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*Supplement of*

## **Size distribution and mixing state of black carbon particles during a heavy air pollution episode in Shanghai**

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## 1 **1. SP2 data analysis and a way to enhance the LEO-fit accuracy**

2 The SP2 data were analyzed using PSI v4.100 (Martin Gysel, Paul Scherrer Institute, 5232  
3 Villigen, Switzerland) for the IGOR Pro software package (Wavemetrics, Inc., Portland, OR,  
4 USA).

5 The small particles are not necessarily heated to full incandescence in SP2. Therefore, one can  
6 get a peak that is smaller than it should be for a small mass of BC because the particle is not  
7 getting sufficiently hot. Furthermore, a high-gain on the narrowband detector, as used in this  
8 work, can introduce a decrease for the smallest particle sizes. The color ratio could possibly help  
9 with this issue. The color ratio was calculated from the ratio of the broadband to narrowband  
10 signals (Moteki and Kondo, 2010). We excluded BC-containing particles with color ratio in  
11 excess of 3.0 from analysis. This improved the LEO-fit accuracy, especially for small core  
12 rBC-containing particles.

13

## 14 **2. Biomass burning black carbon (BBBC) particles classification criteria**

15 To better classify BBBC particles, we combined ART-2a and ion-marker methods to validate the  
16 classification. We have done a lab study and a field measurement on the chemistry of biomass  
17 burning (mostly crop straw burning in China) BC-containing particles (Huo et al., 2015). Briefly,  
18 in addition to the black carbon fragment ions ( $C_n^+$  and  $C_n^-$ ) in both positive and negative ion  
19 mass spectra, +39 ( $K^+$ ), -26 ( $CN^-$ ), -42 ( $CNO^-$ ) were used as the most important tracers for  
20 BBBC particles. Given the extremely high detection sensitivity of potassium (due to the high  
21 ionization cross-section of potassium at 266 nm) in SPAMS, it showed up in most mass spectra.  
22 The criterion for attributing the potassium signal to BBBC particles was that +39 ( $K^+$ ) signal had  
23 to have the peak area of more than 1000, while the peak area of +56 ( $CaO^+/Fe^+$ ) and -76 ( $SiO_3^-$ )  
24 had to be less than 50. Indeed, the paucity of Si, Ca and Fe is the major characteristic of biomass  
25 burning particles compared to coal burning particles (Pekney et al., 2006; Bein et al., 2007).  
26 Because of the K-rich nature of biomass burning material, +113 ( $K_2Cl^+$ ) or +213 ( $K_3SO_4^+$ ) were  
27 constantly observed in the mass spectra of biomass burning particles by ATOFMS. These ions  
28 could be used as markers for BBBC particles instead of +39 ( $K^+$ ) to confirm our assignments of  
29 particles to the BBBC class. Lastly, -71 ( $C_3H_3O_2^-$ ), as a significant fragment of levoglucosan,

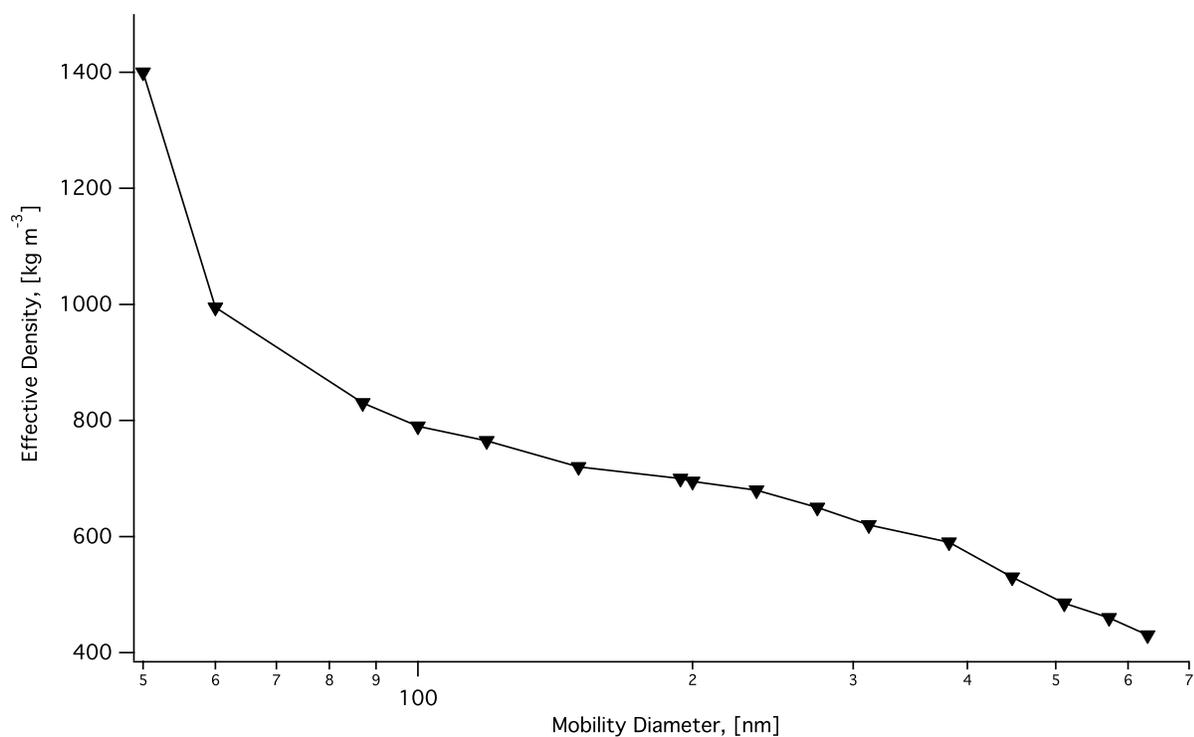
30 was an additional marker used to confirm our classification. We have applied the above criteria  
31 when regrouping the ART-2a results.

32

33 **Table S1.** Symbols and abbreviations

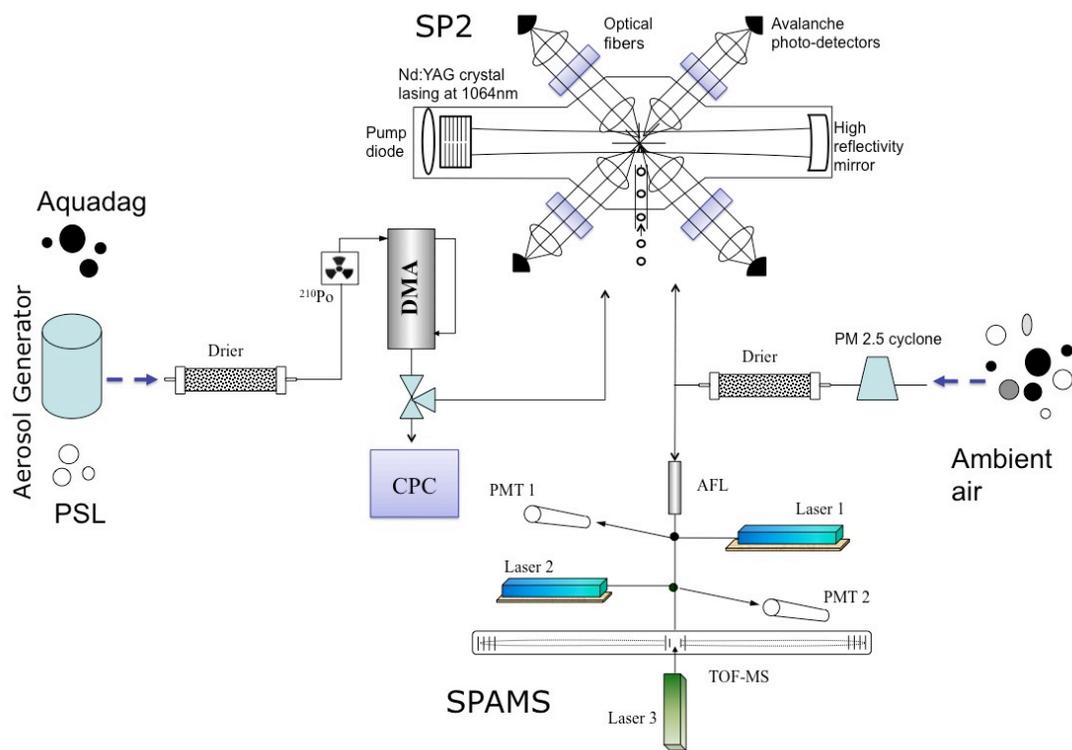
Symbol or abbreviation	Meaning
BC	Black carbon
rBC	Refractory black carbon
$D_c$	The black carbon core diameter
$D_p$	The entire particle diameter
$D_{ME}$	Mass equivalent diameter
$D_{va}$	The vacuum aerodynamic diameter
SP2	Single-particle soot photometer
SPAMS	Single particle aerosol mass spectrometer
sccm	Standard cubic centimeter per minute
ACT	Absolute coating thickness
RCT	Relative coating thickness

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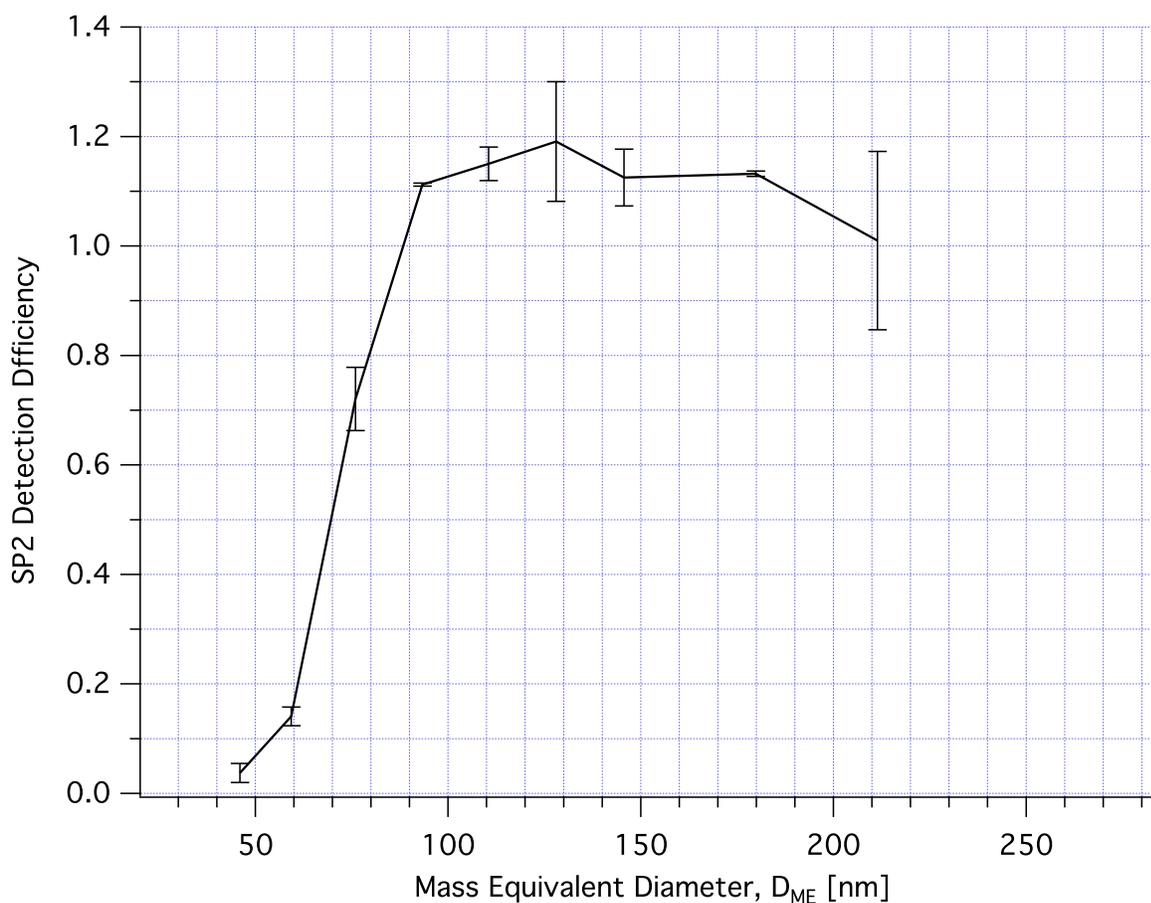
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36 **Figure S1.** Effective density of Aquadag<sup>®</sup> black carbon as a function of mobility diameter.

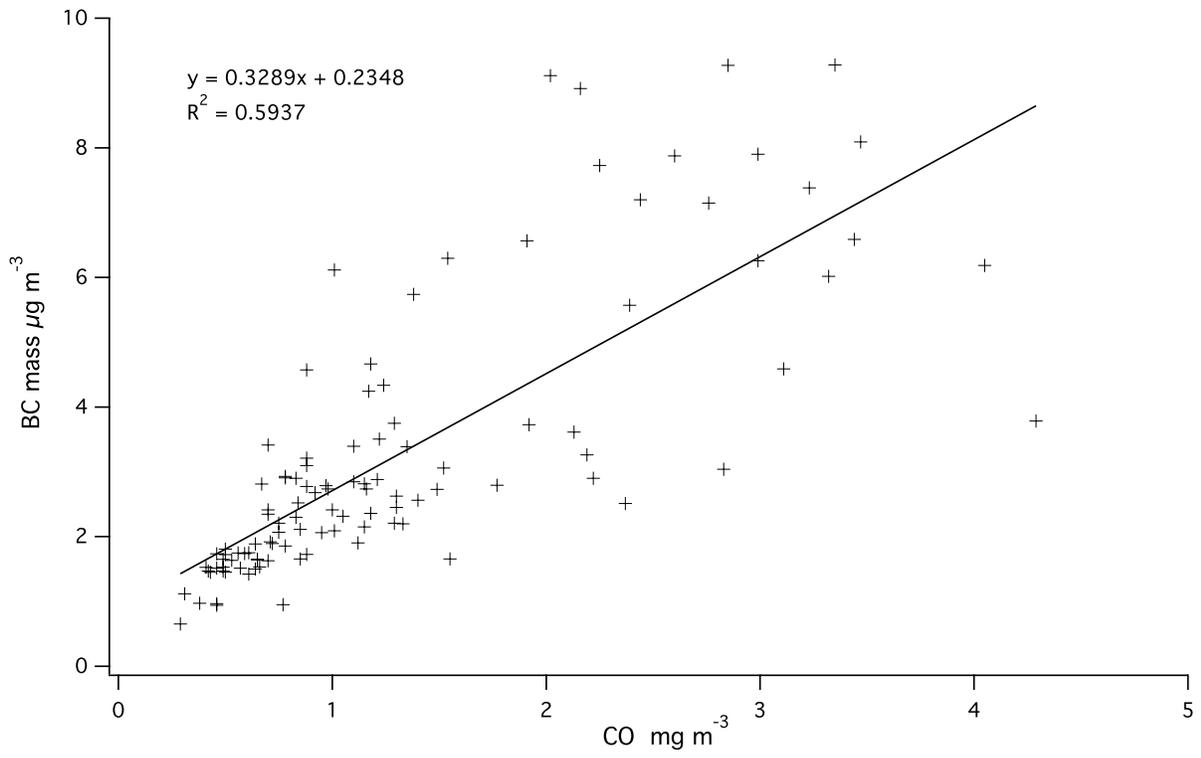


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38 **Figure S2.** A schematic diagram of the calibration and measurement system. The DMA, CPC,  
 39 SP2 and SPAMS represent Differential Mobility Analyzer, Condensation Particle Counter,  
 40 Single Particle Soot Photometer, and Single Particle Aerosol Mass Spectrometer, respectively.



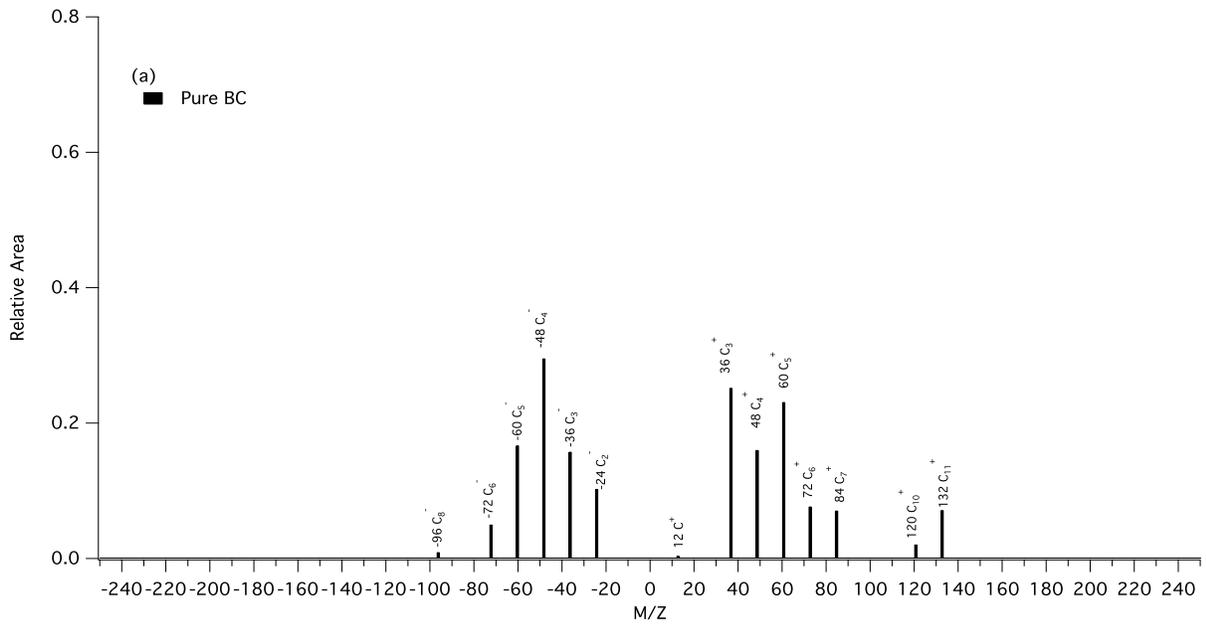
41  
 42 **Figure S3.** The average detection efficiencies in each rBC size-bin at a fixed laser  
 43 intensity (1750 mA). Whiskers represent the standard deviation of the values in each size  
 44 bin. In order to understand the mass and number size distribution of ambient rBC  
 45 particles, here we transformed the mass equivalent diameter ( $D_{ME}$ ) of Aquadag<sup>®</sup> BC to  
 46  $D_{ME}$  of ambient rBC according to their mass and different density. The detection  
 47 efficiency of  $D_{ME} = 45$  nm rBC was about 3.7%. The detection efficiency of 50%  
 48 corresponded to  $D_{ME} = 75$  nm.



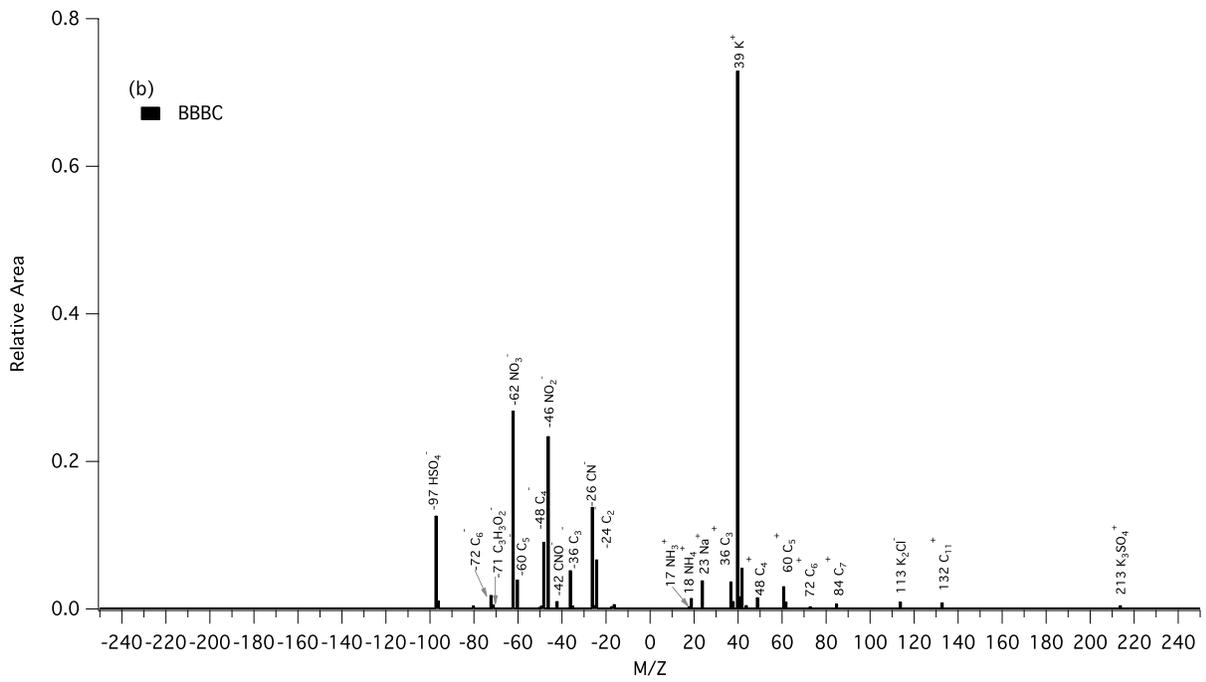
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50 **Figure S4.** A comparison between the measured CO and rBC mass concentrations.

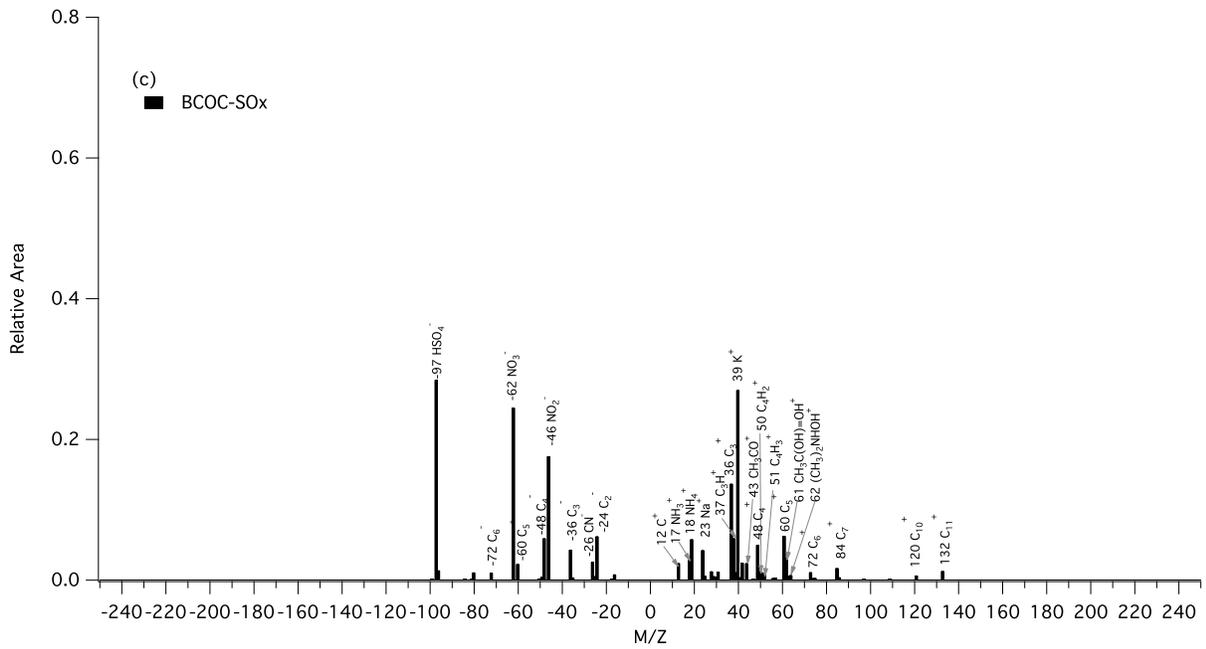
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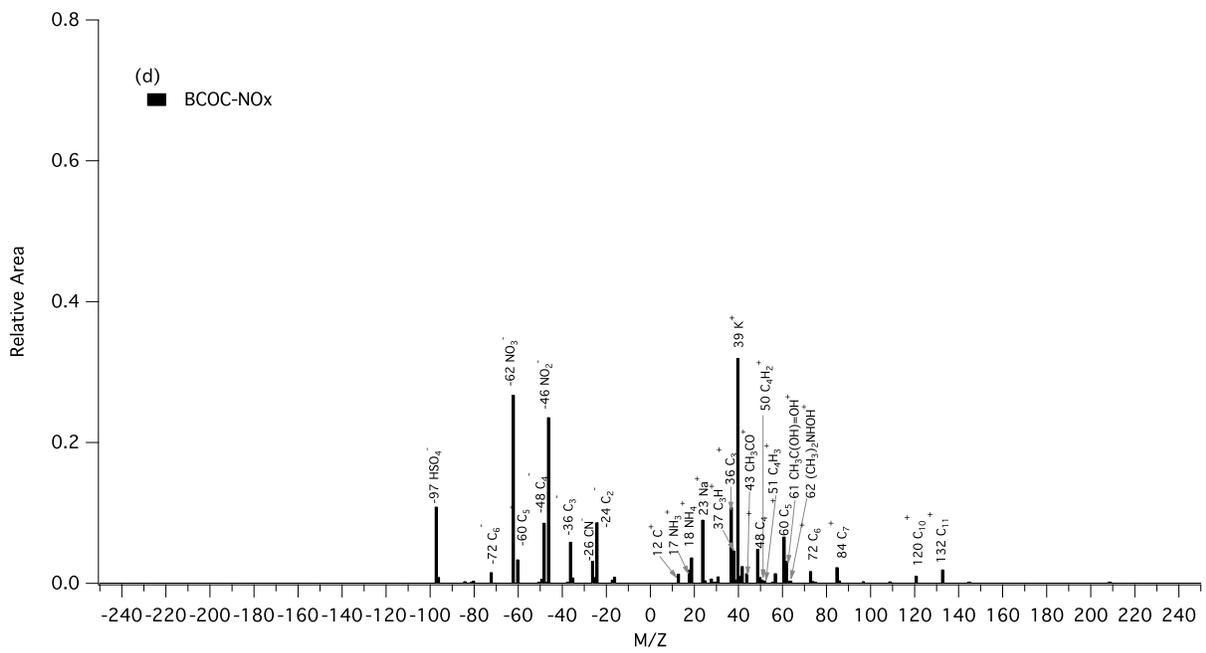
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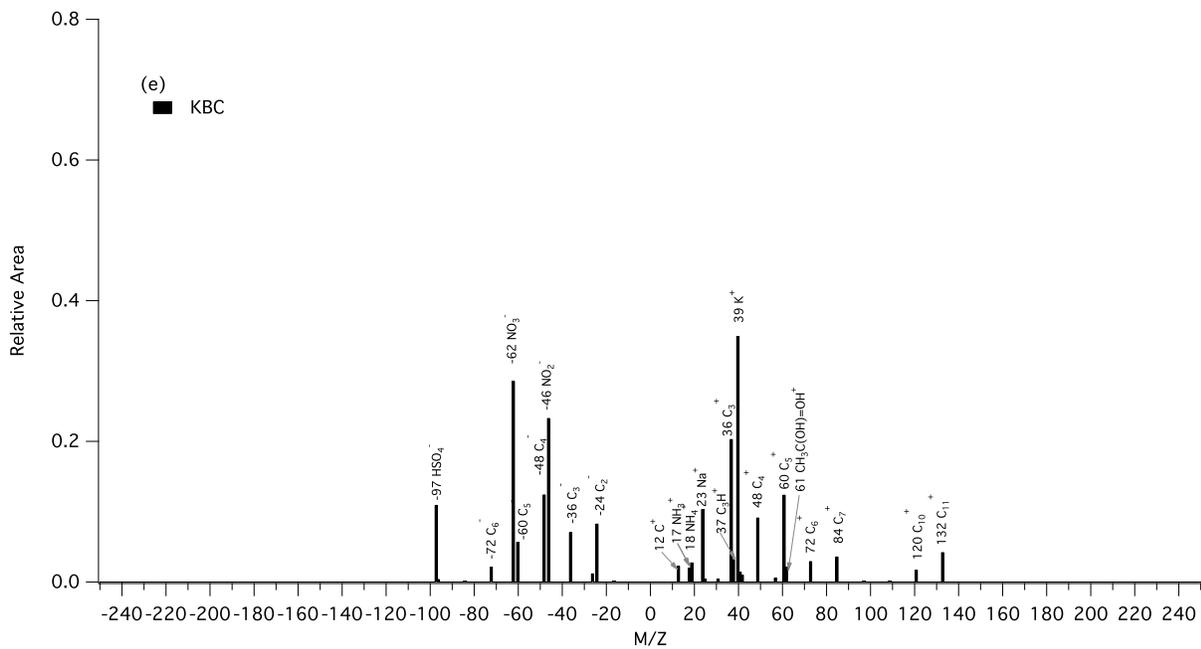
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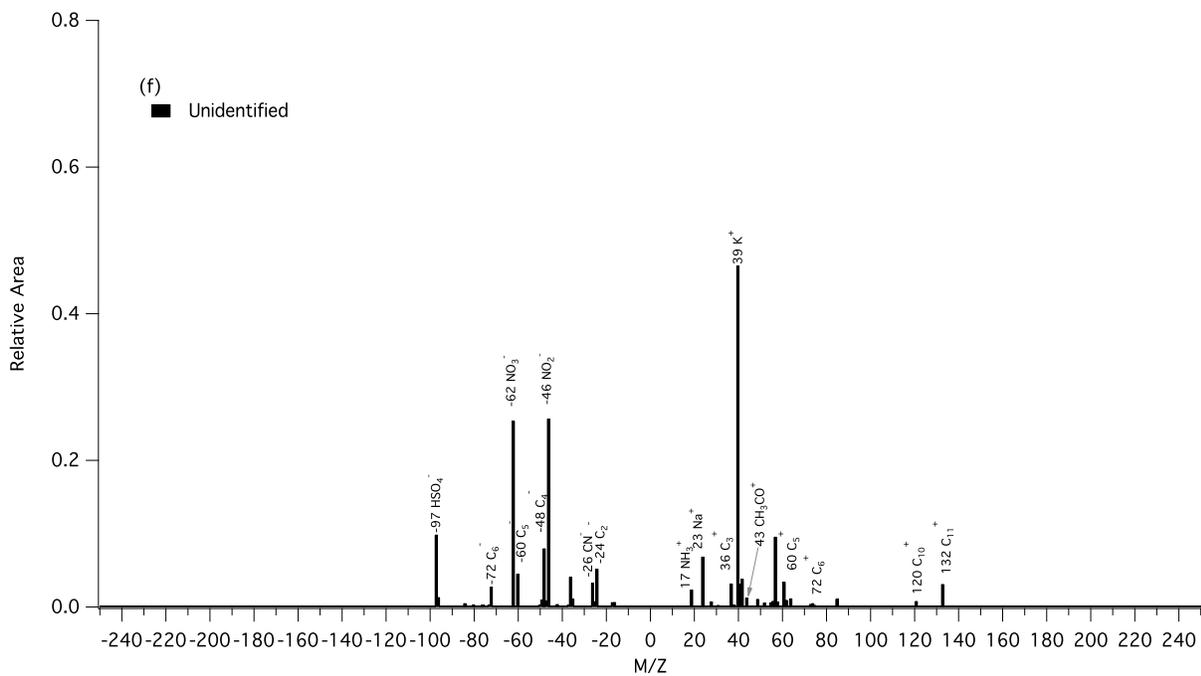
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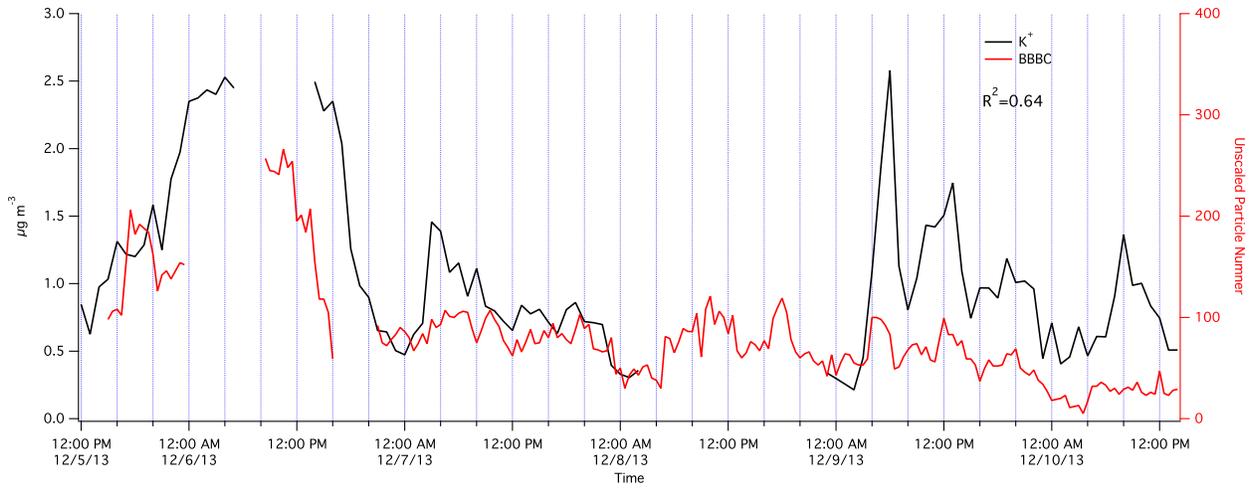


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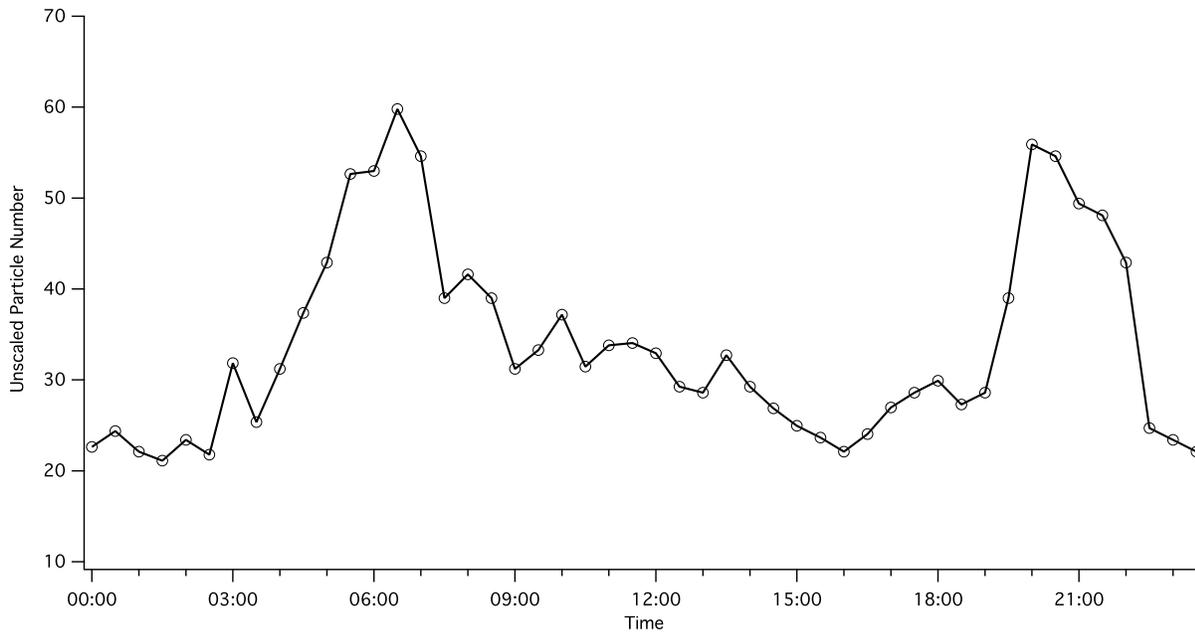
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58 **Figure S5.** Averaged mass spectra of different types of BC-containing particles. Major  
 59 peaks are labeled with the most probable assignments.



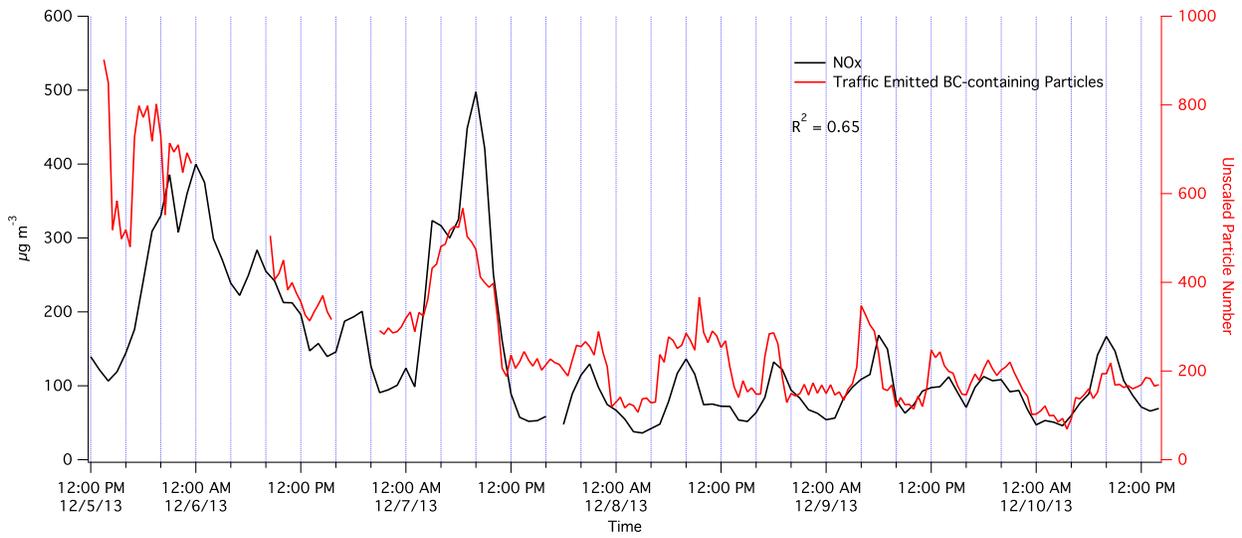
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61 **Figure S6.** Temporal variations of  $K^+$  mass concentration in particles (measured with MARGA)  
 62 and biomass burning BC-containing particles (measured with SPAMS).



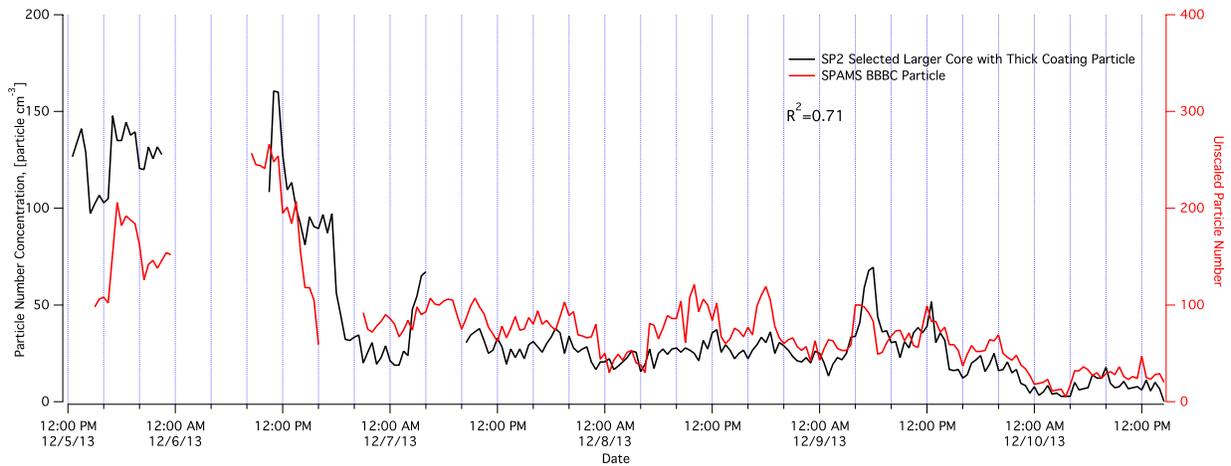
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64 **Figure S7.** Diurnal variation of KBC particles measured with SPAMS.



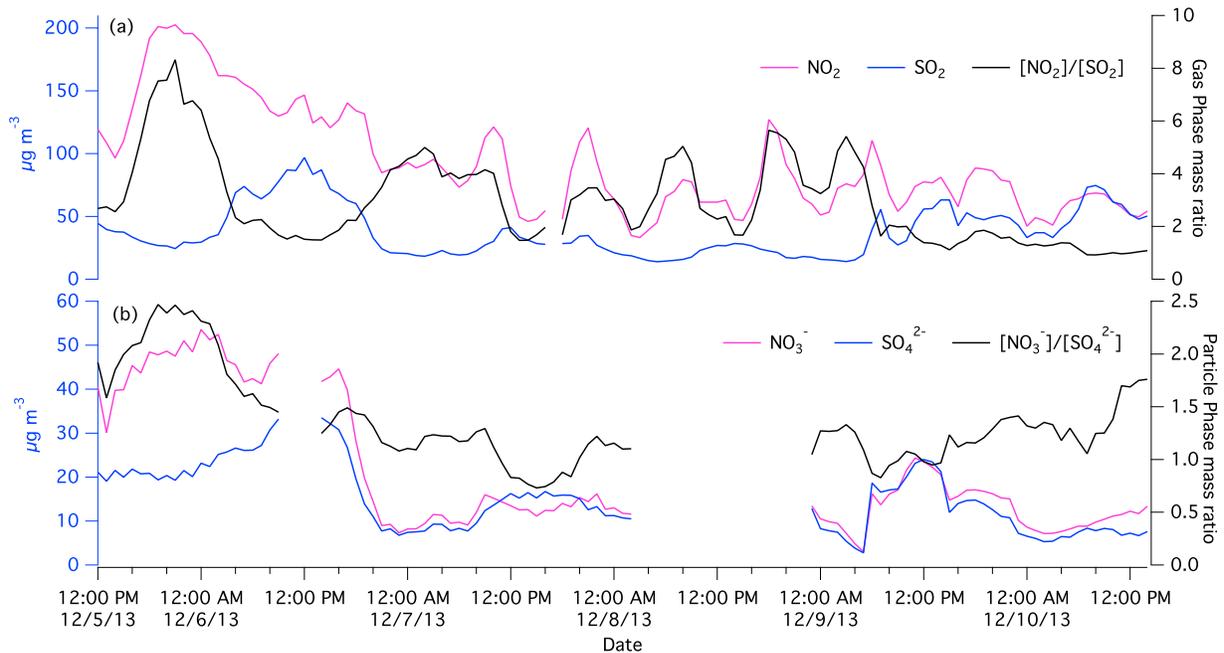
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66 **Figure S8.** Temporal variation of NO<sub>x</sub> mass concentration and traffic-emitted BC-containing  
 67 particles measured with SPAMS.



68

69 **Figure S9.** A comparison of the SPAMS-detected and SP2-detected biomass burning  
 70 BC-containing particles.



71

72 **Figure S10.** (a) Temporal variations of the  $\text{NO}_2$  and  $\text{SO}_2$  mass concentration in the  
 73 atmosphere and mass ratio of  $\text{NO}_2/\text{SO}_2$  with 60 min resolution. (b) Temporal variation  
 74 of  $\text{NO}_3^-$  and  $\text{SO}_4^{2-}$  mass concentration in particles and mass ratio of  $\text{NO}_3^-/\text{SO}_4^{2-}$   
 75 with 60 min resolution.

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