



China's virtual air  
pollution transport  
embodied in trade

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# Assessment of China's virtual air pollution transport embodied in trade by a consumption-based emission inventory

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## Abstract

High anthropogenic emissions from China have resulted in serious air pollution, and it has attracted considerable academic and public concern. The physical transport of air pollutants in the atmosphere has been extensively investigated, however, understanding the mechanisms how the pollutants were transferred through economic and trade activities remains challenge. In this work, we assessed China's virtual air pollutant transport embodied in trade, by using consumption-based accounting approach. We first constructed a consumption-based emission inventory for China's four key air pollutants (primary PM<sub>2.5</sub>, sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>) and non-methane volatile organic compounds (NMVOC)) in 2007, based on the bottom-up sectoral emission inventory concerning their production activities – a production-based inventory. We used a multiregional input-output (MRIO) model to integrate the sectoral production-based emissions and the associated economic and trade activities, and finally obtained consumption-based inventory. Unlike the production-based inventory, the consumption-based inventory tracked emissions throughout the supply chain related to the consumption of goods and services and hereby identified the emission flows followed the supply chains. From consumption-based perspective, emissions were significantly redistributed among provinces due to interprovincial trade. Large amount of emissions were embodied in the net imports of east regions from northern and central regions; these were determined by differences in the regional economic status and environmental policies. We also calculated the emissions embodied in exported and imported goods and services. It is found that 15–23% of China's pollutant emissions were related to exports for foreign consumption; that proportion was much higher for central and export-oriented coastal regions. It is suggested that measures should be introduced to reduce air pollution by integrating cross-regional consumers and producers in national agreements to encourage efficiency improvement in the supply chain and optimizing consumption structure internationally. The consumption-based air pollutants

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As part of efforts to improve air quality, the Chinese government has imposed strict regulations on pollutants emissions in mega-cities. However, if the response is to shift industry out of these cities without changing consumption patterns, the result of the regulations may be an increase in the total amount of pollution emissions and little or no improvement in air quality, since there will be an increase in emissions through transportation along the geographically extended supply chains and also because that the general low efficient production in less regulated areas. For example, roughly one-third of the electricity consumed in Beijing is generated in Inner Mongolia (Liu et al., 2012b). Stricter regulations of the power sector in Beijing will tend to increase the import of electricity if similar actions are not taken in Inner Mongolia. Given this connection, the most cost-effective means of reducing emissions from the power sector in Inner Mongolia might not only be deploying new generation technologies there, but also conserving energy in Beijing – as well as facilitating technological cooperation between these two regions (Liu et al., 2013; Lindner et al., 2013). In this regard, effective and cost-effective management of air quality may therefore require policies that cover the entire supply chain, which in turn will depend upon quantitative understanding of the transport of emissions between producers and consumers.

Indeed, this dynamic consequence has already been demonstrated in the case of CO<sub>2</sub> emissions: high levels of consumption in China's developed coastal regions are driving CO<sub>2</sub> emissions in interior provinces, where CO<sub>2</sub> emission intensity is much greater (Feng et al., 2013). As a result, large quantities of emissions are embodied in the goods traded among provinces, and the less developed regions bear a disproportionate share of the costs for both the pollution and its mitigation. Recent work has demonstrated that the effectiveness of efforts to decrease pollution depend on understanding not only where pollutants are produced, but also where the goods and services related to the pollution are ultimately consumed (Davis and Caldeira, 2010; Davis et al., 2011; Feng et al., 2013; López et al., 2013; Guan et al., 2014a; Lin et al., 2014). However, an effective air quality management system that takes into account the impact of supply chains is still missing because the mechanisms on the transport





capital formation) produced in province  $r$ ;  $\mathbf{y}^{rs}$  is the cross-regional final products supply from province  $r$  to  $s$ ; and  $\mathbf{y}^{re}$  is a vector indicating region  $r$ 's sectoral products for international export. Evaluating the equation for all sectors and all provinces, we construct a matrix that represents the entire Chinese domestic economy, including its export:

$$\begin{pmatrix} x^1 \\ x^2 \\ \vdots \\ x^m \end{pmatrix} = \begin{pmatrix} A^{11} & A^{12} & \dots & A^{1m} \\ A^{21} & A^{22} & \dots & A^{2m} \\ \vdots & \vdots & \ddots & \vdots \\ A^{m1} & A^{m2} & \dots & A^{mm} \end{pmatrix} \begin{pmatrix} x^1 \\ x^2 \\ \vdots \\ x^m \end{pmatrix} + \begin{pmatrix} \sum_r y^{1r} + y^{1e} \\ \sum_r y^{2r} + y^{2e} \\ \vdots \\ \sum_r y^{mr} + y^{me} \end{pmatrix} \quad (2)$$

Here  $m$  indicate the total region's number, and  $m = 30$  in this research.

When solved from the total output, Eq. (2) can yield the following:

$$\mathbf{X} = (\mathbf{I} - \mathbf{A})^{-1} \mathbf{Y} \quad (3)$$

The uppercase letters in Eq. (3) represent the corresponding matrixes in Eq. (2).  $(\mathbf{I} - \mathbf{A})^{-1}$  is the Leontief inverse matrix.

Pollutant emissions (here refers to primary  $\text{PM}_{2.5}$ ,  $\text{SO}_2$ ,  $\text{NO}_x$  and NMVOC, see Sect. 2.4 below) are then calculated by incorporating a vector of emission intensity:

$$\mathbf{E} = \mathbf{F}(\mathbf{I} - \mathbf{A})^{-1} \mathbf{Y} \quad (4)$$

where  $F$  is the direct emission intensity vector calculated by each sector's total emissions divided by that sector's total output  $X$  from Eq. (3) (Hubacek and Sun, 2005; Lin et al., 2014).

## 2.2 Emissions embodied in interprovincial and international trade flows

Using the pollution emissions calculated by the Chinese MRIO, we quantify the emissions embodied in trade flows among China's provinces and in trade between those











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Figure 2 presents pollutant emissions caused by each final consumption category among the 30 provinces. Capital formation and urban residential consumption dominated the consumption-based emission of  $\text{SO}_2$  and  $\text{NO}_x$  in all the provinces, which reflects the large-scale nationwide expansion of infrastructure. The capital formation of Shandong contributed most to national consumption-based  $\text{SO}_2$  (5%) and  $\text{NO}_x$  (3%) emissions; this was followed by Jiangsu (3% for  $\text{SO}_2$  and 4% for  $\text{NO}_x$ ), Zhejiang (3% for  $\text{SO}_2$  and 4% for  $\text{NO}_x$ ) and Guangdong (3% for  $\text{SO}_2$  and 4% for  $\text{NO}_x$ ).

For primary  $\text{PM}_{2.5}$  and NMVOC, capital formation and direct rural residential energy consumption dominated the total consumption-based emissions in almost all provinces. In Beijing, Jiangsu, Shanghai, Zhejiang and Guangdong, biomass combustion is not used as a significant energy source; thus, capital formation and urban residential consumption activities dominated those regions' total consumption-based emissions. For less developed regions, such as Guangxi, Guizhou, Anhui and Sichuan, biofuel is still be an important energy source, so the related combustion emission accounts for over 50% of regional consumption-based emissions for primary  $\text{PM}_{2.5}$  and NMVOC.

### 3.3 Consumption-based pollution by province

Table 1 compares the production-based and consumption-based pollution emissions in 2007 for all 30 provinces in mainland China. Tibet is excluded in this work due to lack of MRIO data. In Anhui, Sichuan and Guangxi, total emissions are similar with the two accounting methods, which indicate that substantial proportions of the goods produced in these provinces were consumed locally. In these provinces, emissions were largely related to residential direct energy consumption (accounted for here as the emission service for regional consumption). In provinces whose economy is dependent on energy generation, heavy industry, or materials manufacturing, production-based emissions were much greater than consumption-based emissions. For example, in Hebei, 63% of primary  $\text{PM}_{2.5}$ , 67% of  $\text{SO}_2$ , 68% of  $\text{NO}_x$  and 56% of NMVOC emissions were related to products consumed outside that province. Similarly, consumption-based emis-



able, ranging from 1 : 1 to 1 : 13. The lowest ratio amounted to 1 : 13 for Zhejiang, which imported large volumes of intermediate products from the Central, North and Northwest regions to support its local industries.

Figure 4 presents the largest net flows of embodied pollutants among the eight regions (listed in Table A2 in Appendix A). From the perspective of technology development, there was an increasing trend in pollutant intensity from southeast to northwest China for all the four pollutants. The Northeast had the highest emission intensity for  $\text{SO}_2$  ( $223 \text{ Mg } 100 \text{ million CNY}^{-1}$ ),  $\text{NO}_x$  ( $145 \text{ Mg } 100 \text{ million CNY}^{-1}$ ) and NMVOC ( $74 \text{ Mg } 100 \text{ million CNY}^{-1}$ ); the Central region had the highest primary  $\text{PM}_{2.5}$  emission intensity ( $50 \text{ Mg } 100 \text{ million CNY}^{-1}$ ); South Coast had the lowest  $\text{SO}_2$  ( $39 \text{ Mg } 100 \text{ million CNY}^{-1}$ ) and  $\text{NO}_x$  ( $49 \text{ Mg } 100 \text{ million CNY}^{-1}$ ) emission intensity; Beijing-Tianjin had the lowest  $\text{PM}_{2.5}$  ( $13 \text{ Mg } 100 \text{ million CNY}^{-1}$ ) and NMVOC ( $41 \text{ Mg } 100 \text{ million CNY}^{-1}$ ) emission intensity. In terms of pollution transfer, affluent areas, such as the Beijing-Tianjin, East Coast and South Coast regions, were net pollution importers owing to their relatively advanced economic development and modernized production technologies (and thus lower pollution intensity). For example, primary  $\text{PM}_{2.5}$  emissions embodied in imports to the East Coast region are four times higher much than those embodied in exports; the figures for  $\text{SO}_2$ ,  $\text{NO}_x$  and NMVOC are 3-, 2- and 1.5-fold, respectively. About 80 % of the emissions embodied in East Coast's imports occur in the North, Central and Northeast regions. In Beijing-Tianjin, the pollutants embodied in imports exceeded those embodied in exports by factors of 4.5, 4, 3 and 2 for primary  $\text{PM}_{2.5}$ ,  $\text{SO}_2$ ,  $\text{NO}_x$  and NMVOC, respectively. Further, 46 % of the primary  $\text{PM}_{2.5}$ , 27 % of  $\text{SO}_2$ , 28 % of  $\text{NO}_x$  and 24 % of NMVOC embodied in Beijing-Tianjin's imports derived from the North region (including Hebei and Shandong). In contrast, less economically developed areas in the North, Central, Northwest and Southwest regions were net exporters, with large quantities of emissions outsourced by East and South Coast regions.

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Recently, China's central government has launched nationwide acts to reduce the CO<sub>2</sub> emission (Liu et al., 2012b) and atmospheric pollutants (The State Council of the PRC, 2013), with stricter measures being implemented for eastern than western provinces. This disparity in mitigation targets is likely to accelerate the relocation of heavy industries to central and west regions, thereby worsening the atmospheric environment in those less developed regions. As evident in Fig. 4, the production-related pollutant intensities of the eight regions showed a gradually increasing trend from the developed southeast to less developed northwest regions. This means that more pollutants were emitted to make one product unit in central and west regions. Relocating industries will thus redistribute the environmental problem rather than eliminate it – aka the “beggar-thy-neighbor” effect. Increasing interprovincial trade will also drive traffic flows, which have been a key contributor to atmosphere pollutants emissions (Cheng et al., 2013). Thus this kind of industrial shift may ultimately increase total national pollutant emissions to some extent.

Furthermore, since air pollutants can be transported over a great distance in the atmosphere (Lin et al., 2014), the richer east would likely to face even more severe pollution originating outside its jurisdiction, as a consequences of policy and economic stimulus (Ying et al., 2014). To avoid this problem, an effective pollution control strategy would target a reduction in total pollution rather than simply relocating emissions. It would be better to locate industries according to regional characteristic, considering access to material and transportation factors. Technology transfer between developed and developing regions should play a leading role in joint actions for regional or inter-regional air pollution control. Appropriate regional industrial layout play a critical role in regional industrial development and environmental conditions.

### 4.2 Impact of consumption pattern

Emissions related to urban residential goods and services consumption accounted for about 25 % of all China's consumption-based pollutants analyzed in this study; rural residential only accounted for 5–9 %; construction-dominated capital formation account

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for 50 %. However, China's proportion of urbanization increased from 26.4 % in 1990 to 53.4 % in 2013, and it is expected to be 65 % in 2030 (China Development Research Foundation, 2010). This rapid urbanization has created a boom in the demand for materials and infrastructure; it has greatly accelerated industrial production and infrastructure construction – and therefore also the related pollutant emissions (Heinonen and Junnila, 2011). Recently, the implementation of the “New Socialist Countryside” which is aimed to improve living condition in countryside by unify planning and constructing, will result in a wave on construction in rural areas nationwide. This rapid construction will drive the exploration and production of natural resources as well as related pollution emissions. In addition, the average life span of building in China is 35 years – much less than the 74 years of the United States and 132 years of the UK (China Economic Review, 2013). Rapid increasing in construction will aggravate this phenomenon.

Recent studies have shown that China's current technology improvements will be barely able to offset the pollution emissions associated with increasing consumption (Liang et al., 2013; Guan et al., 2014b). However, China's government has to continue to promote the economic growth to improve livelihoods and defeat environmental problem. Thus, to achieve pollution reduction targets, the government needs to focus on key source sectors and technologies; however, it also need to pay greater attention to control and management strategies with respect to consumption. Our study indicates that, the key regulatory policies should focus on construction sector, such as promoting the use of energy-saving building materials, increasing the life span of building, thus decrease the related upstream emissions along the supply chains. Simultaneously, advocating saving behaviors in daily life is also essential.

Our MRIO analysis traced pollutant sources related to consumption activities. It clearly illustrates the extent and structure of externalization of pollutants, and it presents a reasonable approach to facilitating collaboration between producers and consumers. This approach appears to present an effective way to optimize air quality management decisions toward environmentally sustainable economic growth. Future work can link our provincial level consumption-based inventory and the pollution flows

with chemical transport models, to investigate the impacts of trade activities on regional and global air quality.

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**Table 1.** Comparison of regional pollutant emissions from production and consumption-based emissions (Gg year<sup>-1</sup>).

Pollutant	Primary PM <sub>2.5</sub>		SO <sub>2</sub>		NO <sub>x</sub>		NMVOC	
	Pro	Con	Pro	Con	Pro	Con	Pro	Con
Beijing	111	285	261	775	385	629	372	571
Tianjin	127	183	429	548	361	445	286	326
Hebei	974	513	2347	1387	1780	1036	1199	842
Shandong	1276	933	3105	2375	2582	1940	1948	1554
Liaoning	587	416	1189	826	1250	850	900	668
Jilin	316	338	513	735	650	723	512	493
Heilongjiang	370	363	367	475	786	640	705	589
Shanghai	142	338	726	1112	591	838	557	836
Jiangsu	680	689	1544	1375	1777	1356	1571	1339
Zhejiang	368	548	957	1371	1231	1291	1113	1008
Shanxi	755	435	2483	1241	1148	593	653	486
Henan	1015	667	1532	1157	1685	1108	1176	1032
Anhui	555	515	718	667	871	674	812	759
Hubei	542	481	1674	1248	862	695	768	751
Hunan	544	441	1353	1045	730	646	595	556
Jiangxi	286	286	701	906	455	589	348	378
Fujian	261	221	586	516	525	453	430	422
Guangdong	629	669	963	1642	1494	1361	1541	1487
Hainan	34	37	91	82	84	75	100	78
Guangxi	484	439	970	674	467	406	706	643
Chongqing	249	270	1307	1037	367	388	317	353
Sichuan	771	764	1560	1415	747	747	1112	1093
Guizhou	424	318	1841	812	545	302	346	313
Yunnan	383	322	837	628	551	410	462	461
Inner Mongolia	352	281	1680	858	555	450	521	423
Shaanxi	218	197	414	352	370	274	329	287
Gansu	58	48	77	101	92	103	68	70
Qinghai	83	74	519	303	242	167	95	104
Ningxia	214	206	473	447	479	405	445	307
Xinjiang	436	282	1386	570	1182	448	541	384

Pro = production-based emissions; Con = consumption-based emissions.

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**Table A1.** Sectors classification for MRIO Table.

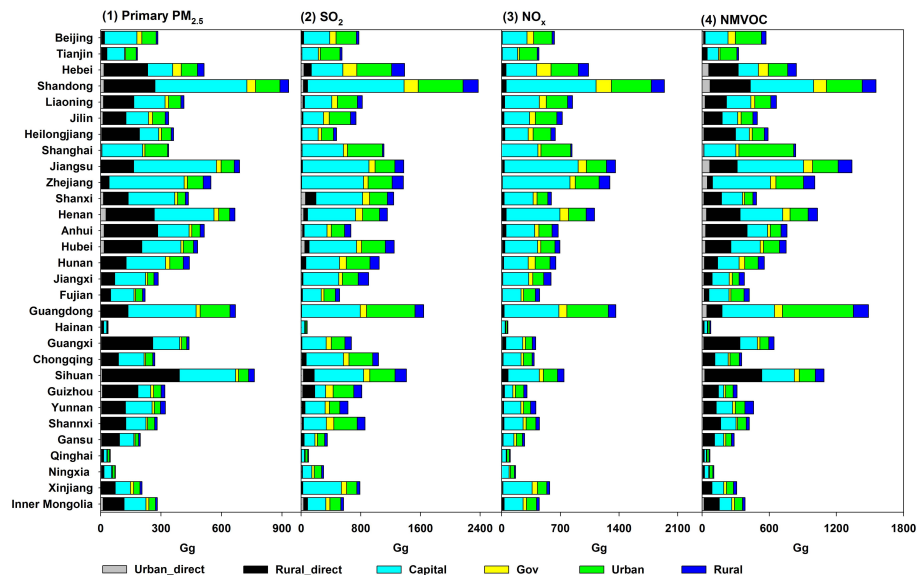
Sector number	Sector Name
1	Agriculture
2	Coal mining and processing
3	Crude petroleum and natural gas products
4	Metal ore mining
5	Non-ferrous mineral mining
6	Manufacture of food products and tobacco processing
7	Textile goods
8	Wearing apparel, leather, furs, down and related products
9	Sawmills and furniture
10	Paper and products, printing and record medium reproduction
11	Petroleum processing and coking
12	Chemicals
13	Nonmetal mineral products
14	Metals smelting and pressing
15	Metal products
16	Machinery and equipment
17	Transport equipment
18	Electric equipment and machinery
19	Electronic and telecommunication equipment
20	Instruments, meters, cultural and office machinery
21	Handicrafts and other Manufacturing
22	Electricity, steam and hot water production and supply
23	Gas and water production and supply
24	Construction
25	Transport and warehousing, Post and telecommunication
26	Wholesale and retail and catering accommodation
27	Others





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**Figure 2.** Pollutant emissions embodied in each region's final demand by categories.

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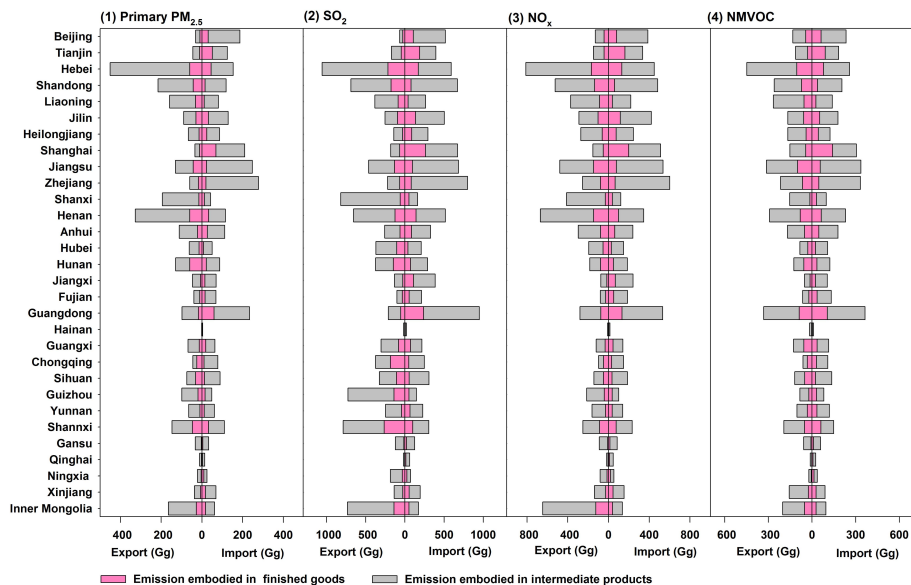
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**Figure 3.** Balance of air pollutant emissions embodied in each province's interprovincial trade.

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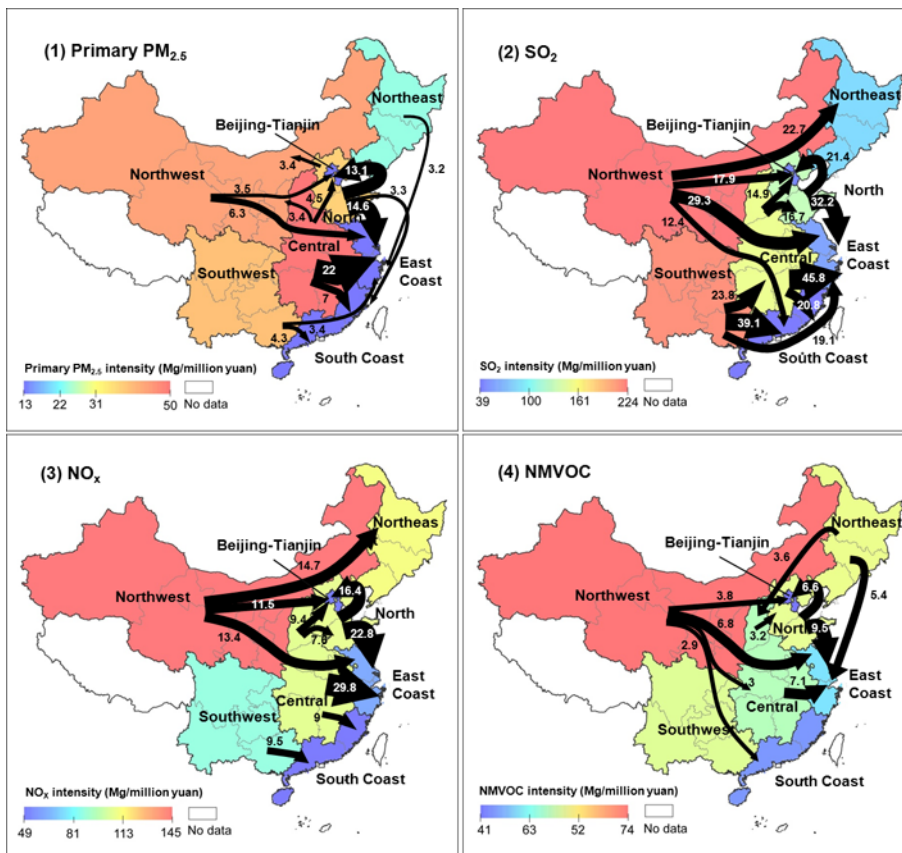
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**Figure 4.** Largest net flows of primary PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>x</sub>, and NMVOC emissions embodied in interprovincial trade in 2007 (unit of flow: Gg). The shading in each region indicates the related production emission intensity.

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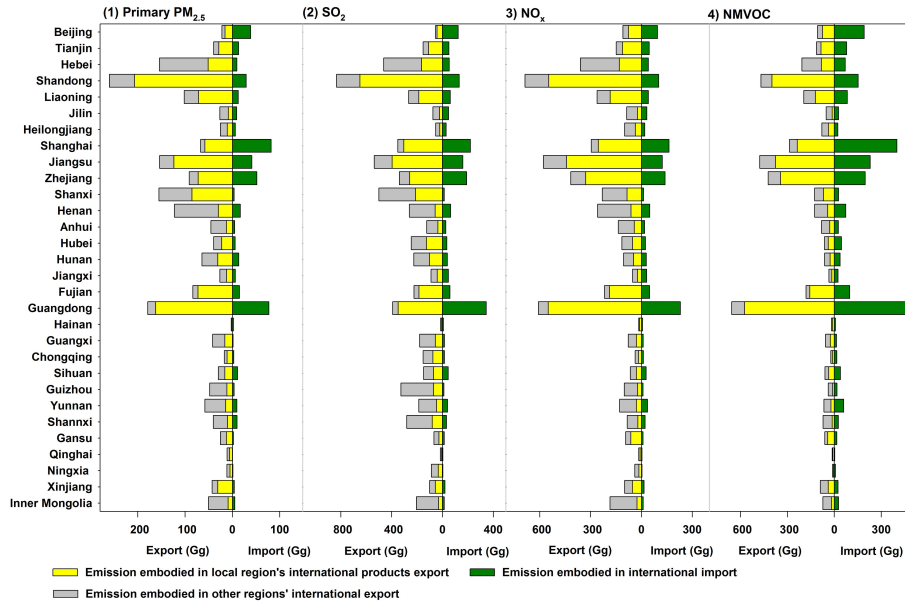
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**Figure 5.** Balance of pollutant emissions embodied in each province's international trade.

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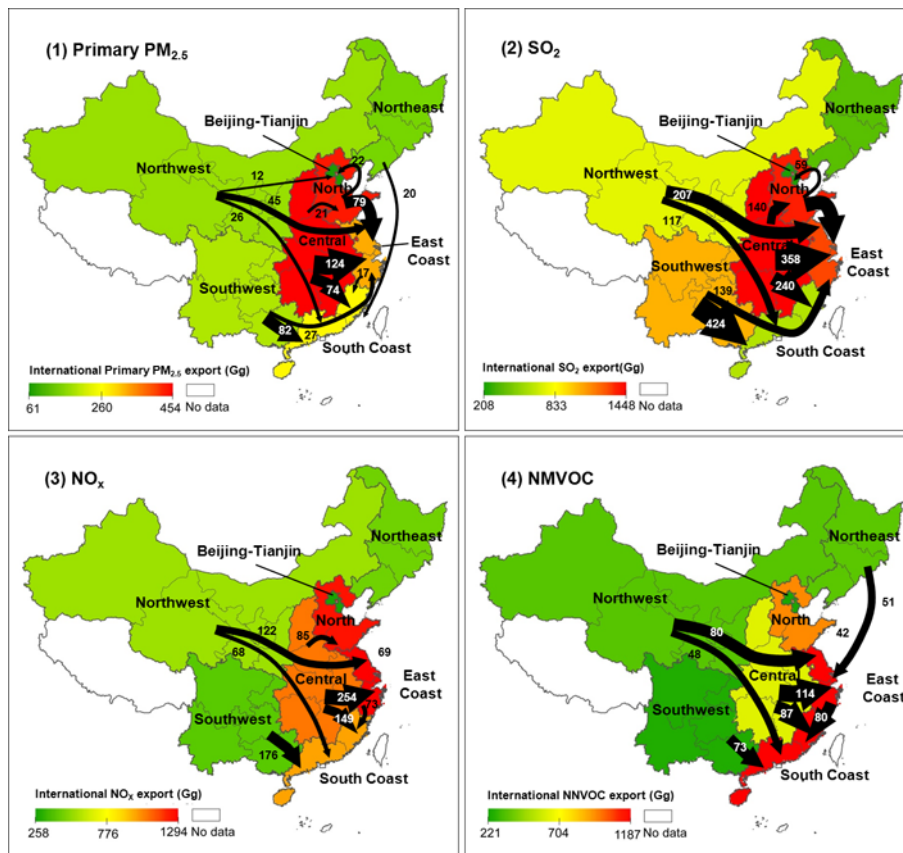
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**Figure 6.** Regional pollutant emissions due to production of intermediate products to support other regions' international exports (unit of flow: Gg). The shading from green to red indicates each region's total international pollutant exports.

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