



# Reassessing the ratio of glyoxal to formaldehyde as an indicator of hydrocarbon precursor speciation

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**Abstract.** The yield of formaldehyde (HCHO) and glyoxal (CHOCHO) from oxidation of volatile organic compounds (VOCs) depends on precursor VOC structure and the concentration of NO<sub>x</sub> (NO<sub>x</sub> = NO + NO<sub>2</sub>). Previous work has proposed that the ratio of CHOCHO to HCHO ( $R_{GF}$ ) can be used as an indicator of precursor VOC speciation, and absolute concentrations of the CHOCHO and HCHO as indicators of NO<sub>x</sub>. Because this metric is measurable by satellite, it is potentially useful on a global scale; however, absolute values and trends in  $R_{GF}$  have differed between satellite and ground-based observations. To investigate potential causes of previous discrepancies and the usefulness of this ratio, we present measurements of CHOCHO and HCHO over the southeastern United States (SE US) from the 2013 SENEX (Southeast Nexus) flight campaign, and compare these measurements with OMI (Ozone Monitoring Instrument) satellite retrievals. High time-resolution flight measurements show that high  $R_{GF}$  is associated with monoterpene emissions, low  $R_{GF}$  is associated with isoprene oxidation, and emissions associated with oil and gas production can lead to small-scale variation in regional  $R_{GF}$ . During the summertime in the SE US,  $R_{GF}$

is not a reliable diagnostic of anthropogenic VOC emissions, as HCHO and CHOCHO production are dominated by isoprene oxidation. Our results show that the new CHOCHO retrieval algorithm reduces the previous disagreement between satellite and in situ  $R_{GF}$  observations. As the absolute values and trends in  $R_{GF}$  observed during SENEX are largely reproduced by OMI observations, we conclude that satellite-based observations of  $R_{GF}$  can be used alongside knowledge of land use as a global diagnostic of dominant hydrocarbon speciation.

## 1 Introduction

Though volatile organic compounds (VOCs) are present only in trace amounts in the atmosphere, their presence can drive the formation of pollutants such as secondary organic aerosol and ozone. The impact of VOC emissions on tropospheric chemistry depends on the speciation of emitted VOCs and their degradation pathways. As many as 10<sup>5</sup> different species of VOCs are estimated to have been measured in the atmo-

sphere (Goldstein and Galbally, 2007). While an air mass will usually contain a large variety of VOCs, often a particular species or subset of species (e.g., biogenics) will dominate the photochemistry, giving rise to the production of a range of oxygenated VOCs (OVOCs). Thus, OVOCs can provide downstream constraints on the rates and pathways of VOC oxidation.

Here, we focus on the production of two ubiquitous OVOCs: formaldehyde (HCHO) and glyoxal (CHOCHO). HCHO is formed from the oxidation of nearly every anthropogenic and biogenic VOC (AVOC/BVOC, respectively). Though photochemical formation is thought to dominate the HCHO global budget (Fortems-Cheiney et al., 2012), direct HCHO emissions from pyrogenic, anthropogenic, and biogenic activity have also been observed (Guenther et al., 1995; Kesselmeier et al., 1997; Holzinger et al., 1999; Garcia et al., 2006; DiGangi et al., 2011). CHOCHO is formed from the oxidation of a smaller subset of VOCs, particularly alkenes, aromatics, isoprene, and monoterpenes (Fu et al., 2008). Direct emission from biofuel and biomass burning can also be a significant source of CHOCHO (MacDonald et al., 2000; Hays et al., 2002; Christian et al., 2003; Greenberg et al., 2006). Because the yields of HCHO and CHOCHO differ between classes of VOC, and because their atmospheric lifetimes are similar, the relative abundance of CHOCHO and HCHO has been hypothesized to reflect the speciation of VOCs contributing to total VOC reactivity (Vrekoussis et al., 2010; DiGangi et al., 2012; MacDonald et al., 2012; Li et al., 2014; Miller et al., 2014).

A major motivating factor for examining the ratio of glyoxal to formaldehyde ( $R_{GF}$ , in units of mole mole<sup>-1</sup>) is the ability to quantify both compounds on a global scale from satellite retrievals. Currently, HCHO and CHOCHO are the only two OVOCs with UV–visible absorption features strong enough to enable solar backscatter measurements of vertical column densities. Long-term continuous HCHO columns are available from four satellite-based instruments: GOME (Global Ozone Monitoring Experiment), SCIAMACHY (SCanning Imaging Absorption spectroMeter for Atmospheric CHartographY), OMI (Ozone Monitoring Instrument), and GOME-2. CHOCHO retrievals are available from SCIAMACHY, OMI, and GOME-2. Satellite-derived  $R_{GF}$  could be a promising diagnostic tool in determining the speciation of VOC precursors that lead to pollution formation in a given region, especially as retrievals improve in temporal and spatial resolution.

Table 1 summarizes previously published observations and conclusions about  $R_{GF}$ . Using GOME-2 satellite retrievals, Vrekoussis et al. (2010) observed  $R_{GF}$  as low as 3 % in anthropogenic regions and between 4 and 6 % over heavily vegetated regions. This was interpreted as an indication that anthropogenic precursors favor HCHO production relative to CHOCHO, while biogenic precursors favor CHOCHO production relative to HCHO. Primary emissions of HCHO were also thought to lower the observed  $R_{GF}$  in anthropogenic

regions. In contrast, using ground-based measurements, DiGangi et al. (2012) observed  $R_{GF}$  values typically < 2 % in rural areas, while fresh anthropogenic influence increased  $R_{GF}$  to 4 %. These observations yielded a directly contradictory interpretation: AVOCs favor CHOCHO production, whereas BVOCs favor HCHO production. Furthermore, DiGangi et al. (2012) showed that, given the same VOC speciation,  $R_{GF}$  was invariant despite changes in observed  $\text{NO}_x$  concentrations. They proposed that this was a result of CHOCHO and HCHO formation primarily via the high- $\text{NO}_x$  pathway of organic peroxy radical ( $\text{RO}_2$ ) reactions, which in turn makes the absolute concentration of either OVOC equally dependant on  $\text{NO}_x$  and therefore leaves  $R_{GF}$  unchanged.

Following these two investigations, high values of  $R_{GF}$  (20–40 %) were observed above an Asian tropical forest (MacDonald et al., 2012), agreeing qualitatively with the conclusion of Vrekoussis et al. (2010) that high  $R_{GF}$  is consistent with biogenic source areas. The reported  $R_{GF}$  values, however, are an order of magnitude greater than satellite observations (Vrekoussis et al., 2010; Miller et al., 2014). Li et al. (2014) report an average  $R_{GF}$  of 6 % at a semi-rural site in southern China. Both observations and model simulations showed that increasing AVOC emissions lead to an increase in  $R_{GF}$ . The model simulations indicated  $R_{GF}$  was controlled not only by VOC speciation, but also by  $\text{NO}_x$  and OH mixing ratios, as well as physical processes such as CHOCHO deposition and aerosol uptake (Li et al., 2014). Recently, a new algorithm for the retrieval of CHOCHO from OMI was developed which lessens sensitivity to water vapor abundance and produces on average lower CHOCHO vertical column densities due to the choice of reference sector (Miller et al., 2014). In contrast to the ranges of  $R_{GF}$  reported by Vrekoussis et al. (2010), the OMI retrieval yields high  $R_{GF}$  in areas associated with monoterpene emissions, intermediate  $R_{GF}$  in areas dominated by anthropogenic emissions, and low  $R_{GF}$  in regions associated with strong isoprene emissions.

The cause of the discrepancies between satellite and ground-based  $R_{GF}$  trends and absolute values are unknown. DiGangi et al. (2012) suggested column-integrated and ground-based measurements in forests may differ due to direct HCHO emissions, and boundary layer ratios could be systematically lower than free troposphere ratios. Additionally, Miller et al. (2014) highlight interferences from water vapor, reference sector selection, and multi-year averaging as potential causes for the previous errors in satellite retrievals. Despite the different ranges and trends of observed values, all previously published work concludes that  $R_{GF}$  reflects at least in part the speciation of VOCs in a given air mass. If  $R_{GF}$  is to be used as a global tracer of VOC composition, all factors influencing  $R_{GF}$  must be fully elucidated, and satellite retrievals must be validated against field observations.

With flights transecting both anthropogenic and biogenic regions, as well as profiles extending from the boundary layer into the free troposphere, the 2013 SENEX (Southeast Nexus) field campaign provides an unprecedented opportu-

**Table 1.** Summary of previously published absolute values and trends of  $R_{GF}$ .

Reference	Method	$R_{GF}$ under biogenic influence (%)	$R_{GF}$ under anthropogenic influence (%)	Trend in $R_{GF}$ with anthropogenic influence
Vrekousiss et al. (2010)	Satellite	> 4.5	< 4.5	Decreasing
DiGangi et al. (2012)	LIF <sup>a</sup> /LIP <sup>b</sup> ; review of previous ground-based measurements	< 2	> 2.5	Increasing; independent of NO <sub>x</sub>
MacDonald et al. (2012)	DOAS <sup>c</sup> ; model analysis	20–40	–	–
Li et al. (2014)	DOAS; model analysis		0.2–17	Generally increasing; depends on NO <sub>x</sub> , OH, and physical processes
Miller et al. (2014)	Satellite	< 4 (isoprene) > 4 (monoterpenes)	~ 4	Depends on BVOC
This work	LIF/ACES <sup>d</sup>	< 2.5(isoprene) > 3 (monoterpenes)	variable	Depends on BVOC and AVOC

<sup>a</sup> Laser-induced fluorescence (HCHO). <sup>b</sup> Laser-induced phosphorescence (CHOCHO). <sup>c</sup> Differential optical absorption spectroscopy. <sup>d</sup> Airborne cavity enhanced spectrometer (CHOCHO).

nity to address these uncertainties. Unlike ground-based field campaigns, the flight campaign provides information about the vertical structure of the trace gasses and a direct, real-time comparison of  $R_{GF}$  in urban outflow and in the surrounding rural areas. To our knowledge, this data represents the first high-time-resolution, simultaneous in situ flight-based measurements of HCHO and CHOCHO. We present absolute mixing ratios of HCHO and CHOCHO observed during daytime flights in the southeastern United States (SE US) and discuss the observed relationships of  $R_{GF}$  with observed VOC precursors and anthropogenic influence. Finally, to investigate the applicability of our findings for global studies, we compare flight-based  $R_{GF}$  values with those derived from OMI observations.

## 2 Experimental methods

### 2.1 SENEX flight measurements

During the SENEX project in June and July of 2013, HCHO, CHOCHO, NO<sub>x</sub>, and VOC measurements were acquired simultaneously from the NOAA WP-3D research aircraft during 13 daytime flights. An in-depth description of the SENEX science goals, NOAA WP-3D aircraft, all onboard instrumentation, and each flight plan can be found elsewhere (<http://www.esrl.noaa.gov/csd/projects/senex/>). A summary of average conditions for each flight is provided in Table S1 in the Supplement.

HCHO was measured at 1 Hz by the NASA In Situ Airborne Formaldehyde (ISAF) instrument (Cazorla et al., 2015), which is based on the Fiber Laser-Induced Fluorescence (FILIF) technique (Hottle et al., 2009; DiGangi et al., 2011; Kaiser et al., 2014). The reported accuracy of the

HCHO measurements is 10 %. CHOCHO was measured at 0.2 Hz by Airborne Cavity Enhanced Spectrometer (ACES) with 6 % accuracy (Washenfelder et al., 2011). The precision of the CHOCHO measurement was a significant fraction of the typical ambient concentration (32 ppt precision, with a typical concentration of 100–150 pptv), such that precision is a more stringent limitation on data quality than accuracy relative to HCHO, for which the signal was consistently much larger (HCHO precision 25 ppt, with concentrations typically > 3 ppb).

NO and NO<sub>2</sub> were measured by ozone-induced chemiluminescence (CL) and UV photolysis followed by CL, respectively (Ryerson et al., 2000; Pollack et al., 2010). VOCs were measured at 20 % accuracy using proton-transfer reaction mass spectrometry (de Gouw and Warneke, 2007). Unless otherwise specified, all data shown here are filtered to remove in-cloud measurements, measurements below 200 m or above 1200 m, and data that may be affected by the exhaust of the WP-3D aircraft.  $R_{GF}$  is calculated by averaging the 1 s HCHO data to the 5 s CHOCHO observations.

### 2.2 Satellite retrievals

The OMI is a nadir viewing UV–visible grating spectrometer, launched onboard the NASA Aura satellite in July 2004 (Levelt et al., 2006). OMI provides daily global coverage at high spatial resolution (13 × 24 km footprint at nadir). We use slant column densities ( $\Omega_s$ ) of HCHO and CHOCHO from 2006 to 2007 derived from fits to OMI spectra (González Abad et al., 2015; Miller et al., 2014). HCHO and CHOCHO are retrieved between 328.5–365.5 and 435–461 nm, respectively. Slant columns are adjusted to vertical column densities ( $\Omega_v$ ) using scattering weights ( $S(z)$ ) archived from the retrieval product, and species concentra-

tion profiles ( $n(z)$ ) from the GEOS-Chem (Goddard Earth Observing System) chemical transport model (v9-01-03) (Bey et al., 2001; Mao et al., 2013).

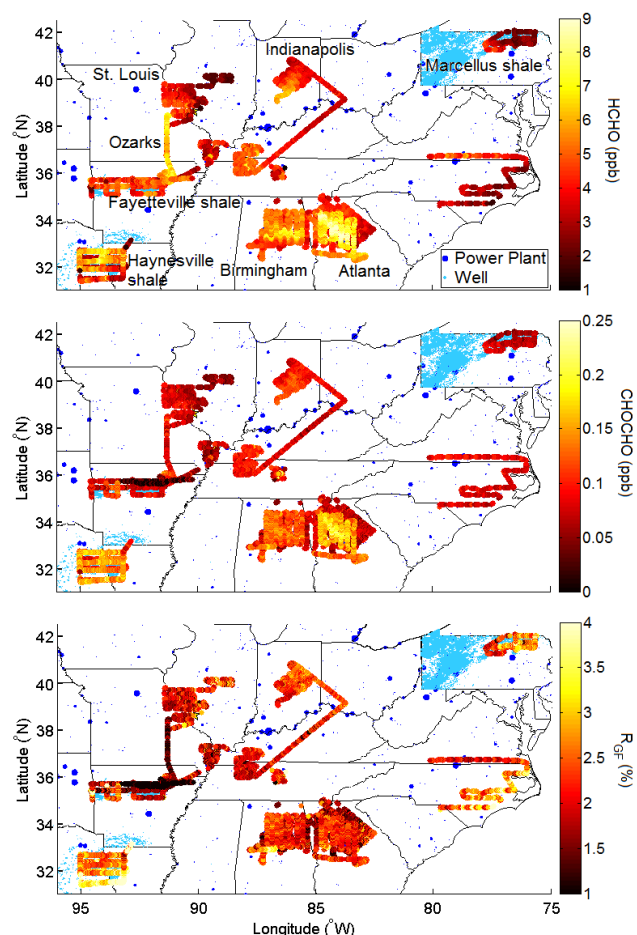
$$\Omega_v = \Omega_s \frac{\int_0^\infty n(z) dz}{\int_0^\infty S(z) n(z) dz} \quad (1)$$

Here we use daily GEOS-Chem profiles spanning the observation period averaged between 13:00 and 14:00 local time (LT), close to the satellite equatorial crossing time (13:38 LT). The satellite observations are gridded as seasonal averages on a  $0.5^\circ \times 0.5^\circ$  (lat  $\times$  long) grid. In this analysis, we use the averaged vertical column densities for June–August of 2007. The overlap between the satellite footprint and output grid is accounted for using an area-weighted tessellation algorithm (Liu et al., 2006). Satellite pixels with cloud fractions larger than 0.2 (derived from the OMI  $O_2-O_2$  cloud algorithm (Stammes et al., 2008) and those impacted by the row anomaly (<http://www.knmi.nl/omi/research/product/rowanomaly-background.php>) are filtered before gridding.

The sources of errors in satellite measurements are numerous, including uncertainties in temperature-dependent absorption cross sections, the computation of the air mass factor, instrumental errors (e.g., wavelength calibration), potential interferences from other compounds, and low signal to noise. Seasonal averaging helps to reduce these errors. Assuming a 15 % systematic uncertainty and following the formulation thoroughly explained in Vrekoussis et al. (2010), (Sect. 4.3.1), the average error in satellite  $R_{GF}$  over the SE US is 0.005, which is 18 % of the average  $R_{GF}$  value observed in this region.

### 3 Results and discussion

Figure 1 shows daytime SENEX flight tracks colored by HCHO, CHOCHO, and  $R_{GF}$ , with major emissions sources also indicated. Emissions information was acquired from the Continuous Emissions Monitoring Systems data set for July–September of 2012 (<http://ampd.epa.gov/ampd/>). In general, HCHO and CHOCHO mixing ratios are higher in the areas associated with high BVOC emissions (southern flights). In particular, high HCHO is observed over the Ozarks “isoprene volcano” (Wiedinmyer et al., 2005). The concentrations of both OVOCs are higher in regions with anthropogenic influence than in the surrounding biogenically dominated areas. Compared to the northern cities of Indianapolis and St. Louis, Birmingham and Atlanta have higher mixing ratios of HCHO and CHOCHO in their outflows. The Haynesville shale region has higher mixing ratios of both OVOCs, and CHOCHO is especially enhanced. While HCHO and CHOCHO mixing ratios each vary by more than a factor of 4, the overall variability of  $R_{GF}$  observed during the SENEX flight campaign is low.

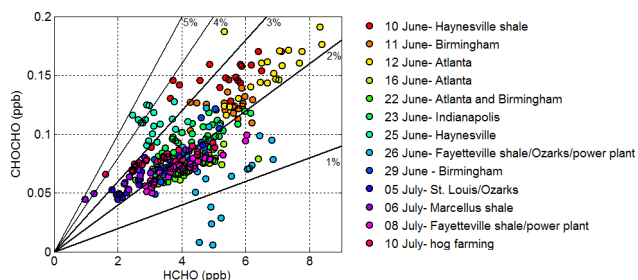


**Figure 1.** Daytime flight tracks colored by HCHO, CHOCHO, and  $R_{GF}$ . Power plant markers are scaled by  $NO_x$  emissions.

Boundary HCHO and CHOCHO measurements were also acquired during the Nashville/Middle Tennessee Ozone Study in June/July of 1995. While the SENEX flight tracks more heavily sampled oil and natural gas fields, both studies are mainly representative of the isoprene-rich SE US. The average HCHO mixing ratio is similar (4.2 ppb in 1995, 4.4 ppb in this study), as is the average CHOCHO mixing ratio (0.07 ppb in 1995, 0.10 ppb in this study), leading to similar  $R_{GF}$  (1.7 % in 1995, 2.2 % in this study) (Lee et al., 1998).

Figure 2 shows the same SENEX flight data gridded to the resolution of the OMI satellite retrievals ( $0.5^\circ \times 0.5^\circ$ ). Removing the flights with distinctly high or low  $R_{GF}$  observations (10, 25, and 26 June), the average gridded  $R_{GF}$  is  $2.5\% \pm 0.5\%$ , with a correlation coefficient between HCHO and CHOCHO of  $r^2 = 0.70$ . Below, we discuss these regions of notably high and low  $R_{GF}$  as well as the influence of urban emissions on the ratio.

Variability in the time of measurement may have an impact on the comparison of absolute concentrations of both OVOCs and  $R_{GF}$ , as measurements were acquired over a range of



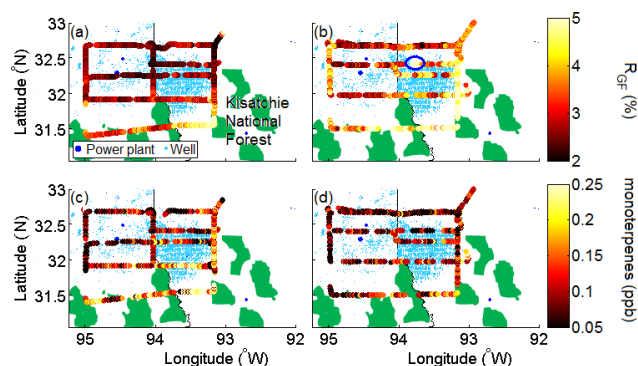
**Figure 2.** The relationship of CHOCHO and HCHO for each flight, gridded to OMI satellite resolution. Flights with extreme values of  $R_{GF}$  include those to the Haynesville shale (10 and 25 June) and the Ozarks (26 June).

mid-day hours ( $\sim 10:00$ – $17:00$  LT; see Table S1), and both HCHO and CHOCHO have strong diurnal cycles. By comparing the observations made within 1 h on the same day, we aim to minimize any impact that diurnal variation of  $R_{GF}$  would have on this analysis.

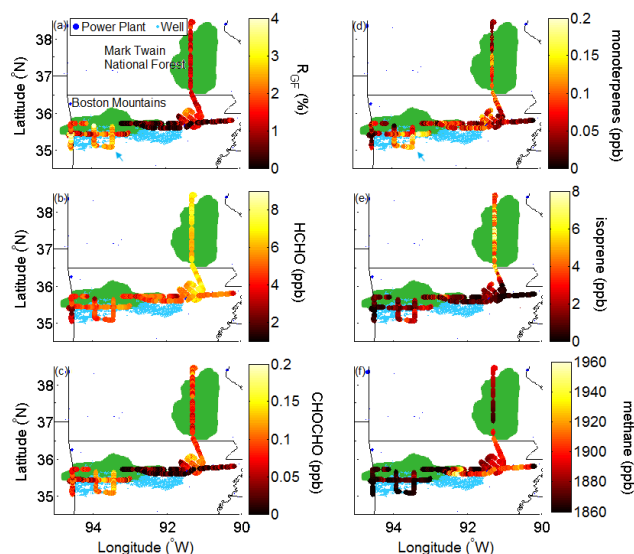
### 3.1 Regions of high $R_{GF}$

During flights on 10 and 25 June, the region responsible for the high observed  $R_{GF}$  (4–7 %) is in the southeast corner of the flight track, over the Kisatchie National Forest (Fig. 3a, b). The dominant tree species in this region is longleaf pine (<http://www.wlf.louisiana.gov>). Longleaf pine (*Pinus palustris*) is reported to emit monoterpenes but not isoprene (Rasmussen, 1972). The measured emission rate of  $\beta$ -pinene is the largest, and approximately 30 % greater than the  $\alpha$ -pinene emission rate. All other monoterpene emission rates are at least an order of magnitude lower (Geron et al., 2000). Indeed, measured monoterpene mixing ratios are elevated over this portion of the flight track (Fig. 3c, d), while isoprene (not shown) is relatively constant over the footprints of both flights. The high-monoterpene/high- $R_{GF}$  relationship is in agreement with the Miller et al. (2014) satellite observation of high  $R_{GF}$  values above the boreal forests, where the high CHOCHO yield of monoterpenes is cited as the primary driver of  $R_{GF}$  (Fu et al., 2008). As in the two flights over the Kisatchie Forest, the 26 June flight also highlights a region with high monoterpenes and  $R_{GF} > 3$  % (arrow in Fig. 4).

Also on the 25 June flight, high  $R_{GF}$  ( $> 8$  %) is seen on the northeast side of the flight track (circled in Fig. 3b and d). Unlike the high  $R_{GF}$  associated with the monoterpene emissions, these values are not replicated in the same area during the 10 June flight. In this region,  $R_{GF}$  is driven by a decrease in HCHO mixing ratio while the CHOCHO mixing ratio is slightly elevated (Fig. 5). Sharp features in meteorological measurements such as potential temperature, an increase in ozone, and a decrease in all other VOC and OVOC mixing ratios suggest an incursion of free tropospheric air. Given the lack of VOC precursors and other oxidation products, and assuming it is not a measurement artifact, the source of CHO-



**Figure 3.** Flight tracks for 10 June (a, c) and 25 June (b, d) over the Haynesville shale, colored by  $R_{GF}$  and the measured monoterpene mixing ratio. The southeast corner highlights high  $R_{GF}$  in a region with high monoterpene concentrations. The blue circle indicates the location of high  $R_{GF}$  discussed further in the text. Figure 5 shows meteorological and trace gas measurements acquired at this location. National parks are shown in green, and the Kisatchie National Forest is labeled in (a).

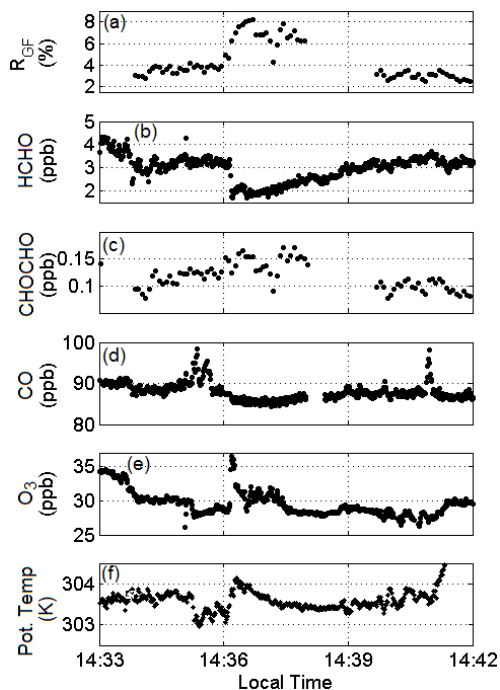


**Figure 4.** Flight track for 26 June over the Fayetteville shale, the independence power plant, and the Ozarks, colored by the specified trace gas mixing ratio and  $R_{GF}$ . The blue arrow highlights the region of elevated monoterpene mixing ratios. National forests are shown in green.

CHO in the free troposphere is still unknown. The effect of trace gas vertical profile structure on the analysis of  $R_{GF}$  is examined in further detail in Sect. 3.4.

### 3.2 Regions of low $R_{GF}$

On the 26 June flight, north of the gas production near the eastern side of the flight track, CHOCHO concentrations are low while HCHO mixing ratios are typical of other SENEX observations, driving  $R_{GF}$  to near 0 % (Fig. 4). Concentra-



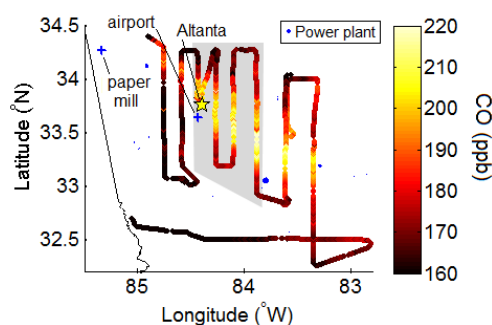
**Figure 5.** Time series of specified measurements during the rapid increase in  $R_{GF}$  observed south of Shreveport on the 25 June flight (blue circle in Fig. 3). An incursion of free tropospheric air near 14:36LT drives high  $R_{GF}$ .

tions of BVOC and AVOC precursors are also low in this region; however, methane mixing ratios are dramatically elevated. While isoprene is the dominant VOC in terms of calculated OH reactivity, increased HCHO relative to CHOCHO could be a result of oxidation of alkanes, which are associated with oil and natural gas (O&NG) production (Gilman et al., 2013). Gas flaring could also be a large source of direct HCHO emissions (Pikelnaya et al., 2013).

On the portion of the 26 June flight flown over the Mark Twain National Forest in the Missouri Ozarks (Fig. 4), the average  $R_{GF}$  is  $1.1 \pm 0.2\%$ . Here, the average isoprene concentration is high ( $7 \pm 2$  ppb),  $\text{NO}_x$  is low ( $0.23 \pm 0.02$  ppb), and AVOC concentrations are low compared to BVOCs (toluene =  $0.06 \pm 0.01$  ppb). This suggests relatively pristine regions with strong isoprene emissions can be characterized by low  $R_{GF}$ . It is important to note that these measurements were acquired later in the day than most other measurements ( $\sim 02:30$  LT, Table S1), and approximately 3 h later than the measurements acquired on the southwest portion of the flight track. We thus cannot rule out diurnal variation as an influence on  $R_{GF}$  in this region.

### 3.3 Urban influence on $R_{GF}$

Because  $R_{GF}$  may be influenced by AVOC emissions and/or  $\text{NO}_x$  (Table 1), it has been proposed that  $R_{GF}$  can be used as a diagnostic of the chemistry that leads to  $\text{O}_3$  formation



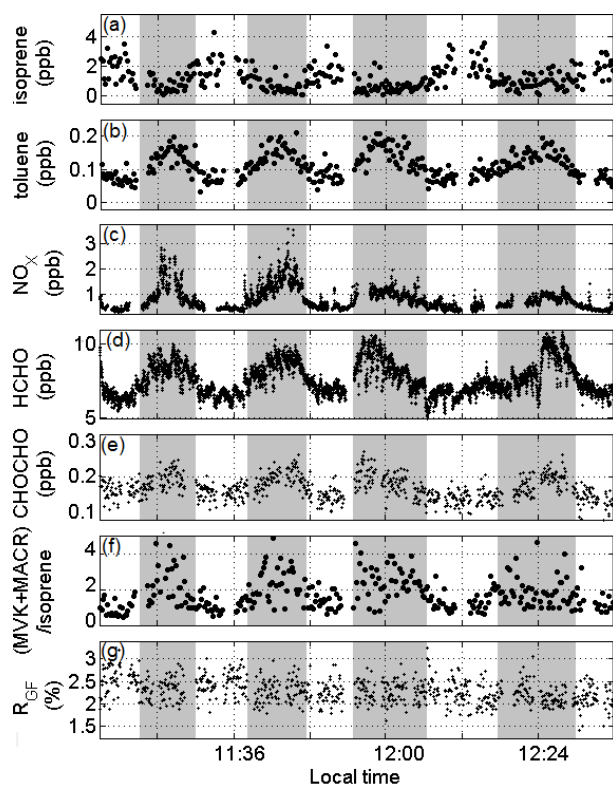
**Figure 6.** Flight track for 12 June colored by CO, which shows the combined outflow of Atlanta, the airport, and a paper mill on the surrounding background. Measurements acquired in the shaded area are shown in Fig. 7.

(Vrekousiss et al., 2010; DiGangi et al., 2012; Li et al., 2014). Potential explanations for varying  $R_{GF}$  in urban areas include (1) preferential formation of one OVOC from AVOCs, (2) faster oxidation caused by high OH leading to different relative concentrations of the OVOCs, and (3) differing  $\text{NO}_x$  dependencies of OVOC yields.

A comparison of in-plume and surrounding background measurements from the 12 June flight through Atlanta can help determine which of these factors may contribute to differences in observed  $R_{GF}$ . During this flight, northwesterly winds brought emissions from a nearby paper mill and power plant over the Atlanta area. As it traveled, the plume encountered emissions from the Atlanta international airport and other point and area sources. Figure 6 shows the flight path colored by CO, which demonstrates the boundary between background and polluted air. Figure 7 shows the mixing ratios of isoprene, toluene,  $\text{NO}_x$ , HCHO, CHOCHO, and the observed  $R_{GF}$  for the first four transects downwind of Atlanta.

Inside the plume,  $\text{NO}_x$  is enhanced, AVOCs such as toluene are high, BVOC mixing ratios are low, and concentrations of both OVOCs increased significantly (Fig. 7). However, no clear distinction between in-plume and background measurements can be seen in  $R_{GF}$ . This trend in increasing HCHO and CHOCHO but consistent  $R_{GF}$  is also seen in several other flight tracks following urban outflow (for further examples see Figs. S1 and S2 in the Supplement highlighting the 5 July flight over St. Louis).

There are two potentially compounding causes of the increase in HCHO and CHOCHO concentrations. First, direct emissions of the OVOCs or oxidation of AVOCs in the plume add to the background concentrations of HCHO and CHOCHO. While the oxidation of the observed AVOCs will increase OVOCs, the contribution of isoprene and its first-generation oxidation products methyl vinyl ketone (MVK) and methacrolein (MACR) to OH reactivity is more than 10 times greater than the contribution from measured AVOCs. Therefore, isoprene is still likely the dominant HCHO



**Figure 7.** Measurements acquired on the 12 June flight corresponding to the boxed in region in Fig. 6. Shaded regions indicate high anthropogenic influence. While the measurements alter between AVOC/high  $\text{NO}_x$  and BVOC/low  $\text{NO}_x$  regimes, little change is seen in  $R_{\text{GF}}$ . The maximum values of  $\text{NO}_x$  and  $(\text{MVK} + \text{MACR}) / \text{isoprene}$  fall above the limits shown here.

and CHOCHO precursor. Second, higher  $\text{NO}_x$  in the plume leads to more efficient oxidation of VOCs, depleting mixing ratios of primary VOCs such as isoprene and increasing its oxidation products. This is consistent with the classical  $\text{NO}_x$  dependence of OH concentrations (Rohrer et al., 2014). The ratio of MVK and MACR to isoprene can be used as an indicator of the extent of photochemical processing (Fig. 7). The higher in-plume ratio of MVK + MACR to isoprene supports the conclusion that oxidation occurs faster in the plume. It is important to note that the low- $\text{NO}_x$  oxidation product ISOPOOH (isoprene hydroxy hydroperoxide) can interfere with PTR-MS (proton-transfer reaction mass spectrometry) measurements of MVK + MACR (Rivera-Rios et al., 2014), and potentially also measurements of HCHO. If ISOPOOH creates a positive bias MVK + MACR measurement, the artifact would be larger in the low- $\text{NO}_x$  areas, artificially increasing the  $(\text{MVK} + \text{MACR}) / \text{isoprene}$  ratio observed outside of the plume. Because  $(\text{MVK} + \text{MACR}) / \text{isoprene}$  is higher inside the plume, any interference would not affect the conclusion that oxidation occurs faster in the plume.

The absolute concentrations of HCHO and CHOCHO point to more rapid oxidation of isoprene in-plume as well

as a potentially small contribution of AVOCs to both overall OVOC budgets, but neither of these characteristics influence  $R_{\text{GF}}$ . As stated above, a third potential driver of  $R_{\text{GF}}$  is a difference in high- and low- $\text{NO}_x$  oxidation mechanisms. Again, though the  $\text{NO}_x$  concentrations observed in-plume are significantly different than the surrounding air such that  $\text{RO}_2$  spans different fates (reaction with NO versus reaction with  $\text{HO}_2$  and isomerization), no characteristic change in  $R_{\text{GF}}$  is observed. Therefore,  $R_{\text{GF}}$  cannot be used to diagnose AVOC emissions,  $\text{RO}_2$  fate, or OH levels in urban areas where isoprene emissions dominate the HCHO and CHOCHO budgets.

As discussed in Sect. 3.2, the Ozarks demonstrated especially low  $R_{\text{GF}}$ . Both the Atlanta background air and the Ozarks are low- $\text{NO}_x$  isoprene-dominated regions (0.5 ppb  $\text{NO}_x$  near Atlanta, 0.2 ppb  $\text{NO}_x$  in the Ozarks), yet  $R_{\text{GF}}$  observations in these areas are significantly different. As previously discussed, urban emissions do not cause significant changes in  $R_{\text{GF}}$  if isoprene is the dominant VOC; therefore, some other factor must contribute to the comparably low  $R_{\text{GF}}$  over the Ozarks. While the observations of  $R_{\text{GF}}$  over the Ozarks were acquired at  $\sim 14:20$  LT, later observations of  $R_{\text{GF}}$  in the plume background are not significantly different than the earlier observations shown in Fig. 7 ( $R_{\text{GF}}$  of  $2.2 \pm 0.3\%$  between 14:00 and 14:30 LT). This suggests that diurnal variation of  $R_{\text{GF}}$  is not the driving cause of the difference between Atlanta and Ozark observations.

The most notable difference between the regions is the observed concentrations of isoprene. Isoprene reached over 10 ppb in the Ozarks, while the Atlanta background air reached only 4 ppb. A stronger relative contribution of monoterpenes to the HCHO and CHOCHO budgets in Atlanta could result in the higher observed  $R_{\text{GF}}$  ( $\sim 50$  ppt monoterpene per ppb isoprene near Atlanta,  $\sim 15$  ppt monoterpene per ppb isoprene near the Ozarks). Alternatively, the relationship of HCHO and CHOCHO with isoprene may be non-linear, with higher isoprene emissions leading to lower  $R_{\text{GF}}$ . Because low- $\text{NO}_x$  isoprene oxidation is not well understood, especially with respect to OH concentrations (Rohrer et al., 2014), HCHO yields (Palmer et al., 2006; Marais et al., 2012), and CHOCHO yields (Stavrakou et al., 2009), model analysis cannot conclusively determine the cause of decreasing  $R_{\text{GF}}$  with increasing isoprene emissions. A model can be useful, however, in determining the anticipated influence of hydrocarbon speciation on  $R_{\text{GF}}$ , as discussed below.

### 3.4 Modeled trends in $R_{\text{GF}}$ with hydrocarbon speciation

The values of  $R_{\text{GF}}$  presented above suggest that (1) monoterpene oxidation leads to higher  $R_{\text{GF}}$  than isoprene, (2) AVOCs must have substantially high concentrations to affect  $R_{\text{GF}}$  in regions with high isoprene emissions, and (3) depending on the surrounding BVOC emissions, alkanes could decrease

**Table 2.** Relative abundance of HCHO and CHOCHO from 1 ppb of a given precursor<sup>a</sup>.

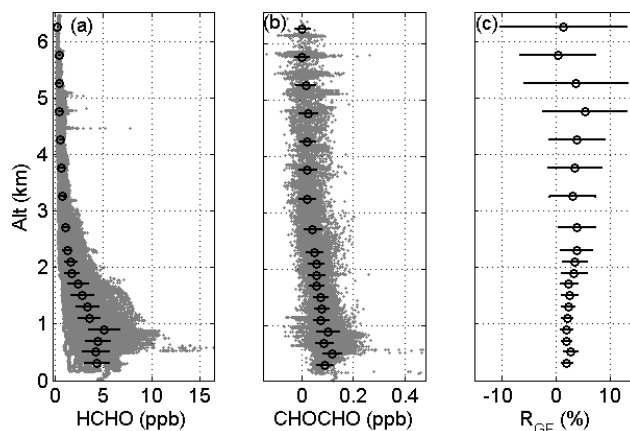
Precursor	CHOCHO	HCHO	Ratio (%) <sup>b</sup>
Isoprene	0.27 ppb	4.3 ppb	6.3
$\alpha$ -pinene	0.31 ppb	3.6 ppb	8.6
$\beta$ -pinene	0.49 ppb	3.6 ppb	14
Ethane	0.02 ppt	5.4 ppt	0.4
Ethene	586 ppt	24 ppt	4.2
Ethyne	0.91 ppt	14 ppt	1500
Propane	0.02 ppt	8.8 ppt	0.2
<i>n</i> -butane	1.5 ppt	140 ppt	1.1
Benzene	23 ppt	7.6 ppt	303
Toluene	103 ppt	150 ppt	69

<sup>a</sup> Calculated using a 0-D box model. See text for details.<sup>b</sup> Ratio = CHOCHO / HCHO.

the regional  $R_{GF}$ . To examine if these results are consistent with our understanding of the oxidation mechanisms of each VOC precursor, a simple 0-D box model analysis was performed using the University of Washington Chemical Box Model (UWCM) (Wolfe and Thornton, 2011), which incorporates the Master Chemical Mechanism v 3.2 (Jenkin et al., 1997; Saunders et al., 2003).

The intent of these model scenarios is not to compare modeled concentrations of CHOCHO and HCHO to their observed values, nor to compare modeled and measured  $R_{GF}$ , but to investigate the relative values of  $R_{GF}$  predicted by the model for each VOC precursor. Temperature, relative humidity,  $O_3$ , and CO are held at their observed campaign averages (297 K, 70 %, 51, and 140 ppb, respectively). OH is held at  $4 \times 10^6$  molec  $cm^{-3}$ , and  $NO_x$  is constrained to the measured values representative of the plume background on 12 June ( $NO = 0.06$  ppb;  $NO_2 = 0.41$  ppb). The solar zenith angle is set to  $13.4^\circ$ , representative of the sun's position over Atlanta at 12:00 LT on 12 June. Pressure is set to a constant 760 Torr, and all species are given an additional sink with a lifetime of 24 h in lieu of explicitly modeling physical loss processes like deposition and dilution. The only hydrocarbon present in each model scenario is the VOC of interest, held at a constant concentration of 1 ppb. Integration time is set to 5 days, at which point the concentrations of both OVOCs are nearly constant. The calculated mixing ratios of CHOCHO and HCHO at the end of the model runs are shown in Table 2.

Compared to isoprene, the two monoterpenes investigated here ( $\alpha$ - and  $\beta$ -pinene) produce more CHOCHO per HCHO. As this effect has been demonstrated in model calculations, satellite observations, and flight-based measurements, we conclude that observations of high values of  $R_{GF}$  are a result of high monoterpene compared to isoprene emissions. The absolute concentrations of both OVOCs produced from the oxidation of AVOCs studied here (benzene, toluene, ethene, ethyne, and the alkanes) are substantially lower compared to

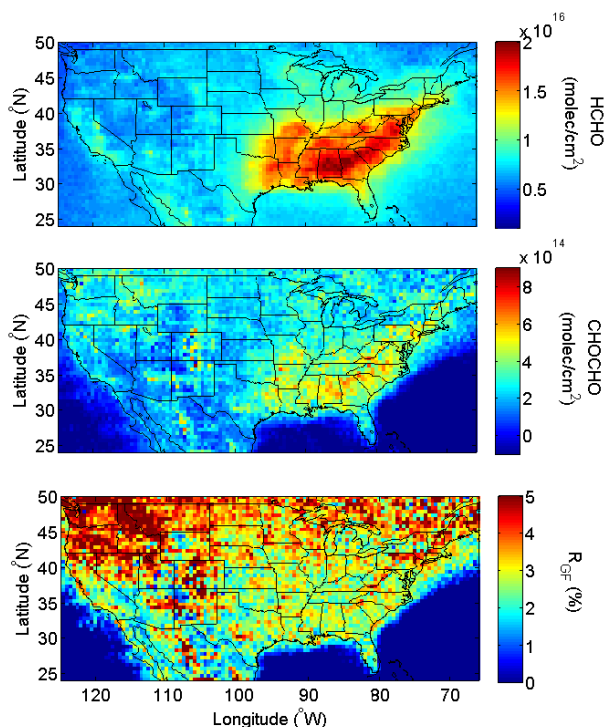
**Figure 8.** Average vertical profile of (a) HCHO and (b) CHOCHO measurements acquired during the flights specified in Fig. 1. Gray dots represent all measurements, black circles are averages in a given altitude bin, and error bars are standard deviation within that bin. Bins are 200 m in height from 200 to 2500 m, and 500 m thereafter. (c)  $R_{GF}$  calculated from average HCHO and CHOCHO profiles. Error bars are calculated from the standard deviations of HCHO and CHOCHO observations.

the yield from BVOCs. Because these AVOCs have long lifetimes, the concentration of AVOC would need to be substantially higher than BVOC to dominate the HCHO or CHOCHO budget. This is not likely in most of the SE US. However, AVOCs can dominate chemistry in O&NG production areas (Katzenstein et al., 2003; Edwards et al., 2014) and may be relatively more important in the winter when BVOC emissions are low or in areas with less vegetation. Alkanes and ethene produce less CHOCHO per HCHO compared to all BVOCs. In contrast, ethyne, benzene, and toluene produce much more CHOCHO relative to HCHO. The effect of AVOCs on  $R_{GF}$  is likely dependent on the speciation of emitted AVOCs, the strength of local BVOC emissions, and any direct OVOC emissions (e.g., HCHO from gas flaring). These compounding factors could make measurements of  $R_{GF}$  a convoluted diagnostic for assessing the VOC composition of different air masses.

### 3.5 Comparison with satellite retrievals

While ideally 2013 OMI retrievals would be used in this analysis, the satellite has experienced severe degradation such that quantitative CHOCHO is not easily determined. Only the 2007 retrievals are available at this time. One of the major conclusions reached using the SENEX in situ measurements is that in the SE US,  $R_{GF}$  is not a diagnostic of anthropogenic emissions, as HCHO and CHOCHO production are dominated by isoprene oxidation. Our in situ measurements also show that  $R_{GF}$  is unaffected by  $NO_x$  and OH (Sect. 3.3). Therefore, as long as isoprene is the dominant VOC for HCHO and CHOCHO production in the SE US in both 2007 and 2013, the comparison between 2007 satel-

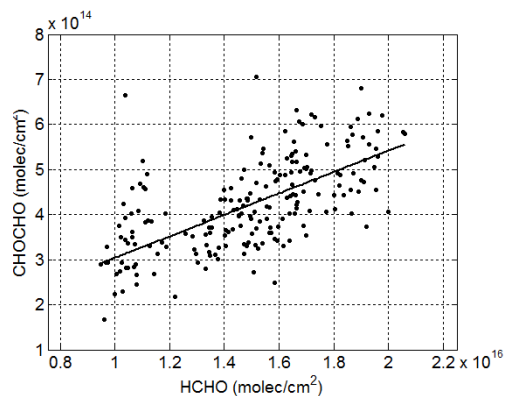




**Figure 9.** OMI satellite retrievals of HCHO and CHOCHO vertical density during June–August of 2007. The ratio of CHOCHO to HCHO is shown in the bottom panel.

lite and 2013 in situ  $R_{GF}$  remains valid. Both this work and analysis of the previous 1995 Nashville/Middle Tennessee Ozone Study (Lee et al., 1998) find isoprene to be the dominant HCHO source. Interannual variability of summertime isoprene emissions is estimated to be between 8 and 18 % for the contiguous US during the summers (Tawfik et al., 2012). Therefore, it is likely that isoprene is also the dominant OVOC source in 2007.

When comparing flight-based observations with satellite retrievals, it is important to consider the inherently different information these two measurements provide. Comparisons between column-integrated satellite retrievals and single-altitude measurements are only valid if the point measurements represent the seasonal mean of the behavior of the vertical column as a whole. To examine any effect of vertical distribution of HCHO and CHOCHO on satellite observations of  $R_{GF}$ , we investigate the campaign average vertical profiles of both OVOCs and  $R_{GF}$  calculated from those averages (Fig. 8). Both OVOCs show the expected decrease in concentration with altitude; however, the relative difference between boundary layer and free troposphere mixing ratios is greater for HCHO. This gives rise to a small increase in  $R_{GF}$  in the free troposphere. A higher free tropospheric  $R_{GF}$  was also observed in the 1995 Nashville/Middle Tennessee Ozone Study (Lee et al., 1998).



**Figure 10.** Satellite retrieval of CHOCHO vs. HCHO corresponding to grid coordinates of SENEX boundary layer measurements. Statistics for the linear fits are shown in Table 4.

While  $R_{GF}$  is typically slightly higher in the free troposphere than the boundary layer, no clear altitude dependence in  $R_{GF}$  is observed within the boundary layer (Fig. 8c, altitudes less than 2 km, and Fig. S4 for individual profiles). In the free troposphere, CHOCHO measurements are below the detection limit (23 ppt at 3.25 km, detection limit = 32 ppt  $5 \text{ s}^{-1}$ ). The observed variability in  $R_{GF}$  at high altitudes can largely be attributed to noise in the CHOCHO measurements at such low concentrations. Because the uncertainty in CHOCHO concentrations from measurement precision is typically greater than that from measurement accuracy, we take measured CHOCHO – 32 ppt as the lower limit of CHOCHO as measured by ACES. If measurements are positively biased by as little as 16 ppt, which is within this range of uncertainty, corrected data would not demonstrate an increase in  $R_{GF}$  with altitude.

If the difference in HCHO and CHOCHO vertical structures is not a measurement artifact, the cause of the increase in  $R_{GF}$  in the free troposphere is unclear. VOC precursors with longer lifetimes that reach the free troposphere could preferentially form CHOCHO; however, all species of measured VOCs exhibit a similar steep decrease in concentration at high altitudes. Alternatively, the lifetimes of CHOCHO and HCHO could vary with altitude in such a way that HCHO concentrations show a more steep vertical dependence. However, this is unlikely as the photolysis and reaction with OH play nearly identical roles in the relative loss processes of the two OVOCs. Li et al. (2014) inferred different mixing layer heights for the two OVOCs. They calculated that the lifetime of isoprene was shorter than the typical boundary layer mixing time and therefore hypothesized that HCHO production happened earlier (i.e., at lower altitudes) than CHOCHO production. In contrast, we see that the boundary layer is typically uniformly mixed with respect to HCHO and CHOCHO, potentially signifying the lifetime of the two OVOCs is longer than the boundary layer mixing time. Therefore, the time dependence of HCHO and CHOCHO production is un-

**Table 3.** Comparison of column-integrated and boundary layer  $R_{GF}$ .

Profile number	Boundary layer $R_{GF}^a$	$R_{GF}$ calculated from in situ HCHO $\Omega_v$ and CHOCHO $\Omega_v$	Difference <sup>b</sup>	Percentage of altitude range in FT <sup>c</sup>
1	2.7	3.2	0.6	68
2	2.2	2.6	0.4	53
3	2.7	3.4	0.7	50
4	2.0	2.1	0.1	50
5	1.7	2.1	0.4	50
6	1.9	2.2	0.3	47
7	2.4	2.2	-0.2	42
8	1.9	1.9	0.0	17
9	2.1	2.1	0.0	15
10	2.5	1.9	-0.7	15
11	2.6	2.4	-0.2	8
12	2.0	2.1	0.1	8

<sup>a</sup> Observed at 1 km. <sup>b</sup> Calculated as in  $\Omega_v R_{GF}$  – boundary layer  $R_{GF}$ . <sup>c</sup> FT: free troposphere. Boundary layer height determined by gradient in  $O_3$ .

likely to be the underlying cause of the difference in  $R_{GF}$  observed in the free troposphere. Finally, heterogeneous oxidation of aerosols has been proposed as a source of CHOCHO and other OVOCs in the free troposphere (Volkamer et al., 2015). No specific source of sufficient magnitude has been identified but processes which release glyoxal, such as the ozonolysis of fatty acids (Zhou et al., 2014), would be potential candidates. Any such source would need to produce glyoxal in excess over formaldehyde.

Regardless of the cause of the higher relative  $R_{GF}$  in the free troposphere, because the boundary layer contains the majority of HCHO and CHOCHO, the  $R_{GF}$  calculated from in situ HCHO and CHOCHO vertical column densities is only slightly higher than the average  $R_{GF}$  observed in the boundary layer (2.7 % calculated from  $\Omega_v$ , 2.0 % at 900 m). A similar analysis using each local vertical profile measurement rather than the campaign average vertical profiles yields the same conclusions. Table 3 lists the  $R_{GF}$  observed in the boundary layer and the  $R_{GF}$  calculated from in situ HCHO and CHOCHO vertical column densities for all profiles extending above 3 km, which were all flown in the Atlanta–Birmingham area. A map of profile locations, HCHO and CHOCHO measurements, and  $R_{GF}$  for each profile can be found in the supporting information (Figs. S3, S4). In general, profiles with a smaller percentage of measurements acquired in the free troposphere do not display a large difference between boundary layer and  $R_{GF}$  calculated from in situ HCHO and CHOCHO vertical column densities. Individual profile measurements and campaign-averaged data support the conclusion that  $R_{GF}$  as observed by satellite retrievals should exhibit similar ranges as boundary layer observations, though a positive bias may be observed due to relatively higher CHOCHO in the free troposphere.

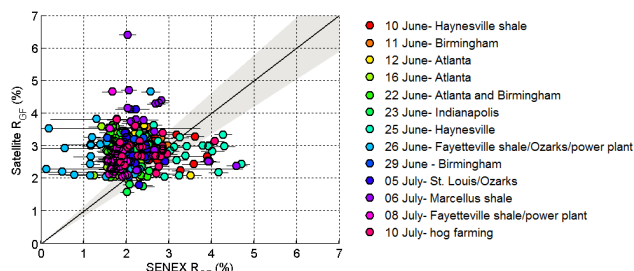
OMI satellite observations from June–August of 2007 over the United States are shown in Fig. 9. HCHO and CHOCHO are elevated over the SE US, where high isoprene emissions are expected to lead to increases in both OVOCs. Compared to the rest of the US,  $R_{GF}$  in this region is low. The northwest

**Table 4.** Linear fits of CHOCHO vs. HCHO observations<sup>a</sup>.

Method	Slope	Intercept	$r^2$	Average $R_{GF}$ (%)
Flight	0.017	0.019 ppb	0.43	2.2
Satellite	0.024	$\sim 0.016$ ppb <sup>b</sup> ( $6.6 \times 10^{13}$ molec cm <sup>-2</sup> )	0.38	2.8

<sup>a</sup> All data are gridded to  $0.5^\circ \times 0.5^\circ$  resolution for orthogonal distance regression analysis. For SENEX flight observations, all flights (including Haynesville and Fayetteville areas) are included.

<sup>b</sup> Ground level mixing ratio was calculated assuming CHOCHO and HCHO are contained within a well-mixed 1500 m boundary layer and an atmospheric-scale height of 7.5 km.

**Figure 11.** Satellite and SENEX  $R_{GF}$ . The line represents a 1–1 relationship, error bars represent standard deviations of SENEX measurements within the given pixel, and the shaded area represents the accuracy of the SENEX measurements.

region of the US, where monoterpene emissions are high (Sakulyanontvittaya et al., 2008), demonstrates the highest  $R_{GF}$  over the US.

To compare satellite and flight-based observations, flight data were averaged to the  $0.5^\circ \times 0.5^\circ$  OMI resolution. Summertime satellite retrievals and flight observations of CHOCHO vs. HCHO show similar correlations, with  $r^2 \sim 0.4$  (Fig. 10, Table 4). The satellite average  $R_{GF}$  is  $\sim 0.6$  percentage points higher than flight-based observations gridded to the same resolution. While this cannot be explained by the error and standard deviation of the gridded SENEX data and the uncertainty in the vertical column densities, this percentage is much smaller than the previous discrepancies between satellite and point-based measurements (DiGangi et al., 2012).

Figure 11 shows that while there is no correlation between satellite and flight  $R_{GF}$  ( $r^2 = 0.003$ ), the range of observed values are in good agreement (1.5–4 %). Seasonal averages of  $R_{GF}$  from satellite retrievals are less likely to reflect extreme values and high-emission events compared to flight data; therefore, high correlation is not anticipated at this timescale. Similarly, the correlations between satellite and ground HCHO ( $r^2 = 0.15$ ) and CHOCHO ( $r^2 = 0.044$ ) are low. Satellite and flight HCHO observations show stronger correlation than CHOCHO observations likely because CHOCHO aircraft measurements and satellite retrievals have higher relative uncertainties than HCHO retrievals (Miller et al., 2014; González Abad et al., 2015), and in situ CHOCHO measurements are close to the detection limit. The high and low values of  $R_{GF}$  observed during the

SENEX field campaign (25 and 26 June flights) are not reproduced in the satellite observations. A comparison of average BVOC emissions and O&NG production activity during the summer of 2007 and June 2013 would be needed to demonstrate that satellite  $R_{GF}$  would be expected to show similar deviations from its average value. Furthermore, small-scale variation in satellite  $R_{GF}$  is mostly associated with noise, such that retrievals shown cannot distinguish the local influences (i.e., the Kisatchie National forest).

Besides the new CHOCHO retrieval method, one key distinction between this comparison and comparisons in previous studies (i.e., DiGangi et al., 2012) is the use of satellite retrievals for only the summer observational period rather than the annual averages. Ground- and flight-based measurements are typically performed in the summer, when BVOC emissions are high. Therefore, point-based measurements may be biased to display the influence of BVOC emissions on  $R_{GF}$ .

#### 4 Conclusions: Can $R_{GF}$ be used as a global indicator of VOC speciation?

Overall, the flight-based measurements presented here show that  $R_{GF}$  is indicative of VOC speciation in select situations. High  $R_{GF}$  ( $> 3\%$ ) is consistently observed in areas with high monoterpene emissions, and low  $R_{GF}$  ( $< 2.5\%$ ) is associated with strong isoprene emissions. No consistent influence of AVOC or  $\text{NO}_x$  emissions on the background  $R_{GF}$  was observed, likely because biogenic VOC emission strength determines  $R_{GF}$  in the SE US. The previously observed quick and short (2–5 min) increase in  $R_{GF}$  in DiGangi et al. (2012) may have been a result of extremely fresh emissions (e.g., diesel trucks emit at a rate of  $\text{CHOCHO} / \text{HCHO} = 9.4\%$ ; Schauer et al., 1999), and not indicative of larger-scale changes in dominant VOC speciation. Emissions associated with oil and gas production areas can cause  $R_{GF}$  to deviate from the values observed over their background levels. However, the absolute value of  $R_{GF}$  in such regions is likely dependent on background BVOC emissions, speciation of AVOCs, and any direct OVOC emissions.

Compared to previous literature, absolute values of flight-based  $R_{GF}$  are in better agreement with satellite observations using the new CHOCHO retrieval algorithms. While time resolution plays a large role in direct comparisons of point-based measurements and satellite retrievals, the trend of high  $R_{GF}$  over areas with monoterpenes and low  $R_{GF}$  over areas with isoprene is broadly in agreement for the two platforms. With these trends validated by ground measurements,  $R_{GF}$  based on satellite retrievals may be useful as a diagnostic of BVOC emissions. As these retrievals become available at higher time and spatial resolutions,  $R_{GF}$  can be used to help identify the speciation of VOCs leading to secondary pollutant formation on a regional scale.

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