



# Disentangling fast and slow responses of the East Asian summer monsoon to reflecting and absorbing aerosol forcings

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**Abstract.** We examine the roles of fast and slow responses in shaping the total equilibrium response of the East Asian summer monsoon (EASM) to reflecting (sulfate, SO<sub>4</sub>) and absorbing (black carbon, BC) aerosol forcings over the industrial era using the Community Earth System Model version 1 (CESM1). Our results show that there is a clear distinction between fast and slow responses of the EASM to aerosol forcings and the slow climate response due to aerosol-induced change in sea surface temperature (SST) plays an important role in the impacts of aerosols on the EASM. The EASM is weakened by a decrease in land–sea surface thermal contrast in the fast response (FR) component to SO<sub>4</sub> forcing, whereas the weakening is more intensive due to the changes in tropospheric thermodynamic and dynamic structures in the slow response (SR) component to SO<sub>4</sub>. The total climate adjustment caused by SO<sub>4</sub> is a significant weakening of the EASM and a decrease in precipitation. The BC-induced fast adjustment strengthens the EASM both by increasing the local land–sea surface thermal contrast and shifting the East Asian subtropical jet (EASJ) northwards. The BC-induced slow climate adjustment, however, weakens the EASM through altering the atmospheric temperature and circulation. Consequently, the EASM is slightly enhanced, especially north of 30° N, in the total response (TR) to BC. The spatial patterns of precipitation change over East Asia due to BC are similar in the total response and slow response.

This study highlights the importance of ocean response to aerosol forcings in driving the changes of the EASM.

## 1 Introduction

The East Asian summer monsoon (EASM) is one of the most complex and influential monsoon systems over the globe (Ding and Chan, 2005). The activities of about 20 % of world's population would be affected by rainfall change due to the variation of the EASM (Lei et al., 2011). Further understanding of the features of the EASM change has important implications for social economics, agriculture, ecosystem, and water resource management (Hong and Kim, 2011; Auffhammer et al., 2012).

The long-term variation of the EASM is possibly attributed to the influence of various factors, including natural factors (e.g., internal climate variability, volcanic eruptions, and solar variability) and anthropogenic factors (e.g., anthropogenic aerosols and greenhouse gases, GHGs) (Wang et al., 2001, 2015; Li et al., 2010, 2016; Salzmann et al., 2014). Among them, aerosol forcing has been recognized as an important contributor to the long-term change. The analyses based on the Coupled Model Intercomparison Project Phase 5 multi-model simulations indicated that aerosol forcing dominantly contributed to the weakening of the Asian

summer monsoon during the second half of the 20th century (Salzmann et al., 2014; Song et al., 2014). Other previous studies based on individual climate models also showed that the increases in anthropogenic aerosols could decrease the land–sea surface thermal contrast, thereby leading to a weakening of the EASM (e.g., Liu et al., 2011, 2017; Zhang et al., 2012; Jiang et al., 2013; Wang et al., 2017).

Despite the modeling and observational evidence, there is still debate over whether the total aerosols enhance or weaken the EASM (Guo et al., 2013; Yan et al., 2015), which could be related to the complicated nature of aerosol chemical compositions, an issue we aim to address in this study. Aerosols in the atmosphere consist of optically reflecting and absorbing components. Reflecting aerosols (e.g., sulfate,  $\text{SO}_4$ ; and organic carbon) can cool the surface by decreasing the amount of sunlight arriving at the top of the atmosphere (TOA) and surface and cause weak cooling inside the atmosphere due to a weakened solar absorption (Myhre et al., 2013). However, absorbing aerosols (e.g., black carbon, BC; dust; and some components of organic carbon) are able to not only change the radiation budget at the TOA and surface but also directly heat the atmospheric column (Koch and Del Genio, 2010; Huang et al., 2014). Consequently, BC affects the atmospheric stability, cloud cover, and convection. Therefore, the impact of aerosols on climate derived from modeling studies is likely to be substantially different when various aerosol species are accounted for (Ocko et al., 2014). Using a Goddard Institute for Space Studies model, Menon et al. (2002) suggested that the “wetter-south–drier-north” phenomenon that has appeared frequently in summer over eastern China during the past decades may be related to the increase in BC emission. However, Zhang et al. (2009) showed responses that are opposite to those in Menon et al. (2002) when considering the integrated effects of carbonaceous aerosols.

Several studies attempted to contrast the  $\text{SO}_4$  and BC responses and indicated that scattering and absorbing aerosols would have markedly different effects on regional temperature, atmospheric circulation, and precipitation over East Asia (e.g., Guo et al., 2013; Jiang et al., 2013; Persad et al., 2014). However, these studies all only considered the fast adjustments of atmosphere and land surface to aerosol forcings, without considering the response of oceans. Climate response to a forcing agent can be regarded as a synthesis of fast and slow responses (Andrews et al., 2010; Ganguly et al., 2012). The response to direct effects of aerosols on radiation, cloud, atmospheric heating rate, and land surface is treated as the fast response, while the response to change in global surface temperature, especially sea surface temperature (SST), caused by the aerosol forcing is identified as the slow response (SR). The latter can have a more important effect on the climate system (Allen and Sherwood, 2010; Ganguly et al., 2012; Xu and Xie, 2015; Voigt et al., 2017). A general circulation model study by Hsieh et al. (2013) showed that aerosols could lead to different spatial responses of climate

over the global scale when using an interactive ocean model as opposed to fixed SST as the ocean boundary conditions. Ganguly et al. (2012) also indicated that the slow component played a more critical role in shaping the total equilibrium response of the South Asian summer monsoon to aerosol forcing.

The East Asian monsoon is considered as a more complex monsoon system. What role does the feedback of oceans to aerosol forcings play in driving the changes of the EASM? This study explores the roles of fast and slow responses in forming the total equilibrium response of the EASM to both reflecting and absorbing aerosol forcings over the industrial era using a state-of-the-art Earth system model. We take  $\text{SO}_4$  and BC as the representatives of reflecting and absorbing aerosols separately. To our knowledge, no previous study has partitioned the fast and slow responses of the East Asian monsoon to various aerosol species using a fully coupled climate model.

The paper is organized as follows. The model and simulations performed are described in Sect. 2. The total, fast, and slow responses of the EASM to various aerosol forcings are presented in Sect. 3. Our discussion and conclusions are summarized in Sect. 4. We primarily focus on the variation of the EASM over the region  $20\text{--}40^\circ\text{N}$ ,  $100\text{--}140^\circ\text{E}$ . The summer includes the months of June, July, and August (JJA).

## 2 Method

### 2.1 Global climate model

We used the Community Earth System Model version 1 (CESM1), a fully coupled ocean–atmosphere–land–sea-ice model, created by the National Center for Atmospheric Research of the US (Hurrell et al., 2013). The model is a version with a finite-volume approximation  $1^\circ$  horizontal resolution (latitude  $0.9^\circ \times$  longitude  $1.25^\circ$  for the atmosphere and land, and  $1^\circ \times 1^\circ$  for the ocean) and 30-level vertical resolution, with a rigid lid at 4 hPa. CESM1 includes the primary anthropogenic forcing agents, such as GHGs, tropospheric and stratospheric ozone, sulfate, and black and primary organic carbon. The three-mode modal aerosol model that contains the Aitken, accumulation, and coarse modes has been implemented in the model (Liu et al., 2012). It can provide the number and mass concentrations of internally mixed aerosols for the three modes. The model also includes the physical representations of aerosol direct, semi-direct, and indirect effects for both liquid- and ice-phase clouds (Morrison and Gettelman, 2008; Gettelman et al., 2010; Ghan et al., 2012).

Anthropogenic and biomass burning emissions of aerosols and their precursors are based on Lamarque et al. (2010). However, the BC emission at the present day is adjusted due to the potential underestimation of BC heating in the atmosphere in CESM1 (Xu et al., 2013; Xu and Xie, 2015). BC emissions over East Asia and South Asia are increased by a

**Table 1.** Simulation setups.

Simulation	Aerosol emissions	Ocean	Ensembles
PI	Year 1850 SO <sub>2</sub> and BC	Dynamic ocean model	1
PDSO <sub>4</sub>	Year 2000 SO <sub>2</sub> and 1850 BC	Dynamic ocean model	1
PDBC	Year 1850 SO <sub>2</sub> and 2000 BC	Dynamic ocean model	5
PI_FSST	Year 1850 SO <sub>2</sub> and BC	Fixed SST from PI	1
PDSO <sub>4</sub> _FSST	Year 2000 SO <sub>2</sub> and 1850 BC	Fixed SST from PI	1
PDBC_FSST	Year 1850 SO <sub>2</sub> and 2000 BC	Fixed SST from PI	1

factor of 2 and 4, respectively. The emissions are changed in all economic sectors (industrial, energy, etc.) and all seasons by the same ratio. Such an adjustment significantly improved the simulated radiative forcing compared to the direct observations.

## 2.2 Simulations

This study used a series of simulations (Table 1):

- *Fully Coupled CESM1 simulations.* The control case was a 394-year preindustrial simulation (referred to as PI). Two perturbed simulations, sulfur dioxide (SO<sub>2</sub>) (a precursor of SO<sub>4</sub>) and BC emissions, were increased instantaneously from preindustrial to present-day (PD) levels, but the GHG concentrations were unchanged (referred to as PDSO<sub>4</sub> and PDBC). Starting from the end of the 319th year, the perturbed simulations were run for 75 years, with the last 60 years being analyzed. To increase the signal-to-noise ratio caused by BC forcing (a smaller forcing), we performed an ensemble of five perturbed simulations by altering the atmospheric initial conditions by an air temperature difference at round-off level (order of 10<sup>−14</sup> °C). The long averaging time (394 years for the PI case, 60 years in the SO<sub>4</sub>-perturbed simulations, and 60 × 5 years in the BC-perturbed simulations) can restrain the impact of decadal natural climate variability and obtain a clear effect due to aerosol forcings.
- *Atmosphere-only model simulations with fixed SST.* The model settings were same as those in the coupled simulations, but the SST was always fixed at the preindustrial level, with only seasonal variability. The SST data are from the outputs of the PI-coupled simulation. Three simulations were performed – using the preindustrial aerosol emissions (referred to as PI\_FSST), present-day SO<sub>2</sub> emission (referred to as PDSO<sub>4</sub>\_FSST), and present-day BC emission (referred to as PDBC\_FSST), respectively. Each simulation was run for 75 years, with the last 60 years being analyzed. These three atmosphere-only simulations were also used to calculate the effective radiative forcings (ERFs) of SO<sub>4</sub> and BC at the present day following Myhre et al. (2013).

Those sets of simulations mentioned above have been adopted to examine the responses of the tropospheric atmosphere (Xu and Xie, 2015), mountain snow cover (Xu et al., 2016), and terrestrial aridity (Lin et al., 2016) to various forcing agents. The total response (TR) of the EASM to SO<sub>4</sub> or BC forcing was defined as the difference between PDSO<sub>4</sub> or PDBC and PI:

$$\text{TRSO}_4 = \text{PDSO}_4 - \text{PI}, \quad (1)$$

$$\text{TRBC} = \text{PDBC} - \text{PI}. \quad (2)$$

The fast response (FR) of the EASM to SO<sub>4</sub> or BC forcing was expressed as the difference between PDSO<sub>4</sub>\_FSST or PDBC\_FSST and PI\_FSST:

$$\text{FRSO}_4 = \text{PDSO}_4\text{-FSST} - \text{PI\_FSST}, \quad (3)$$

$$\text{FRBC} = \text{PDBC\_FSST} - \text{PI\_FSST}. \quad (4)$$

Note that the slow response of the EASM to aerosol forcing, defined as the climate response to aerosol-induced SST change, was calculated by subtracting the FR from the TR (Andrews et al., 2010; Ganguly et al., 2012; Samset et al., 2016) rather than by performing the simulations with the perturbed SST pattern caused by aerosol forcing:

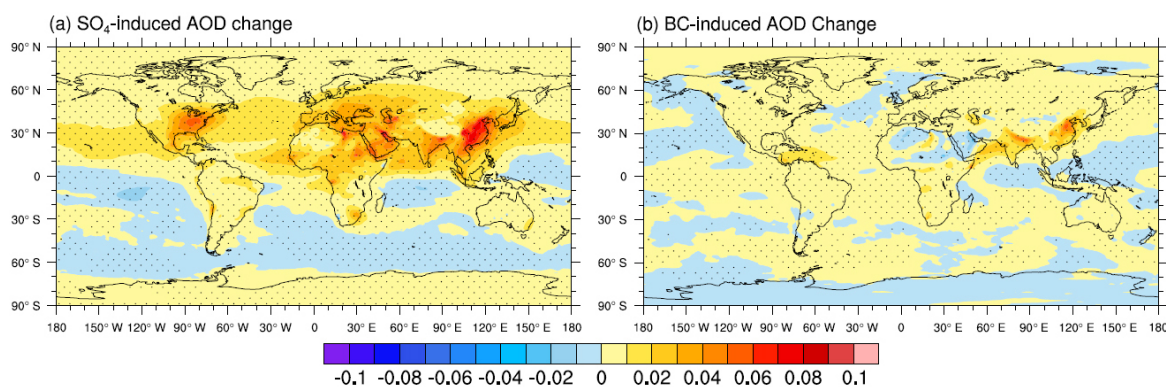
$$\text{SR} = \text{TR} - \text{FR}. \quad (5)$$

Hsieh et al. (2013) and Xu and Xie (2015) indicated that this approximate method was a legitimate metric to obtain the slow response of climate to aerosol forcing.

## 3 Results

### 3.1 Aerosol ERFs and their induced SST responses

Figure 1 shows the changes in aerosol optical depths (AODs) at 550 nm from PI to PD induced by SO<sub>4</sub> and BC. The AOD increases significantly over most of the globe except for some oceans due to the increase in anthropogenic aerosol loading. The change in AOD induced by SO<sub>4</sub> is larger than that induced by BC. The prominent increase in AOD caused by SO<sub>4</sub> appears over eastern China, the USA, India, and western Europe, while the AOD decreases over the tropical and subtropical oceans of the Southern Hemisphere (SH). The



**Figure 1.** Annual mean distributions of changes in aerosol optical depths at 550 nm from PI to PD induced by (a)  $\text{SO}_4$  and (b) BC. The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.

change in BC leads to a large increase in AOD over eastern China and South Asia but a slight reduction over the tropical Pacific and Indian Ocean of the Northern Hemisphere (NH), northern Atlantic, and high latitudes of the SH.

The fifth assessment report of the Intergovernmental Panel on Climate Change provided a new definition of radiative forcing named ERF, which is a better indicator of the climate responses (Myhre et al., 2013). The global distributions of simulated  $\text{SO}_4$  and BC ERFs at the TOA are shown in Fig. 2. The ERFs are calculated using the atmosphere-only model simulations with fixed SST by subtracting the net radiative flux at the TOA. There are fundamental differences between both aerosol ERFs. Reflecting  $\text{SO}_4$  gives rise to large negative ERFs, especially in East and Southeast Asia, Central Africa, western Europe, and the subtropical oceans. However, absorbing BC leads to marked positive ERFs over East and South Asia and Central Africa, where the BC emission is large. The simulated global annual mean  $\text{SO}_4$  and BC ERFs are  $-0.98$  and  $+0.36 \text{ W m}^{-2}$ , respectively. The simulated  $\text{SO}_4$  forcing is close to those estimated by Zelinka et al. (2014) and Forster et al. (2016), while our results show a larger BC forcing. This is attributed to the correction of BC emission in our simulations (Xu et al., 2013). The difference between reflecting and absorbing aerosol forcings implies the substantially different climate responses.

Aerosol-induced SST change is an important part of the climatic effect of aerosols (Xu and Xie, 2015). Figure 3 shows the changes in SST caused by various aerosol species from the fully coupled simulations. Despite the essential difference between both types of forcings, the spatial pattern of SST change caused by  $\text{SO}_4$  is found to be similar to that caused by BC (opposite in sign). It is characterized by a large SST change over the mid- and high-latitude oceans of the NH but only a slight SST change in the SH. The  $\text{SO}_4$  forcing leads to a significant decrease in SST over the northern Pacific, northwestern Atlantic, and NH high-latitude oceans, with the largest cooling exceeding  $1.5 \text{ K}$ . However, the opposite occurs over those regions in response to BC, with the

**Table 2.** Simulated changes in annual and JJA mean sea surface temperatures caused by  $\text{SO}_4$  and BC averaged over globe, Northern Hemisphere (NH), and Southern Hemisphere (SH; unit: K).

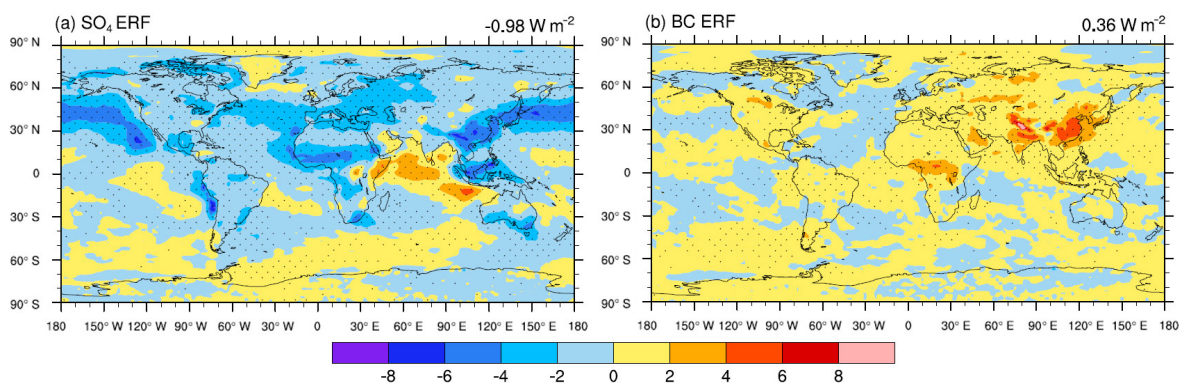
	Globe	NH	SH
$\text{SO}_4$	$-0.44/-0.42$	$-0.7/-0.64$	$-0.24/-0.26$
BC	$0.12/0.11$	$0.17/0.16$	$0.07/0.08$

largest warming reaching  $1 \text{ K}$ . A unique characteristic of the SST response to BC is the obvious warming over the Indian Ocean–western Pacific warm pool. Similar patterns in SST changes were found by Chung and Seinfeld (2005), Friedman et al. (2013), and Ocko et al. (2014). However, Ocko et al. (2014) showed a weaker SST change in the NH high latitudes induced by  $\text{SO}_4$  or BC and a warming in the SH high latitudes caused by  $\text{SO}_4$ , which was not seen in other studies. The simulated global annual mean SST changes caused by  $\text{SO}_4$  and BC are  $-0.44 \text{ K}$  (NH:  $-0.7 \text{ K}$ , SH:  $-0.24 \text{ K}$ ) and  $+0.12 \text{ K}$  (NH:  $+0.17 \text{ K}$ , SH:  $+0.07 \text{ K}$ ), respectively (Table 2). Such an interhemispheric asymmetric adjustment in SST has been used as a crucial index of climate change (Ocko et al., 2014).

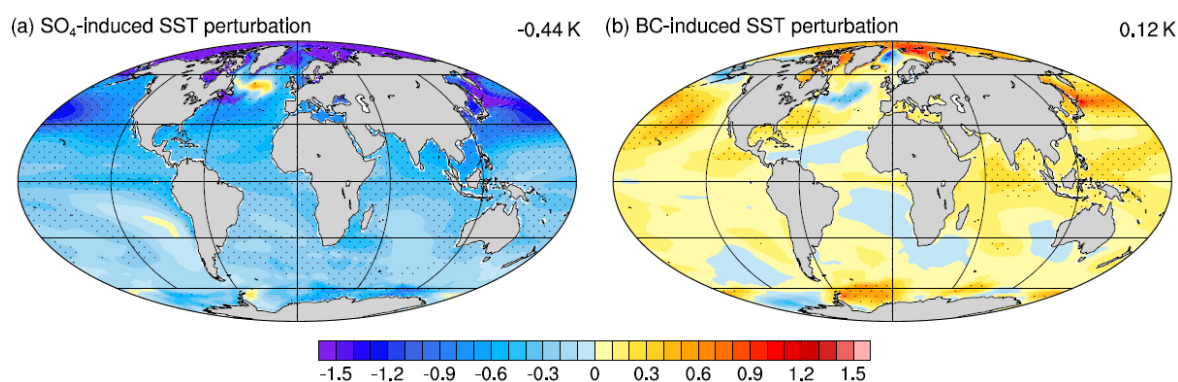
### 3.2 Response of the EASM to $\text{SO}_4$ forcing

The sign of the change in surface temperature is consistent with that of the forcing. Negative  $\text{SO}_4$  forcing leads to a marked surface cooling in summer over the East Asian monsoon region (EAMR), which increases with latitude (Fig. 4a). In particular, the cooling exceeds  $1 \text{ K}$  over most of the NH subtropical oceans. The anomalous northerly winds prevail over eastern China and the surrounding oceans between  $20$  and  $40^\circ \text{ N}$  due to  $\text{SO}_4$  forcing (Fig. 4d), which signifies the weakening of the EASM circulation. As seen in Fig. 4, the slow responses of surface air temperature and winds at  $850 \text{ hPa}$  to  $\text{SO}_4$ -induced SST change closely resemble their total responses to  $\text{SO}_4$ .





**Figure 2.** Annual mean distributions of (a)  $\text{SO}_4$  and (b) BC ERF from PI to PD (unit:  $\text{W m}^{-2}$ ). ERF is defined as the perturbation of net radiative flux at the TOA caused by aerosols. The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.



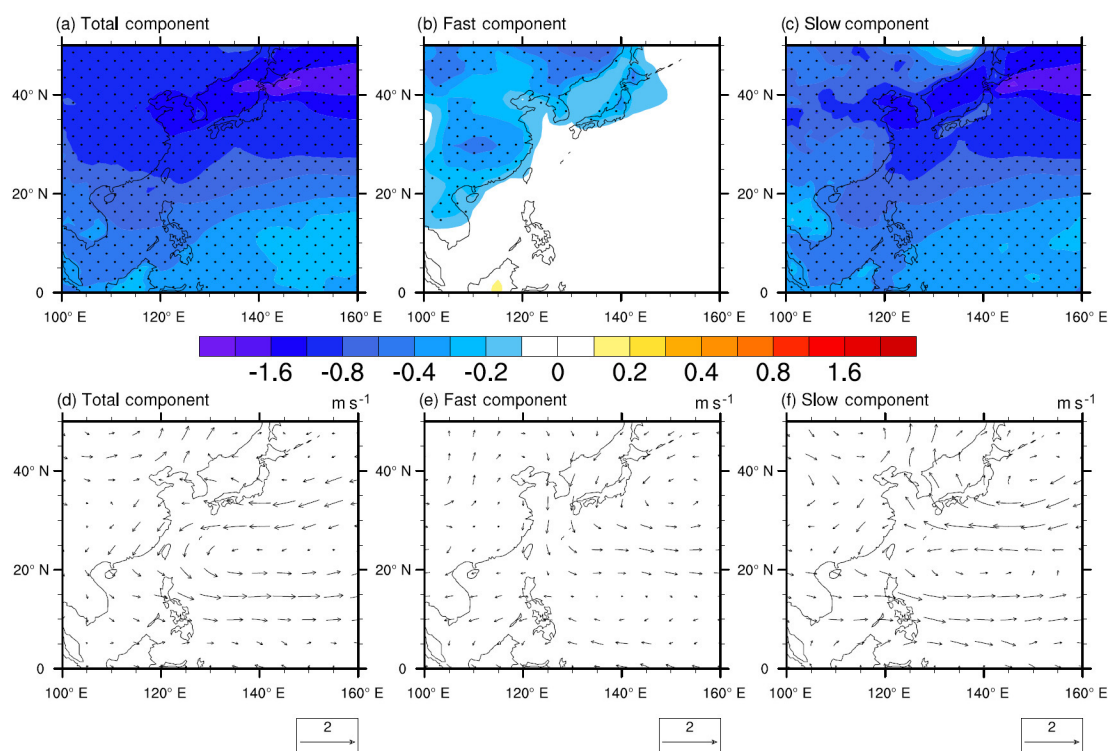
**Figure 3.** Annual mean distributions of SST responses to (a)  $\text{SO}_4$  and (b) BC forcings (unit: K). The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.

The fast response of surface air temperature to  $\text{SO}_4$  forcing primarily features a cooling over continental East Asia, with the values being less than  $-0.3\text{ K}$  over most of continental East Asia (Fig. 4b), because the SST is fixed in these simulations and changes in  $\text{SO}_2$  emissions are concentrated over land. Such a change in surface temperature decreases the land–sea surface thermal contrast over the EAMR, thus weakening the EASM circulation (Fig. 4e). This is consistent with previous studies using other general circulation models with fixed SST (e.g., Jiang et al., 2013; Dong et al., 2016). However, note that the weakening of the EASM in the fast response to  $\text{SO}_4$  is too weak to explain the total response of the EASM to  $\text{SO}_4$ , especially over eastern China (Fig. 4d and e). Therefore, we next elaborate the physical mechanism behind the slow response of the EASM to  $\text{SO}_4$ .

Figure 5 shows the JJA mean responses of zonally averaged atmospheric temperature between  $100$  and  $140^\circ\text{E}$  to  $\text{SO}_4$  forcing over the EAMR. The  $\text{SO}_4$ -induced slow climate response leads to a significant cooling in the whole troposphere (Fig. 5c), though  $\text{SO}_4$  does not largely affect the radiation in the atmosphere. It is responsible for a large fraction of the atmospheric cooling in the total response to  $\text{SO}_4$

(Fig. 5a and c). This is because the prominent decrease in the JJA mean SST caused by  $\text{SO}_4$  also occurs in the NH midlatitude oceans, with the values being less than  $-1\text{ K}$  over most of the northern Pacific and northwestern Atlantic (Fig. S1a in the Supplement). The interhemispheric asymmetric change in SST may distinctly affect the free troposphere by altering the tropical circulations and midlatitude eddies (Ming et al., 2011; Hsieh et al., 2013; Ocko et al., 2014; Xu and Xie, 2015). The most remarkable feature of change in atmospheric temperature in the slow response to  $\text{SO}_4$  is a deep tropospheric cooling between  $30$  and  $45^\circ\text{N}$  (Fig. 5c). A similar temperature response to aerosol forcings was found by Rotstajn et al. (2014) based on the multi-model ensemble simulations, which indicates that this is a robust feature of climate response to aerosols. There is an anomalous cooling center in the upper troposphere ( $200$ – $500\text{ hPa}$ ), with the cooling exceeding  $1\text{ K}$  (Fig. 5c), which leads to a prominent decrease in geopotential height at those altitudes (Fig. 5f). The geopotential height at about  $200\text{ hPa}$  is reduced by more than  $35\text{ m}$ .

The East Asian subtropical jet (EASJ) that is located around  $40^\circ\text{N}$  at  $200\text{ hPa}$  is an important component of the



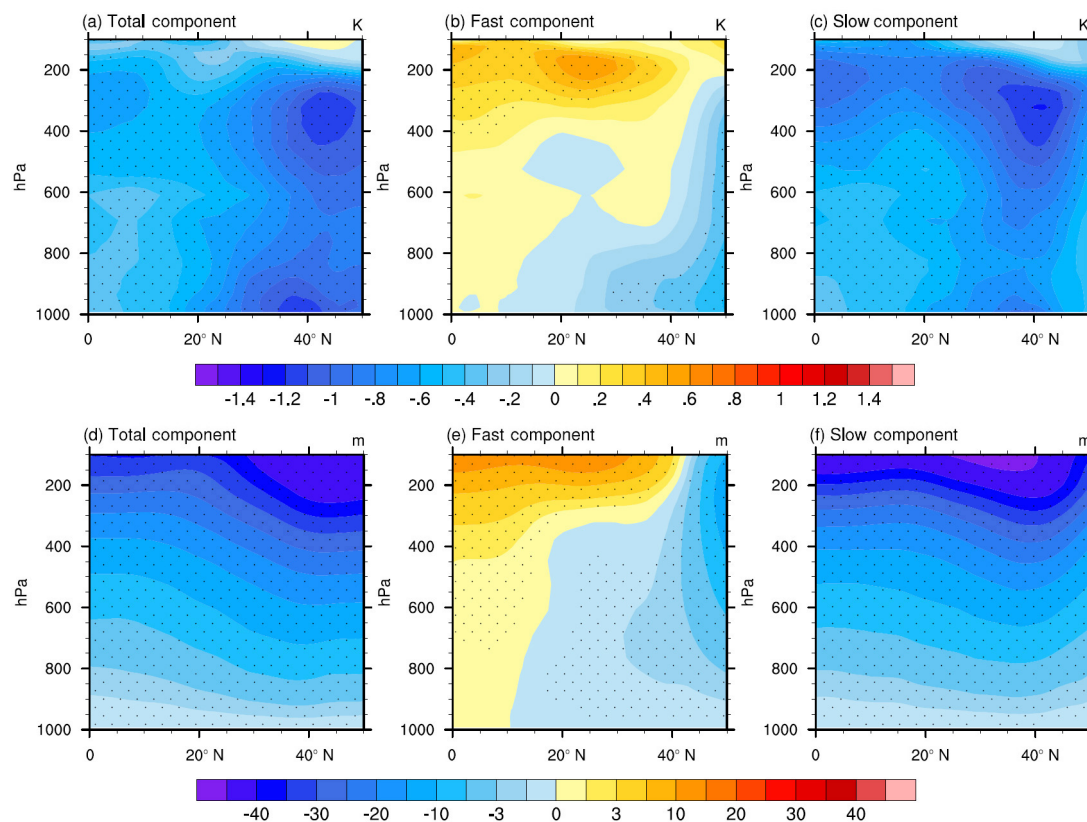
**Figure 4.** JJA mean total, fast, and slow responses of (a, b, c) surface air temperature (unit: K) and (d, e, f) wind vectors at 850 hPa (unit:  $\text{m s}^{-1}$ ) to  $\text{SO}_4$  forcing. The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.

East Asian monsoon. The change in geopotential height in the slow response to  $\text{SO}_4$  increases the poleward (equatorward) pressure gradient force to the south (north) of the cooling center region. Such a change in pressure gradient force leads to an increase (decrease) in westerlies to the south (north) of the EASJ center through the geostrophic balance between the Coriolis force and pressure gradient force (Yu et al., 2004). It is shown in Fig. 6a that the largest increase and decrease of more than  $\pm 1 \text{ m s}^{-1}$  in westerlies occur at about 25 and 45° N, respectively. Consequently, the EASJ shifts southwards in response to  $\text{SO}_4$ . The slow response dominates over the total response of the EASJ to  $\text{SO}_4$  (Fig. 6).

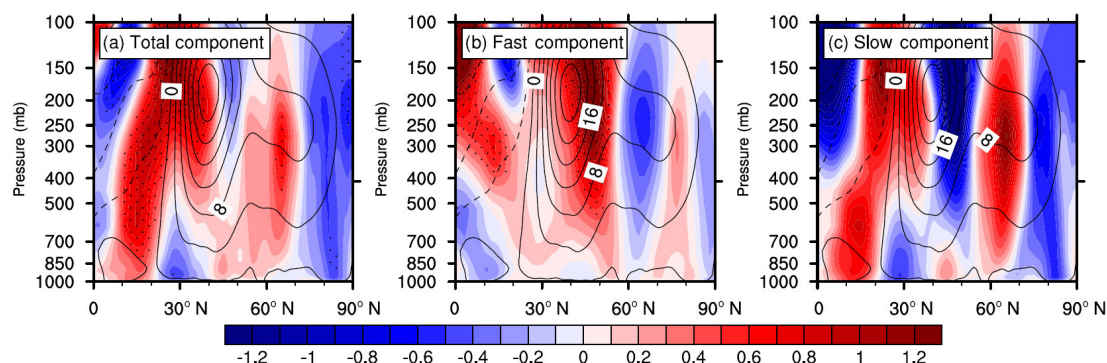
The north and south flanks of the jet axis correspond generally to the divergence and convergence areas in the lower atmosphere, respectively. The southward displacement of the EASJ center implies the southward spread of divergence areas, thereby resulting in an anomalous surface anticyclone over continental East Asia between 30 and 40° N. The anomalous subsidence motion in the lower atmosphere around 40° N (Fig. S2c) due to the large surface cooling also intensifies the anomalous surface anticyclone. To the east of the anticyclonic center, anomalous northerlies increase prominently (Fig. 4f). In addition, the interhemispheric SST gradient caused by  $\text{SO}_4$  (Fig. S1a) strengthens the ascending branch of the local Hadley cell between 20 and 35° N in the summer (Figs. S2c and S3c), thereby resulting in an

anomalous cyclonic vortex over southeastern China and the western Pacific (Fig. 4f). To the west of the cyclonic center, anomalous northerly winds are further increased. Finally, the  $\text{SO}_4$ -induced slow climate response leads to a more intense weakening of the EASM circulation than its fast response. Dai et al. (2013) also suggested that the thermal contrast in the mid-upper troposphere played a more important role than that in the mid-lower troposphere in impacting the strength and variations of the Asian summer monsoon circulations. Drop in tropopause height over the EAMR can suppress the convection and weaken the EASM. As seen in Fig. 7a, the tropopause north of 40° N in the summer declines significantly in the total response to  $\text{SO}_4$ , which primarily contributed by its slow response. The sharp drop also coincides with the southward displacement of the NH subtropical jet, as the jet approximately divides the tropics (with higher tropopause) and extratropics (Ming et al., 2011). The above analyses indicate the importance of ocean response to  $\text{SO}_4$  forcing in driving the changes of the EASM circulation.

Note that the changes in atmospheric temperature and geopotential height due to the adjustments in clouds and atmospheric states in the fast response to  $\text{SO}_4$  (Fig. 5b and e) lead to the increase of more than  $0.8 \text{ m s}^{-1}$  in westerlies at the north of the jet center (Fig. 6b). The positive change of westerlies in the fast response is comparable to the negative change of westerlies in the slow response to  $\text{SO}_4$  due to the



**Figure 5.** JJA mean total, fast, and slow responses of zonally averaged (a, b, c) atmospheric temperature (unit: K) and (d, e, f) geopotential height (unit: m) between 100 and 140° E to  $\text{SO}_4$  forcing. The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.



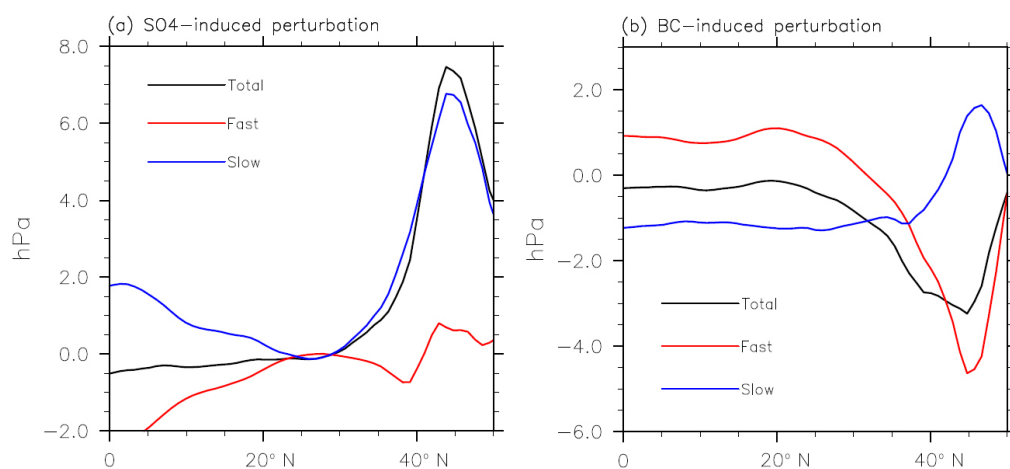
**Figure 6.** JJA mean total, fast, and slow responses of zonally averaged zonal wind between 100 and 140° E to  $\text{SO}_4$  forcing (unit:  $\text{m s}^{-1}$ ). The dashed and solid lines represent the climatological JJA mean easterly and westerly winds in PI, respectively. The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.

comparable changes in temperature and geopotential height. The change of the jet in the fast response to  $\text{SO}_4$  (Fig. 6b) is conducive to the enhancement of the EASM, which partially offsets the weakening of the EASM due to the decrease of the land–sea surface thermal contrast.

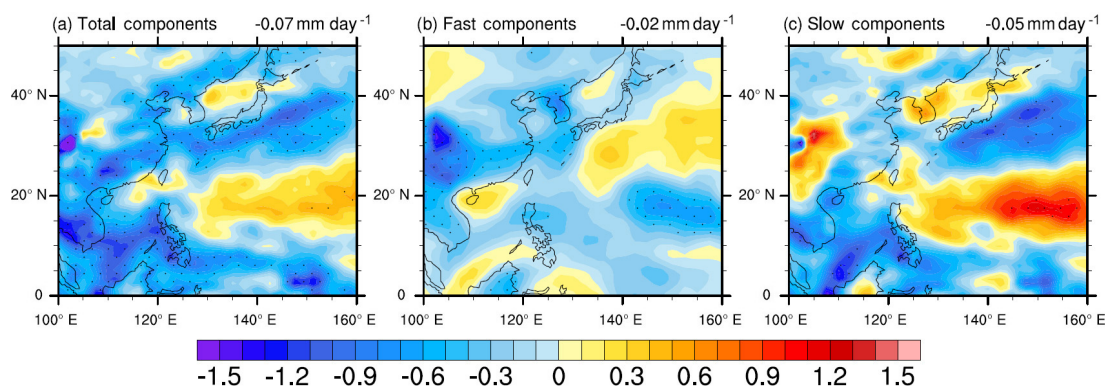
The weakening of the EASM circulation caused by  $\text{SO}_4$  forcing suppresses the transport of surface warm and moist air northwards and upwards, which results in a significant de-

crease in precipitation over eastern and southern China and the ambient oceans (Fig. 8a). In particular, the precipitation is decreased by more than  $0.6 \text{ mm day}^{-1}$  over most of southern China. The cooling in the lower troposphere and warming in the upper troposphere due to the fast response north of 20° N (Fig. 5b) can suppress the vertical ascending motion (Fig. S2b) and moisture transfer, thereby also contributing to the decrease in precipitation. However, the precipitation





**Figure 7.** JJA mean total, fast, and slow responses of zonally averaged tropopause heights between 100 and 120° E to (a) SO<sub>4</sub> and (b) BC forcing (unit: hPa). The positive values indicate a drop in the tropopause.



**Figure 8.** JJA mean total, fast, and slow responses of precipitation rate to SO<sub>4</sub> forcing (unit: mm day<sup>-1</sup>). The values in the top right corner of the figures represent the responses averaged over the region 0–50° N, 100–140° E. The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.

increases (yet not significantly) over some of the western Pacific due to the enhanced convection in the slow response to SO<sub>4</sub> (Fig. S2c), with the maximum exceeding 1 mm day<sup>-1</sup>. Note that the SO<sub>4</sub>-induced slow climate response leads to a large increase in precipitation over western China, which is the opposite compared to its fast response (Fig. 8b and c). This is because the enhanced easterly anomalies in the lower troposphere between 25 and 35° N in the slow response (Fig. 4f and 6c) bring about more moisture into the inland regions of China, which is beneficial to the formation of clouds and precipitation. In a word, the decrease in precipitation over land in East Asia in the total response to SO<sub>4</sub> is dominated by the fast response, while the change in precipitation over the adjacent ocean is dominated by the slow response.

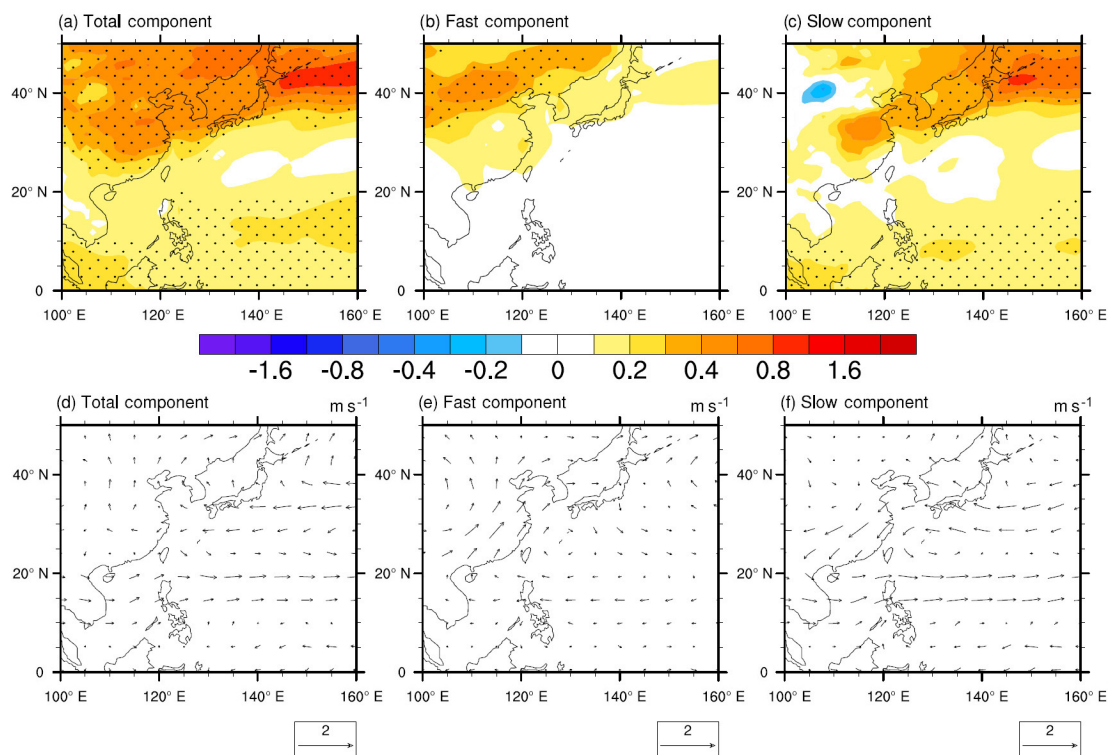
### 3.3 Response of the EASM to BC forcing

Figure 9 shows JJA mean responses of surface air temperature and wind vectors at 850 hPa to BC forcing. Absorbing

BC increases the surface air temperature over the EAMR, with the largest warming appearing at the NH midlatitudes, especially over the northwestern Pacific, with the maximum exceeding 0.8 K (Fig. 9a). This is mainly from the contribution of the slow climate response to BC (Fig. 9c). The small anomalous southerly winds at 850 hPa prevail and the EASM circulation is slightly enhanced, especially north of 30° N, in the total climate response to BC (Fig. 9d), which is mainly attributed to their fast responses to BC. The fast and slow responses of surface winds to BC over the EAMR are inverses of each other. The anomalous northerlies in the slow response that tend to weaken the EASM greatly offset the anomalous southerlies in the fast response to BC (Fig. 9e and f).

Now we explain why the enhancement of the monsoon in the fast response to BC forcing is strong. Firstly, the large surface warming in the fast response to BC occurs over continental East Asia, especially north of 30° N, with the warming exceeding 0.2 K (Fig. 9b). This increases the land–sea surface thermal contrast over the EAMR, thereby enhancing

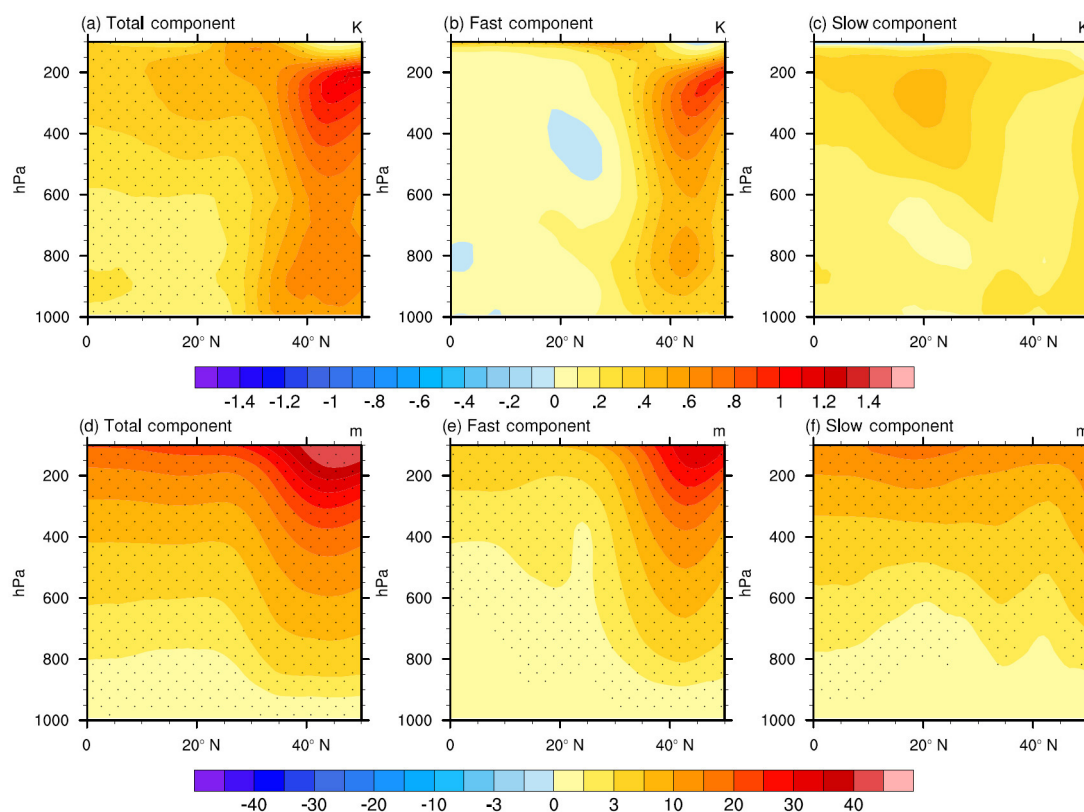




**Figure 9.** JJA mean total, fast, and slow responses of (a, b, c) surface air temperature (unit: K) and (d, e, f) wind vectors at 850 hPa (unit:  $\text{m s}^{-1}$ ) to BC forcing. The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.

the EASM circulation (Fig. 9e). This mechanism is also at work in the fast response to  $\text{SO}_4$ . Secondly and unique to the BC case, the direct absorption of solar radiation by BC leads to a deep tropospheric warming of 0.2 to 1 K at the NH midlatitudes (Fig. 10), which dominates over the tropospheric warming in the total response to BC. An anomalous warming center of more than 0.6 K appears and the geopotential height is increased by more than 10 m in the upper troposphere around  $40^\circ\text{N}$  (Fig. 10e). Consequently, the pressure increases in the uppermost troposphere, which strengthens the poleward (equatorward) pressure gradient force in the north (south) flank of the warming region. This results in an increase of 0.2 to  $1\text{ m s}^{-1}$  (a decrease of 0.8 to  $1.2\text{ m s}^{-1}$ ) in westerly winds in the north (south) flank of the EASJ center and the northward movement of the EASJ (Fig. 11b). The total response of the jet is consistent with the fast response of it to BC. With the change of the EASJ, an anomalous cyclonic vortex is formed over land in East Asia and anomalous southerly winds increase over eastern China. This second mechanism involving the EASJ change further magnifies the enhancement of the EASM caused by the increase in land–sea surface thermal contrast in the fast response to BC. The elevation of the tropopause between  $40$  and  $50^\circ\text{N}$  in the summer due to the fast response also implies the strengthening of the EASM (Fig. 7b). The fast response dominates over the total response of tropopause height to BC.

The BC-induced slow response is in the opposite direction of the fast response. Like the annual mean SST response, the significant increase in the JJA mean SST caused by BC occurs not only in the NH midlatitude oceans but also in the Indian Ocean–western Pacific warm pool, with the warming exceeding 0.2 K over most areas (Fig. S1b). This results in the deep tropospheric warming north of  $40^\circ\text{N}$  and a larger warming in the upper troposphere between  $20$  and  $30^\circ\text{N}$ , respectively (Fig. 10c). Keshavamurty (1982) found that the warming over tropical western Pacific could significantly enhance the convection motion in the western Pacific and that it was more efficient in producing atmospheric circulation anomalies. Therefore, the BC-induced slow climate response also strengthens the ascending branch of the local Hadley cell between  $15$  and  $30^\circ\text{N}$  in the summer (Figs. S4c and S5c). This leads to an anomalous cyclone in the lower atmosphere over these regions, thus increasing the anomalous northerly winds over eastern China (Fig. 9f). While the tropospheric temperature increases in the slow response to BC, the warming in the upper troposphere of around  $40^\circ\text{N}$  is less than that on both of its flanks (Fig. 10c). Such an adjustment in tropospheric temperature is conducive to a southward shifting of the EASJ (Fig. 11c). These EASJ changes cause the BC-induced slow response to weaken the EASM circulation, which even overcomes the strengthening of the EASM due to the increase in land–sea surface thermal contrast in the slow



**Figure 10.** JJA mean total, fast, and slow responses of zonally averaged (a, b, c) atmospheric temperature (unit: K) and (d, e, f) geopotential height (unit: m) between 100 and 140° E to BC forcing. The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.

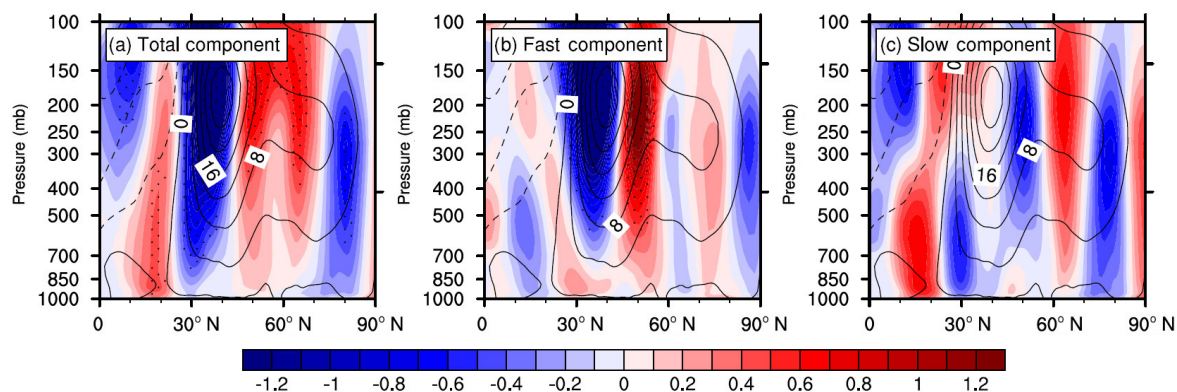
response (Fig. 9c). The opposite fast and slow responses of tropopause height to BC also indicate their adverse impact on the EASM circulation (Fig. 7b).

Lastly, the JJA mean response of precipitation to BC forcing over the EAMR is weaker than that found in the response to  $\text{SO}_4$  with less area in which the change is significant (Fig. 12), mainly because of a smaller radiative forcing. The total response of precipitation to BC manifests a spatial pattern of wetting–drying–wetting from north to south over the EAMR, with an increase of 0.1 to 0.6 mm day<sup>−1</sup> over most of southeastern China and north of 40° N and a decrease of 0.1 to 0.5 mm day<sup>−1</sup> over the Yangtze–Huai River valley (Fig. 12a). This is not consistent with that reported by Menon et al. (2002), which indicated that BC forcing primarily contributed to the wetter–south–drier–north phenomenon in eastern China during the past decades. The change in precipitation caused by BC forcing is mainly in line with the change in monsoon circulation. The fast and slow responses of precipitation to BC are almost opposite over the EAMR (Fig. 12b and c) due to the opposite circulation changes. The deep tropospheric warming north of 40° N due to the fast response (Fig. 10b) can intensify the vertical ascending motion (Fig. S4b) and moisture transfer, which dominates over the increase in precipitation here. However, the warming in the troposphere and anomalous surface easterly winds between

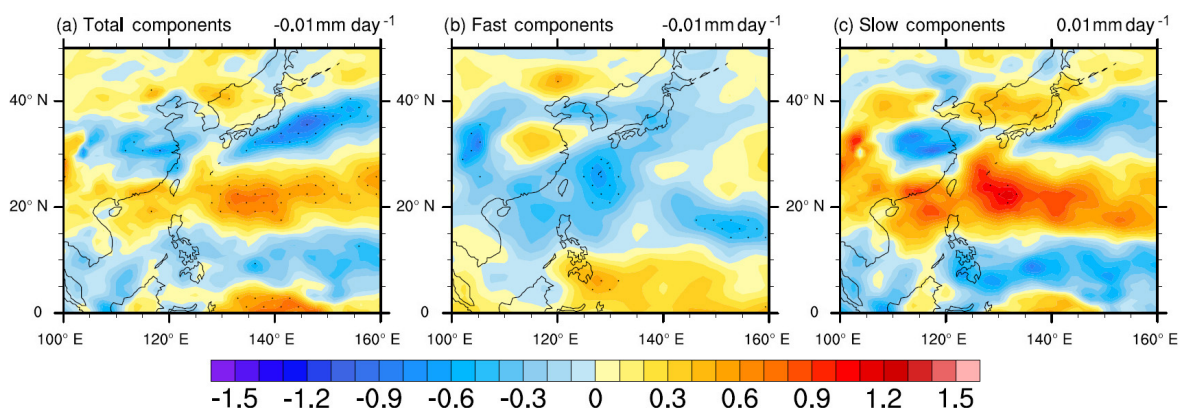
20 and 30° N due to the slow response (Figs. 10c and 11c) are conducive to the development of ascending motion (Fig. S4c) and moisture transport from the oceans, which contributes to the increase in precipitation over these regions. In addition, the southward shifting of the EASJ in the slow response leads to an increase in surface divergence (Fig. 9f) and a decrease in precipitation over the Yangtze–Huai River valley. In general, the spatial distribution of the total precipitation response agrees well with that of the slow precipitation response to BC except north of 40° N, which also shows the significance of the SST change induced by BC forcing in impacting the EASM.

#### 4 Discussion and conclusions

This study investigates the roles of fast and slow components in shaping the total equilibrium response of the EASM to reflecting  $\text{SO}_4$  and absorbing BC forcings using an Earth system model with a fully coupled dynamic ocean, in contrast to most of the previous studies that adopted a slab ocean model (e.g., Allen and Sherwood, 2010; Ganguly et al., 2012). Such a decomposition of the total response will be helpful in better understanding the mechanisms by which aerosols impact the EASM. Our results show that reflecting  $\text{SO}_4$  produces



**Figure 11.** JJA mean total, fast, and slow responses of zonally averaged zonal wind between 100 and 140° E to BC forcing (unit:  $\text{m s}^{-1}$ ). The dashed and solid lines represent the climatological JJA mean easterly and westerly winds in PI, respectively. The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.



**Figure 12.** JJA mean total, fast, and slow responses of precipitation rate to BC forcing (unit:  $\text{mm day}^{-1}$ ). The values in the top right corner of the figures represent the responses averaged over the region 0–50° N, 100–140° E. The dots represent significance at  $\geq 95\%$  confidence level from the  $t$  test.

a global mean ERF of  $-0.98 \text{ W m}^{-2}$ , while absorbing BC leads to an ERF of  $+0.36 \text{ W m}^{-2}$ . Despite the essential difference in forcings, the spatial distribution of the SST response at the global scale is prominently similar between  $\text{SO}_4$  and BC forcings. However, a unique characteristic of the SST response to BC is the obvious warming over the Indian Ocean–western Pacific warm pool.

There are significantly different mechanisms between fast and slow responses of the EASM to different aerosol forcings. Table 3 provides a summary of the responses of the EASM in various cases. The  $\text{SO}_4$ -induced fast climate response weakens the EASM through decreasing the land–sea surface thermal contrast over the EAMR. This has been shown in many earlier studies (e.g., Jiang et al., 2013; Wang et al., 2015). However, we show here that the  $\text{SO}_4$ -induced SST change (i.e., slow climate response) further weakens the EASM by changing the tropospheric thermodynamic and circulation structures, especially through a southward shifting of the EASJ. Eventually, the EASM circulation is significantly weakened, and the precipitation is reduced over the

EAMR in the total response to  $\text{SO}_4$ . However, the decrease in precipitation over land in East Asia in the total response to  $\text{SO}_4$  is dominated by the fast response, while the change in precipitation over the adjacent ocean is dominated by the slow response.

The BC-induced changes are weaker and more complicated. The fast climate response significantly strengthens the EASM both by increasing the land–sea surface thermal contrast over the EAMR and moving the EASJ northwards. However, the BC-induced slow climate response weakens the EASM by strongly affecting the atmospheric temperature and circulation. The role of the EASJ has not been clearly shown in previous studies, which often only considered the fast adjustment of climate to BC forcing. As a result of the competing factors of the land–sea contrast and EASJ shift, the EASM in the total response to BC is weaker and less significant, with a slight enhancement north of 30° N. As for the precipitation responses, the total response to BC shows a spatial pattern of wetting–drying–wetting from north to south over the EAMR. This differs from the results in Menon et

Table 3. Summary of the fast and slow responses of the EASM to SO<sub>4</sub> and BC forcings.

	Fast response				Slow response			
	Thermal contrast	Subtropical jet	Southerly winds	Precipitation	Thermal contrast	Subtropical jet	Southerly winds	Precipitation
SO <sub>4</sub>	Decrease	Northward shift (weakly)	Decrease (weakly)	Decrease over land	Decrease (weakly)	Southward shift	Decrease	Decrease in eastern and southern China but increase in western China
BC	Increase	Northward shift	Increase	Pattern of drying–wetting–drying	Increase (weakly)	Southward shift (weakly)	Decrease	Pattern of wetting–drying–wetting

al. (2002), which suggested that the increased BC emission contributed to the wetter-south–drier-north phenomenon in summer over eastern China in the past decades. The spatial pattern of the total precipitation response is similar to that of the slow precipitation response to BC.

This study elaborates on the mechanisms of the impacts of various aerosol species on the EASM system, highlighting the importance of ocean response to aerosol forcings (i.e., slow response component) in driving the changes of the EASM. Given a larger negative ERF due to SO<sub>4</sub>, it can be speculated that the integrated effect of total anthropogenic aerosols likely tends to weaken the EASM over the industrial era, as suggested by earlier works (e.g., Song et al., 2014; Salzmann et al., 2014).

Our results clearly suggest that one pathway aerosol forcings have to affect the EASM is by changing the land–sea surface thermal contrast, as shown in previous studies (e.g., Liu et al., 2011; Zhang et al., 2012; Salzmann et al., 2014; Wang et al., 2015, 2016). However, we also emphasize the role of the EASJ, which could amplify or offset the effects of the surface thermal contrast. The response of the EASJ to aerosols needs further studies, preferably using multi-model ensembles, because (1) it is quite sensitive to the atmospheric forcing component (Fig. 11b) that is altitude dependent and (2) as a component of the larger NH westerly jet stream, it is more subject to the influence of non-local (outside Asia) aerosols that could undergo a different emission pathway than local aerosol emissions in a shorter time.

*Data availability.* The model outputs analyzed in this study can be accessed by contacting the corresponding author Lei Lin (lin-lei3@mail.sysu.edu.cn).

**The Supplement related to this article is available online at <https://doi.org/10.5194/acp-17-11075-2017-supplement>.**

*Author contributions.* ZW and LL conceived the study and performed the analysis. ZW, LL, MY, and YX wrote the paper. All authors provided comments and contributed to the text.

*Competing interests.* The authors declare that they have no conflict of interest.

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