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### Highly time-resolved urban aerosol characteristics during springtime in Yangtze River Delta, China: insights from soot particle aerosol mass spectrometry

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**Abstract.** In this work, the Aerodyne soot particle – aerosol mass spectrometer (SP-AMS) was deployed for the first time during the spring of 2015 in urban Nanjing, a megacity in the Yangtze River Delta (YRD) of China, for online characterization of the submicron aerosols (PM1). The SP-AMS enables real-time and fast quantification of refractory black carbon (rBC) simultaneously with other non-refractory species (ammonium, sulfate, nitrate, chloride, and organics). The average PM<sub>1</sub> concentration was found to be  $28.2 \,\mu g \,m^{-3}$ , with organics (45 %) as the most abundant component, following by sulfate (19.3%), nitrate (13.6%), ammonium (11.1%), rBC (9.7%), and chloride (1.3%). These PM<sub>1</sub> species together can reconstruct  $\sim$  44 % of the light extinction during this campaign based on the IMPROVE method. Chemically resolved mass-based size distributions revealed that small particles especially ultrafine ones (<100 nm vacuum aerodynamic diameter) were dominated by organics and rBC, while large particles had significant contributions from secondary inorganic species. Source apportionment of organic aerosols (OA) yielded four OA subcomponents, including hydrocarbon-like OA (HOA), cooking-related OA

(COA), semi-volatile oxygenated OA (SV-OOA), and lowvolatility oxygenated OA (LV-OOA). Overall, secondary organic aerosol (SOA, equal to the sum of SV-OOA and LV-OOA) dominated the total OA mass (55.5%), but primary organic aerosol (POA, equal to the sum of HOA and COA) can outweigh SOA in the early morning and evening due to enhanced human activities. High OA concentrations were often associated with high mass fractions of POA and rBC, indicating the important role of anthropogenic emissions during heavy pollution events. The diurnal cycles of nitrate, chloride, and SV-OOA both showed good anti-correlations with air temperatures, suggesting their variations were likely driven by thermodynamic equilibria and gas-to-particle partitioning. On the other hand, in contrast to other species, sulfate, and LV-OOA concentrations increased in the afternoon, and showed no positive correlations with relative humidity (RH), likely indicating the contribution from photochemical oxidation is dominant over that of aqueous-phase processing for their formations. The bivariate polar plots show that the SV-OOA was formed locally, and the variations of hydrogento-carbon (H/C) and oxygen-to-carbon (O/C) ratios in the Van Krevelen space further suggests an evolution pathway of SV-OOA to LV-OOA. Our findings regarding springtime aerosol chemistry in Nanjing may have important implications for the air quality remediation in the densely populated regions.

### 1 Introduction

In recent years, high concentrations of fine particulate matter ( $PM_{2.5}$ ) have been frequently observed (Hu et al., 2015), in accompanying with the visibility impairment and occurrence of haze events across large parts of China.  $PM_{2.5}$  also affects human health (e.g., Pope and Dockery, 2006; Cao et al., 2012), regional and global climate directly by absorbing and scattering solar radiation or indirectly by acting as cloud condensation nuclei and ice nuclei (e.g., Ghan and Schwartz, 2007; Pöschl, 2005), and the earth's ecosystem (Carslaw et al., 2010). These effects are predominantly dependent upon the physical and chemical characteristics of fine particles, such as mass concentration, chemical composition, size distribution, and hygroscopicity, all of which are influenced by the emission sources and transformation and evolution processes in the atmosphere.

The Yangtze River Delta (YRD) region is one of the most populated and economically developed areas in China, but it is also faced with severe air pollution lately. Nanjing, as one of the major megacities in this region, has a daily  $PM_{2.5}$  mass concentration varying between 33–234 µg m<sup>-3</sup> during November 2011-August 2012, with an mean value of  $106 \,\mu g \, m^{-3}$ , which is 4.2 times the WHO air quality standard of  $25 \,\mu g \, m^{-3}$  (Shen et al., 2014). PM<sub>2.5</sub> pollution is significantly elevated during hazy days, for example, a daily average of  $282 \,\mu g \,m^{-3}$  was observed for a heavily polluted day (Fu et al., 2008). A number of studies regarding aerosol chemistry in Nanjing have been conducted, and identified various inorganic components (sulfate, nitrate, ammonium, and heavy metals, etc.) (e.g., Wang et al., 2003; Hu et al., 2012; Qi et al., 2016) and hundreds of organic species (carboxylic/dicarboxylic acids, amines and amino acids, polycyclic aromatic hydrocarbons, etc.) (Wang et al., 2011, 2002; Yang et al., 2005; Wang et al., 2009) that contribute to the aerosol mass. However, past studies mostly employed filterbased sampling technique, which, due to low time resolution (a few hours to days), is often incapable of capturing details of the atmospheric evolution processes during the typical lifecycle of aerosols (Wexler and Johnston, 2008). Subsequent offline analyses may also introduce artifacts as some semi-volatile species can evaporate during sampling and storage (Dong et al., 2012).

On the other hand, in the past 15 years, the Aerodyne aerosol mass spectrometer (AMS) (Canagaratna et al., 2007) has been widely used, and was proven to be powerful for real-time online measurements of size-resolved chemical compo-

sitions of submicron aerosols (PM<sub>1</sub>) with very fine time resolution (seconds to minutes) (Zhang et al., 2007a; Jimenez et al., 2009). The development of Aerodyne AMS began with the invention of quadruple AMS (Q-AMS) (Jayne et al., 2000), following by the compact time-of-flight AMS (C-ToF-AMS) (Drewnick et al., 2005), high-resolution time-offlight AMS (HR-ToF-AMS) (DeCarlo et al., 2006) and the soot particle AMS (SP-AMS) (Onasch et al., 2012). There is also an aerosol chemical speciation monitor (ACSM) (Ng et al., 2011) and its updated version of ToF-ACSM (Fröhlich et al., 2013), which are in particular designed for longterm unattended aerosol measurements. SP-AMS is the most advanced version, which in principle incorporates the single particle soot photometer (SP2) into the HR-ToF-AMS, and upgraded with a laser vaporizer for detecting refractory black carbon (rBC) and associated/coated species that cannot be measured by other types of AMS.

Recently, the Aerodyne AMS has been deployed widely in China (particularly Beijing) (e.g., Xu et al., 2014 and references therein; Sun et al., 2014; Yeung et al., 2014; Zhang et al., 2014; Li et al., 2015; Shen et al., 2015; Sun et al., 2015a, b; Yan et al., 2015; Zhang et al., 2015; Tang et al., 2016; J. K. Zhang et al., 2016; Jiang et al., 2015; Chen et al., 2015; Xu et al., 2015; Du et al., 2015; Sun et al., 2016; Wang et al., 2015; Han et al., 2015; Q. Wang et al., 2016). However, only a few field campaigns were conducted in the YRD region. Huang et al. (2012) deployed an HR-ToF-AMS together with an SP2 in Shanghai during the 2010 Shanghai World Expo, and in Jiaxing during summer and winter of 2010 (Huang et al., 2013). In urban Nanjing, an ACSM was applied for characterizing PM<sub>1</sub> during summer and autumn harvest seasons (Zhang et al., 2015), and during December 2013 to investigate a few heavy haze events (Y. J. Zhang et al., 2016). In addition, a Q-AMS was deployed in Nanjing to investigate the effects of PM1 on visibility during January 2013 (Shen et al., 2015). Furthermore, a recent study by J. Wang et al. (2016) reported the observation of fullerene soot in suburban Nanjing using an SP-AMS. Nevertheless, many questions remain with regard to aerosol chemistry, sources, and processes in this region. Moreover, none of the previous AMS measurements studied the aerosol characteristics during springtime in Nanjing, yet the springtime aerosols may have different behaviors than those in other seasons, when aerosols are likely influenced significantly by emissions from biomass burning, coal burning etc. For these reasons, we report in this work the real-time measurement results on urban fine aerosols in Nanjing using the SP-AMS during spring in 2015. The rich highly time-resolved, highly chemical-resolved mass spectral data, as well as chemically resolved size distributions of different aerosol species obtained for the first time in Nanjing during this study, can allow us to conduct in-depth analyses, and better understand the characteristics, sources and relevant transformation processes of ambient aerosols. The findings for such a megacity are also valuable to the Pan-Eurasian Experiment (PEEX) infrastructure which aims to resolve the major uncertainties in Earth system science and global sustainability issues (Kulmala et al., 2015).

#### 2 Experiments

#### 2.1 Sampling site and instrumentation

The field campaign was conducted in the environment monitoring station of Nanjing Olympic center  $(32^{\circ}0'33.00'' \text{ N}, 118^{\circ}44'9.53'' \text{ E})$  from 13 to 29 April 2015. Details of the sampling site are shown in Fig. S1 in the Supplement. The site was surrounded by residential buildings, close to a few urban arterial roads (~85 m northwest of Huangshan Road, ~ 200 m northeast to Mengdu Street and ~ 425 m southwest of Xinglong Street). There are also a restaurant (~ 50 m), a student cafeteria (~ 300 m), and the Nanjing Cigarette Factory (~ 480 m southeast) around the site.

The sampling inlet was installed outside the fifth floor of the building (~ 12 m above the ground), with a PM<sub>2.5</sub> cyclone (URG Corp., Chapel Hill, NC, USA) to remove coarse particles. Ambient particles were dried (RH < 10 %) via a diffusion dryer filled with silica gel before entering into the SP-AMS. The sampling line (~ 2 m long) was assembled using stainless steel tubing and proper fittings. Air flow was controlled at around ~ 5 L min<sup>-1</sup>, with a flow rate into the SP-AMS at ~ 80 cm<sup>3</sup> min<sup>-1</sup>.

The SP-AMS can measure non-refractory (NR) PM<sub>1</sub> components including ammonium, nitrate, sulfate, chloride, and organics similar to other types of AMS via a thermal Tungsten heater. Moreover, it can also measure rBC and inorganic/organic species that coated on the rBC cores, as it is equipped with an intracavity Nd:YAG laser vaporizer (1064 nm) (Onasch et al., 2012). During this campaign, the instrument was switched between "laser on" and "laser off" settings, and between V-mode (better for mass quantification) and W-mode (better chemical resolution,  $\sim$  5000 in this study), with one cycle including six menu settings (M1: laser on V-mode; M2: laser off V-mode; M3: laser on W-mode; M4: laser off W-mode; M7: laser on PToF-mode; M8: laser off PToF-mode). Each menu was set to 2.5 min, thus a full running cycle lasted for 15 min. The PToF-mode was under V-mode, but was tuned in particular for measuring particle sizes. The Tungsten heater was always turned on and kept at  $\sim$  600 °C.

The SP-AMS, in conjunction with a scanning mobility particle sizer (SMPS) (TSI inc., Shoreview, MN, USA) was calibrated for mass quantification (e.g., ionization efficiency) using size-selected (250 and 300 nm) monodisperse ammonium nitrate particles following the procedures detailed in Jimenez et al. (2003). Pure ammonium sulfate was used to determine the relative ionization efficiency (RIE) of sulfate to nitrate (Setyan et al., 2012). Quantification of *r*BC was calibrated using Regal Black (REGAL 400R pigment black, Cabot Corp.) particles according to the procedures reported in Onasch et al. (2012). Note that the aqueous solution of Regal Black was sonicated during calibration to maintain a relative stable aerosol flow. RIEs of ammonium, nitrate, sulfate, chloride, organics and *r*BC were determined to be 3.15, 1.05,1.20, 1.3, 1.4, and 0.33, respectively. On the other hand, particle sizing was calibrated using standard polystyrene latex (PSL) spheres (Duke Scientific Corp., Palo Alto, CA, USA) across 100–700 nm range. Flow rate was also calibrated prior to the measurement.

Concentrations of gaseous species, e.g., carbon monoxide (CO) (Model T300, Teledyne API, USA), ozone (O<sub>3</sub>) (Model EC9810, Ecotech Pty Ltd, Australia), nitrogen dioxide (NO<sub>2</sub>) and sulfur dioxide (SO<sub>2</sub>) (Model LGH-01, Anhui Landun, China), and meteorological data including air temperature (*T*), relative humidity (RH), visibility (km), wind speed (WS) and wind direction (WD) were acquired at the same site.  $PM_{2.5}$  and  $PM_{10}$  mass concentrations were also recorded (BAM-1020, Met One Instruments, Inc., USA), in parallel with the SP-AMS measurement.

#### 2.2 Data treatment and source analyses

The SP-AMS data were post-processed by using the Igor-based standard ToF-AMS Analysis Toolkit SQUIRREL v1.56D and PIKA v1.15D, available http://cires1.colorado.edu/jimenez-group/ at ToFAMSResources/ToFSoftware/index.html. Note all mass concentrations reported here were calculated from the HR fitted results on V-mode data. A collection efficiency is typically used to account for the particles that are not collected and measured by the instrument; this is due to the particles lost during passage through inlet, time-of-flight chamber and bouncing from the vaporizer. For the SP-AMS, the CE of the laser vaporizer is mainly governed by particle divergence, while for the Tungsten vaporizer, the CE is governed mainly by the bouncing effects (Matthew et al., 2008). A CE value of 0.5 is valid and used commonly for the AMS measurements for most environments (Canagaratna et al., 2007). Nevertheless, Middlebrook et al. (2012) further investigated this issue, and found that high aerosol acidity, high mass fractions of ammonium nitrate, and high sampling line RH can increase the CE, and composition-dependent CE parameterization. For our data set, we found that the composition-dependent CE rather than a constant CE = 0.5has negligible effects on the quantification of aerosol species, as the particles were neutralized (Fig. 3a), the mass fraction of ammonium nitrate were <40% in almost all cases, and also the sampling line RH was below 10%. And in fact, the PM1 mass concentrations quantified by using the composition-dependent CE correlate a bit worse with the  $PM_{2.5}$  concentrations than ones using CE = 0.5. Thus, a constant CE of 0.5, in keeping with many other AMS studies, was employed for this data set.

Unless specified, the concentrations of ammonium, sulfate, nitrate, chloride, and organics are from M2 setting (Tungsten vaporizer only), while the *r*BC data is from M1 setting (dual vaporizers: Tungsten + laser) in this paper. The meteorological data (RH, *T*, WS, WD, and visibility), concentrations of gas-phase species (CO, NO<sub>2</sub>, SO<sub>2</sub>, and O<sub>3</sub>) and PM<sub>2.5</sub> were averaged into hourly data for comparisons with the SP-AMS data. The data reported are at local time, e.g., Beijing (BJ) Time.

Positive matrix factorization (PMF) (Paatero and Tapper, 1994) was applied on the high-resolution mass spectra (HRMS) of organic aerosol (OA) obtained under laser off W-mode (M4 setting) to elucidate the OA sources/processes. We used the PMF evaluation tool version 2.08A (downloaded from: http://cires1.colorado.edu/jimenez-group/wiki/index. php/PMF-AMS Analysis Guide) (Ulbrich et al., 2009) to investigate the PMF results by varying the number of factors (from 2 to 8 factors) and rotations (" $f_{peak}$ ", from -1 to 1 with an increment of 0.1). Only ions with m/z less than or equal to 180 were included in the analyses. Following the instruction detailed by Zhang et al. (2011), the 4-factor solution (at  $f_{\text{peak}} = -0.1$ ) was chosen as the optimal solution, as the 3-factor solution cannot separate the hydrocarbon-like OA (HOA) and cooking OA (COA) (Fig. S2). For the 5-factor solution (Fig. S3), Factor 2 and Factor 4 are clearly a split of the SVOOA from the 4-factor solution ( $r^2 = 0.89$  and slope of 1.05, Fig. S3c); Factor 2 of 5-factor solution also shows much weaker correlations with nitrate than SVOOA of 4factor solution does (r = 0.07 vs. 0.49). A summary of the key diagnostic plots are provided in Fig. S4. Detailed discussion of the PMF results is presented in Sect. 3.5. Note we found no significant differences between the PMF source apportionment results from the HRMS of OA (without rBC) obtained with dual-vaporizers setting (M3 setting) and current results (M4 setting, Tungsten vaporizer only), as the OA HRMS acquired under these two circumstances were very similar (details in Sect. 3.4).

Note that the elemental ratios shown throughout the paper were all calculated based on the method proposed by Aiken et al. (2008) (referred to as A-A method). Recently, Canagaratna et al. (2015) improved this methodology by using specific ion fragments as markers to calculate the O / C and H / C ratios (referred to as I-A method). The I-A method increased the O / C ratio, H / C ratio, and the OM / OC ratio from the values calculated from the A-A method, on average, by 28, 10, and 8 %, respectively (Fig. S5). In this work, we used the results from the A-A method for consistency and comparisons with previous AMS measurements.

#### **3** Results and discussion

## **3.1** Mass concentrations, chemical compositions, and diurnal changes

The temporal variations of meteorological parameters, concentrations of the gas pollutants, concentrations, and mass fractions of different PM1 components, and the PM2.5 mass loadings (from Met one BAM-1020) over the sampling period are illustrated in Fig. 1. During this study, the mean temperature was 18.5 °C, RH on average was 64 %, and wind predominantly blew from the southeast and southwest (Fig. S6). The SP-AMS PM<sub>1</sub> concentrations ranged from 5.1 to 97.9  $\mu$ g m<sup>-3</sup>, with an average of 28.2  $\mu$ g m<sup>-3</sup>. Note this average PM<sub>1</sub> concentration is significantly lower than those observed during summer  $(38.5 \,\mu g \,m^{-3})$ , autumn  $(46.4 \,\mu g \,m^{-3})$ and winter  $(89.3 \,\mu g \, m^{-3})$  (Y. J. Zhang et al., 2015, 2016), showing that the air during springtime in Nanjing is cleaner than in other seasons. The variations of PM1 concentrations also match very well with PM2.5 concentrations (Pearson's  $r^2 = 0.72$ ), and on average PM<sub>1</sub> accounts for ~ 54 % of the PM<sub>2.5</sub> mass. This ratio appears to be a bit low, likely due to the uncertainty of CE of the SP-AMS.

The average PM<sub>1</sub> composition is shown in Fig. 2a. The most abundant component is found to be organics (45.0%), following by sulfate (19.3%), nitrate (13.6%), ammonium (11.1%), *r*BC (9.7%) and chloride (1.3%). Figure 2b further shows changes of the PM<sub>1</sub> chemical compositions in different concentration bins. It can be seen that although most PM<sub>1</sub> mass loadings are within 10–40 µg m<sup>-3</sup>, high loading periods tend to have higher mass contributions from organics and *r*BC, and less contributions from secondary inorganic species, indicating that high PM events were influenced significantly by local fresh emissions.

The molar ratio of inorganic anions (sulfate, nitrate, and chloride) to cations (ammonium) is 1.05 (Fig. 3a) (Zhang et al., 2007b). Considering that a small fraction of sulfate, nitrate, and chloride are possibly associated with metal cations, such as Na<sup>+</sup>, K<sup>+</sup>, and Ca<sup>2+</sup>, etc. it can be concluded that the NR-PM<sub>1</sub> was neutral throughout the study. On the other hand, the molar ratio of inorganic anions to ammonium is on average 1.17 (Fig. 3b) when dual vaporizers are on. This may be partially due to variations of ionization/collection efficiencies of the measured species as the addition of a laser beam may change the distribution of vaporized species inside the ion chamber, and also because of the detection of sulfate, nitrate, and chloride bonded with metal cations under the dual vaporizers. These species do not evaporate on the Tungsten vaporizer under the laser-off mode. Indeed, more metal signals were observed with the dual vaporizers, as shown in Fig. S7.

Figure 2c shows the average diurnal changes of organics, sulfate, nitrate, chloride, and rBC. Sulfate concentrations are slightly higher during daytime than during nighttime, indicating a significant contribution from photochemi-



**Figure 1.** Time series of (a) relative humidity (RH) and temperature (*T*); (b) wind direction (WD) colored by wind speed (WS,  $m s^{-1}$ ) and visibility (km); (c) mass concentrations of CO, NO<sub>2</sub>, SO<sub>2</sub> and O<sub>3</sub> (hourly data); (d) mass concentrations of PM<sub>1</sub> measured by the SP-AMS, and PM<sub>2.5</sub> measured by the co-located Met One PM<sub>2.5</sub> analyzer; (e) mass concentrations of *r*BC, ammonium, sulfate, nitrate, chloride, and organics; and (f) mass contributions (%) of the six PM<sub>1</sub> components (BJ, Beijing).



**Figure 2.** (a) Campaign-averaged mass contributions of organics, sulfate, nitrate, ammonium, chloride, and *r*BC to the total PM<sub>1</sub>; (b) mass percentages of the six PM<sub>1</sub> species (left *y* axis) and fractions of the number of data points to the total number of data points for PM<sub>1</sub> at different concentration bins (right *y* axis); (c) diurnal patterns of mass concentrations of the major PM<sub>1</sub> species (bottom panel), temperature (top panel, left *y* axis), relative humidity (RH) (top panel, right *y* axis), and the equilibrium constant ( $K_{p,AN}$ ) of NH<sub>4</sub>NO<sub>3</sub> (top panel, right *y* axis) ( $K_{p,AN} = K_{p,AN}$  (298) exp  $\left\{ a \left( \frac{298}{T} - 1 \right) + b \left[ 1 + \ln \left( \frac{298}{T} \right) - \frac{298}{T} \right] \right\}$ ; for reaction NH<sub>4</sub>NO<sub>3</sub>(p)  $\leftrightarrow$  NH<sub>3</sub>(g) + HNO<sub>3</sub>(g).  $K_{p,AN}$ (298) is the equilibrium constant at 298 K (3.36 × 10<sup>16</sup> atm<sup>-2</sup>), a = 75.11, and b = -13.5; Seinfeld and Pandis, 2006); (d) diurnal variations of mass fractional contributions of the six PM<sub>1</sub> species (left *y* axis), and the PM<sub>1</sub> mass concentrations (right *y* axis).



**Figure 3.** Scatter plots of the predicted  $NH_4^+$  vs. measured  $NH_4^+$  concentrations (colored by time), in the case of (a) Tungsten vaporizer only, and (b) dual vaporizers (Tungsten + laser). The predicted values were calculated according to the formula:  $NH_4^+$  predicted =  $18 \times (2 \times SO_4^{2-}/96 + NO_3^-/62 + Cl^-/35.5)$  (Zhang et al., 2007b).

cal reactions. Sulfate also shows the least variations among all species, reflecting its regional behavior. Except for sulfate, all other species present a dual-peak pattern, with one peak in the early morning and another one in the early evening. The peaks of rBC and organics are likely due to local traffic/cooking activities (see details in Sect. 3.5), while the behavior of nitrate is likely driven by the thermodynamic gasparticle partitioning:  $NH_3(g) + HNO_3(g) \leftrightarrow NH_4NO_3(p)$  as it shows good anti-correlations with the diurnal changes of temperatures (r = -0.72 for nitrate vs. T). The good correlations between the diurnal cycles of nitrate and RH, in particular during nighttime, suggest a nighttime formation pathway of nitrate, e.g.,  $N_2O_5 + H_2O = 2HNO_3$  and  $HNO_3 + NH_3 = NH_4NO_3$ . Furthermore, we calculated the diurnal variations of the equilibrium constant of NH4NO3  $(K_{p,AN})$  (Seinfeld and Pandis, 2006; Young et al., 2016) in Fig. 2c. The  $K_{p,AN}$  displays a similar trend as nitrate (r = 0.68), providing strong evidence that nitrate variations were governed mainly by the thermodynamic equilibrium. Chloride shows similar behavior as nitrate, indicating it is driven by the equilibrium  $NH_3(g) + HCl(g) \leftrightarrow NH_4Cl(p)$  as well (r = -0.76 for chloride vs. T). Therefore, when temperature rises, more NH4NO3 and NH4Cl can dissociate into gaseous NH<sub>3</sub>, HNO<sub>3</sub> and HCl, mass loadings of particlephase nitrate and chloride decrease correspondingly, and vice versa.

In order to further elucidate the formation processes of sulfate, we calculated the oxidation ratios of sulfur  $(f_S)$ (Fig. 4a), defined as  $f_{\rm S} = n {\rm SO}_4^{2-} / (n {\rm SO}_4^{2-} + n {\rm SO}_2)$  (Xu et al., 2014), indicating the conversion of SO<sub>2</sub>. Here nSO<sub>4</sub><sup>2-</sup> and  $nSO_2$  are the molar quantities of particle-phase sulfate, and gas-phase SO<sub>2</sub>, respectively. Diurnal variations of  $f_{\rm S}$  and RH are presented in Fig. 4b, and Fig. 4c shows variations of sulfate and nitrate concentrations with RH. The diurnal profile of  $f_{\rm S}$  shows a negative correlation with that of RH (r = -0.52), and mass concentrations of sulfate even drop under high RH conditions, indicating an insignificant role of aqueous-phase processing for sulfate formation during this campaign. On the other hand, the  $f_{\rm S}$  reaches a maximum around 3 pm. Note the afternoon rise of  $f_{\rm S}$  and sulfate may be affected by the down mixing of sulfate formed earlier, however, since concentrations of all other aerosol species that mix with sulfate decrease significantly, we postulate that the increase of  $f_{\rm S}$  likely suggest the photochemical production of sulfate in the afternoon.

#### 3.2 Chemically resolved size distributions

The campaign-averaged mass-based size distributions, fractional contributions, and diurnal size distributions (image plots) of the major PM<sub>1</sub> species are depicted in Fig. 5 (temporal variations of the mass-based size distributions of these PM<sub>1</sub> species over the whole measurement period are provided in Fig. S8). Note the size distribution of rBC in these plots were scaled from the size distribution of m/z 24 (C<sub>2</sub><sup>+</sup>), as other major rBC ion clusters may be heavily influenced by other ions, such as  $C^+$  signal but from organics at m/z 12 (C<sup>+</sup>), HCl<sup>+</sup> signal at m/z 36 (C<sup>+</sup><sub>3</sub>), SO<sup>+</sup> signal at m/z 48  $(C_4^+)$ ,  $C_2H_4O_2^+$  signal at m/z 60  $(C_5^+)$ . It also should be noted that, although the AMS is able to capture the bulk of atmospheric accumulation mode particles (Canagaratna et al., 2007), the right side of size distributions may be affected by the incomplete transmission of larger particles limited by the SP-AMS inlet (in particular, the supermicron ones).

As can be expected, all inorganic species (sulfate, nitrate, chloride, and ammonium) display a unimodal distribution with an accumulation mode peaking ~ 550 nm (vacuum aerodynamic diameter,  $D_{va}$ ; DeCarlo et al., 2004), since they were mainly formed from secondary reactions. The organics has a much broader size distribution across from ultrafine (< 100 nm) to supermicron meter range, with a small sub-peak centering ~ 120 nm in addition to the major peak at ~ 440 nm, indicating influences from both primary and secondary emissions. On the contrary, size distribution of *r*BC behaves very differently from other components, which peaks at 90–200 nm range, reflecting clearly that it mainly originates from primary emissions. Overall, the small particles predominantly consist of organics and *r*BC, which together account for more than 90 % of the ultrafine particle



**Figure 4.** Time series of (**a**) sulfur oxidation ratio,  $f_{\rm S} = n {\rm SO}_4^{2-} / (n {\rm SO}_4^{2-} + n {\rm SO}_2)$ , and sulfate; (**b**) diurnal variations of  $f_{\rm S}$  and RH (the lines and cross symbols indicate the mean values, the lines in the boxes indicate the median values, the upper and lower boundaries of the boxes indicate the 75th and 25th percentiles, and the whiskers above and below the boxes indicate the 90th and 10th percentiles); (**c**) sulfate and nitrate concentrations vs. RH, the circles or squares represent the average concentrations within different RH bins (5 % increment) for sulfate and nitrate, respectively.



**Figure 5.** (a) Mass-based average size distributions of organics, *r*BC (left *y* axis), sulfate, nitrate, chloride, and ammonium (right *y* axis) ( $D_{va}$ , vacuum aerodynamic diameter), (b) fractional contributions of the six PM<sub>1</sub> species as a function of particle size (left *y* axis), and size distribution of total PM<sub>1</sub> (right *y* axis), (c) diurnal profiles of the size distributions of *r*BC, organics, nitrate, chloride, and sulfate.

mass. Mass contributions from inorganic species increase significantly with the increase of particle size, and they dominate masses of particles larger than 400 nm (Fig. 5b).

In line with the diurnal mass loadings of the PM<sub>1</sub> species shown in Fig. 2c, the diurnal size distribution of sulfate is generally stable, with masses concentrating in the 400– 700 nm range throughout the day (Fig. 5c); while the size distributions of nitrate, chloride, and organics present clear enhancements in the 300–700 nm range during early morning and early evening due to increased mass concentrations of these species during these two periods. The size distribution of *r*BC is also enhanced during the morning and evening hours, but it extends to a much smaller size range (< 100 nm).

### 3.3 PM<sub>1</sub> contributions on visibility impairment

In order to figure out the major species that are responsible for the visibility degradation, here we employed the IM-PROVE method to reconstruct the light extinction coefficients ( $b_{ext}$ ).  $b_{ext}$  values are derived from the measured visibility:  $b_{ext} = 3.91/V_s$  (Kong et al., 2015), where  $V_s$  stands for the visibility (in meter). The following IMPROVE formula (Yang et al., 2007) was used:

$$b_{\text{ext}} = 3f(\text{RH})\{[(\text{NH}_4)_2\text{SO}_4] + [\text{NH}_4\text{NO}_3] + [\text{NH}_4\text{Cl}]\} + 4[\text{OM}] + 10[\text{BC}] + 1[\text{soil}] + 10, \quad (1)$$

where f(RH) is a RH-dependent empirical coefficient which considers the effects of water uptake by inorganic salts on the light extinction; the f(RH) values used here were taken from Malm and Day (2001), which were regressed from the Great Smoky data set. [(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>], [NH<sub>4</sub>NO<sub>3</sub>], [NH<sub>4</sub>Cl], [OM], and [BC] represent the mass concentrations of ammonium sulfate, ammonium nitrate, ammonium chloride, organics and black carbon directly from the SP-AMS measurements (in µg m<sup>-3</sup>) ([(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>] =  $1.375 \times [SO_4^{2-}]$ , [NH<sub>4</sub>NO<sub>3</sub>] =  $1.29 \times [NO_3^{--}]$  and [NH<sub>4</sub>Cl] =  $1.51 \times [C1^{--}]$ ). Since the SP-AMS cannot accurately measure soil components (e.g., various metals/metal oxides/metal salts), the term [soil] was set to zero during calculations.

By using this method, the reconstructed visibilities match reasonably well with the measured values ( $r^2 = 0.50$ ) as shown in Fig. 6a. Figure 6b shows the time series of the measured and reconstructed extinction coefficients throughout the whole sampling period. It should be noted that, on average, the measured PM<sub>1</sub> species are only able to explain ~44 % of the light extinction. This is likely due to that (1) as shown earlier, the SP-AMS measured PM<sub>1</sub> only occupies ~54 % of the PM<sub>2.5</sub> mass; (2) we did not include contributions from soil components, coarse particles and also some gas-phase species (such as NO<sub>2</sub>); (3) although the influences of water are partly included through f(RH) for inorganic salts, the water uptake by organic species are not considered explicitly, which can be significant especially for the SOA under high RH conditions (Duplissy et al., 2011; Denjean et al., 2015). Indeed, as shown in Fig. 6a, reconstructed visibilities appear to deviate more significantly from the measured visibilities under high RH than ones under low RH conditions, suggesting the importance of particle-bounded water on visibility degradation. The pie chart in Fig. 6b presents the average relative contributions of different components to the light extinction of PM<sub>1</sub>. The largest contributor is organics which accounts for 37.7 %, followed by ammonium sulfate (25.1 %), *r*BC (20.7 %), ammonium nitrate (15.1 %) and a minor contributor of ammonium chloride (1.4 %).

#### 3.4 Chemical characteristics of OA

The unique laser vaporizer of SP-AMS allows it to detect rBC and species coated on the rBC core including both nonrefractory and refractory organics; thus comparison between the OA mass spectra obtained with dual vaporizers and Tungsten vaporizer settings can infer some information regarding the chemical features of refractory organics, which were unable to be determined by any other types of AMS. As shown in Fig. 7a and b, the OA obtained with the dual-vaporizers setting have slightly higher oxygen-to-carbon (O/C) ratio (0.28 vs. 0.27), nitrogen-to-carbon (N/C) ratio (0.033 vs.)0.032) and lower hydrogen-to-carbon (H / C) ratio (1.50 vs. 1.52) than the corresponding elemental ratios of OA obtained with the Tungsten vaporizer only. This result indicates that refractory organics are likely more oxygenated than the nonrefractory organics, and for this data set it is mainly due to a higher fractional contribution from  $C_2H_3O^+$  (see the inset of Fig. 7a). This is different from the results on laboratorygenerated nascent soot, where larger  $f CO_2^+$  (i.e., the fraction of total organic signal contributed by  $CO_2^+$ ) was observed with the dual-vaporizers setting, indicating the variability of the chemical compositions of refractory organics.

It should be noted that, accurate determination of refractory organics is very difficult because: (1) a large portion of refractory organics cannot be detected by the SP-AMS if they did not coat on rBC cores; (2) to accurately measure the species only coated on rBC cores, the Tungsten vaporizer has to be physically removed, otherwise the vaporizer temperature is still around 150 °C even its power is turned off, and the non-refractory organics that do not coat on rBC cores can still be measured and complicates the analyses; (3) the CE and IE values for different species may vary under different vaporizer settings, so that direct subtraction of organics measured under Tungsten-only setting from the organics measured under the dual-vaporizer setting may not represent the real refractory organics; (4) some ions measured under dual-vaporizer setting are likely induced by the laser itself rather than the 70 ev electron impact. For example, a series of fullerene-like carbon clusters can be generated by the laser itself, even though they do not really exist in the atmosphere (J. Wang et al., 2016; Onasch et al., 2015). This laser-induced ion formation scheme may work for other organics, thus making it even more difficult for identifying the



**Figure 6. (a)** Scatter plot of reconstructed vs. measured visibility (colored by RH), (b) light extinction coefficients derived from measured visibility (gray), and reconstructed from SP-AMS measured ammonium sulfate, ammonium nitrate, ammonium chloride, organics and *r*BC using the IMPROVE method. The inset pie shows the relative contributions of the five species to the light extinction of  $PM_1$ .



**Figure 7.** Campaign-averaged high-resolution mass spectra of OA colored by six ion categories, in the case of (**a**) dual vaporizers (Tungsten + laser) (the inset scatter plot compares the spectral similarity between panels **a** and **b**), and (**b**) Tungsten vaporizer only (the inset pie shows the relative contributions of six ion categories to the total OA).

refractory organics. Further studies are essential to investigate this issue.

Overall, the O/C ratio (0.27) of OA in Nanjing during springtime is a bit lower than those observed at other urban locations in China – for instances, 0.30 in Shenzhen (He et al., 2011), 0.31 in Shanghai (Huang et al., 2012), 0.33 in Lanzhou (Xu et al., 2014) and 0.34 in Beijing (Zhang et al., 2014), and much lower than those at rural sites – for instances, 0.47 in Kaiping (Huang et al., 2011) and 0.59 in Changdao (Hu et al., 2013). As O/C ratio is a good indicator of the aging degree of OA, the relatively low O/C

level indicates a significant contribution from fresh emissions in Nanjing aerosols during springtime. Accordingly, the non-refractory OA (pie chart in Fig. 7b) is dominated by hydrocarbon  $C_xH_y^+$  ions (51.2 %) rather than the oxygencontaining ion fragments (37.4 % of  $C_xH_yO_1^+$  and  $C_xH_yO_2^+$ ).

The scatter plot of  $f_{44}$  (mass fraction of m/z 44 to the total OA) vs.  $f_{43}$  (mass fraction of m/z 43 to the total OA) (a.k.a., triangle plot) (Ng et al., 2010) was often used to investigate the oxidation degrees of OA. As presented in Fig. 8, most OA reside in the bottom end of the triangular region, again pointing out the less-oxygenated behavior of the OA. Since the HRMS can separate different ions at the nominal m/z, we also examined the  $f CO_2^+$  vs.  $f C_2 H_3 O^+$  space and illustrated it in Fig. S9 - many OA locate outside the triangular region, yet still close to the bottom. Moreover, m/z 60 (mainly  $C_2H_4O_2^+$ ) is a significant fragment ion of levoglucosan, which is well known as the biomass burning aerosol tracer (Alfarra et al., 2007). However, as  $f_{60}$  (mass fraction of m/z 60 to the total OA) is very low in OA (average  $\pm 1\sigma = 0.4 \pm 0.06$  %), indicating no biomass burning influences on the OA properties during springtime in Nanjing.

#### 3.5 Sources and evolution processes of OA

In order to further elucidate the sources and evolution processes of OA, we performed PMF analyses and identified four OA components, including two primary OA (POA) factors – a traffic-related hydrocarbon-like OA (HOA) and a cooking-related OA (COA), and two secondary OA factors – a semi-volatile oxygenated OA (SV-OOA) and a low volatility OOA (LV-OOA). Details about their characteristics are discussed below.

#### 3.5.1 Mass spectral features of the OA factors

The mass spectral profiles, time-dependent mass concentrations of the four OA factors and corresponding tracer ions are presented in Fig. 9. The HOA mass spectrum is dominated by the  $C_x H_v^+$  ions (73.2 %), such as  $C_3 H_7^+$ ,  $C_4 H_7^+$ ,  $C_4 H_9^+$ ,



**Figure 8.** Triangle plot of  $f_{44}$  vs.  $f_{43}$  for all OA (colored by time), and the four OA factors identified by the PMF analyses.

 $C_5H_9^+$  etc., which are most likely produced from alkanes and cycloalkanes emitted from fuel and lubricating oil burning (Canagaratna et al., 2004). This feature is in good agreement with the mass spectral features of POA directly from vehicle emissions (Collier et al., 2015), and the HOA factors determined in many other locations (e.g., Ge et al., 2012b; Huang et al., 2010; Sun et al., 2011). HOA has the lowest O / C ratio (0.10) and highest H / C ratio (1.75) among all factors, representing its behavior as primary fresh emissions. The COA mass spectrum is also rich in  $C_x H_y^+$  ions (64.7 %), but having more oxygenated ions  $(C_x H_y O_z^+)$  than the HOA (26.5 vs. 15.4 %), especially  $C_3H_3O^+$  and  $C_3H_5O^+$  ions. The significant contributions of C<sub>3</sub>H<sub>3</sub>O<sup>+</sup> and C<sub>3</sub>H<sub>5</sub>O<sup>+</sup> to m/z 55 and m/z 57 are a common feature of COA, that has been reported in various urban locations around the world, for example, Beijing (Sun et al., 2015a), London (Allan et al., 2010), Fresno (Ge et al., 2012b), New York City (Sun et al., 2011), and Barcelona (Mohr et al., 2012, 2015). These oxygen-containing ions are partly generated from the fragmentation of fatty acids in the cooking aerosols (Ge et al., 2012b). As a result, COA has a higher O/C ratio of 0.16 and a lower H / C ratio of 1.67 than those of HOA. The O / C and H / C levels of COA in this work are also close to those identified in other previously mentioned locations. The consistency of the chemical characteristics of COA from such different locations suggests that ambient COA is more relevant to the cooking oil rather than the different types of food, which was postulated earlier by Allan et al. (2010).

Unlike the two POA factors, SV-OOA and LV-OOA are both abundant in oxygen-containing fragments ( $C_x H_y O_z^+$ ions), which are 46.4 and 54.8 %, respectively. The higher O / C ratio (0.55 vs. 0.32) and more  $C_x H_y O_2^+$  ions (18.8 vs. 11.8 %) in the LV-OOA mass spectrum than those of the SV-OOA, reflecting the fact that LV-OOA went through more aging/oxidation reactions than SV-OOA. The O / C ratio of SV-OOA is 0.32, which is within the O / C range of SV-OOA observed worldwide (Jimenez et al., 2009). The LV-OOA O / C ratio of 0.55 is in the lower end compared to the O / C levels of LV-OOA observed in other China sites, for example, 0.64 in Kaiping (Huang et al., 2011), 0.65 in Shanghai (Huang et al., 2012), 0.68 in Lanzhou (Xu et al., 2014), 0.78 in Changdao (Hu et al., 2013), and 0.80 in Hong Kong (Lee et al., 2013).

Consistently, in the  $f_{44}$  vs.  $f_{43}$  space (Fig. 8), SV-OOA situates near the bottom side while LV-OOA approaches to the upper part of the triangular region because of a much larger fractional contribution of CO<sub>2</sub><sup>+</sup> in the LV-OOA mass spectrum. HOA and COA, as POA factors, both reside in the bottom end of the plot, away from SV-OOA and LV-OOA; while they locate outside the triangle in the fCO<sub>2</sub><sup>+</sup> vs. fC<sub>2</sub>H<sub>3</sub>O<sup>+</sup> space (Fig. S9), indicating that the HRMS acquired by the SP-AMS is better in differentiating POA factors from other SOA factors than the unit mass resolution (UMR) data.

In order to justify the OA factors identified in this study, we compared the spectral similarities of the OA factor spectral profiles (in both HR and UMR) with those separated during wintertime in Beijing (Sun et al., 2015a), summertime in Lanzhou (Xu et al., 2014), and wintertime in Fresno (Ge et al., 2012b, a). The results are listed in Table 1. Indeed, the HOA, COA, and LV-OOA mass spectra are highly similar to the corresponding factors identified in Bejing, Lanzhou, and Fresno ( $r^2 > 0.87$ ); SV-OOA also correlates fairly well with Bejing and Lanzhou SV-OOA too, but with relative low  $r^2$  (0.68–0.75), mainly because of one or two ion fragments, namely, higher CO<sup>+</sup> and CO<sup>+</sup><sub>2</sub> signals in Beijing SV-OOA and higher C<sub>2</sub>H<sub>3</sub>O<sup>+</sup> signal in Lanzhou SV-OOA than those in Nanjing SV-OOA. The SV-OOA on the other hand, correlates very well with the Fresno OOA ( $r^2 = 0.90$  and 0.91).

Moreover, as presented in Fig. 9a, the HOA mass spectrum contains relatively higher fraction of ions with large m/z values (m/z > 100) than that of COA (14.0 vs. 8.2%), and most of these ions are  $C_x H_y^+$  ions, probably from fuel-burning-emitted long-chain alkanes, etc. The SV-OOA also includes more large m/z ion fragments (m/z > 100) than those in the LV-OOA mass spectrum (10.5 vs. 5.3%), likely suggesting that further oxidation of SOA species may lead to the fragmentation of high molecular weight species and formation of small molecules – a mechanism verified by both lab-scale experiments (e.g., Yu et al., 2014) and field measurements (e.g., Lee et al., 2012).

### **3.5.2** Temporal variations, diurnal patterns, and relative contributions of the OA factors

The temporal variations of different OA factors and their corresponding tracer ions are displayed in Fig. 9b.  $C_4H_9^+$  ion, a.k.a., the HOA mass spectral tracer (Zhang et al., 2005) indeed varies very closely to the HOA ( $r^2 = 0.94$ ). Time series of the COA tracer ion  $C_6H_{10}O^+$  (and also  $C_5H_8O^+$ ,  $C_7H_{12}O^+$ ) (Sun et al., 2011; Ge et al., 2012b) match very well with that of COA too ( $r^2 = 0.90$ ). SV-OOA correlates better with  $C_2H_3O^+$  ( $r^2 = 0.90$ ) than with  $CO_2^+$  ( $r^2 = 0.66$ ). Although LV-OOA does not correlate very well with  $CO_2^+$  ( $r^2 = 0.12$ ) mainly due to the mismatch during 23–26 April, the correlation is still much better than it with  $C_2H_3O^+$ 



**Figure 9.** (a) High-resolution mass spectra of hydrocarbon-like OA (HOA), cooking-related OA (COA), semi-volatile oxygenated OA (SV-OOA), and low volatility oxygenated OA (LV-OOA) colored by six ion categories (the four inset pies show the relative contributions of the six ion categories to the four OA factors, respectively), (b) time series of the four OA factors, corresponding tracer ions, nitrate and sulfate.

**Table 1.** Correlation coefficients (Pearson's  $r^2$ ) between the mass spectral profiles of the OA factors identified in this work with the corresponding factors identified in Beijing (2013 winter) (Sun et al., 2015a), Lanzhou (2014 summer) (Xu et al., 2014), and Fresno (2010 winter) (Ge et al., 2012b).

Nanjing	High-resolution MS $(r^2)$							
(2015 spring)	Beijing (2013 winter)	Lanzhou (2012 summer)	Fresno (2010 winter)*					
HOA	0.92	0.90	0.98					
COA	0.93	0.94	0.93					
SV-OOA	0.68	0.75	0.90					
LV-OOA	0.91	0.98	0.87					
	Unit mass resolution MS $(r^2)$							
HOA	0.92	0.91	0.99					
COA	0.96	0.96	0.95					
SV-OOA	0.70	0.74	0.91					
LV-OOA	0.90	0.98	0.89					

 $\ast$  Note the Fresno (2010 winter) study only identified one OOA factor, we thus compared both SV-OOA and LV-OOA in this study with it.

 $(r^2 < 0.001)$ . In Table 2, we tabulate the correlation coefficients (r) of the four OA factors with the gas-phase species, BC and inorganic species. Note we used Pearson's r not  $r^2$  here since some correlation coefficients are negative. From the table, it is clear that the traffic-related gaseous species, CO and NO<sub>2</sub>, correlate best with HOA among all OA factors; SV-OOA correlates better with nitrate (r = 0.49) than it with sulfate (r = 0.11); LV-OOA correlate better with sulfate (r = 0.23) that it with nitrate (r = 0.11). All these results are

consistent with the traffic origin of HOA, the semi-volatile and low-volatility behaviors of SV-OOA and LV-OOA.

Accordingly, diurnal cycles of the OA factors are presented in Fig. 10a. Correlation coefficients (r) of the diurnal variations between OA factors with gas-phase species and inorganic species are provided in Table 2, as well. HOA concentrations show an early morning peak, and it remains at high levels during nighttime. Besides the impacts of boundary layer height, this is also due to enhanced emissions from construction vehicles around the site, which were in fact

**Table 2.** Correlation coefficients (Pearson's r) between the time series of the four OA factors with the gas-phase species (hourly data) and other PM<sub>1</sub> components (15 min data), and the correlation coefficients between the diurnal data (values in italic are significant ones and are discussed in details in the text ).

Pearson's r	Temp. $(T)$	CO	NO <sub>2</sub>	SO <sub>2</sub>	O <sub>3</sub>	SO	2— 1	$NO_3^-$	Cl-	rBC	
	Hourly data						15 min data				
HOA	-0.14	0.71	0.77	0.13	-0.54	0.	15	0.26	0.45	0.92	
COA	0.11	0.50	0.58	-0.06	-0.22	0.	19	0.07	0.08	0.61	
SVOOA	0.19	0.41	0.70	0.14	-0.21	0.	11	0.49	0.25	0.70	
LVOOA	0.069	-0.2	-0.18	0.06	0.14	0.	23	0.11	0.01	-0.22	
				D	iurnal data						
HOA	-0.94	0.86	0.86	0.66	-0.96	-0.	35	0.72	0.82	0.99	
COA	-0.15	0.28	0.59	-0.24	-0.24	-0.	57	-0.33	-0.25	0.19	
SVOOA	-0.85	0.86	0.94	0.58	-0.90	-0.	51	0.53	0.61	0.89	
LVOOA	0.76	-0.58	-0.83	-0.27	0.77	0.	72	-0.26	-0.33	-0.75	



**Figure 10.** (a) Diurnal cycles of mass concentrations of the four OA factors (bottom panel), temperature (top panel, left y axis) and RH (top panel, right y axis), (b) diurnal variations of mass contributions of the four OA factors (left y axis), and the total OA mass concentrations (right y axis), (c) campaign-averaged mass contributions of the four OA factors to the total OA mass, and (d) mass contributions of the four OA factors (left y axis), and the fractions of the number of data points to the total number of data points for the OA at different concentration ranges (right y axis).

much more active during nighttime than during daytime because of the restrictions of Nanjing government. Most of those vehicles used low-quality diesel fuel, and could emit a large amount of *r*BC particles. The *r*BC diurnal pattern is indeed almost identical to that of HOA (r = 0.99), indicating that the HOA during this campaign was apparently associated with the construction vehicle emissions. COA concentrations increase during noon (12 pm–1 pm) and early evening, in response to lunchtime and dinnertime cooking activities. SV-OOA concentrations decreases from 9 am, and reach a minimum in the afternoon (3 pm-4 pm), opposite to the variation of temperatures (r = -0.85) but similar to that of nitrate (r = 0.53), corroborating its semi-volatile feature. Different from other factors, LV-OOA concentrations increase during daytime and show positive correlation with temperature (r = 0.76); it also has negative correlation with the diurnal cycle of RH (r = -0.75). Both behaviors are similar to those of sulfate (r = 0.72 for the diurnal cycle of LV-OOA vs. sulfate), indicating the leading role of photochemical oxidation for LV-OOA formation as well.



Figure 11. Bivariate polar plots of HOA, COA, SV-OOA, LV-OOA, rBC,  $PM_1$ , nitrate, sulfate, and the total OA (the color scale shows the concentration of each species, and the radical scale shows the wind speed that increases outward from the center).

As shown in Fig. 10b, due to mainly the increase of LV-OOA mass loading, OA is overwhelmingly dominated by the SOA (SV-OOA + LV-OOA) in the afternoon (80.2% at 3 pm); POA (HOA + COA) only dominates the OA mass during morning (53.2% at 7 am) and early evening (56.9% at 8 pm) in response to the enhanced traffic and cooking emissions. On average, the OA is composed of 27.6% of HOA,

16.9% of COA, 27.4% of SV-OOA and 28.1% of LV-OOA (Fig. 10c), with SOA outweighing POA (55.5 vs. 44.5%). However, as shown in Fig. 10d, with the increase of OA mass loadings, the fractional contribution of POA increases, high-lighting the important and direct influences of anthropogenic emissions on the heavy pollution haze events.



**Figure 12.** (a) Van Krevelen diagram of H / C vs. O / C ratios for all OA data colored by time, the blue and red dashed lines correspond to the right and left gray dashed lines in the  $f_{44}$  vs.  $f_{43}$  triangle plot of Fig. 8; the gray lines represent the addition of a particular functional group to an aliphatic carbon (Heald et al., 2010); (b) scatter plot of SVOOA and LVOOA mass concentrations vs. RH, the circles and squares represent the average mass concentrations within different RH bins (10% increment) for SVOOA and LVOOA, respectively; (c) scatter plot of O / C vs. RH (colored by time), the circles represent the average O / C values within different RH bins (10% increment).

# 3.5.3 Local/regional influences and evolution processes of the OA factors

Combining WS, WD and mass loadings, the bivariate polar plots of the four OA factors, rBC, total OA, nitrate, sulfate and the total PM<sub>1</sub> are shown in Fig. 11. These plots provide an effective graphical method for showing the potential influences of air masses from different directions with different wind speeds to the receptor site (Carslaw and Beevers, 2013). Clearly, high mass loadings of HOA and rBC mostly link with low WS ( $< 1 \text{ m s}^{-1}$ ), indicating they are mainly from local vehicle emissions. High COA concentrations occur mainly under low WS as well, but with some high concentrations accompanied with air masses from southeast under higher WS. SV-OOA appears to be mainly formed locally, except for a concentration hotspot in the southeast likely due to emissions from the tobacco factory that resides in that direction. Nitrate, as a semi-volatile species, behaves similarly to the SV-OOA. High concentrations of LV-OOA are distributed in all directions under higher WS, similar to that of sulfate, representing their regional behaviors. Overall, high PM<sub>1</sub> mass loadings occur mainly under low WS, indicating that the PM<sub>1</sub> is heavily affected by local emissions rather than pollutants in a regional scale.

The aging of OA can be described in general by the increase of O/C and decrease of H/C. In this regard, we plotted the Van Krevelen diagram (Heald et al., 2010) (Fig. 12a) to show the relationships between H/C and O/C ratios for all OA as well as the four OA factors. Overall, in this study, the H/C and O/C ratios of OA data are correlated linearly with a slope of -1.04 ( $r^2 = 0.93$ ). Interestingly, the two OOA factors lie very well on the fitted straight line. This trend may suggest that the evolution of secondary OA during this campaign follows a transformation pathway of SV-OOA to LV-OOA. The diurnal cycle of LV-OOA is opposite to that of SV-OOA (r = -0.86), probably supporting this hypothesis. In addition, SV-OOA and LV-OOA mass concen-

trations, and O/C ratios of OA all show no obvious correlations with the RH as shown in Fig. 12b and c, indicating that aqueous-phase processing is insignificant compared to the photochemical processing for the oxidation of OA.

### 4 Conclusions

We present for the first time the real-time measurement results using the SP-AMS on submicron aerosols in urban Nanjing during springtime (13–29 April 2015). The dynamic variations of SP-AMS determined PM1 mass loadings, agreed well with the PM2.5 measured by the Met One PM2.5 analyzer. The average PM<sub>1</sub> concentration was  $28.2 \,\mu g \, m^{-3}$ , lower than previous ACSM-determined PM<sub>1</sub> concentrations during summer and winter in Nanjing. Organics on average comprised the largest fraction (45%) of PM1, and its fractional contributions increased in the case of high PM<sub>1</sub> mass loadings. The diurnal cycles of mass concentrations of organics, rBC, nitrate, and chloride all exhibited a similar behavior, which was high in the early morning and evening, but low in the afternoon. Concentrations of sulfate, on the contrary, increased in the afternoon. Further investigations of  $f_{\rm S}$ , sulfate concentrations and its relationship with RH suggest that photochemical processing contributed significantly to sulfate formation compared to the aqueous-phase processing, while nitrate (and chloride) formation was mainly governed by the thermodynamic equilibrium. The chemically resolved mass-based size distribution data showed that rBC occupied a large fraction of ultrafine particles, while secondary inorganic species could dominate the mass of particles larger than 400 nm ( $D_{va}$ ). In addition, by using the IMPROVE method, we found that the observed PM<sub>1</sub> components were able to reproduce  $\sim$  44 % of the light extinction during this study.

PMF analyses resolved four OA factors, e.g., HOA, COA, SV-OOA and LV-OOA. Mass spectral profiles of these factors agree very well with the corresponding factors identified at other locations. The springtime OA showed no influences from biomass burning emissions. On average, the OA is dominated by SOA (55.5%), but POA appeared to be more important when the OA mass loadings are high, and can be dominant in the early morning and evening. Diurnal cycle of SV-OOA varied similarly to that of nitrate, reflecting its semi-volatile behavior. Diurnal variations of LV-OOA showed great resemblance to that of sulfate. The bivariate polar plots indicate that SV-OOA was formed locally, and the Van Krevelen diagram further suggests a transformation from SV-OOA to LV-OOA in Nanjing. Generally, our highly time-resolved SP-AMS measurement results may offer useful insights into the aerosol chemistry, and have important implications for the PM control and reduction in this densely populated region.

#### 5 Data availability

The observational data in this study are available from the authors upon request (caxinra@163.com).

## The Supplement related to this article is available online at doi:10.5194/acp-16-9109-2016-supplement.

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