and PM<sub>10</sub> and formation causes during two haze pollution events in urban Beijing, China, *Atmos. Environ.*, 107, 1–8, <https://doi.org/10.1016/j.atmosenv.2015.02.022>, 2015.
- Guo, M. T., Cai, X. H., and Song, Y.: Characteristics of Low Wind-Speed Meteorology in China, *Acta Scientiarum Naturalium Universitatis Pekinensis*, 52, 219–226, 2016.
- Hitzenberger, R., Berner, A., Giebl, H., Kromp, R., Larson, S. M., Rouc, A., Koch, A., Marischka, S., and Puxbaum, H.: Contribution of carbonaceous material to cloud condensation nuclei concentrations in European background (Mt. Sonnblick) and urban (Vienna) aerosols, *Atmos. Environ.*, 33, 2647–2659, [https://doi.org/10.1016/S1352-2310\(98\)00391-4](https://doi.org/10.1016/S1352-2310(98)00391-4), 1999.
- Hu, G. Y., Zhang, Y. M., Sun, J. Y., Zhang, L. M., Shen, X. J., Lin, W. L., and Yang, Y.: Variability, formation and acidity of water-soluble ions in PM<sub>2.5</sub> in Beijing based on the semi-continuous observations, *Atmos. Res.*, 145, 1–11, <https://doi.org/10.1016/j.atmosres.2014.03.014>, 2014.
- Hu, W. W., Hu, M., Yuan, B., Jimenez, J. L., Tang, Q., Peng, J. F., Hu, W., Shao, M., Wang, M., Zeng, L. M., Wu, Y. S., Gong, Z. H., Huang, X. F., and He, L. Y.: Insights on organic aerosol aging and the influence of coal combustion at a regional receptor site of central eastern China, *Atmos. Chem. Phys.*, 13, 10095–10112, <https://doi.org/10.5194/acp-13-10095-2013>, 2013.
- Hua, Y., Cheng, Z., Wang, S. X., Jiang, J. K., Chen, D. R., Cai, S. Y., Fu, X., Fu, Q. Y., Chen, C. H., Xu, B. Y., and Yu, J. Q.: Characteristics and source apportionment of PM<sub>2.5</sub> during a fall heavy haze episode in the Yangtze River Delta of China, *Atmos. Environ.*, 123, 380–391, <https://doi.org/10.1016/j.atmosenv.2015.03.046>, 2015.
- Huang, X. H. H., Bian, Q. J., Ng, W. M., Louie, P. K. K., and Yu, J. Z.: Characterization of PM<sub>2.5</sub> Major Components and Source Investigation in Suburban Hong Kong: A One Year Monitoring Study, *Aerosol Air Qual. Res.*, 14, 237–250, <https://doi.org/10.4209/aaqr.2013.01.0020>, 2014.
- Ianniello, A., Spataro, F., Esposito, G., Allegrini, I., Hu, M., and Zhu, T.: Chemical characteristics of inorganic ammonium salts in PM<sub>2.5</sub> in the atmosphere of Beijing (China), *Atmos. Chem. Phys.*, 11, 10803–10822, <https://doi.org/10.5194/acp-11-10803-2011>, 2011.
- Ji, D. S., Zhang, J. K., He, J., Wang, X. J., Pang, B., Liu, Z. R., Wang, L. L., and Wang, Y. S.: Characteristics of atmospheric organic and elemental carbon aerosols in urban Beijing, China, *Atmos. Environ.*, 125, 293–306, <https://doi.org/10.1016/j.atmosenv.2015.11.020>, 2016.
- Kuprov, R., Eatough, D. J., Cruickshank, T., Olson, N., Cropper, P. M., and Hansen, J. C.: Composition and secondary formation of fine particulate matter in the Salt Lake Valley: Winter 2009, *J. Air Waste Manage.*, 64, 957–969, <https://doi.org/10.1080/10962247.2014.903878>, 2014.
- Larsen, T., Lydersen, E., Tang, D. G., He, Y., Gao, J. X., Liu, H. Y., Duan, L., Seip, H. M., Vogt, R. D., Mulder, J., Shao, M., Wang, Y. H., Shang, H., Zhang, X. S., Solberg, S., Aas, W., Okland, T., Eilertsen, O., Angell, V., Liu, Q. R., Zhao, D. W., Xiang, R. J., Xiao, J. S., and Luo, J. H.: Acid rain in China, *Environ. Sci. Technol.*, 40, 418–425, <https://doi.org/10.1021/es0626133>, 2006.
- Lepeule, J., Laden, F., Dockery, D., and Schwartz, J.: Chronic Exposure to Fine Particles and Mortality: An Extended Follow-up of the Harvard Six Cities Study from 1974 to 2009, *Environ. Health Persp.*, 120, 965–970, <https://doi.org/10.1289/ehp.1104660>, 2012.
- Li, B., Zhang, J., Zhao, Y., Yuan, S. Y., Zhao, Q. Y., Shen, G. F., and Wu, H. S.: Seasonal variation of urban carbonaceous aerosols in a typical city Nanjing in Yangtze River Delta, China, *Atmos. Environ.*, 106, 223–231, <https://doi.org/10.1016/j.atmosenv.2015.01.064>, 2015.
- Li, H., Zhang, Q., Zhang, Q., Chen, C., Wang, L., Wei, Z., Zhou, S., Parworth, C., Zheng, B., Canonaco, F., Prévôt, A. S. H., Chen, P., Zhang, H., Wallington, T. J., and He, K.: Wintertime

- aerosol chemistry and haze evolution in an extremely polluted city of the North China Plain: significant contribution from coal and biomass combustion, *Atmos. Chem. Phys.*, 17, 4751–4768, <https://doi.org/10.5194/acp-17-4751-2017>, 2017.
- Li, L. L., Tan, Q. W., Zhang, Y. H., Feng, M., Qu, Y., An, J. L., and Liu, X. G.: Characteristics and source apportionment of PM<sub>2.5</sub> during persistent extreme haze events in Chengdu, southwest China, *Environ. Pollut.*, 230, 718–729, <https://doi.org/10.1016/j.envpol.2017.07.029>, 2017.
- Liao, T. T., Wang, S., Ai, J., Gui, K., Duan, B. L., Zhao, Q., Zhang, X., Jiang, W. T., and Sun, Y.: Heavy pollution episodes, transport pathways and potential sources of PM<sub>2.5</sub> during the winter of 2013 in Chengdu (China), *Sci. Total Environ.*, 584, 1056–1065, <https://doi.org/10.1016/j.scitotenv.2017.01.160>, 2017.
- Liggio, J., Li, S. M., Hayden, K., Taha, Y. M., Stroud, C., Darlington, A., Drollette, B. D., Gordon, M., Lee, P., Liu, P., Leithead, A., Moussa, S. G., Wang, D., O'Brien, J., Mittermeier, R. L., Brook, J. R., Lu, G., Staebler, R. M., Han, Y. M., Tokarek, T. W., Osthoff, H. D., Makar, P. A., Zhang, J. H., Plata, D. L., and Gentner, D. R.: Oil sands operations as a large source of secondary organic aerosols, *Nature*, 534, 91–94, <https://doi.org/10.1038/nature17646>, 2016.
- Lu, Z., Zhang, Q., and Streets, D. G.: Sulfur dioxide and primary carbonaceous aerosol emissions in China and India, 1996–2010, *Atmos. Chem. Phys.*, 11, 9839–9864, <https://doi.org/10.5194/acp-11-9839-2011>, 2011.
- Mahowald, N.: Aerosol Indirect Effect on Biogeochemical Cycles and Climate, *Science*, 334, 794–796, <https://doi.org/10.1126/science.1207374>, 2011.
- Mozurkewich, M.: The Dissociation-Constant of Ammonium-Nitrate and Its Dependence on Temperature, Relative-Humidity and Particle-Size, *Atmos. Environ. A-Gen.*, 27, 261–270, [https://doi.org/10.1016/0960-1686\(93\)90356-4](https://doi.org/10.1016/0960-1686(93)90356-4), 1993.
- Paraskevopoulou, D., Liakakou, E., Gerasopoulos, E., and Mihalopoulos, N.: Sources of atmospheric aerosol from long-term measurements (5 years) of chemical composition in Athens, Greece, *Sci. Total Environ.*, 527, 165–178, <https://doi.org/10.1016/j.scitotenv.2015.04.022>, 2015.
- Pathak, R. K., Wu, W. S., and Wang, T.: Summertime PM<sub>2.5</sub> ionic species in four major cities of China: nitrate formation in an ammonia-deficient atmosphere, *Atmos. Chem. Phys.*, 9, 1711–1722, <https://doi.org/10.5194/acp-9-1711-2009>, 2009.
- Polissar, A. V., Hopke, P. K., Paatero, P., Kaufmann, Y. J., Hall, D. K., Bodhaine, B. A., Dutton, E. G., and Harris, J. M.: The aerosol at Barrow, Alaska: long-term trends and source locations, *Atmos. Environ.*, 33, 2441–2458, [https://doi.org/10.1016/S1352-2310\(98\)00423-3](https://doi.org/10.1016/S1352-2310(98)00423-3), 1999.
- Qu, W. J., Wang, J., Zhang, X. Y., Wang, D., and Sheng, L. F.: Influence of relative humidity on aerosol composition: Impacts on light extinction and visibility impairment at two sites in coastal area of China, *Atmos. Res.*, 153, 500–511, <https://doi.org/10.1016/j.atmosres.2014.10.009>, 2015.
- Quan, J. N., Tie, X. X., Zhang, Q., Liu, Q., Li, X., Gao, Y., and Zhao, D. L.: Characteristics of heavy aerosol pollution during the 2012–2013 winter in Beijing, China, *Atmos. Environ.*, 88, 83–89, <https://doi.org/10.1016/j.atmosenv.2014.01.058>, 2014.
- Quan, J. N., Liu, Q., Li, X., Gao, Y., Jia, X. C., Sheng, J. J., and Liu, Y. G.: Effect of heterogeneous aqueous reactions on the secondary formation of inorganic aerosols during haze events, *Atmos. Environ.*, 122, 306–312, <https://doi.org/10.1016/j.atmosenv.2015.09.068>, 2015.
- Ramanathan, V. and Feng, Y.: Air pollution, greenhouse gases and climate change: Global and regional perspectives, *Atmos. Environ.*, 43, 37–50, <https://doi.org/10.1016/j.atmosenv.2008.09.063>, 2009.
- Ravishankara, A. R.: Heterogeneous and multiphase chemistry in the troposphere, *Science*, 276, 1058–1065, <https://doi.org/10.1126/science.276.5315.1058>, 1997.
- Ricciardelli, I., Bacco, D., Rinaldi, M., Bonafe, G., Scotto, F., Trentini, A., Bertacci, G., Ugolini, P., Zigola, C., Rovere, F., Maccone, C., Pironi, C., and Poluzzi, V.: A three-year investigation of daily PM<sub>2.5</sub> main chemical components in four sites: the routine measurement program of the Super-sito Project (Po Valley, Italy), *Atmos. Environ.*, 152, 418–430, <https://doi.org/10.1016/j.atmosenv.2016.12.052>, 2017.
- Sahu, L. K., Kondo, Y., Miyazaki, Y., Pongkiatkul, P., and Oanh, N. T. K.: Seasonal and diurnal variations of black carbon and organic carbon aerosols in Bangkok, *J. Geophys. Res.-Atmos.*, 116, D15302, <https://doi.org/10.1029/2010jd015563>, 2011.
- Squizzato, S. and Masiol, M.: Application of meteorology-based methods to determine local and external contributions to particulate matter pollution: A case study in Venice (Italy), *Atmos. Environ.*, 119, 69–81, <https://doi.org/10.1016/j.atmosenv.2015.08.026>, 2015.
- Squizzato, S., Masiol, M., Brunelli, A., Pistollato, S., Tarabotti, E., Rampazzo, G., and Pavoni, B.: Factors determining the formation of secondary inorganic aerosol: a case study in the Po Valley (Italy), *Atmos. Chem. Phys.*, 13, 1927–1939, <https://doi.org/10.5194/acp-13-1927-2013>, 2013.
- Stockwell, W. R. and Calvert, J. G.: The Mechanism of the HO-SO<sub>2</sub> Reaction, *Atmos. Environ.*, 17, 2231–2235, [https://doi.org/10.1016/0004-6981\(83\)90220-2](https://doi.org/10.1016/0004-6981(83)90220-2), 1983.
- Strader, R., Lurmann, F., and Pandis, S. N.: Evaluation of secondary organic aerosol formation in winter, *Atmos. Environ.*, 33, 4849–4863, [https://doi.org/10.1016/S1352-2310\(99\)00310-6](https://doi.org/10.1016/S1352-2310(99)00310-6), 1999.
- Tan, J. H., Duan, J. C., He, K. B., Ma, Y. L., Duan, F. K., Chen, Y., and Fu, J. M.: Chemical characteristics of PM<sub>2.5</sub> during a typical haze episode in Guangzhou, *J. Environ. Sci.-China*, 21, 774–781, [https://doi.org/10.1016/S1001-0742\(08\)62340-2](https://doi.org/10.1016/S1001-0742(08)62340-2), 2009.
- Tan, J. H., Duan, J. C., Ma, Y. L., He, K. B., Cheng, Y., Deng, S. X., Huang, Y. L., and Si-Tu, S. P.: Long-term trends of chemical characteristics and sources of fine particle in Foshan City, Pearl River Delta: 2008–2014, *Sci. Total Environ.*, 565, 519–528, <https://doi.org/10.1016/j.scitotenv.2016.05.059>, 2016.
- Tao, J., Zhang, L. M., Engling, G., Zhang, R. J., Yang, Y. H., Cao, J. J., Zhu, C. S., Wang, Q. Y., and Luo, L.: Chemical composition of PM<sub>2.5</sub> in an urban environment in Chengdu, China: Importance of springtime dust storms and biomass burning, *Atmos. Res.*, 122, 270–283, <https://doi.org/10.1016/j.atmosres.2012.11.004>, 2013.
- Tao, J., Gao, J., Zhang, L., Zhang, R., Che, H., Zhang, Z., Lin, Z., Jing, J., Cao, J., and Hsu, S.-C.: PM<sub>2.5</sub> pollution in a megacity of southwest China: source apportionment and implication, *Atmos. Chem. Phys.*, 14, 8679–8699, <https://doi.org/10.5194/acp-14-8679-2014>, 2014.
- Tao, J., Zhang, L. M., Zhang, R. J., Wu, Y. F., Zhang, Z. S., Zhang, X. L., Tang, Y. X., Cao, J. J., and Zhang, Y. H.: Uncertainty assessment of source attribution of PM<sub>2.5</sub> and its water-



- soluble organic carbon content using different biomass burning tracers in positive matrix factorization analysis – a case study in Beijing, China, *Sci. Total Environ.*, 543, 326–335, <https://doi.org/10.1016/j.scitotenv.2015.11.057>, 2016.
- Tao, J., Zhang, L., Cao, J., and Zhang, R.: A review of current knowledge concerning PM<sub>2.5</sub> chemical composition, aerosol optical properties and their relationships across China, *Atmos. Chem. Phys.*, 17, 9485–9518, <https://doi.org/10.5194/acp-17-9485-2017>, 2017.
- Taus, N., Tarulescu, S., Idomir, M., and Taus, R.: Respiratory exposure to air pollutants, *J. Environ. Prot. Ecol.*, 9, 15–25, 2008.
- Tian, M., Wang, H. B., Chen, Y., Zhang, L. M., Shi, G. M., Liu, Y., Yu, J. Y., Zhai, C. Z., Wang, J., and Yang, F. M.: Highly time-resolved characterization of water-soluble inorganic ions in PM<sub>2.5</sub> in a humid and acidic mega city in Sichuan Basin, China, *Sci. Total Environ.*, 580, 224–234, <https://doi.org/10.1016/j.scitotenv.2016.12.048>, 2017.
- Tian, Y. Z., Wu, J. H., Shi, G. L., Wu, J. Y., Zhang, Y. F., Zhou, L. D., Zhang, P., and Feng, Y. C.: Long-term variation of the levels, compositions and sources of size-resolved particulate matter in a megacity in China, *Sci. Total Environ.*, 463, 462–468, <https://doi.org/10.1016/j.scitotenv.2013.06.055>, 2013.
- Tie, X. X. and Cao, J. J.: Aerosol pollution in China: Present and future impact on environment, *Particuology*, 7, 426–431, <https://doi.org/10.1016/j.partic.2009.09.003>, 2009.
- Turpin, B. J. and Lim, H. J.: Species contributions to PM<sub>2.5</sub> mass concentrations: Revisiting common assumptions for estimating organic mass, *Aerosol Sci. Tech.*, 35, 602–610, <https://doi.org/10.1080/02786820152051454>, 2001.
- Wang, D. F., Zhou, B., Fu, Q. Y., Zhao, Q. B., Zhang, Q., Chen, J. M., Yang, X., Duan, Y. S., and Li, J.: Intense secondary aerosol formation due to strong atmospheric photochemical reactions in summer: observations at a rural site in eastern Yangtze River Delta of China, *Sci. Total Environ.*, 571, 1454–1466, <https://doi.org/10.1016/j.scitotenv.2016.06.212>, 2016.
- Wang, H. B., Shi, G. M., Tian, M., Zhang, L. M., Chen, Y., Yang, F. M., and Cao, X. Y.: Aerosol optical properties and chemical composition apportionment in Sichuan Basin, China, *Sci. Total Environ.*, 577, 245–257, <https://doi.org/10.1016/j.scitotenv.2016.10.173>, 2017.
- Wang, H. L., Qiao, L. P., Lou, S. R., Zhou, M., Chen, J. M., Wang, Q., Tao, S. K., Chen, C. H., Huang, H. Y., Li, L., and Huang, C.: PM<sub>2.5</sub> pollution episode and its contributors from 2011 to 2013 in urban Shanghai, China, *Atmos. Environ.*, 123, 298–305, <https://doi.org/10.1016/j.atmosenv.2015.08.018>, 2015.
- Wang, Q. Z., Zhuang, G. S., Huang, K., Liu, T. N., Deng, C. R., Xu, J., Lin, Y. F., Guo, Z. G., Chen, Y., Fu, Q. Y., Fu, J. S. S., and Chen, J. K.: Probing the severe haze pollution in three typical regions of China: Characteristics, sources and regional impacts, *Atmos. Environ.*, 120, 76–88, <https://doi.org/10.1016/j.atmosenv.2015.08.076>, 2015.
- Wongphatarakul, V., Friedlander, S. K., and Pinto, J. P.: A comparative study of PM<sub>2.5</sub> ambient aerosol chemical databases, *Environ. Sci. Technol.*, 32, 3926–3934, <https://doi.org/10.1021/Es9800582>, 1998.
- Yang, F., Huang, L., Duan, F., Zhang, W., He, K., Ma, Y., Brook, J. R., Tan, J., Zhao, Q., and Cheng, Y.: Carbonaceous species in PM<sub>2.5</sub> at a pair of rural/urban sites in Beijing, 2005–2008, *Atmos. Chem. Phys.*, 11, 7893–7903, <https://doi.org/10.5194/acp-11-7893-2011>, 2011a.
- Yang, F., Tan, J., Zhao, Q., Du, Z., He, K., Ma, Y., Duan, F., Chen, G., and Zhao, Q.: Characteristics of PM<sub>2.5</sub> speciation in representative megacities and across China, *Atmos. Chem. Phys.*, 11, 5207–5219, <https://doi.org/10.5194/acp-11-5207-2011>, 2011b.
- Yang, Y. R., Liu, X. G., Qu, Y., An, J. L., Jiang, R., Zhang, Y. H., Sun, Y. L., Wu, Z. J., Zhang, F., Xu, W. Q., and Ma, Q. X.: Characteristics and formation mechanism of continuous hazes in China: a case study during the autumn of 2014 in the North China Plain, *Atmos. Chem. Phys.*, 15, 8165–8178, <https://doi.org/10.5194/acp-15-8165-2015>, 2015.
- Zhang, Q., Quan, J. N., Tie, X. X., Li, X., Liu, Q., Gao, Y., and Zhao, D. L.: Effects of meteorology and secondary particle formation on visibility during heavy haze events in Beijing, China, *Sci. Total Environ.*, 502, 578–584, <https://doi.org/10.1016/j.scitotenv.2014.09.079>, 2015.
- Zhang, R., Jing, J., Tao, J., Hsu, S.-C., Wang, G., Cao, J., Lee, C. S. L., Zhu, L., Chen, Z., Zhao, Y., and Shen, Z.: Chemical characterization and source apportionment of PM<sub>2.5</sub> in Beijing: seasonal perspective, *Atmos. Chem. Phys.*, 13, 7053–7074, <https://doi.org/10.5194/acp-13-7053-2013>, 2013.
- Zhang, Y., Huang, W., Cai, T. Q., Fang, D. Q., Wang, Y. Q., Song, J., Hu, M., and Zhang, Y. X.: Concentrations and chemical compositions of fine particles (PM<sub>2.5</sub>) during haze and non-haze days in Beijing, *Atmos. Res.*, 174, 62–69, <https://doi.org/10.1016/j.atmosres.2016.02.003>, 2016.
- Zhang, Y. H., Hu, M., Zhong, L. J., Wiedensohler, A., Liu, S. C., Andreae, M. O., Wang, W., and Fan, S. J.: Regional Integrated Experiments on Air Quality over Pearl River Delta 2004 (PRIDE-PRD2004): Overview, *Atmos. Environ.*, 42, 6157–6173, <https://doi.org/10.1016/j.atmosenv.2008.03.025>, 2008.
- Zhao, M. F., Huang, Z. S., Qiao, T., Zhang, Y. K., Xiu, G. L., and Yu, J. Z.: Chemical characterization, the transport pathways and potential sources of PM<sub>2.5</sub> in Shanghai: Seasonal variations, *Atmos. Res.*, 158, 66–78, <https://doi.org/10.1016/j.atmosres.2015.02.003>, 2015.
- Zhao, P. S., Dong, F., He, D., Zhao, X. J., Zhang, X. L., Zhang, W. Z., Yao, Q., and Liu, H. Y.: Characteristics of concentrations and chemical compositions for PM<sub>2.5</sub> in the region of Beijing, Tianjin, and Hebei, China, *Atmos. Chem. Phys.*, 13, 4631–4644, <https://doi.org/10.5194/acp-13-4631-2013>, 2013.
- Zhao, X. J., Zhao, P. S., Xu, J., Meng, W., Pu, W. W., Dong, F., He, D., and Shi, Q. F.: Analysis of a winter regional haze event and its formation mechanism in the North China Plain, *Atmos. Chem. Phys.*, 13, 5685–5696, <https://doi.org/10.5194/acp-13-5685-2013>, 2013.
- Zheng, B., Zhang, Q., Zhang, Y., He, K. B., Wang, K., Zheng, G. J., Duan, F. K., Ma, Y. L., and Kimoto, T.: Heterogeneous chemistry: a mechanism missing in current models to explain secondary inorganic aerosol formation during the January 2013 haze episode in North China, *Atmos. Chem. Phys.*, 15, 2031–2049, <https://doi.org/10.5194/acp-15-2031-2015>, 2015.
- Zheng, G. J., Duan, F. K., Su, H., Ma, Y. L., Cheng, Y., Zheng, B., Zhang, Q., Huang, T., Kimoto, T., Chang, D., Pöschl, U., Cheng, Y. F., and He, K. B.: Exploring the severe winter haze in Beijing: the impact of synoptic weather, regional transport and heterogeneous reactions, *Atmos. Chem. Phys.*, 15, 2969–2983, <https://doi.org/10.5194/acp-15-2969-2015>, 2015.