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Impacts of transported background ozone on California air quality during the ARCTAS-CARB period – a multi-scale modeling study

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Abstract. Multi-scale tracer and full-chemistry simulations with the STEM atmospheric chemistry model are used to analyze the effects of transported background ozone (O₃) from the eastern Pacific on California air quality during the ARCTAS-CARB experiment conducted in June, 2008. Previous work has focused on the importance of long-range transport of O₃ to North America air quality in springtime. However during this summer experiment the longrange transport of O₃ is also shown to be important. Simulated and observed O₃ transport patterns from the coast to inland northern California are shown to vary based on meteorological conditions and the O₃ profiles over the oceans, which are strongly episodically affected by Asian inflows. Analysis of the correlations of O₃ at various altitudes above the coastal site at Trinidad Head and at a downwind surface site in northern California, show that under long-range transport events, high O₃ air-masses (O₃>60 ppb) at altitudes between about 2 and 4 km can be transported inland and can significantly influence surface O₃ 20–30 h later. These results show the importance of characterizing the vertical structure of the lateral boundary conditions (LBC) needed in air quality simulations. The importance of the LBC on O₃ prediction during this period is further studied through a series of sensitivity studies using different forms of LBC. It is shown that the use of the LBC downscaled from RAQMS global model that assimilated MLS and OMI data improves the model performance. We also show that the predictions can be further improved through the use of LBC based on NASA DC-8 airborne observations during the ARCTAS-CARB experiment. These results indicate the need to develop observational strategies to provide information on the three-dimensional nature of pollutant distributions, in order to improve our capability to predict pollution levels and to better quantify the influence of these Asian inflows on the US west coast air quality.



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1 Introduction

Tropospheric ozone (O₃) is an atmospheric pollutant harmful to human health and agriculture, and is also one of the most important green house gases. The US National Ambient Air Quality Standard (NAAQS) for daily maximum 8-h average O₃ has recently been lowered to 75 ppb, and is likely to be lowered further to between 60 ppb and 70 ppb in future regulatory reviews of its direct impacts on human health. The California Air Resource Board (CARB) currently sets more stringent state 1-h and 8-h O₃ standards at 90 ppb and 70 ppb to better address longstanding urban and regional O₃ problems.

The US Environmental Protection Agency (EPA) has defined Policy-Relevant Background O₃ (PRB) as those concentrations that would occur in the United States in the absence of anthropogenic emissions in continental North America (EPA, 2006). The PRB concentration defines the level below which national O₃ regulatory standards cannot be set. This includes O₃ formed through photochemical reactions involving precursors originating exclusively from continental biogenic sources, wildfires, and lightning, as well as O₃ transported from outside of North America and from the stratosphere. Current literature indicates an increasing trend of background O₃ due to rising global emission trends, and projects that PRB will continue to rise until the end of the 21st century in North America (Jaffe et al., 2003; Lin et al., 2000; Vingarzan et al., 2004). Previous work has reported a wide range of background O₃ in the northern hemisphere based on modeling studies and observational analysis, with estimates varying from 15 ppb to 60 ppb (Fiore et al., 2003; Lefohn et al., 2001; Jaffe et al., 2003). Many of these studies have analyzed observations at remote sites to determine the contributions of long-range O₃ transport. However, remote sites of interest are not completely devoid of local anthropogenic impacts (Nolle et al., 2001), and thus these analyses must include techniques to exclude the contributions from regionally polluted air-masses. Modeling studies are also often used, but they contain uncertainties due to coarse spatial resolution and quality of inputs (Fiore et al., 2003). While estimates vary due to differences in season, location, elevation, experimental and modeling methods, there is considerable agreement that less than 40 ppb of PRB is due to natural sources in North America, peaking in spring, and 5–15 ppb is due to trans-continental transport of O₃ (Fiore et al., 2002; Vingarzan et al., 2004; McKendry et al., 2006).

Transported O₃ from outside the continental US, together with locally-formed O₃, contributes to the variability in O₃ observed at inland sites in California. A recent study has reported an increasing trend in background O₃ over the eastern Pacific since the 1980s during springtime, when Asian emissions have their greatest impacts on the western North America (Cooper et al., 2010). Fewer studies have focused on the role of background O₃ in the summertime (Fiore et al., 2003; Parrish et al., 2010), a period with the most frequent

and intense episodes of O₃ pollution, in which the impact of long-range transport is believed to reach its annual minimum.

Based on multi-year observations, Parrish et al. (2010) contend that the observed summertime O₃ over northern California is directly proportional to transported background from the eastern Pacific, and estimate a transport time of 20-30 h for coastal O₃ at 1-2.5 km altitude over Trinidad Head (THD) to impact surface concentrations over inland O₃ non-attainment areas. Background O₃ in the transported air averaged 58 ppb on the days that O₃ exceeded the current 75 ppb NAAQS at one example site, and was occasionally above 75 ppb. This summertime study associated transported background concentrations with higher local concentrations in several locations heavily influenced by O₃ formed locally from nearby anthropogenic emissions. Oltmans et al. (2008) has found that summertime background O3 levels over the eastern Pacific in southern California are similar to those in northern California. In addition, Parrish et al. (2009) pointed out the potential similarity in transport patterns from coastal to inland areas over northern and southern California. However, the impact of transported background O₃ over southern California is more difficult to discern because of the weaker vertical mixing and the higher local production levels.

Assessing the contributions of distant sources on local pollution levels remains a challenging problem and reducing the uncertainty in estimates requires a better understanding of transport patterns that bring together long-range transported air masses and local pollutants (NAS, 2010). Three-dimensional chemical transport modeling is an important complement to observation-based approaches and is critical to fully understand the factors responsible for reported long-time trends (Law, 2010), as well as short-term variations in the properties of transported air masses.

This paper investigates the impacts of O₃ transported from the eastern Pacific on California air quality using observational data collected during the California portion of the Arctic Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS-CARB) experiment. During the time period of this experiment California was strongly influenced by Asian inflows, and research flights were able to study the air mass chemistry over the eastern Pacific and inland over California. These observations are analyzed using results from the STEM regional-scale modeling system, including tracer model and full-chemistry simulations at two horizontal resolutions. Results from the tracer model and back trajectories are employed to determine days during the experiment when California was strongly influenced by Asian inflows. We show the direct and indirect effects of foreign inflows on measurement sites located at THD, in the northern Central Valley, and in southern California. Based on trajectories and O₃ concentration correlation analysis, transport times from the coast to the valley and their altitudinal relationships are estimated for the specific time period influenced by Asian inflows and over the entire ARCTAS-CARB period. The amount of O₃ transported into northern California from the free troposphere is estimated according to observed O₃ vertical profiles and the inferred transported altitudes.

One research flight was designed to measure air over the eastern Pacific under inflow conditions, providing data to help establish better boundary conditions for use in air quality predictions. Additional modeling analysis was done using these data to evaluate the impact of model lateral boundary conditions (LBC) over the eastern Pacific on predicted surface O_3 concentrations over California. The implication of these results for observation and model approaches to improve O_3 prediction are also discussed.

2 Methods

2.1 Mission introduction and observational data

ARCTAS-CARB was conducted in June 2008 by the National Aeronautics and Space Administration (NASA). The NASA DC-8 aircraft platform sampled trace gas and aerosol concentrations through four scientific flights over California on 18, 20, 22, and 24 June 2008. One of the mission's scientific objectives was to characterize upwind boundary conditions necessary to model inland O₃ (Jacob et al., 2010) and the 22 June flight was designed specifically for this purpose. On this day, the DC-8 took off from Palmdale, CA, flew over the Pacific Ocean to THD, and then circled back to Palmdale along the coast (Fig. 1c). This flight will be a focus of this paper. In addition to airborne measurements, continuous hourly O₃ measurements were made at CARB and Clean Air Status and Trends Network (CASTNET) surface sites (http://www.arb.ca.gov/qaweb/siteinfo.php; http://www.epa. gov/castnet/). Ozone soundings were also launched on 20, 22, 24, and 26 June 2008 at the remote coastal THD site (ftp://ftp.cmdl.noaa.gov/ozwv/ozone/ARCIONS/CARB/).

Within the CARB and CASTNET surface O₃ monitoring network, five California sites were selected for the present analysis (Fig. 1c). Three of them are located in California's Central Valley: an isolated rural site, Tuscan Butte (TB), which has the highest O₃ design value (i.e., the 3-year average of the fourth highest daily maximum 8-h average O₃ mixing ratio) in the Northern Sacramento Valley (Parrish et al., 2010); a suburban site, Walnut Grove Tower (WGT), 20 miles south of Sacramento; and a remote CASTNET site, Lassen Volcanic National Park (LAV), located to the east of valley. The other two are the CASTNET site at Joshua Tree National Monument (JOT) in southern California, chosen to compare with the northern sites, and a background coastal site at THD, selected for identifying the transport patterns from coast to inland. Elevations of these sites are 572 m, 0 m, 1756 m, 1244 m and 20 m, respectively.

2.2 Model and data

2.2.1 Model

In support of the ARCTAS field experiment we operated a regional chemical weather forecasting system. This system was used both in forecast mode to assist in flight planning, and in post-analysis mode for data analysis. The system was designed and used for the ARCTAS-CARB, and polar spring and summer portions of the experiment. In this paper we focus on the period from 18–28 June 2008. The modeling system is based on the Sulfur Transport and dEposition Model (STEM). The STEM model has been used in several past field campaigns (Carmichael et al., 2003; Tang et al., 2004a, b, 2007; Adhikary et al., 2010). The modeling system applied here (D'Allura et al., 2010) includes three components, illustrated in Fig. 1:

- 1. a hemisphere tracer model to study long range transport of pollutants and dust with 60 km horizontal resolution and 30 vertical layers to the stratosphere;
- a continental scale gas-phase and aerosol chemical transport simulation on a subset of the hemispheric grid with a 60 km horizontal resolution and 18 vertical layers with the same intervals as the tracer model (i.e., the 18 lowest layers);
- a regional-scale gas-phase and aerosol chemical transport domain centered over California with a 12 km horizontal resolution and 32 vertical layers at smaller intervals than in 1) and 2).

The northern hemisphere calculation utilized a tagged tracer version of STEM (Tang et al., 2004a). This model calculates a variety of aerosols, as well as several air mass markers. Because of its long atmospheric life-time of 1–2 months, carbon monoxide (CO) is one of the primary tracers used to estimate the contributions of geographic areas to hemispheric-scale transport. For this study, we included CO tracers for eight regions in the hemispheric domain (Fig. 1a): the US mainland, Alaska, Canada, Greenland, Europe, Russia, China and other Asia nations. These tracers are designed to represent primary CO only. Additional tracer calculations include air mass ages based on various chemical clocks, which represents a combination of transport time, source intensities and diffusion, using emission and decay rates as a proxy, and a variety of aerosols including sulfate, black carbon and dust. Further details of the tracer model can be found in Tang et al. (2004a).

The STEM full-chemistry model calculates chemistry reactions based on SAPRC 99 gaseous chemical mechanism (Carter, 2000), with photolysis rates coupled with the online Tropospheric Ultraviolet-Visible radiation model (TUV) (Madronich, 2002). It also includes a four-bin aerosol module with thermodynamics calculated using the Simulating Composition of Atmospheric Particles at Equilibrium

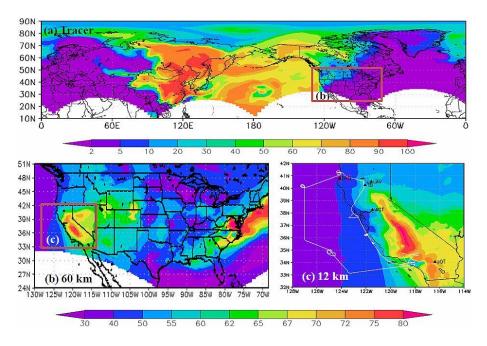


Fig. 1. (a) Averaged (24-h) surface contributions of anthropogenic China CO as % during 21–24 June in the entire tracer domain; averaged (24-h) O₃ concentrations at surface from the (b) 60 km (partial domain) and (c) 12 km (entire domain surface sites and 22 June DC-8 flight path included) model simulations during 18–28 June 2008.

(SCAPE II) model (Kim and Seinfeld, 1995). A detailed description of the current STEM-2K3 model can be found in a recent paper by Adhikary et al. (2010). The STEM full-chemistry calculations were performed using 60 km and 12 km resolutions in this study and the model setups are summarized in Table 1. The predictions at two different resolutions provide the opportunity to test the sensitivity of regional O₃ distributions to model resolution and the treatment of lateral boundary conditions. The 60 km resolution is representative of that used in more advanced global-scale chemical transport models, while the 12 km resolution represents a more typical resolution used in regional-scale air quality studies.

2.2.2 Meteorology

In this study, meteorology fields for all three grids were generated by the Advanced Research Weather Research & Forecasting Model (WRF-ARW) Version 2.2.1 (Skamarock et al., 2007) with forecast and reanalyzed meteorological inputs (Mesinger et al., 2006) for the 60 km and 12 km simulations, respectively. The 60 km WRF grid included 30 layers and the 12 km grid included 40 layers. The tracer model used the simulated meteorological fields from all 30 layers; while the 60 km and 12 km full chemistry simulations only used the lowest 18 and 32 layers, respectively. The WRF-ARW simulations at both resolutions utilized the same physics and dynamics schemes. The primary physics options include Goddard shortwave (Chou and Suarez, 1994) and Rapid Radiative Transfer Model (RRTM) long wave scheme (Mlawer et

al., 1997), the NOAH land and surface model (Chen and Dudhia, 2001), Monin-Obukhov similarity theory (Monin and Obukhov, 1954) with the Mellor-Yamada-Janjic planetary boundary layer closure scheme (Janjic, 2002), WSM 5-class microphysics (Hong et al., 2004) and the Betts-Miller-Janjic scheme (Janjic, 2000). The STEM preprocessor was used to extract topography and other land use variables along with the meteorological parameters. Other model inputs, such as emissions, initial and boundary conditions were gridded for the same map projection and grid resolution, which will be discussed in detail in the following sections.

2.2.3 Emissions

An ARCTAS-specific emission inventory was developed based on regional-specific information on fuels and activity from various economic sectors to support the field experiment (Streets et al., 2008, http://mic.greenresource.cn/arctas_premission). For the continental US the ARCTAS emissions inventory includes anthropogenic emissions from the 2001 National Emissions Estimate Version 3, an update of the 1999 US National Emissions Inventory with growth factors applied by Source Classification Code, and augmented with national inventories for Canada (2000) and Mexico (1999). Daily biomass burning emissions from the Real-time Air Quality Modeling System (RAQMS) (Pierce et al., 2007), provided by the Cooperative Institute for Meteorological Satellite Studies (CIMSS) were used. Biogenic emissions of terpene and isoprene were taken from

Table 1. Summary of STEM inputs for base cases in two resolutions.

Model Inputs	Raw data	a sources	Raw data resolutions		
	60 km/18 layers/6 h base case	12 km/32 layers/1 h base case	60 km	12 km	
Meteorology, WRF 2.2.1	GFS + one time step SST	NARR + daily SST	6 h, 1°× 1°	3 h, 36 km	
Anthropogenic Emissions (point and mobile)	NEI 2001, weekday varied from week- ends	CARB 2005, projected from 2002, daily varied. Out of CARB domain filled with NEI 2001	1°×1°,1 h	4 km × 4 km, 1 h	
Biogenic Emissions	Orchidee	CARB 2005, projected from 2002, daily varied	$1^{\circ} \times 1^{\circ}$, monthly averaged	4 km × 4 km, 1 h	
Biomass burning Emissions	RAQMS real time	MODIS-detected hot spots being processed by the prep-chem-source model, mass- conserved normal- ization	1° × 1°, 12 h	1 km × 1 km, 24 h	
Top and Lateral Boundary Conditions	RAQMS real time (gases) + STEM tracer (several aerosols)	STEM 60 km base case	2°×2°, 6 h & 60 km × 60 km, 6 h	$60 \text{ km} \times 60 \text{ km},$ 18 layers, 6 hrs	
Ozone column, required by the TUV model	Measured by Ozone trometer (OMI) instru NASA Aura spacecra	ment on board the	1° × 1°, 1 day		

twelve-year-averaged data from the Orchidee model (Lathiere et al., 2006).

For the analysis of the ARCTAS-CARB portion of the experiment discussed in this paper we performed additional simulations at 12 km using anthropogenic and biogenic emissions re-gridded from a contemporary CARB 4 km emission inventory. Biomass burning emissions were generated by the prep-chem-source model (WRF/Chem Version 3.1 users' guide, 2009), which used the MODIS – detected point fire information at 1 km ground resolution (Davies et al., 2009; Giglio et al., 2003) and was adjusted at each time step to match total emissions rates from RAQMS.

2.2.4 Lateral boundary conditions

Lateral boundary conditions are a significant source of uncertainties in the predictions of regional air quality. Traditionally they have been set at constant values based on available climatological information. In a previous study we have shown that the use of temporal and spatial variations in top and lateral boundary conditions downscaled from global models can enhance model performance, especially for longlive species and over areas less impacted by local pollution (Tang et al., 2007). In a follow-on study Tang et al. (2009) also concluded that the use of global model predictions for LBC improved the correlation coefficients of surface O₃ prediction over the U.S. west coast. However, the global model boundary conditions also contain large uncertainties, which get transferred into the regional models. For example Tang et al. (2009) found for the US that the use of global model boundary conditions, while improving many aspects of the predictions may also increase the O₃ mean bias. Thus there is a need to continue to develop methods that can improve the quality of the boundary conditions. In this paper we explore further the sensitivity of regional models to a variety of western LBCs (Table 2).

Table 2. Summary of STEM lateral boundary condition sensitivity studies.

Name	Descriptions	Resolution(s) applied		
		60 km	12 km	
Clean Western Boundary Conditions (Clean WBC)	Constant 40 ppb O ₃ , 90 ppb CO as western LBC	✓		
Fixed RAQMS Boundary Conditions (Fixed BC)	Temporally fix top BC and LBCs for all species using averaged 20-day BCs in the base case	✓		
Reduced Western Boundary Conditions (Reduced WBC)	Reduce 10 ppb of O ₃ from the western LBC in the Fixed BC case	✓		
Observational-based Western Boundary Conditions (Obs. cases)	Measurements on the June 22 DC-8 flight were interpolated to STEM grids as western LBC for the flight week, species included: NO _x , CO, O ₃ , H ₂ O ₂ , PAN, HNO ₃ , SO ₂	✓	✓	

In the base simulation for the 60 km domain the LBC for thirty gaseous species, and the top boundary conditions for ten gaseous species (not listed) were taken from the archived RAQMS real-time chemical analyses data with a 6 hour resolution. RAQMS real-time chemical analyses included assimilation of stratospheric (above 250 mb) O₃ profiles from the Microwave Limb Sounder (MLS) and cloud cleared total O₃ columns from the O₃ Monitoring Instrument (OMI) onboard the NASA Aura satellite. The RAQMS O₃ profiles were compared with measurements by Tropospheric Emission Spectrometer (TES) onboard the NASA Aura satellite for Step and Stare observations over the Eastern Pacific (150° E- 120° E, 30° N- 60° N) during 15 June-15 July. RAQMS mean biases relative to TES were generally less than 10%, except near 100 mb where the bias was up to 20% lower than TES. The RMS errors ranged from 20 to 40% in the troposphere with peaks near 200 mb and below 800 mb. RAQMS underestimated tropospheric O₃variability by about 20% relative to TES. Comparison with ten Trinidad Head O₃ sondes launched between 27 June and 6 July showed that the RAQMS real-time O₃ analysis had a mean high bias in the troposphere (below 200 mb) which ranged from 10% below 500 mb to 30% at 300 mb. The 12 km base case simulation was performed as a one-way nesting within the STEM 60 km base case. The predictions using the 60 km resolution were used as boundary conditions for the 12 km simulations.

Additional simulations were performed in the 60 km resolution model with various western LBCs to further study the sensitivity of modeled O₃ to the western LBC in the 60 km model grid. These studies were designed to isolate the impact of the LBC on the O₃ predictions in the outer grid, as it is this information that gets transferred into any inner grids. In the Clean WBC case, O₃ and CO concentrations in the western LBC were set to constants (vertically, horizontally and temporally) at 40 ppb and 90 ppb, respectively. This constant 40 ppb background O₃ level is often used as a baseline for O₃ risk assessments by the US EPA. In the Fixed BC case, temporal variability was eliminated in the top and all LBCs by using 20-day (10 June-30 June 2008) time-averaged RAQMS boundary conditions. In the Reduced WBC case, a systematic bias in ozone was introduced to the Fixed BC values by reducing O₃ by 10 ppb in every grid cell along the western boundary. Finally, in the Obs case, we explore the impact of using the observations on model performance. For this case the observations from the 22 June DC-8 flight were interpolated to the 60 km and 12 km model grid and used directly as the western LBC. Details of the various LBCs used in these studies are summarized in Table 2.

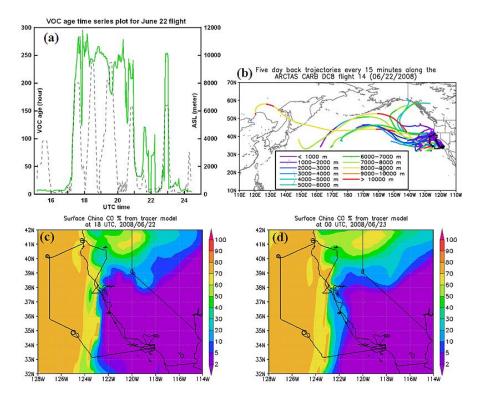


Fig. 2. (a) VOC ages and (b) five-day back trajectories along the 22 June DC-8 flight path; tracer surface contributions of anthropogenic China CO as % over California during flight time (c) 18:00 UTC (d) 24:00 UTC (00:00 UTC, 23 June).

3 Results and discussions

3.1 O₃ concentrations and air-mass movement during ARCTAS-CARB

The 24-h average surface O₃ concentrations during 18–28 June 2008 from the 60 km and 12 km base case simulations are shown in Fig. 1b, c, respectively. The entire 12 km domain is shown but only the US continental portion of the 60 km domain is included. From the 60 km case we see that during this period the entire western US had enhanced ozone levels (>55 ppbv), with the highest values in California. From the 12 km simulations much of California had O₃ levels in excess of 70 ppbv, with the highest values in the Central Valley and in southern California. The on-shore flow conditions along the eastern Pacific are shown by the strong west to east gradients along the west coast of California. The impact of model resolution on surface O₃ is also shown by the sharpness in the gradients and by the differences in the distributions. Horizontally, the increased resolution captured more local features around urban emissions sources and better captured aspects of the wind fields (spatially and temporally) over California (which will be discussed in more detail below). Vertically, the 12 km simulation produced mixing layer heights closer to those reported in previous studies, especially at valley sites (Dillon et al., 2002), ranging from several hundred meters to $\sim 2000\,\mathrm{m}$ above ground. Temporally, the 12 km simulations were able to better capture the strong diurnal variations in O_3 seen at some of the surface sites (which will be discussed in more details later).

As mentioned earlier, during 21–24 June, Asian inflows entered the west coast of the US. The mean value of the estimate of the impact of China CO at the surface, calculated using the primary CO source region tagged tracers, is presented Fig. 1a and expressed in terms of % of total anthropogenic primary CO. Over the eastern Pacific the China contribution exceeds 50%, and over Northern California it is greater than 20%. During this period the weather over California was dominated by high pressure centered over the Pacific, with weak mid-latitude cyclonic disturbance (Fuelberg et al., 2010). The 22 June DC-8 flight sampled over the eastern Pacific (see flight path in Fig. 1c) under these long range transport conditions. Five-day back trajectories using the WRF three dimensional winds were calculated along this day's flight path every 15 min (Fig. 2b) and show that the sampled air masses travelled in the free troposphere from the western Pacific before arriving at the California coast. Over the southern California near shore portions of the inbound flight path, the flow was at lower altitudes, with air-masses coming from the northern California coast (in purple).

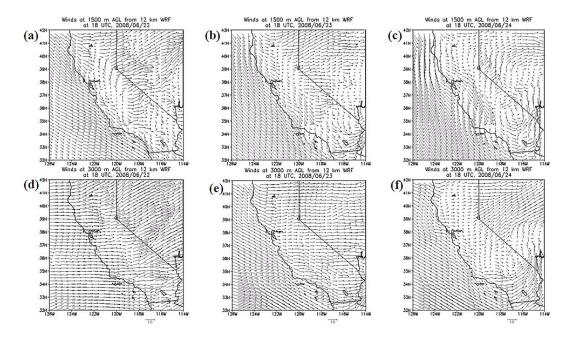


Fig. 3. 12 km wind fields at roughly 1500 m (a-c) and 3000 m (d-f) above ground level (a.g.l.) over California at 18:00 UTC on 22 June (a; d), 23 (b; e) and 24 (c; f).

The STEM tracer model includes air mass age estimations calculated using chemical clocks (Tang et al., 2004a). The age along the flight paths estimated from a VOC clock as a function of flight altitude and time is shown in Fig. 2a. Along the most of the outer (outbound) flight leg, regardless of altitude, the air was well aged, with high VOC ages $(150-\sim300\,\mathrm{h})$. Along the inner (inbound) leg the air mass ages were a strong function of altitude, varying from a few hours at low altitude over southern California to 50 to 100 h at higher altitudes. This reflects the changes in wind direction with altitude as shown in the trajectories. To further identify the regions most heavily influenced by Asia emissions, the % contributions to anthropogenic primary CO from China sources for the flight time and region are plotted in Fig. 2c, d. These plots represent the situation when the flight started sampling outbound (18:00 UTC, 22 June) and headed back to Palmdale through inbound (24:00 UTC (00:00 UTC, 23 June)). The CO contributions from Chinese emissions at the surface were the greatest over northern California and offshore areas of the eastern Pacific, ranging from 20-80%. Areas south of San Francisco had a much smaller fractional contribution from Asian sources at these times. As shown in Fig. 2d, the on-shore flow persisted and the Asia influence continued to advance to the east.

Further insights into flow conditions during and after this flight period are gained from maps of 12 km wind vectors at roughly 1500 m and 3000 m above ground level (a.g.l.) at 18:00 UTC on 22, 23 and 24 June 2008 (Fig. 3). At 3000 m, onshore westerly winds blew at almost constant speed penetrating inland to California and then extending to Nevada on

22 and 23 June. The wind speed over California decreased on 24 June. The offshore winds at 32–33° N were from the southwest on 22 June, and shifted to northwesterly flows when approaching the shore of southern California. These flows continued for the following two days. Coastal winds at 1500 m were northwesterly, with the Central Valley displaying greater complexity and daily variability due to local orographic features. They became more organized on 23 and 24 June, with northwesterly winds throughout the valley. The southern portions of California were under a low pressure system with southwesterly winds.

Corresponding predicted surface CO and O_3 concentrations from the 12 km model simulation are shown in Fig. 4. Extensive areas in southern California and the Central Valley were subject to O_3 concentrations exceeding 70 ppb, especially on 23 and 24 June, as a result of hot and dry weather conditions. On these days air-masses enhanced in O_3 and precursors were transported over the ocean and into the northern portion of the valley. They were mixed together with CO and other precursors emitted during the northern California fire events, and then transported southward where they were mixed with local emissions leading to the high O_3 and CO concentrations.

3.2 Coastal-inland O₃ transport

As discussed in Sect. 3.1, northern California was impacted by pollution from Asia from 22–24 June. The coastal site at THD is a representative station for characterizing variations in background O₃ from the eastern Pacific (Oltmans et al.,

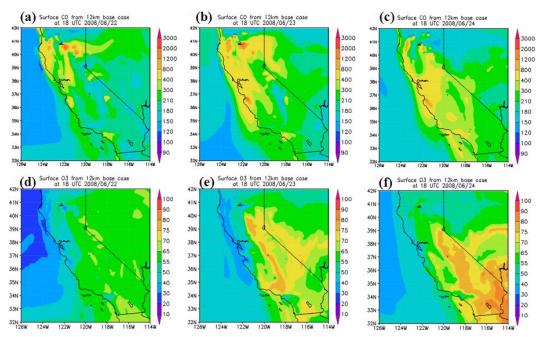


Fig. 4. 12 km modeled surface CO (a-c) and O₃ (d-f) over California at 18:00 UTC on 22 June (a; d), 23 (b; e) and 24 (c; f).

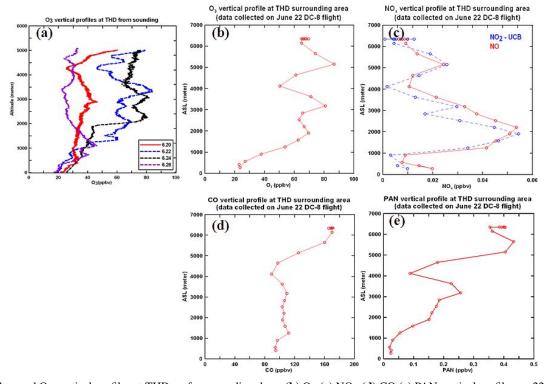


Fig. 5. (a) Observed O_3 vertical profiles at THD on four sounding days; (b) O_3 (c) NO_x (d) CO (e) PAN vertical profiles on 22 June at THD surrounding areas, observed on DC-8.

2008). Observed O₃ vertical profiles from sondes launched at THD on four days (with launch times between 18:00 – 22:00 UTC) are shown in Fig. 5a. Although O₃ was consistently between 20–30 ppb near the surface, significantly higher O₃ (with values ranging from 60–80 ppb) was ob-

served on 22 and 24 June, at altitudes between 1500 m and 3500 m. In contrast, on the relatively cleaner days of 20 and 26 June, O₃ concentrations stayed below 50 ppb at altitudes below 5000 m. These results illustrate the large O₃ variability in the air masses transported into California during

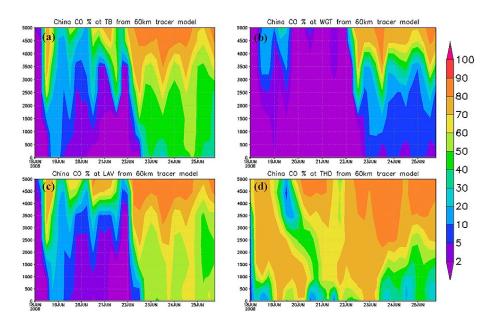


Fig. 6. Time-height (a.g.l., m) curtain plots of anthropogenic China CO contributions as % across sites at (a) TB (b) WGT (c) LAV and (d) THD. Their elevations are 572 m, 0 m, 1756 m and 20 m, respectively.

summertime. Figure 5b–e show the vertical profiles of several chemicals sampled by DC-8 near the THD site at approximately the same time as the O_3 sounding was launched on 22 June. O_3 concentrations show similar vertical structure as the THD O_3 sonde results. The PAN and NO_2 profiles are highly correlated with the O_3 profiles. The CO profile shows three general segments as identified from the tagged tracer CO results (not shown): marine air below ~ 1 km; a mid region from 1 to 5 km, where CO structure is the result of a mixture of Asian anthropogenic sources and biomass burning from North America (below ~ 2 km); and an upper segment (above 5 km), which is a mixture of anthropogenic and biomass burning from Asia.

Further insights into the Asia influence at THD and at inland sites is shown in the time-height curtain plots of China CO contributions (Fig. 6). The THD site was heavily influenced by Asian emissions throughout this period, with persistent Asia influence above \sim 2 km, and several episodes with the significant influence reaching the surface. The situation on 22 June shows a strong (>60%) influence from Asia above 1 km. This transport event strongly impacted inland regions of northern California. The China CO contributions jumped from below 2% to above 40% by the end of 22 June at the TB and LAV sites (see Fig. 1c for site locations). China inflows also affected the WGT site, but accounted for less than 10% at the surface. At this site the strong Asia influence was at higher altitudes (above ~ 3 km). In contrast, the impacts of Chinese sources were very small at the southern California JOT site (not shown). The influences of transported background O₃ during these Asian inflows periods at four inland surface sites were also analyzed. The time-height O_3 distributions from the 12 km simulation (Fig. 7) clearly show that O_3 transported above the boundary layer can impact the surface as air-masses descend and entrain into the boundary layer. The O_3 vertical structure shows the combined impacts of local production in the boundary layer, as well as long-range transport at higher altitudes.

To further understand the flow characteristics associated with periods of observed high O₃ values, we estimated O₃ distributions backward in time by combining the corresponding observed values with air-mass back trajectories. In this methodology, we take the observed concentration and propagate its value backwards in time along a wind trajectory. By running multiple trajectories, and assuming that the value does not change along that trajectory we can identify the general flow conditions and upwind regions associate with high O₃ values. In this method the geographic region covered by the trajectories was divided into an array of $1^{\circ} \times 1^{\circ}$ grid cells, and the location of an air parcel at a particular time was represented by the trajectory segment latitude and longitude endpoints. The average concentration colored on each grid cell was calculated by the mean observed O₃ concentrations associated with each trajectory endpoint that landed in the surface grid at the discrete time intervals (Kurata et al., 2004). In this way, we colored three-day back trajectories originating from four surface sites on 23 June, based on the 12 km WRF meteorology fields (Fig. 8). The starting times used were from 00:00 UTC to 23:00 UTC with one-hour interval. Results at LAV and TB sites show that the high observed surface O₃ levels periods were associated with inflows from the eastern Pacific and the coastal area. In contrast, high O₃ periods at the WGT site were associated with flows passing over

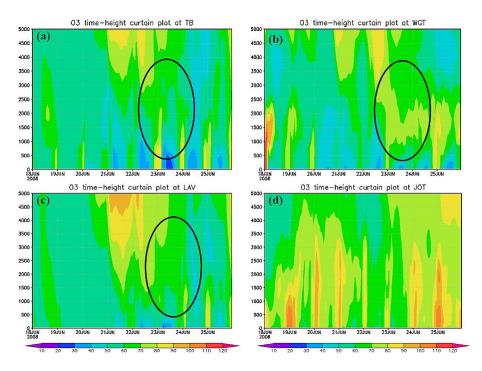


Fig. 7. O₃ time-height (a.g.l., m) curtain plots from the 12 km base case across sites at **(a)** TB **(b)** WGT **(c)** LAV and **(d)** JOT. Their elevations are 572 m, 0 m, 1756 m and 20 m, respectively.

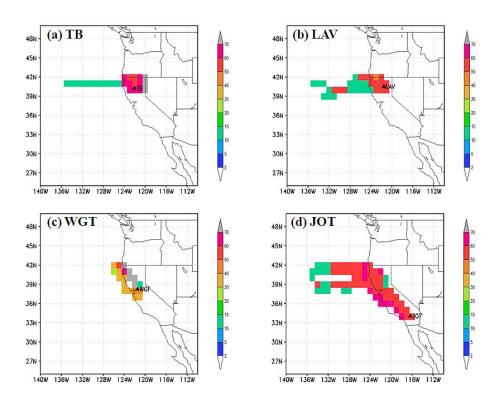


Fig. 8. Reconstructed O_3 concentrations along three-day back trajectories from 12 km meteorological fields originating at (a) TB (b) LAV (c) WGT and (d) JOT on 23 June.

near-coastal areas of northern California as well as flows directly from the San Francisco Bay area. The high O₃ levels at JOT were associated with flows through the Central Valley, with some flows extending out into the eastern Pacific.

To further characterize the influence of O_3 over the eastern Pacific on surface O₃ over California we examined spacetime correlations. Specifically, we calculated correlations between THD O₃ at multiple elevations and surface O₃ at inland sites for different hourly time offsets. We calculated R-square values using both observational and modeled data and the results for the TB site are shown in Fig. 9. Observed O_3 time series at THD were constructed using O_3 sonde data at THD on 20, 22, 24 and 26 June. Soundings for each day were averaged every 500 m up to 5000 m a.g.l. Averages for each altitude bin were interpolated to hourly values using a univariate interpolation approach (Akima, 1970), and the resulting altitude-specific time series were then correlated with the time series built from hourly surface O₃ observations at the TB site. Resultant correlations between O3 at three altitude bins (1000–1500 m, 2500–3000 m, and 3500–4000 m) above THD and surface O₃ at TB are shown in Fig. 9c. High R-square values ranged from 0.67 to 0.75 at these altitudes, indicating strong correlations between surface O₃ at the TB site and O₃ at all three altitudes above THD during 21 June– 24 June. Surface O₃ at the TB site was most highly correlated with O₃ at 2500–3000 m above THD with a time lag of around 30 h.

This analysis was repeated using 12 km modeled data, correlating hourly concentrations at THD at the same altitudes with predicted hourly surface O_3 at TB. Predicted O_3 vertical structures on 22 June at THD and the time series at TB are compared with observations in Fig. 9a and b. The predicted values captured the ozone enhancement between ~ 1.5 to 4 km as observed at THD, and many of the important features in the time series of ozone at TB. Model-based correlations in Fig. 9d and e show correlations across several time offsets, due to the higher fidelity of the time series used in analysis. The highest R-square value was 0.68, found at 2500 m with a time offset of 22 h, shorter than the 30 h offset found in the observational data.

To better understand the causes of these O_3 transport correlation relationships, we plot the forward trajectories in Fig. 10a, b from the 12 km meteorology fields originating on 22 June, from 1500 m and 2500 m above THD, respectively. The starting times were from 00:00 UTC to 23:00 UTC with a one-hour interval. On this day, the winds blew from \sim 2500 m above THD directly to northern California (Fig. 10b). The forward trajectory starting at 2500 m above THD at 01:00 UTC on 22 June (06:00 p.m. LT, 21 June) is shown in Fig. 10d. The air-mass descended as it traveled inland into the valley, reaching the east side of the valley after about 17–18 h, where it affected the TB surface concentrations at the end of 22 June. Upslope mountain-valley flows in the afternoon lifted the air-masses, and they continued moving east. At 1500 m, the wind directions were more

varied leading to the impact being more dispersed, affecting TB, Nevada as well as large areas south of THD. This analysis shows clearly the inland transport and entrainment of the eastern Pacific O₃ into the boundary layer.

The origin of the air masses reaching TB are shown to be a mixture of air from Oregon transported at low altitudes ($<\sim$ 1500 m), as well as air transported from the eastern Pacific at attitudes between 1.5 to 3 km as shown in Fig. 10c. These back-trajectories of air masses at about 400–500 m above TB area were calculated from 00:00 UTC to 23:00 UTC with one-hour intervals on 22 and 23 June. We plot the back trajectory of an air mass at about 400–500 m above TB area at 00:00 UTC on 23 June (05:00 p.m. LT, 22 June) in Fig. 10e. This air-mass was at 3500–4000 m above the eastern Pacific 40 h earlier. It then passed THD at \sim 3000 m above sea level (a.s.l.) and descended east into the valley, taking \sim 22 h to travel from the coast to the valley, where the pollutants were finally mixed down to the surface.

The correlation between THD O_3 at multiple elevations and surface O_3 at inland sites varied throughout the ARCTAS-CARB period. Figure 9d and e show the model correlations between THD at 1500 m and 2500 m and the TB site at different time offsets for the 18–28 June period. Over this longer analysis time period, no significant correlations were observed at 2500 m. But at 1500 m, a lower maximum R-square of 0.3 was obtained for a 30-h transport time. These results are similar to those from the correlation study using 8-h average O_3 based on multi-year observations (Parrish et al., 2010), and can be further explained with air-mass trajectories (Fig. 11).

Similar as Fig. 10a–c, Fig. 11 shows the forward trajectories from the $12\,\mathrm{km}$ meteorology fields originating from $1500\,\mathrm{m}$ and $2500\,\mathrm{m}$ above THD, together with the backtrajectories of air masses at about 400– $500\,\mathrm{m}$ above TB area, for every hour on $20\,\mathrm{June}$ (before the long-range transport event). The air-masses on this day at $2500\,\mathrm{m}$ above THD blew directly to Oregon and had no direct impact over the TB area. The air-masses at $1500\,\mathrm{m}$ above THD blew directly to the northern California including the TB area, but stayed at ~ 1200 – $1500\,\mathrm{m}$ above the valley surface. The pollutants they carried were mixed down to the surface during day time as the boundary layer grew. The back-trajectories in Fig. 11c also indicate that the air-masses over the TB area were mainly from below $1500\,\mathrm{m}$ above the Eastern Pacific on this day.

These results show that surface O_3 in northern California can be directly influenced by O_3 transported over the eastern Pacific in summer. Near the coast the influence is limited as the MBL O_3 concentrations are typically below 40 ppb. However, inland, the influence of O_3 , transported at higher altitudes is strengthened; there coastal O_3 levels can reach 60–80 ppb. The enhancement of O_3 over the ocean at higher altitudes significantly affects downwind surface O_3 concentrations.

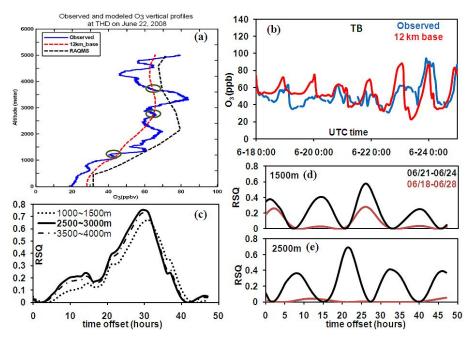


Fig. 9. Observed and 12 km-modeled O₃ profiles at (a) THD and (b) TB; the correlation analysis based on (c) observations and (d-e) 12 km model results.

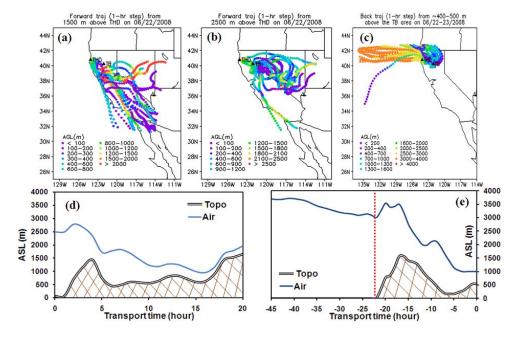


Fig. 10. Forward trajectories originating on 22 June from **(a)** 1500 m **(b)** 2500 m above THD; **(c)** back trajectories originating from 400–500 m above TB area; transport height as a function of time along with topography along the **(d)** forward trajectory originating from 2500 m above THD, 22 June 01:00 UTC **(e)** back trajectory originating at 400–500 m above TB area, 23 June 00:00 UTC Fig. 11. Forward trajectories originating on 20 June from **(a)** 1500 m **(b)** 2500 m above THD; **(c)** Back trajectories originating from 400–500 m above TB area.

The situation in southern California is different. As discussed in the introduction, the O₃ entering southern California directly from the eastern Pacific in the summer is very similar in magnitude and vertical structure to that measured at THD. However, the southern California coastal ranges are

not as high and continuous as in northern California. Thus southern California is often under the influence of on-shore marine boundary layer flows, which bring lower levels of background O_3 that then mix with the high emission regions. Therefore, it is more difficult to detect the influence of this

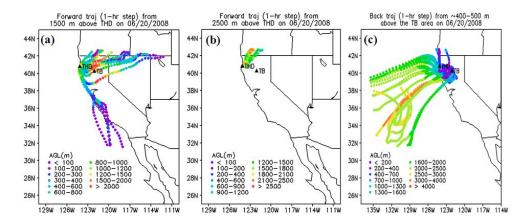


Fig. 11. Forward trajectories originating on 20 June from (a) 1500 m (b) 2500 m above THD; (c) back trajectories originating from 400–500 m above TB area.

eastern Pacific O₃ on inland surface observations in southern California. During this analysis period, we did not have a situation where air over the southern portion of the eastern Pacific at altitudes from 1.5 to 4 km had a significant direct impact on O₃ at the JOT site in southern California.

3.3 Model LBC sensitivity studies

Considering the significance of O₃ transported from the eastern Pacific on California surface O₃, the uncertainties in the model western LBC along with the meteorological conditions can represent a significant source of error in the prediction of surface O₃ over California. To evaluate the impact of the western LBC on surface O₃ predictions over California, a series of LBC sensitivity simulations were performed in the 60 km model grid. These tests were done using the 60 km grid as this resolution is representative of that used in higher resolution global chemical transport models (CTMs) and that used in the outer grid in nested air quality models.

There are several methods to create LBCs including using constant values, default profile gradients, and real-time profiles downscaled from global model results. Here we show results for simulations using the various approaches summarized in Table 2. The Clean WBC case resulted in a decrease relative to the base case in California surface O3, with large changes (exceeding 5 ppb) extending over two thirds of the study domain, and the decrease over northern California was generally higher than 12 ppb. The time series of surface O₃ values and their change due to the use of the Clean WBC at TB are shown in Fig. 12b and f. The largest changes in O₃ (>20 ppb) occurred after 22 June, and correspond to the arrival time of Asia-influenced air as discussed earlier (Fig. 6). The Clean WBC case represents a typical default value often used in air quality studies. These results demonstrate the importance of a better representation of the western LBC in order to improve model predictions.

A better representation of the LBC can be obtained by downscaling results from global chemical transport models. Tang et al. (2007) demonstrated that LBCs derived from global models can capture much of the temporal and spatial variability along the boundary and can enhance air quality predictions. Recall that for the base simulation we took this approach and used results from the RAQMS global model as the LBC in our 60 km base simulations. The RAQMSpredicted O₃ values were directly compared with the O₃ sondes at THD on 22 June (Fig. 9a) and the observations for the 22 June DC-8 flight are shown in Fig. 13. RAQMS predictions captured the vertical gradients but generally over predicted O₃ by 10–20 ppb from surface to 5000 m. O₃ values along the flight path are shown in terms of a vertical distribution and as a function of flight altitude and time (Fig. 13a, b). For this flight the RAQMS model over-predicted O₃ between 1.5-4 km, and showed less variability than the observations above 1 km. The 60 km base case simulation is also presented in Fig. 13. The 60 km O₃ values are slightly higher than RAQMS and the observations below 1 km, lower between 1.5-4 km (and closer to observations), closer to the RAQM results at 5–8 km, and higher than the observations above 8 km. These results show that while the use of global model LBCs can improve model performance, biases can be introduced. In this case the high bias in the RAQMS LBC at THD and along the 22 June flight path at 1.5-4 km contributed to the over prediction of O_3 at the TB site that is shown in Fig. 12a–c.

Additional simulations were performed to further explore the sensitivity of the predictions to the LBC. To explore the importance of temporal variability we used 20-day temporal averaged RAQMS boundary conditions in the Fixed BC case. For this case the predicted surface O_3 during 21–24 June dropped 3–7 ppb over more than two-thirds of California. As shown in Fig. 12e, the use of temporally averaged boundary conditions resulted in a decrease in predicted O_3 relative to the base case by ~ 10 ppb, during the periods with large Asia

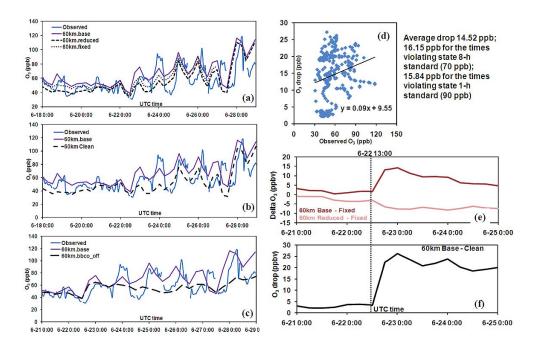


Fig. 12. Time series plots of observed and 60 km modeled ((a) base case, reduced and fixed sensitivity cases during 18–28 June (b) base case and clean WBC case during 18–28 June (c) base case and sensitivity case without fire emissions during 21–24 June) O₃ at TB; (d) scatter plot of delta O₃ (between base case and clean WBC case) and observed O₃ at TB; time series plots of the delta O₃ ((e) between base case and Fixed BC case, Reduced WBC case and Fixed BC case (f) between base case and clean WBC case at TB) from 60 km simulations at TB during 21–24 June.

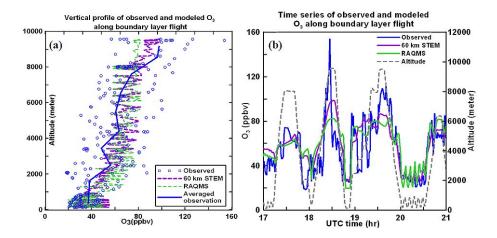


Fig. 13. Observed and modeled ($60 \, \text{km}$ STEM and RAQMS) O_3 (a) vertical profiles and (b) time series plots along oceanic flight path. RAQMS and $60 \, \text{km}$ STEM base results were used as the boundary conditions for $60 \, \text{km}$ and $12 \, \text{km}$ STEM base cases respectively.

influence. This is due to the fact that the episodic Asian enhancements were largely removed by the time-averaging of the LBC. The results for the sensitivity simulations where a bias in the western LBC of $-10\,\mathrm{ppb}$ of O_3 was added to the Fixed BC case are also shown in Fig. 12a and e. Results from this constant perturbation case helps illustrate how the boundary values are modified within the STEM model. Average changes of surface O_3 over California during 1–24 June vary from 1–6 ppb, relative to the fixed BC case. Time vari-

ations of changes in O_3 at the TB site are shown in Fig. 12e, and 1–8 ppb of O_3 decreases can be seen. The extent to which the O_3 signal was damped (i.e., lower than the 10 ppb perturbation) reflects the degree to which O_3 levels were influenced by local meteorological and chemical processes. The smaller the change is, the larger the influence of the local contributions.

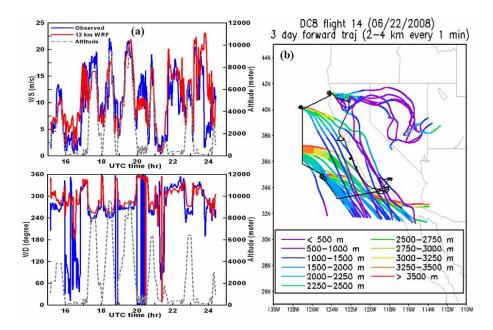


Fig. 14. (a) Observed and 12 km WRF-modeled wind fields along the 22 June DC-8 flight path; (b) forward trajectories originating at 2–4 km of the oceanic part of the same flight.

Figure 12a-d illustrate the model sensitivity to western LBC over a longer period of time (18-28 June). By using the clean western LBC, the predicted O₃ at the TB site was 14.5 ppb lower than the base case on average. At the times that 8-hr O₃>70 ppb (CA state standard), the difference was \sim 16.2 ppb on average. The largest decreases occurred during 18–19 June and 23–24 June, and the smallest O₃ were on 21 June and early 22 June. During these two periods larger differences are shown in Fig. 12a between the base and fixed LBC cases, as well as between the Fixed LBC and reduced LBC cases. As stated earlier during the study period there were active biomass-burning fires. Figure 12c compares the time series of observed and predicted O₃ with and without biomass burning emissions. The biomass burning emissions had a larger impact on predicted O₃ after 25 June, and caused the modeled O₃ to be less sensitive to the LBC.

Figure 12d shows that the 1-h O_3 differences between base and the Clean WBC cases generally increase as the observed O_3 increases, with a mixture of slopes. The range in slopes indicates varied enhancements of O_3 in the western LBC, as well as the different model sensitivity to LBC under Asian events and fire events.

3.4 Model performance improvements

3.4.1 Methodology

Further improvements in model prediction will require reducing the uncertainties in the LBCs. The 22 June ARCTAS-CARB flight devoted to characterizing the LBC under Asian inflow conditions provided data to test how additional obser-

vations can help to improve the LBC. We performed an additional simulation where we used the observations over the eastern Pacific as the western LBC in the 60 km model domain. Sampled concentrations for eight gaseous pollutants (NO, NO₂, CO, O₃, H₂O₂, PAN, HNO₃ and SO₂) were averaged every 1000 m. The mean O₃ profile used for this case is also shown in blue in Fig. 13a. We vertically interpolated these values to STEM grids, and replaced the RAQMS western LBC with these observation-based vertical gradients, in both 60 km and 12 km grids for the flight week. To identify the regions where we would expected to see the biggest changes in model predictions using the observational-based (Obs case) western LBC, we calculated forward trajectories along the flight path using the 12 km WRF meteorology fields. The 12 km WRF meteorology is compared with the aircraft observations along the flight path in Fig. 14a. In general the 12 km simulation is able to capture the main features of the flow fields. The trajectories (every one minute) for flight altitudes at 2-4 km above the eastern Pacific are shown in Fig. 14b. Air-masses sampled by the aircraft are shown to travel forward in time at multiple vertical layers and then descend into the Sacramento Valley, the west ridge of the Central Valley, and along coastal southern California.

3.4.2 Model performance improvement at the surface

The impact of the observational-based LBC on the $60 \, km$ predictions is shown in Fig. 15, where the surface O_3 difference (Base case – Obs case) over California at $18:00 \, UTC$ (11:00 a.m. local time) on 22, 23 and 24 June is plotted. At $18:00 \, UTC$ on 22 June, O_3 dropped $8-12 \, ppb$ over northern

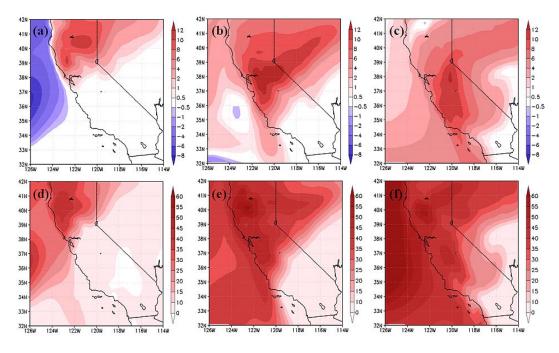


Fig. 15. Surface O₃ (a-c) and CO (d-f) difference (Base case – Obs case) over California from 60 km model simulations at 18:00 UTC on 22 June (a; d), 23 (b; e) and 24 (c; f).

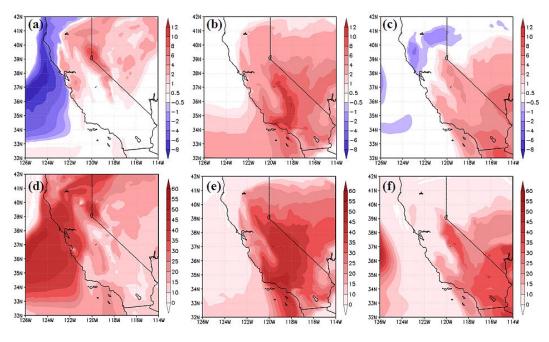


Fig. 16. Surface O_3 (a-c) and CO (d-f) difference (Base case – Obs case) over California from 12 km model simulations at 18:00 UTC on 22 June (a; d), 23 (b; e) and 24 (c; f).

California in the 60 km Obs case. The effects of the western LBC were first felt over northern California and then were transported to the south and the east in the next 24–48 h.

The impact of the observational-based western LBC on the 12 km predictions is shown in Fig. 16. Ozone changes on 22 June are below 5 ppb all over California, except along the

border of California and Nevada. The impacts in the 12 km case traveled from north to south and moved out of the study domain after 24 June. These smaller impacts in the 12 km case compared to the 60 km case reflects the fact that the base 12 km simulation did not show as high a bias in northern California as did the 60 km simulation. The differences in

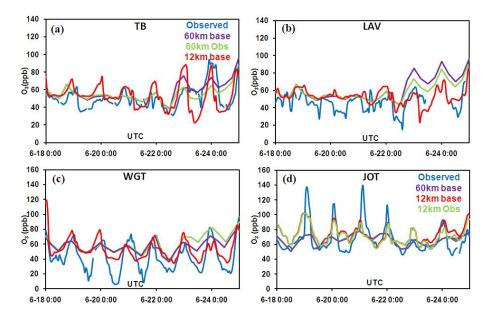


Fig. 17. Observed O_3 time series in comparison with model simulations at (a) TB (b) LAV (c) WGT and (d) JOT during the flight week. Noticeable differences between the Obs case and base case results (60 km (a–c); 12 km (d)) were shown.

spatial patterns reflect the difference in meteorological fields at these resolutions, which can be implied along with the similar trend of changes in CO at corresponded times (Figs. 15 and 16d–f). The 12 km wind fields better captured the detailed inland air movements and in-valley circulations. This is best seen in the fact that the changes in the 60 km Obs case mostly happened in northern California and in limited areas of central and southern California. In contrast, significant changes in O_3 for the 12 km Obs case occurred over both northern and southern California.

To quantify the improvement in model simulations when using the observational-based western LBC, modeled and observed O₃ time series plots for four surface sites are shown in Fig. 17. For the three sites located in northern California (Fig. 17a-c), the 60 km base case generally over-predicted O₃ on 22 and 23 June. The Obs case reduced the overprediction. A detailed comparison of maximum, minimum and average O₃ concentrations between model simulations and observations is summarized in Table 3a on 22 and 23 June. The O₃ concentrations from the 60 km Obs case were closer to observations except that it missed the peak value at WGT on 23 June. In contrast, at the JOT site in southern California, there was no change of O₃ after replacing western LBC with observations in 60 km during the same period (not shown). No significant differences were observed between 12 km base and Obs cases at the three northern California sites (not shown), but at the JOT site some small changes were seen (Fig. 17d). As Table 3b shows, maximum, average and minimum values of simulated O₃ in 12 km Obs case decreased and its average and minimum O₃ better captured the observations. The O₃ decrease was larger after 23 June, due to the transport within the Central Valley as discussed previously. Table 3a and b show that R-squares between observed and modeled surface O₃ during 22–24 June were also improved at the surface sites in Obs cases, except for a slight decrease at TB site.

3.4.3 Model performance improvement along flight path

The improvement of O_3 along the 22 and 24 June DC-8 flights paths was also evaluated. To quantify the reduction of model biases, at all locations below 1000 m above ground, we define the Sensitivity Index (SI) in Eq. (2)

Sensitivity Index% =
$$\frac{|O_3 \text{ in Obs case} - \text{Observed } O_3|}{|O_3 \text{ in Obs case} - O_3 \text{ in base case}|} \cdot 100\%$$
 (1)

This ratio of model bias can quantify the extent of model improvement by using the observational-based western LBC. O₃ predictions were improved in Obs cases at locations with SI below 100%. We also define cumulative sensitive data points, as well as the cumulative ratio in (3) and (4). Both of them are functions of SI.

Cumulative sensitive data points
$$(SI) =$$

number of data points $< SI$ (2)

Cumulative ratio (SI) =
$$\frac{\text{number of data points} < \text{SI}}{\text{all data points}}$$
(3)

Figure 18 shows that 60% and 70% of data points were improved in the 60 km and 12 km Obs cases. Furthermore, 26% and 17% of all data points in 60 km and 12 km cases,

Table 3a. Comparisons between observed and modeled O_3 at three northern California sites, better model simulations are in bold (OBS-observations).

			ТВ			LAV		WGT		
		OBS	60 km base	60 km Obs.	OBS	60 km base	60k m Obs.	OBS	60 km base	60 km Obs.
06/22	Max.	57.0	57.1	57.0	58.0	58.8	58.6	51.7	72.0	67.0
	Mean	42.8	48.7	48.2	39.9	51.1	50.5	34.6	51.2	49.4
	Min.	31.0	38.9	38.0	15.0	43.1	42.9	22.4	36.3	36.2
06/23	Max.	62.0	75.8	63.1	64.0	85.0	73.0	82.1	80.7	70.9
	Mean	53.9	64.7	54.7	53.3	75.3	64.6	44.0	70.4	59.5
	Min.	34.	52.2	49.3	40.0	62.0	54.6	21.8	62.4	50.6
06/22-06/24	RSQ (OBS:Model)		0.35	0.31		0.35	0.37		0.39	0.44

Table 3b. Comparisons between observed and modeled O₃ at the southern California site JOT, better model simulations are in bold (OBS-observations).

		JOT				
		OBS	12 km base	12 km Obs.		
06/22	Max.	113.0	89.8	88.1		
	Mean	64.3	68.3	67.8		
	Min.	46.0	58.6	58.4		
06/23	Max.	87.0	83.7	79.3		
	Mean	59.1	67.8	63.3		
	Min.	51.0	56.1	54.8		
06/24	Max.	93.0	101.0	94.7		
	Mean	63.7	81.6	74.4		
	Min.	45.0	71.0	65.3		
06/22-06-24	RSQ (OBS:Model)		0.40	0.44		

respectively, had SI values below 80%. The model biases for these points were reduced by more than 20% when the observational-based LBC was used. These data points are colored by SI in Figs. 18b and 19c. They are mostly located in northern California, along the coast of southern California, and downwind areas of the Central Valley. The highest improvements (with SI<20%) for the 60 km case were over northern California, and over southern California downwind of the Central Valley in the 12 km case. These improvements in 60 km and 12 km model performance along two flight paths on 22 and 24 June show some similarities to the improvement seen at the surface sites in response to the observational-based western LBC.

These results suggest that the added observations contributed appreciably to improving model predictions. Chemical data assimilation in global models holds the possibility of reducing uncertainties in LBC used in limited-area air quality models. However, the RAQMS first guess boundary conditions used are based on MLS and OMI data that contain low

information content in the mid to lower troposphere. Additional information is available from current satellite instruments such as TES, however TES lacks the temporal and spatial coverage needed for LBCs. The measurements from aircraft contain high information content and the observational-based LBC can highly improve regional model performance over downwind areas at lower troposphere. The challenge that remains is to improve our observing system in ways that it can provide the spatial and temporal information needed to improve model predictions of air quality. This should be a priority of our future observing systems.

4 Summary and conclusions

Tracer and full-chemistry versions of STEM model at three spatial scales were used to analyze the effects of transported background O_3 from the eastern Pacific on California air quality during the ARCTAS-CARB experiment conducted in

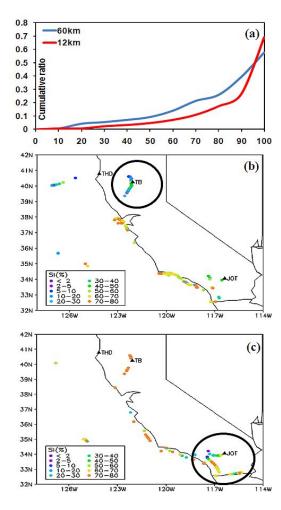


Fig. 18. (a) Cumulative ratios for 60 km and 12 km cases; Data points below 80% SI in (b) 60 km and (c) 12 km cases along the 22 and 24 June flight tracks below 1000 m.

June 2008. Two different spatial resolutions and a variety of lateral boundary conditions (LBC) were applied in the full-chemistry model simulations.

Tracer model and back trajectories indicated that during 21–24 June strong Asian inflows affected northern California. Based on the 12 km meteorological fields, we analyzed reconstructed O₃ distributions along air-mass back trajectories originating at five California sites and correlated observed and modeled O₃ between coastal THD and inland TB sites to study O₃ transport patterns. During the entire ARCTAS-CARB study period O₃ levels in the inflow air were less than 40 ppb O₃ below 1.5 km. The time-lag correlations of O₃ at this altitude with a surface site at TB showed maximum correlations of 0.3 and a transport time of less than 30 h. Under Asia inflow conditions during 21–24 June the peak R-square value increased to approximate 0.7, and the transport altitude was extended to around 3 km where 60–80 ppb of coastal O₃ was observed.

We evaluated the sensitivity of modeled O₃ to various treatments of the boundary conditions and showed that modeled O₃ over downwind areas were highly sensitive to the western LBC during 21–24 June. The use of constant values failed to capture the varied O₃ vertical structures over the eastern Pacific during this time period. We showed the results of using top and lateral boundary conditions downscaled from the RAQMS global model, but also showed that they can contain biases. Temporal averaging of these boundary conditions is shown to be one way to reduce such biases.

In addition to model-based real-time LBC, the measurements on the 22 June DC-8 flight provided actual O₃ vertical gradients over the ocean, which were used as LBC. Use of these observation-based LBC was shown to improve O₃ predictions at four California inland surface sites and at altitudes below 1000 m along two DC-8 flight tracks. The extent of model improvement over different areas depended on base case performance, meteorology fields and the discrepancies of model-based western LBC from the flight observations.

We conclude from this study that:

- The pollutant transport patterns from oceanic background and their effects on California air quality (or other similar regions) depend on the vertical structures over the eastern Pacific and meteorological conditions. Long-range transport of Asian inflows can change the pollutant profiles over the eastern Pacific during summertime significantly. Capturing this variability in the observations is important and challenging as information is needed above the surface.
- 2. Accurate real-time LBC for long-live species together with high quality meteorology fields improve model predictions in areas where the background pollutants are transported aloft as well as their downwind regions. The assimilation with OMI and MLS data into RAQMS together with the assimilation of in-situ measurements in to STEM LBCs improved the model performance. Improvements in our observing systems that provide information on the three-dimensional nature of pollutant distributions are needed to improve our capability to predict pollution levels and to better quantify the influence of these Asian inflows on the US west coast air quality.

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